CSE 40537/60537 Biometrics

Daniel Moreira
Spring 2022



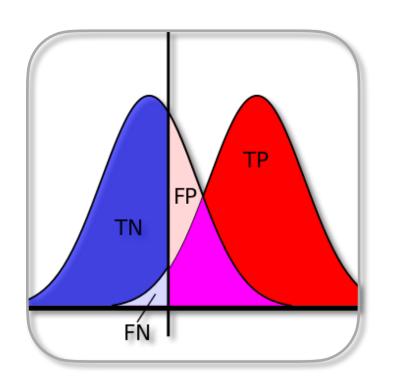
# Today you will...

Get to know Importance of Multibiometrics.



## Course Overview

#### Content

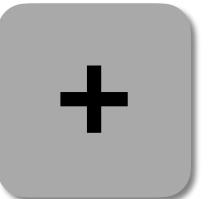


Basics
Concepts
Metrics
Metric
implementation





Core Traits (3)
Concepts
Baseline implementation
Evaluation
Assignments





Alternative Traits and Fusion
Concepts

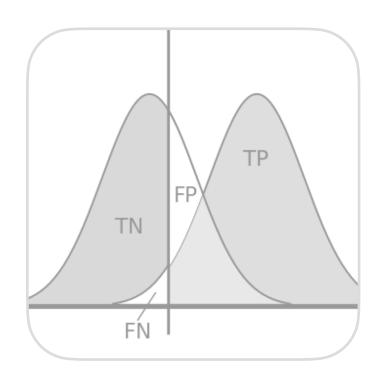


Invited Talks (2)
State of the art
Future work



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#### Pick a Trait

Universality (1/8)

Does everybody have the trait?

#### Uniqueness (2/8)

How likely two or more individuals will present the same trait?

#### Permanence (3/8)

How easily does the trait change?

#### Measurability (4/8)

How easy is it to acquire and digitize the trait?





#### Pick a Trait

#### Acceptability (5/8)

Will individuals collaborate during data collection?

#### Circumvention (6/8)

How hard can the trait be forged or imitated?

#### Performance (7/8)

How good is the trait quantitatively according to objective metrics?

#### Accountability (8/8)

How easy is it for the everyman to understand the trait comparison?





#### Pick a Trait

There is no silver bullet. No trait satisfies all *concepts*.



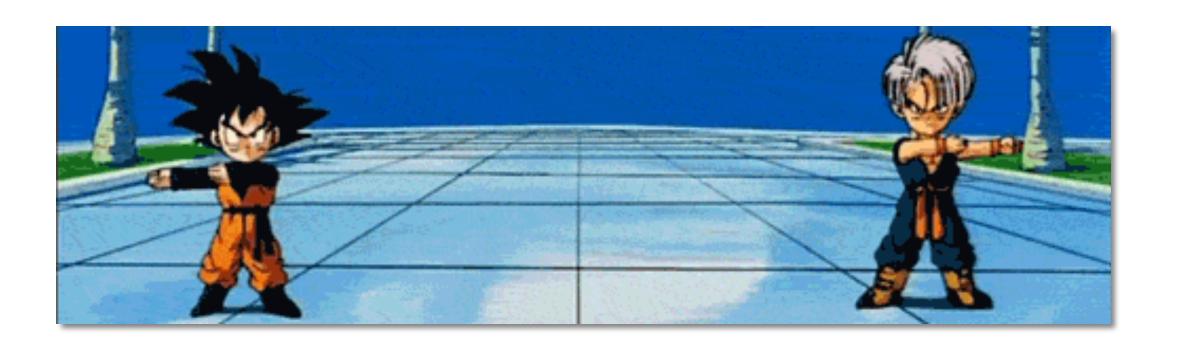


#### Solution

Rely on multiple traits.
Allow various presentations.
Combine results (data fusion).



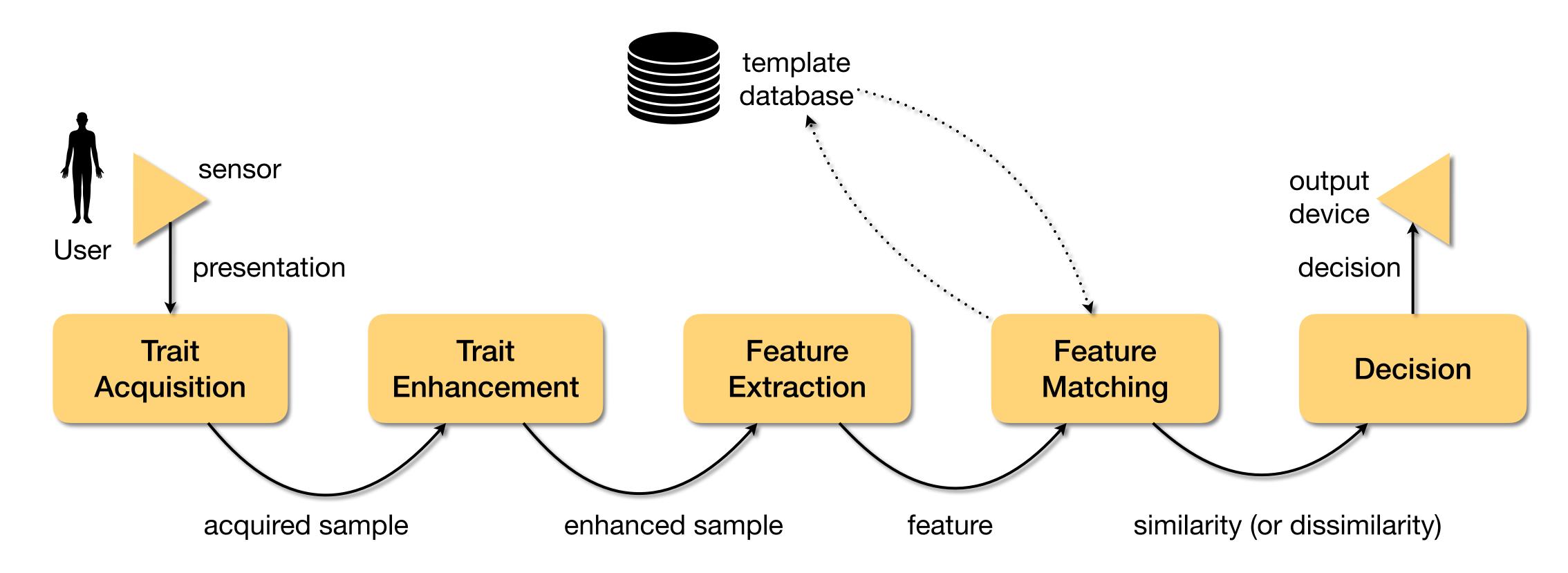
More concepts can be satisfied. System is more robust to attacks. It becomes more expensive to attack the system.



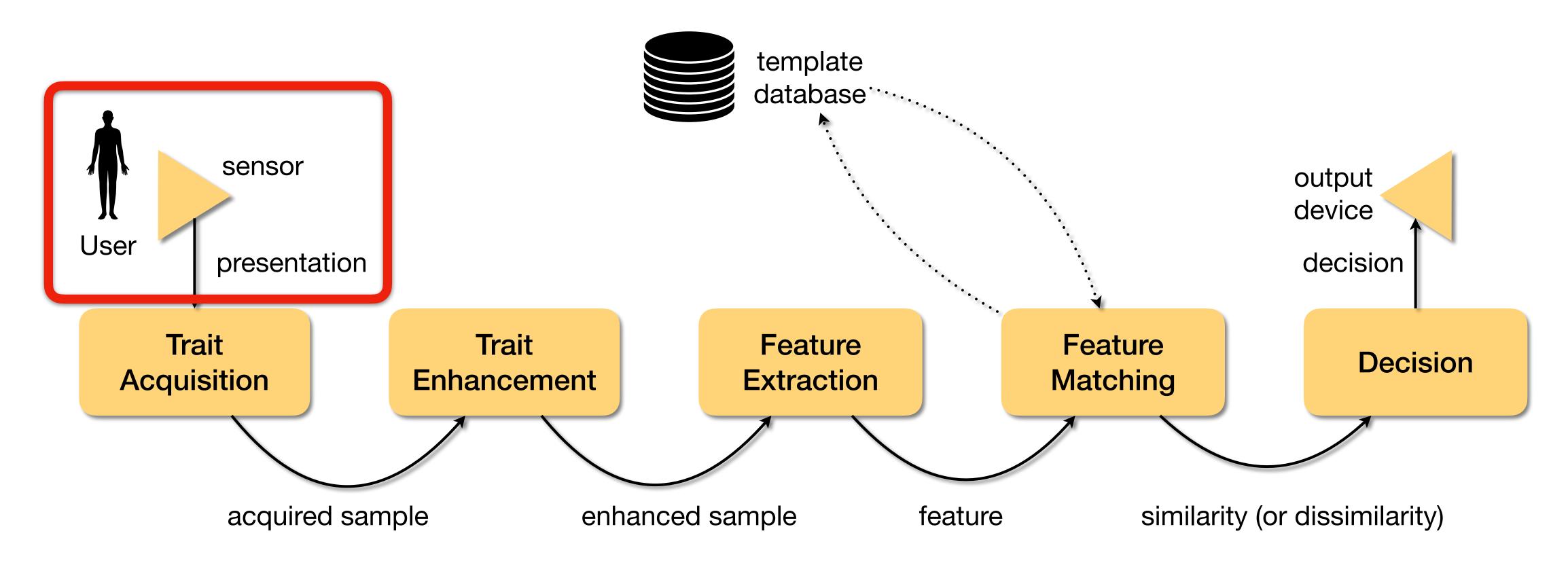
#### Cons

System becomes more expensive (more sensors, more software). More runtime. More complexity.

