Face Recognition IV

CSE 40537/60537 Biometrics

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Spring 2022

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Today you will…

Get to know
Deep-learning-based face recognition.

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Feature Extraction

RECAP

Focus
2D-appearance-based methods.

Types
Handcrafted features from Computer Vision.

Data-driven learned features from Machine Learning.

Feature Extraction

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Focus
2D-appearance-based methods.

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Handcrafted features from Computer Vision.

Data-driven learned features from Machine Learning.

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Face Recognition

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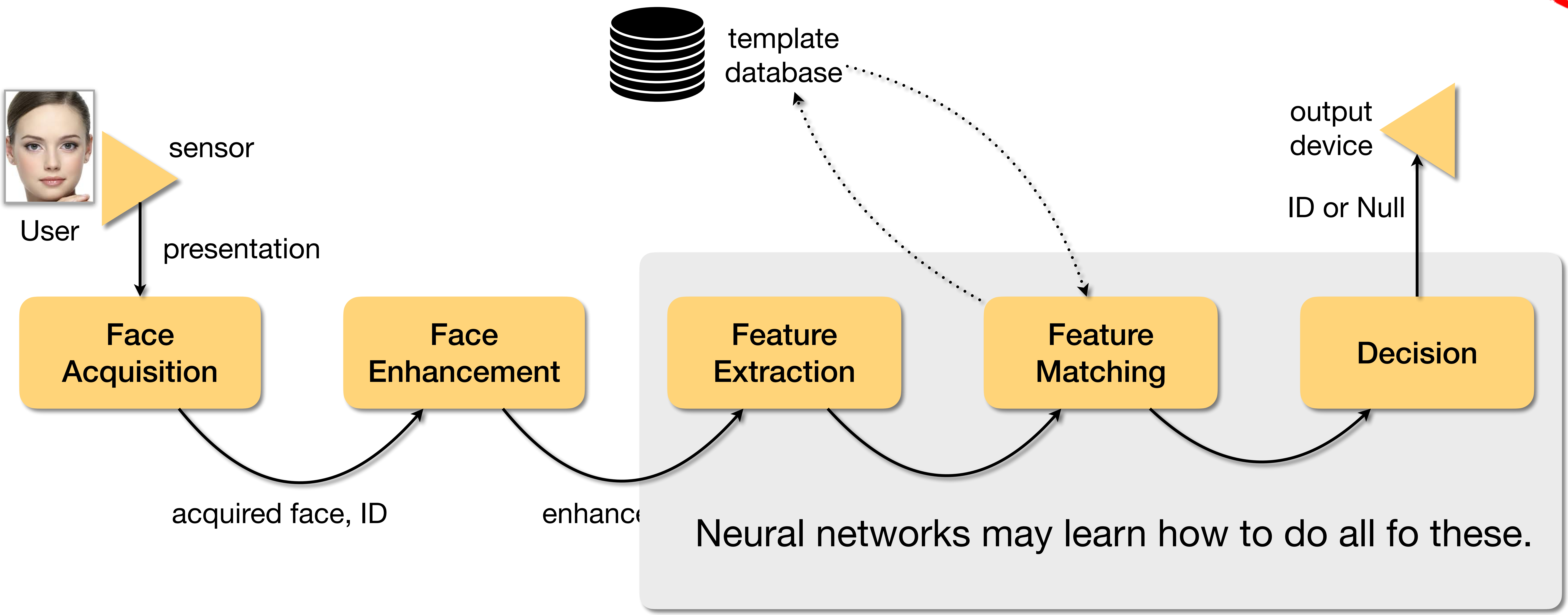

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Data-Driven Face Recognition

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Deep Convolutional Neural Networks (CNN)

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Data-Driven Face Recognition

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