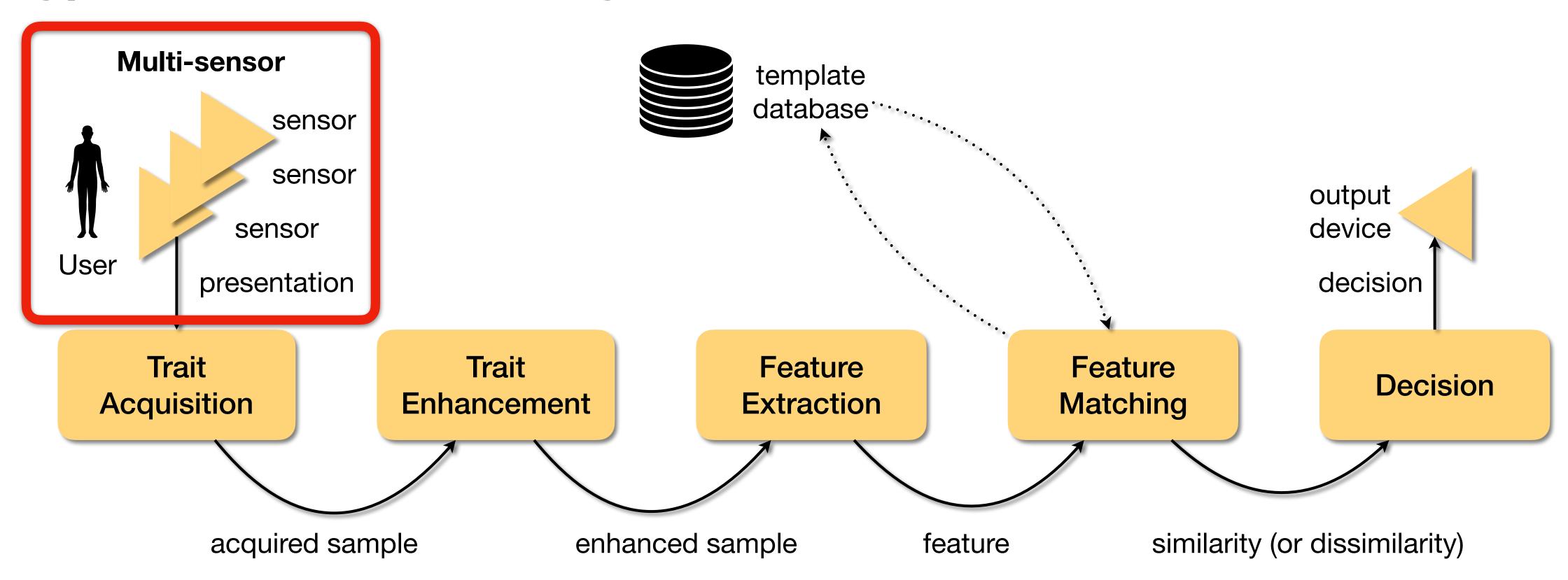














### Types of Multibiometric Systems

Multi-sensor Systems (1/5) Single trait, multiple sensors.

If one sensor fails, other sensors might overcome the failure.









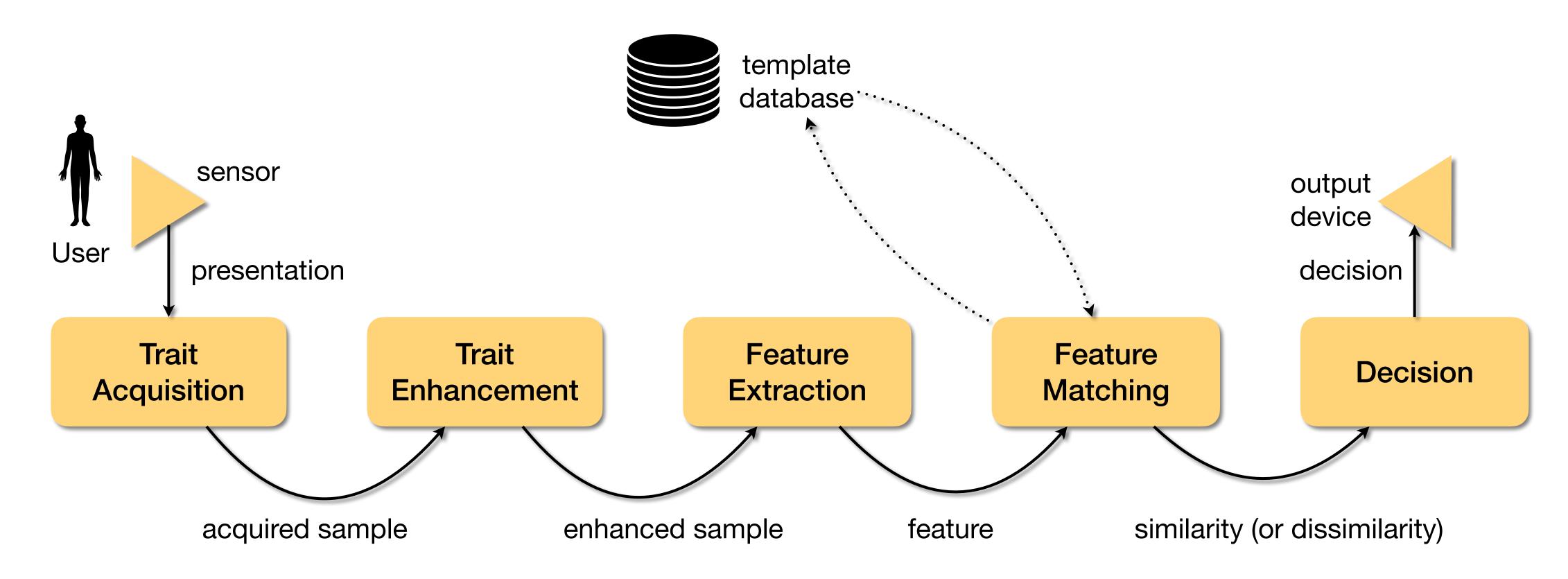
NIR



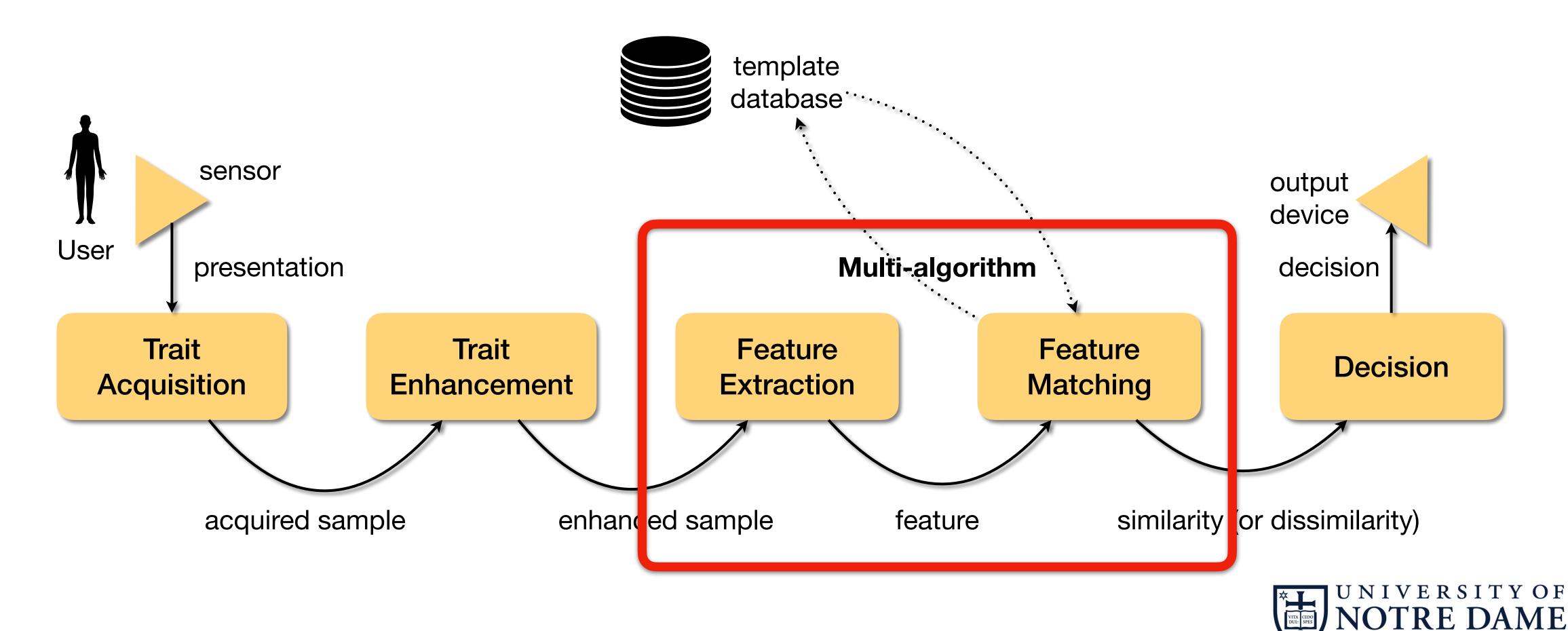
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thermal









### Types of Multibiometric Systems

Multi-algorithm Systems (2/5) Single trait, single sensor, multiple feature extractors and matching solutions.

Complementary solutions will lead to higher accuracy in the end.

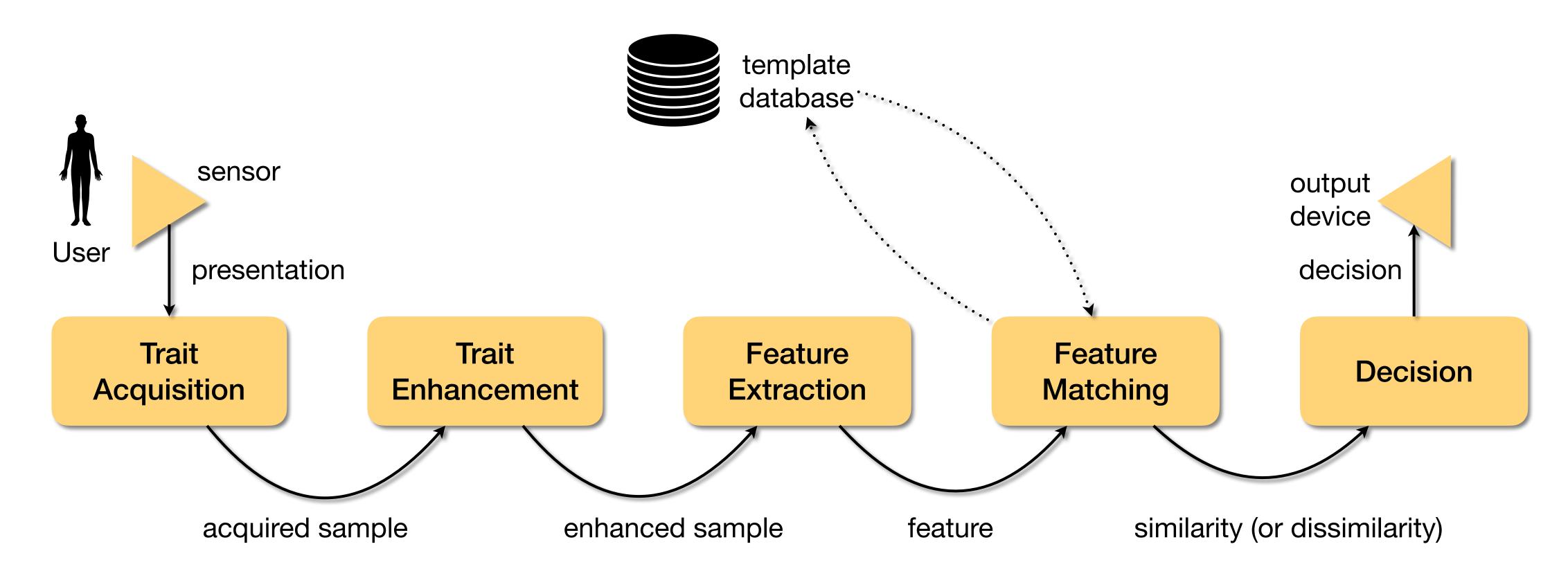


Daugman's iris code from 2D Gabor filters

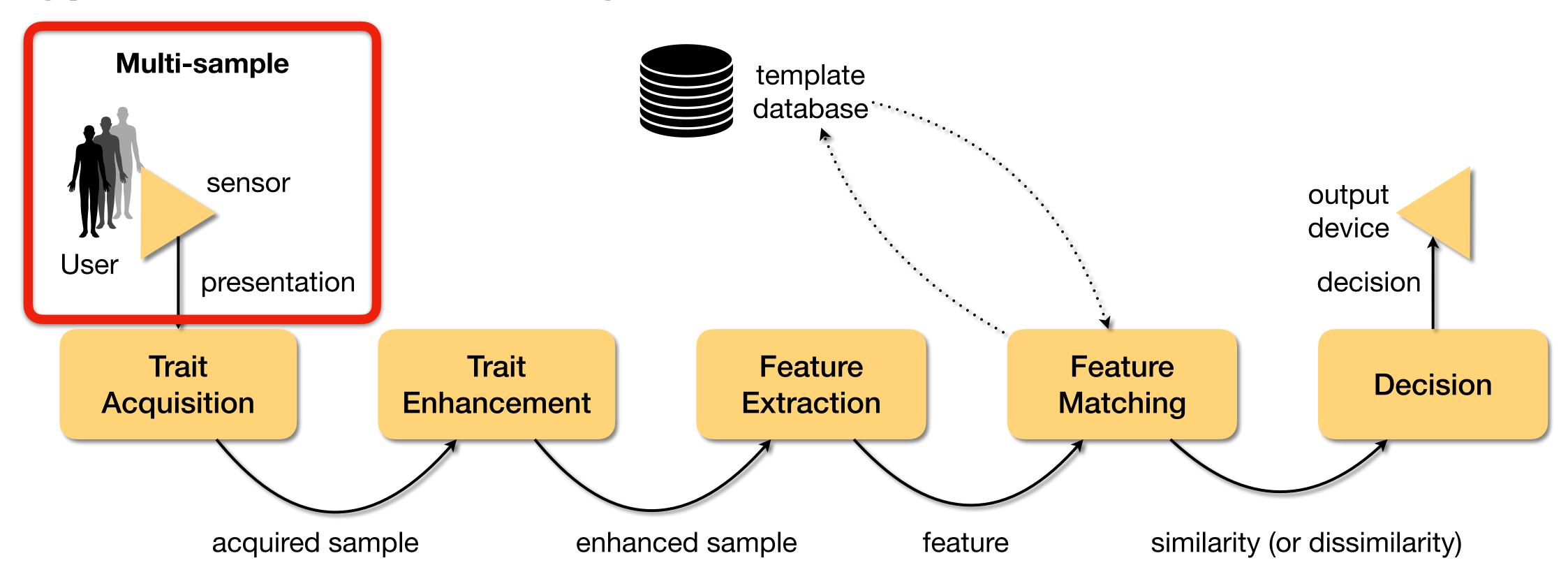


Binary code from BSIF filters.











### Types of Multibiometric Systems

Multi-sample Systems (3/5) Single trait, single sensor, multiple presentations.

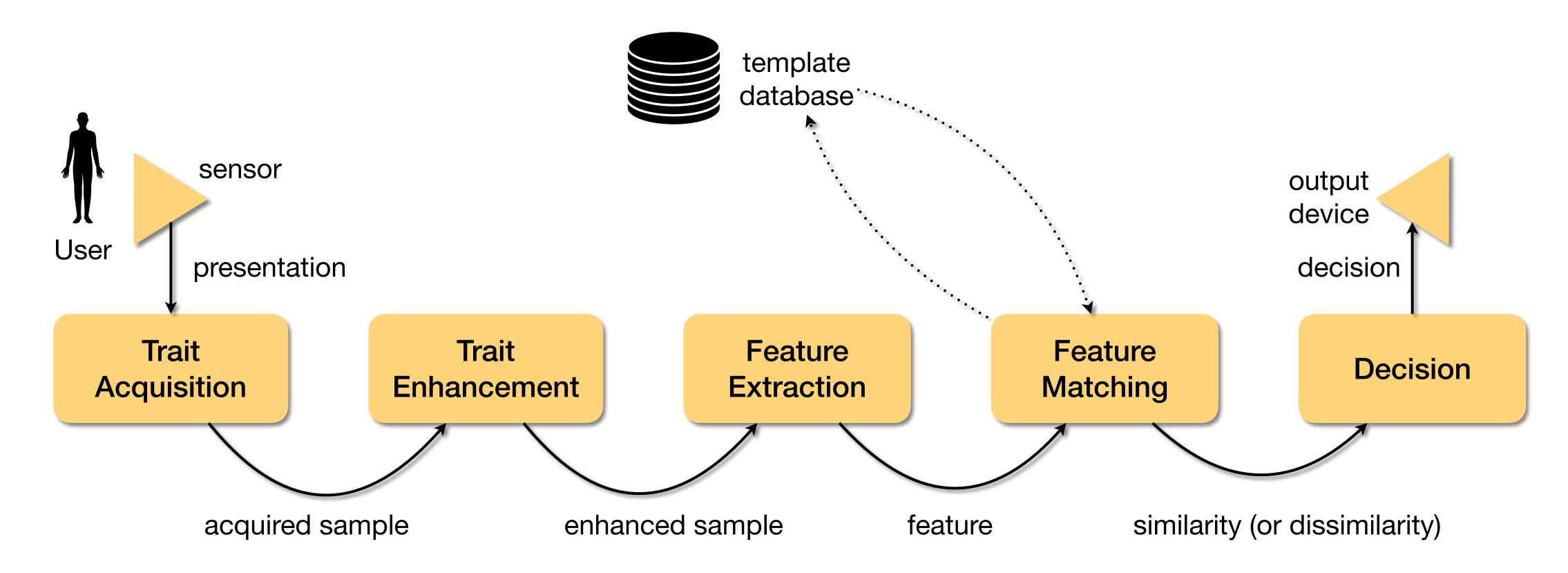
More complete representation of the trait (account for variations).

c25 c09

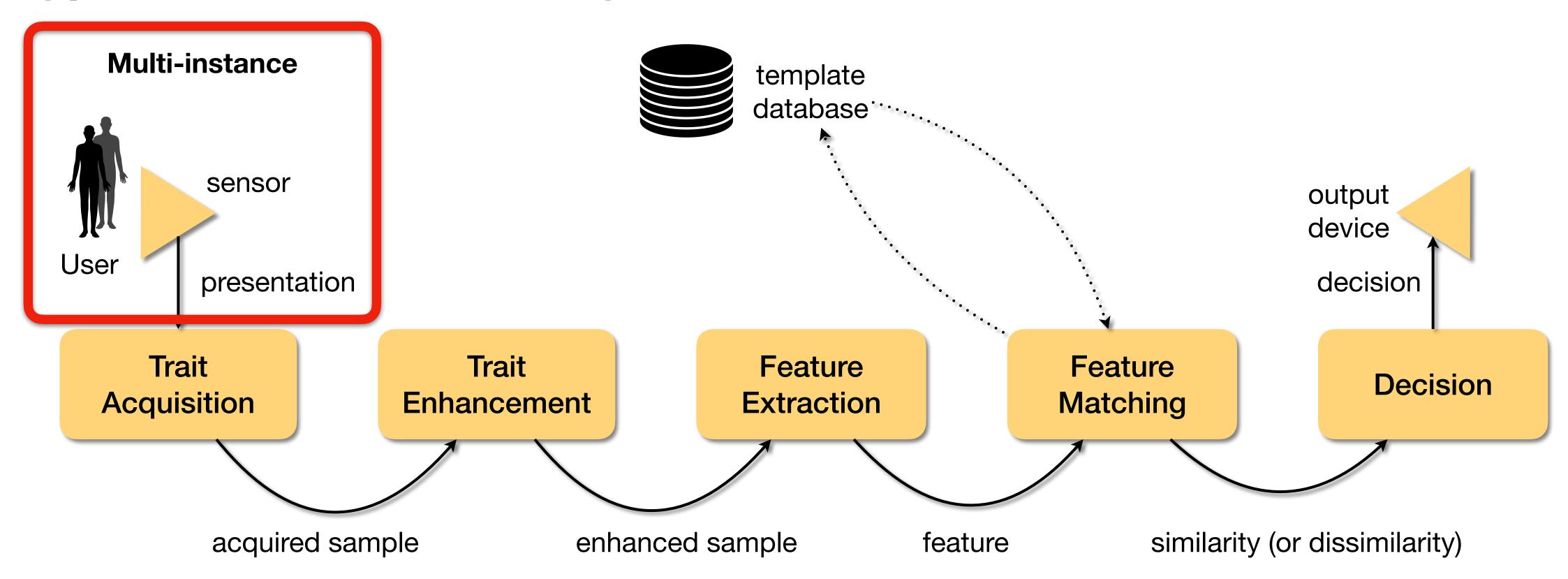
c22 c02 c37 c05 c29 c11 c07



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### Types of Multibiometric Systems

#### Multi-instance Systems (4/5)

Single trait, single sensor, multiple instances (e.g., right and left irises, or each one of the 10 hand fingerprints, etc.).

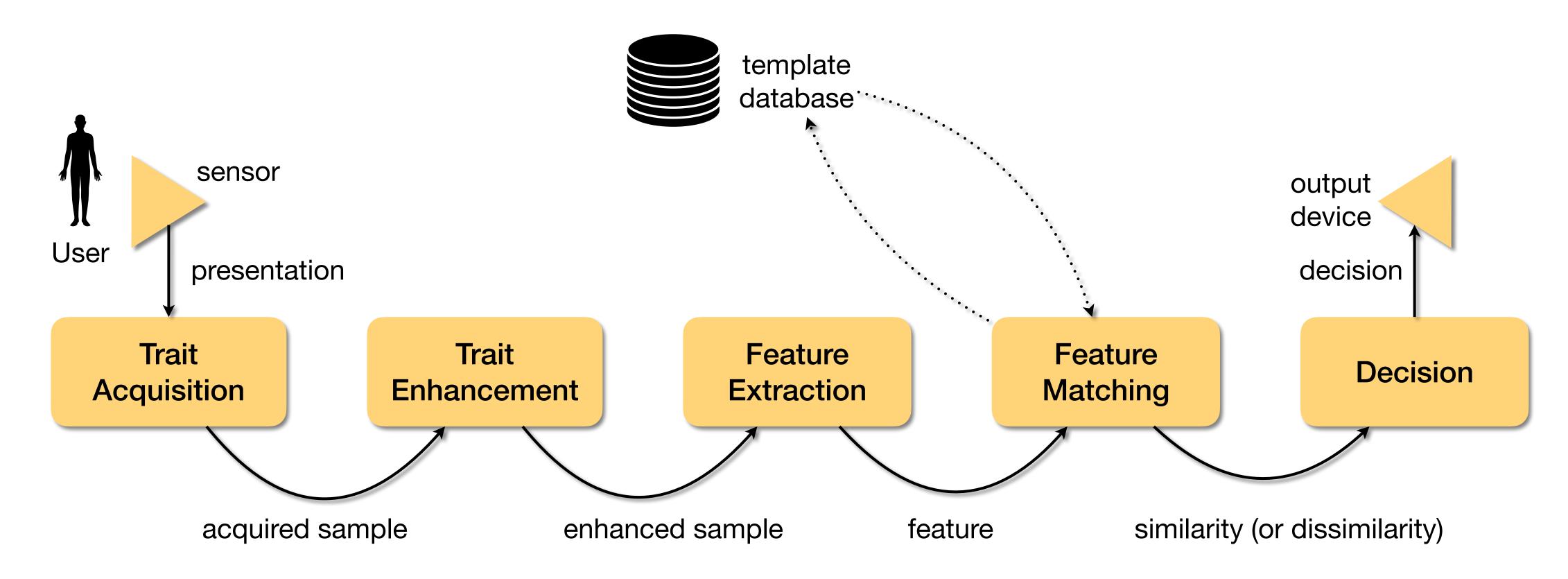
No need for extra sensors or extra software. Successful presentations might overcome the failed ones.

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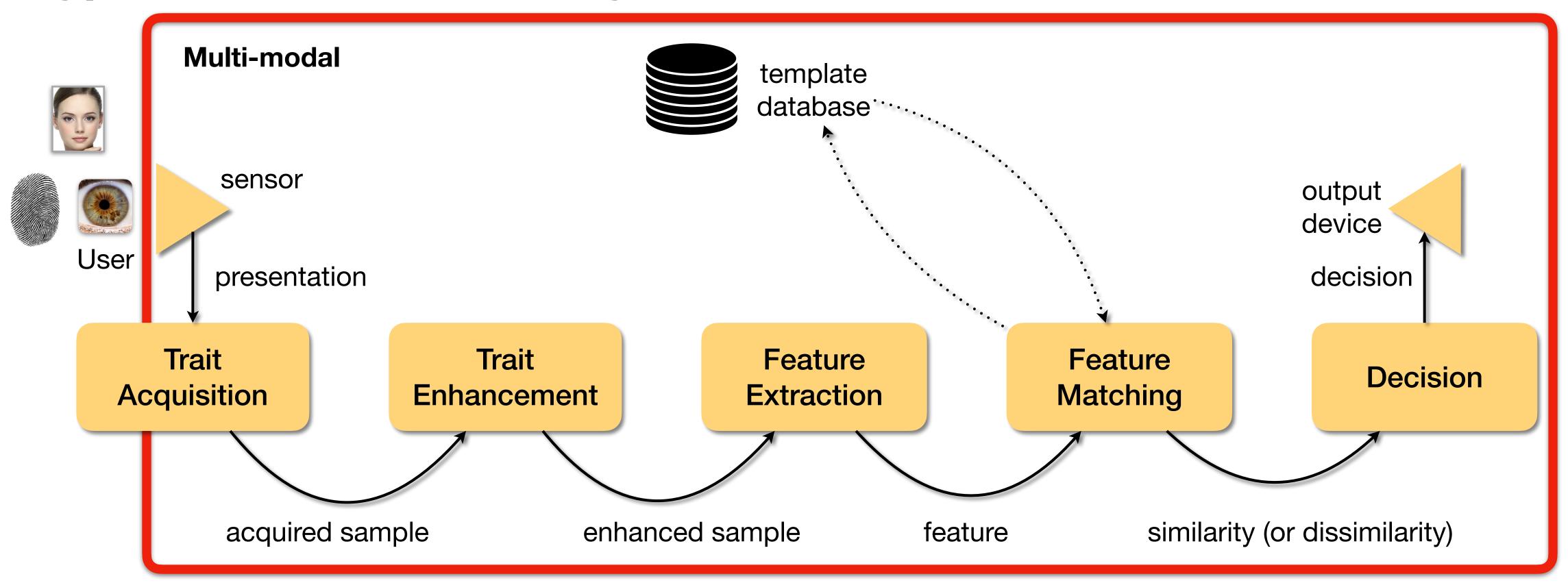














### Types of Multibiometric Systems

Multi-modal Systems (5/5)
Multiple traits (modalities).

Complementary solutions will lead to higher accuracy in the end.







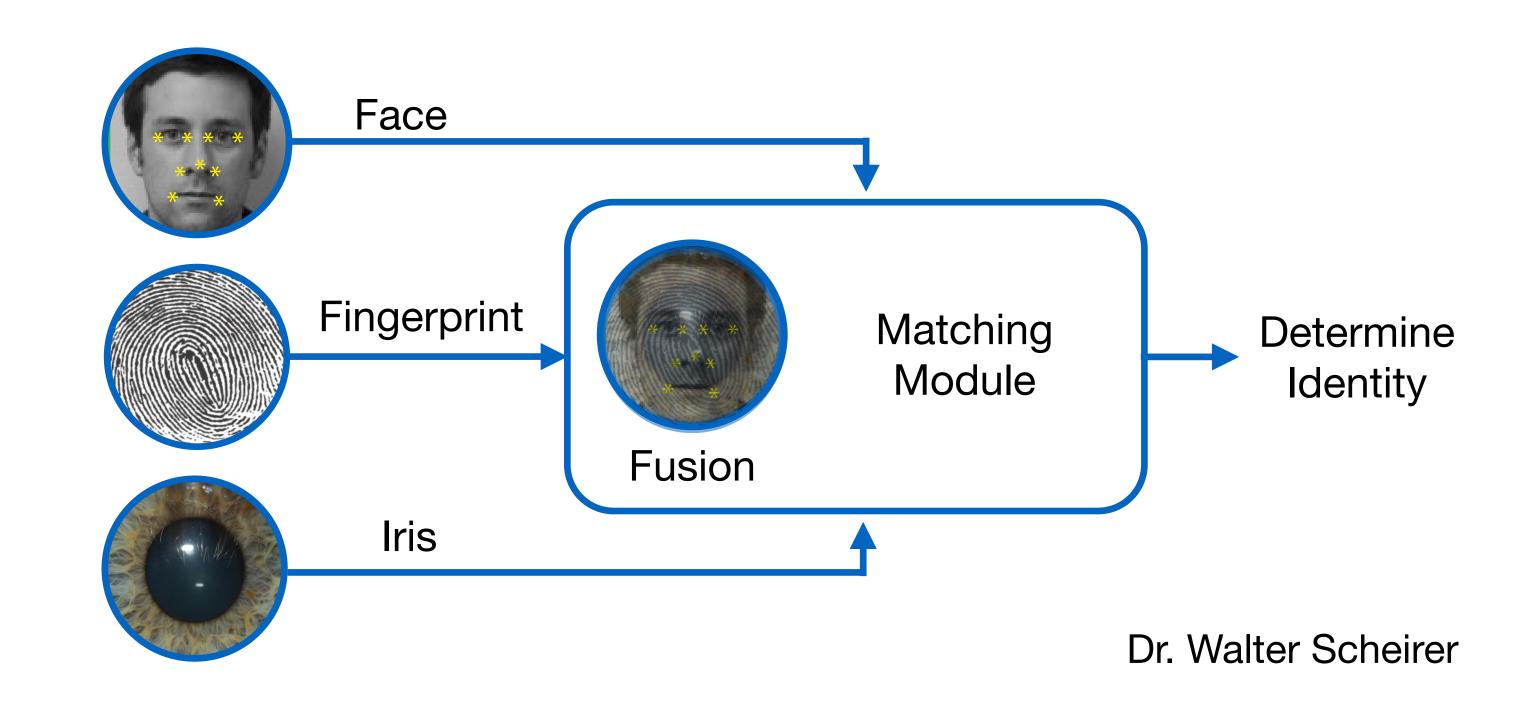
How to combine solutions?

Perform data fusion!



#### **Architectures**

Parallel (1/2)
Evidence acquired
from multiple sources is
processed simultaneously.

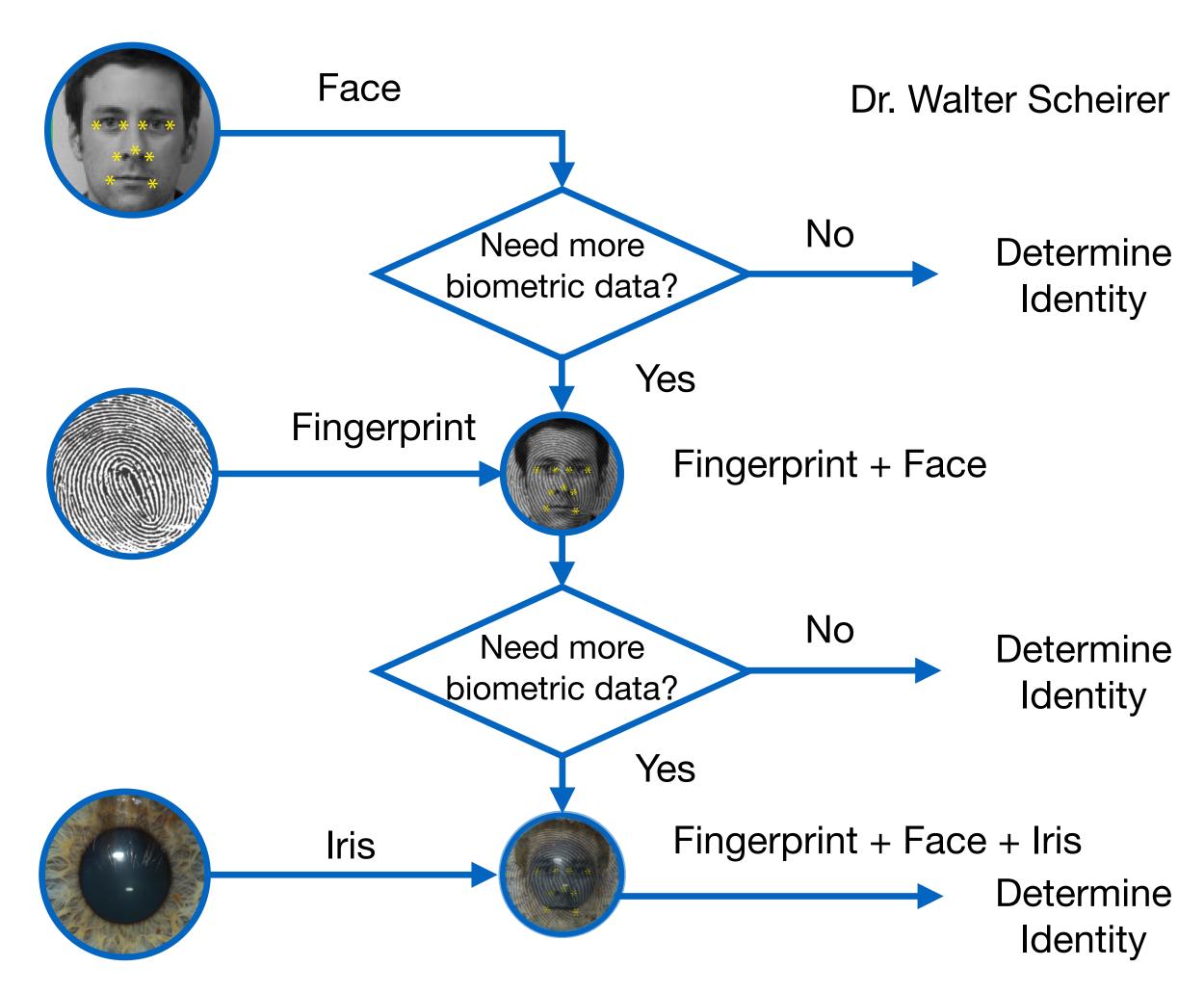




#### **Architectures**

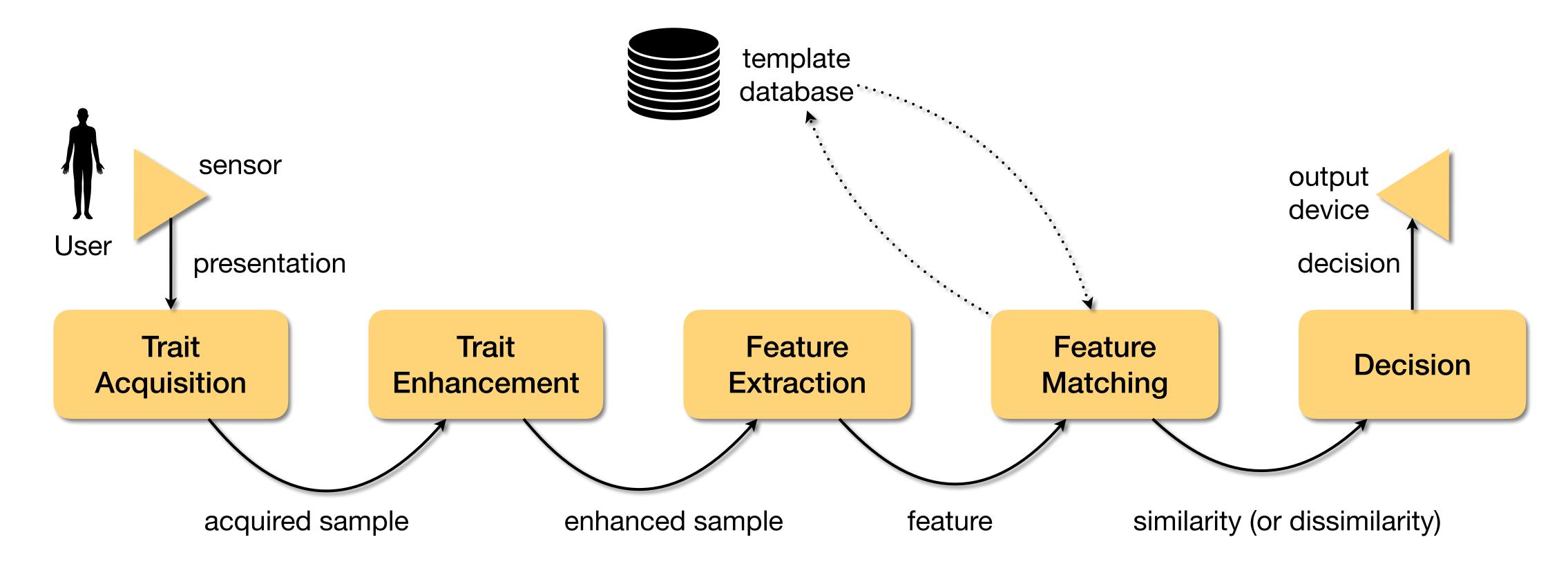
#### Cascade (2/2)

Multiple sources are processed on demand (e.g., whenever a decision score is not confident enough).



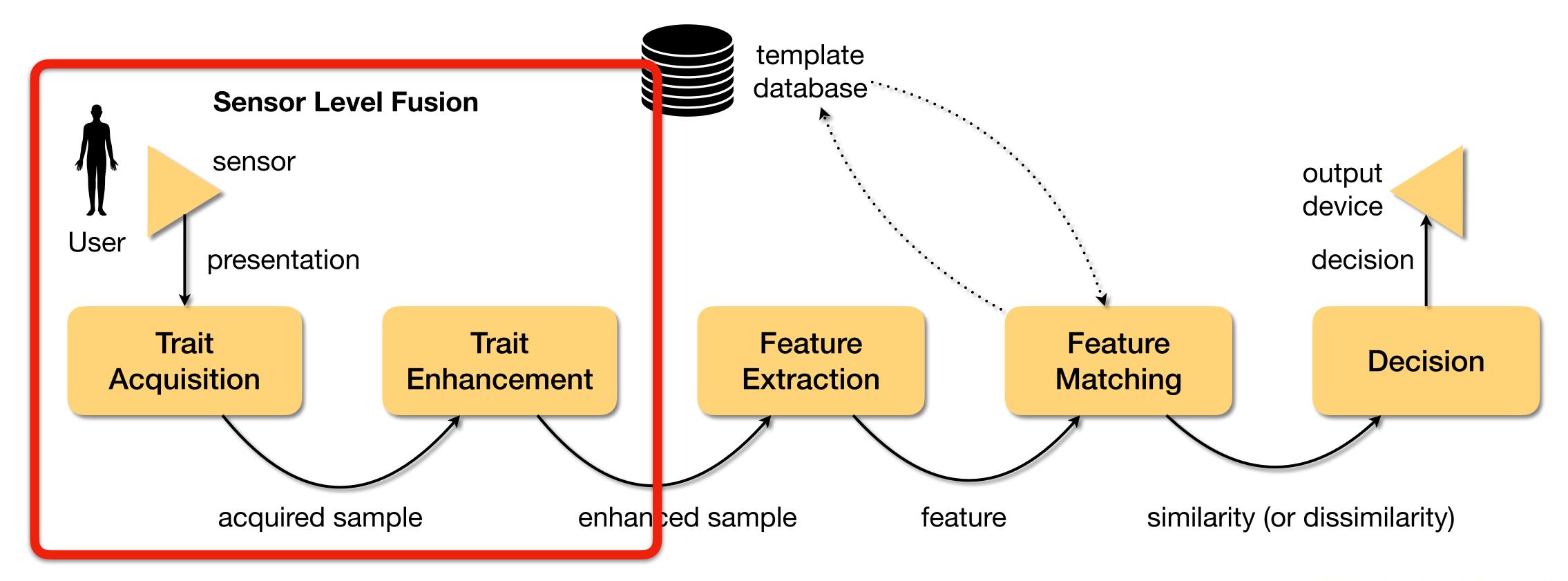


#### **Data Fusion Levels**





#### **Data Fusion Levels**





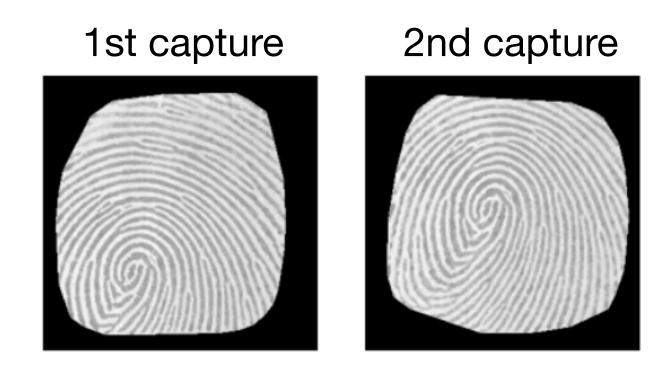
#### **Data Fusion Levels**

Sensor Level Fusion

Multiple sources of raw data
are consolidated before
feature extraction.

#### **Example**

Different captures of the same fingerprint are combined to generate sample larger than sensor capacity.





#### **Data Fusion Levels**

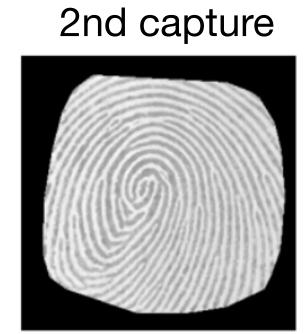
Sensor Level Fusion

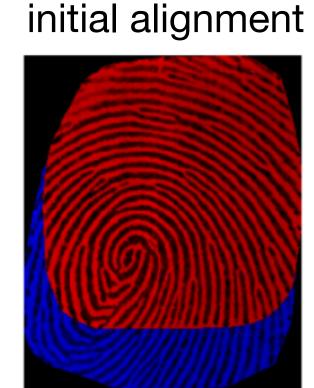
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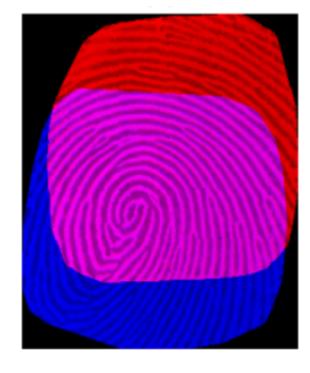
#### **Example**

Different captures of the same fingerprint are combined to generate sample larger than sensor capacity.









final alignment



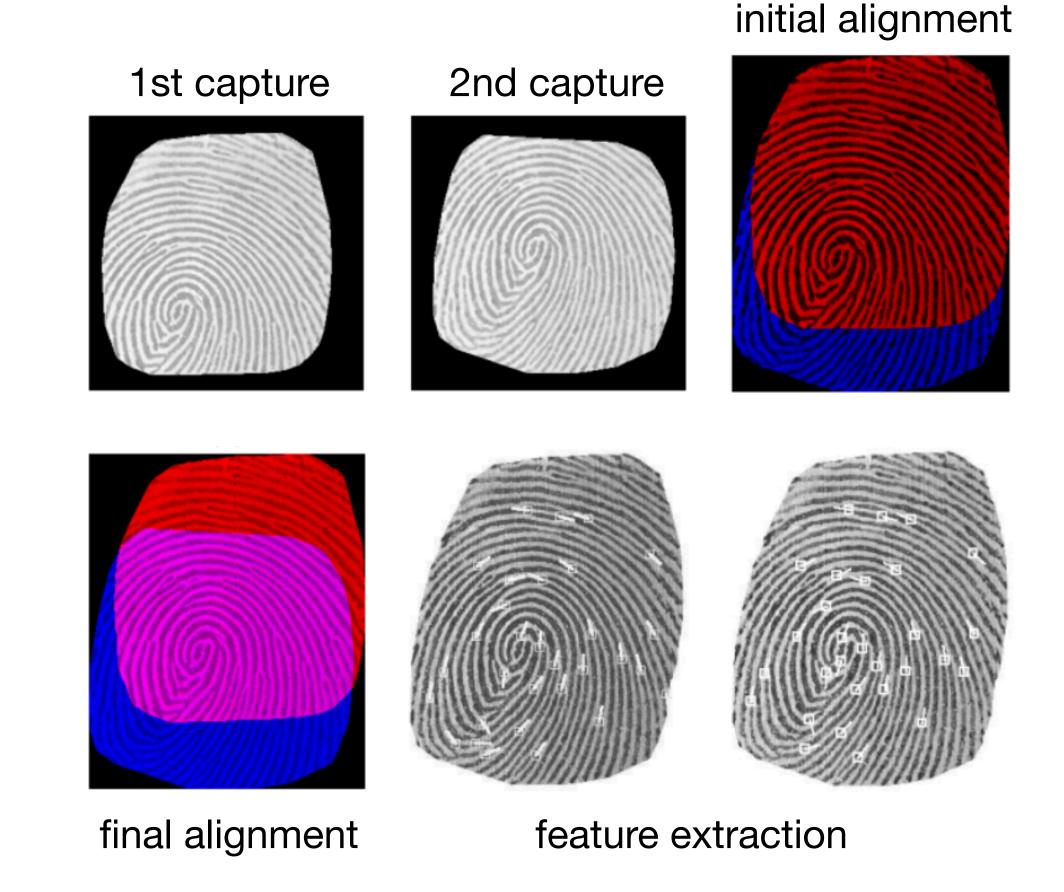
#### **Data Fusion Levels**

Sensor Level Fusion

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#### **Example**

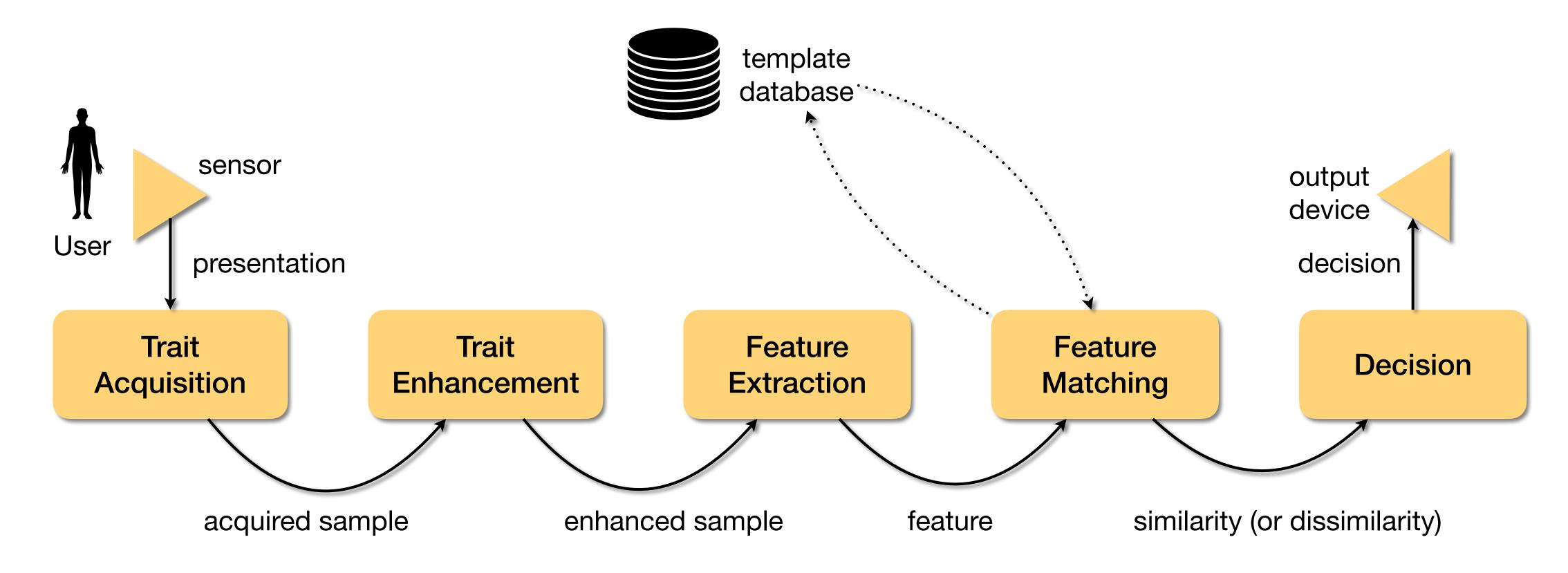
Different captures of the same fingerprint are combined to generate sample larger than sensor capacity.



Jain and Ross
Fingerprint Mosaicking
ICASSP 2002

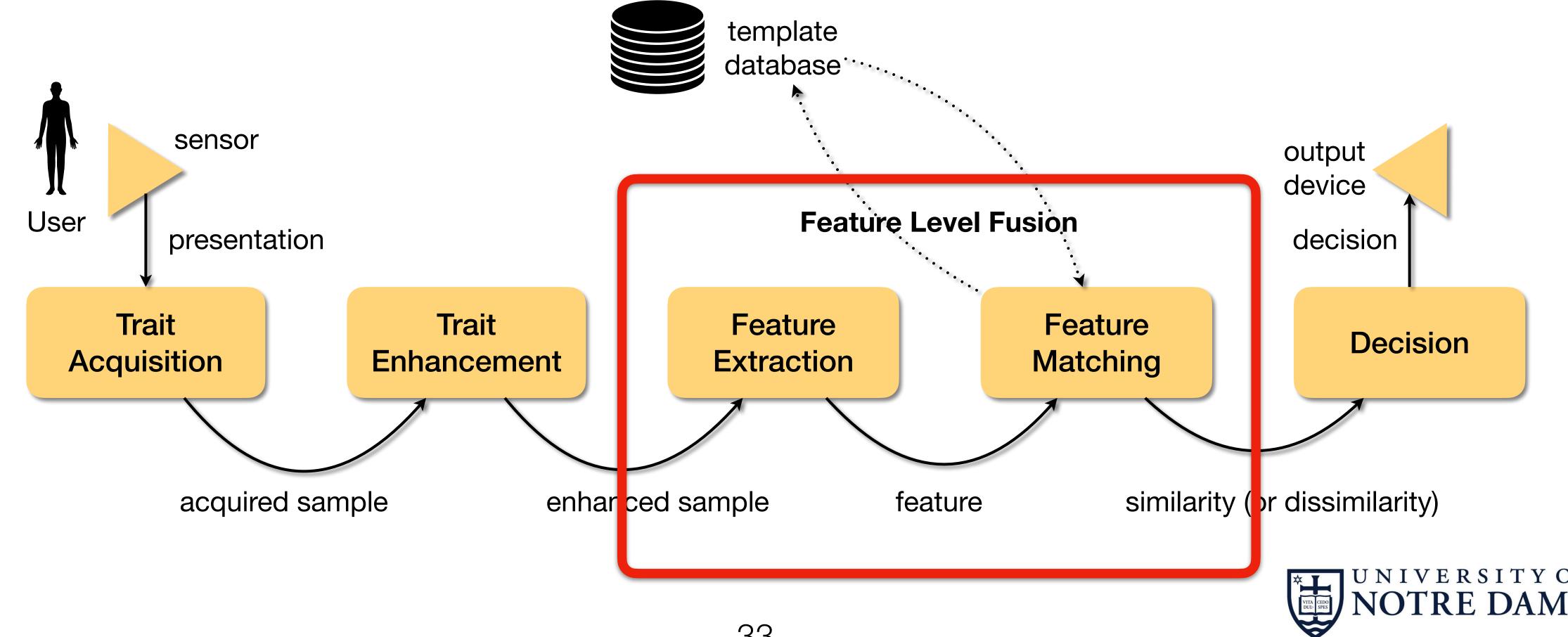


#### **Data Fusion Levels**





#### **Data Fusion Levels**



#### **Data Fusion Levels**

Feature Level Fusion
Multiple feature vectors
from the same individual
are combined into a
single feature vector,
prior to matching.

Feature set 2 Feature set 1 Template update averaging scheme 

Updated feature set

#### **Example Strategies**

Linear combination, concatenation, etc.



Ross, Nandakumar, and Jain

Handbook of Multibiometrics

Springer Books, 2006

#### **Data Fusion Levels**

**Feature Level Fusion** 

Challenges

Multi-sensor Systems Different-nature feature vectors.

Multi-algorithm Systems Different-nature feature vectors.

Multi-sample Systems Same-nature feature vectors.

Multi-instance Systems Same-nature feature vectors.

Multi-modal Systems Different-nature feature vectors.



#### **Data Fusion Levels**

**Feature Level Fusion** 

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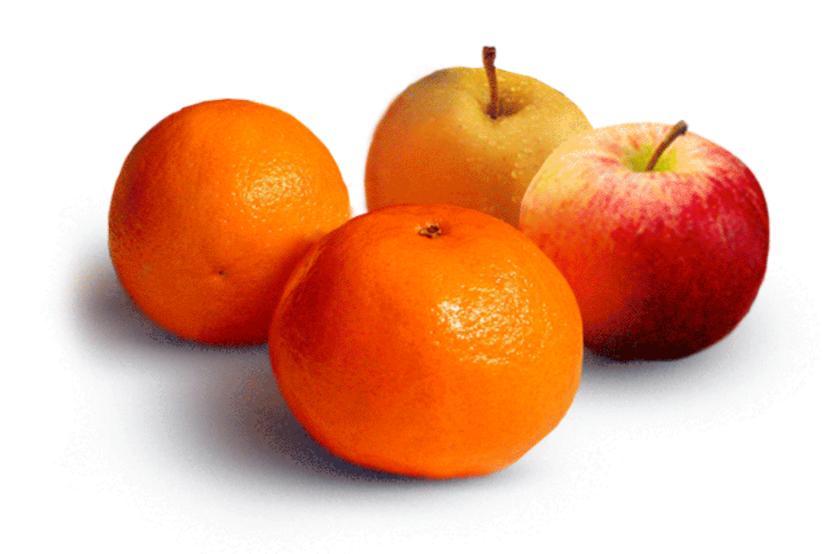
Multi-modal Systems Different-nature feature vectors.



#### **Data Fusion Levels**

Feature Level Fusion Challenges

How to combine features of different nature? (e.g., different domains, different scales, different ranges of values, etc.).

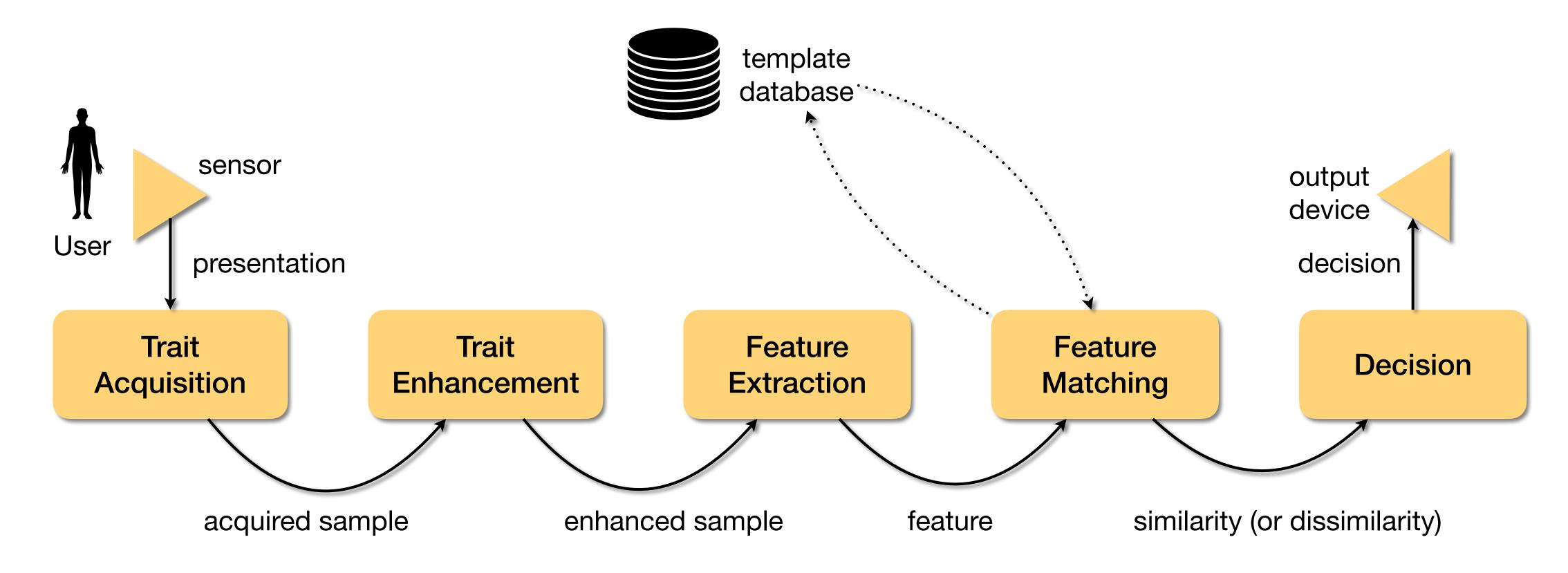


Typical solutions: **concatenation, normalization**.

Caution: too-large vectors will suffer from the **curse of dimensionality**.

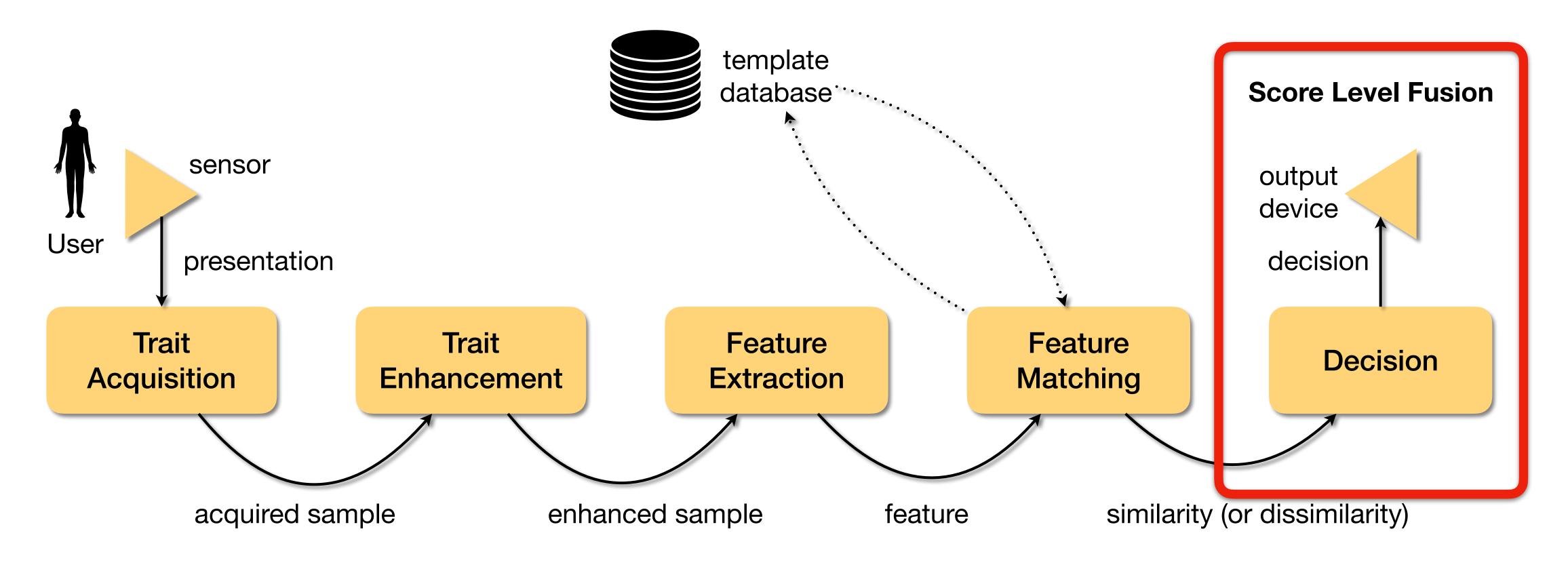


### **Data Fusion Levels**





### **Data Fusion Levels**





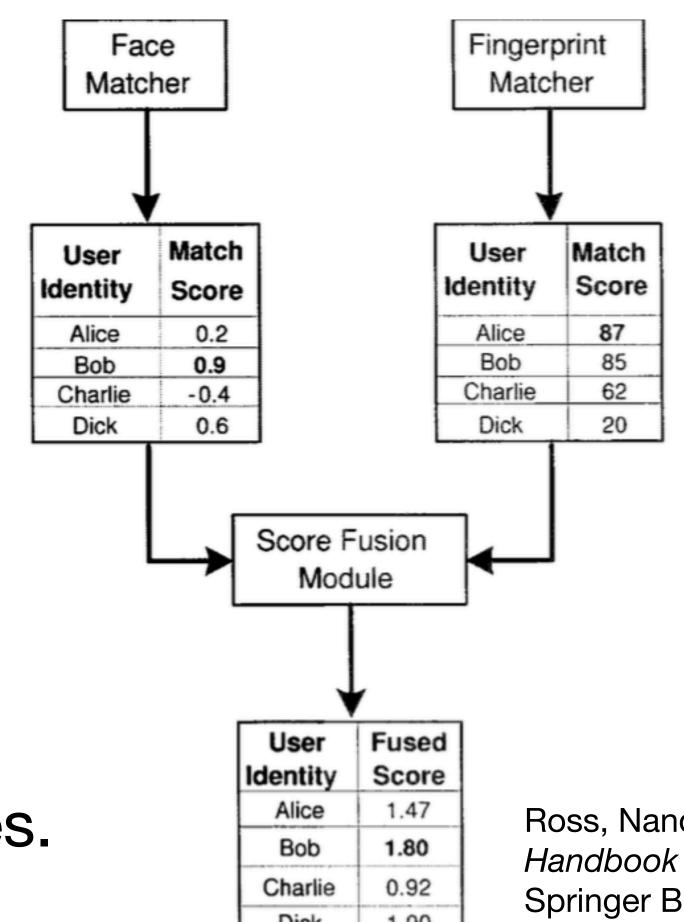
#### **Data Fusion Levels**

**Score Level Fusion** 

Scores (similarities or dissimilarities) from different matching algorithms are consolidated before final decision.

### **Strategies**

Discriminative versus generative approaches.



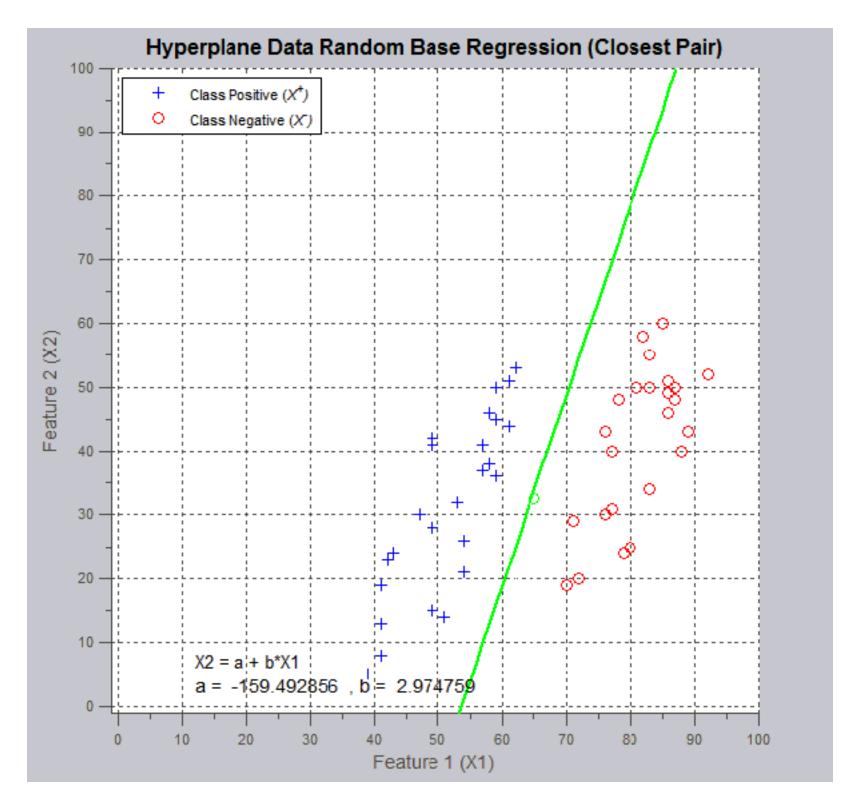
Ross, Nandakumar, and Jain *Handbook of Multibiometrics* Springer Books, 2006



#### **Data Fusion Levels**

Score Level Fusion
Discriminative Approaches

Thresholds, separation hyperplanes, decision trees, etc. are used to decide the Biometric system outcome (impostor versus genuine).



Example: Support Vector Machine (SVM)



### **Data Fusion Levels**

Score Level Fusion
Discriminative Approaches

Examples: AND and OR rules.





AND "Ursula" "Ursula" "Ursula"

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#### **Data Fusion Levels**

Score Level Fusion
Discriminative Approaches

Examples: Majority Voting.

Face

"Gudrun"

Fingerprint



"Ursula"

Iris

"Ursula"

ula" votes = 2Ursula

**Decision** 

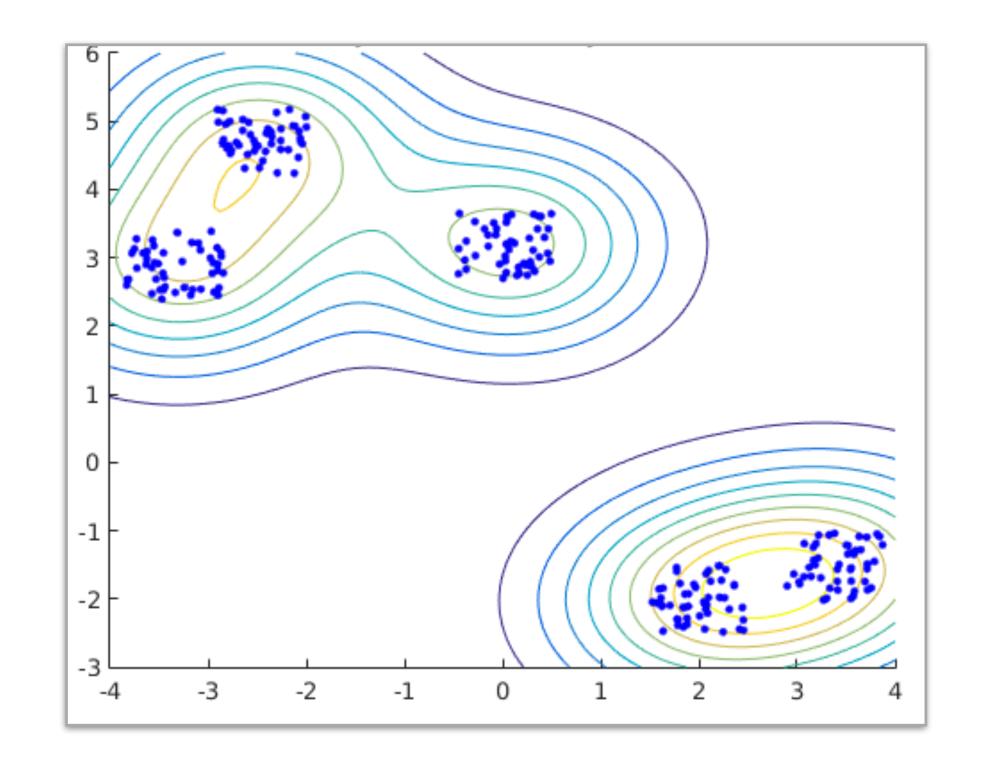
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#### **Data Fusion Levels**

Score Level Fusion
Generative Approaches

Data distribution models of the joint probability of observations and scores are computed in *training* time and further used in *operation* time to return the probability of a presentation be either impostor or genuine.

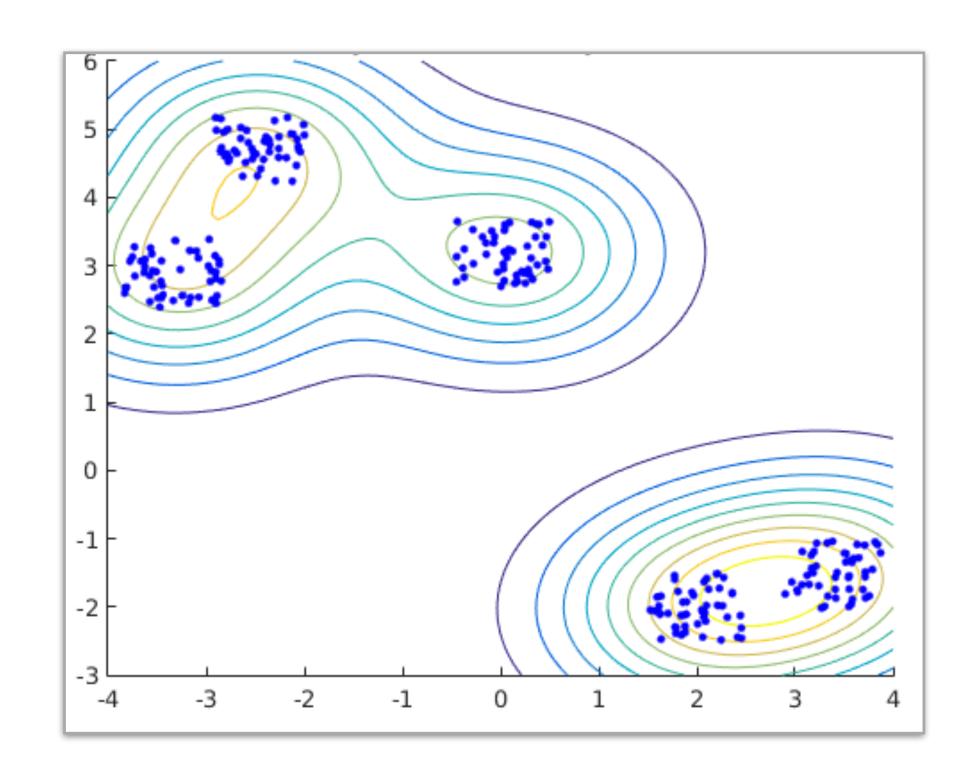




### **Data Fusion Levels**

Score Level Fusion
Generative Approaches

Examples: Naïve Bayes, Gaussian Mixture Models (GMM), Extreme-Value Theory, etc.





#### **Data Fusion Levels**

Score Level Fusion
Pros

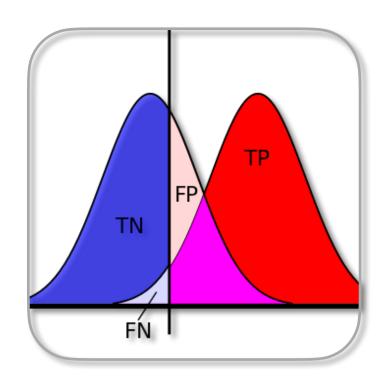
Regardless of being either discriminative or generative, it can be used with commercial off-the-shelf matchers that do not expose their feature vectors but return confidence scores.





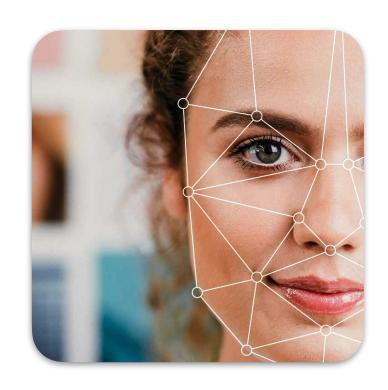
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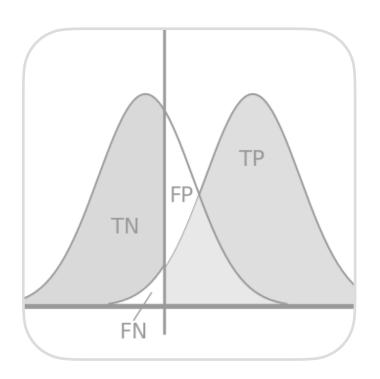


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# S'up Next?

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### Past Talk



Dr. Andrey Kuehlkamp
https://crc.nd.edu/about/people/andrey-kuehlkamp/

Diverse Aspects in Advancing Iris Recognition Systems



### Next Talk



Mr. Aidan Boyd
https://github.com/BoydAidan

Using human perception to train better CNNs

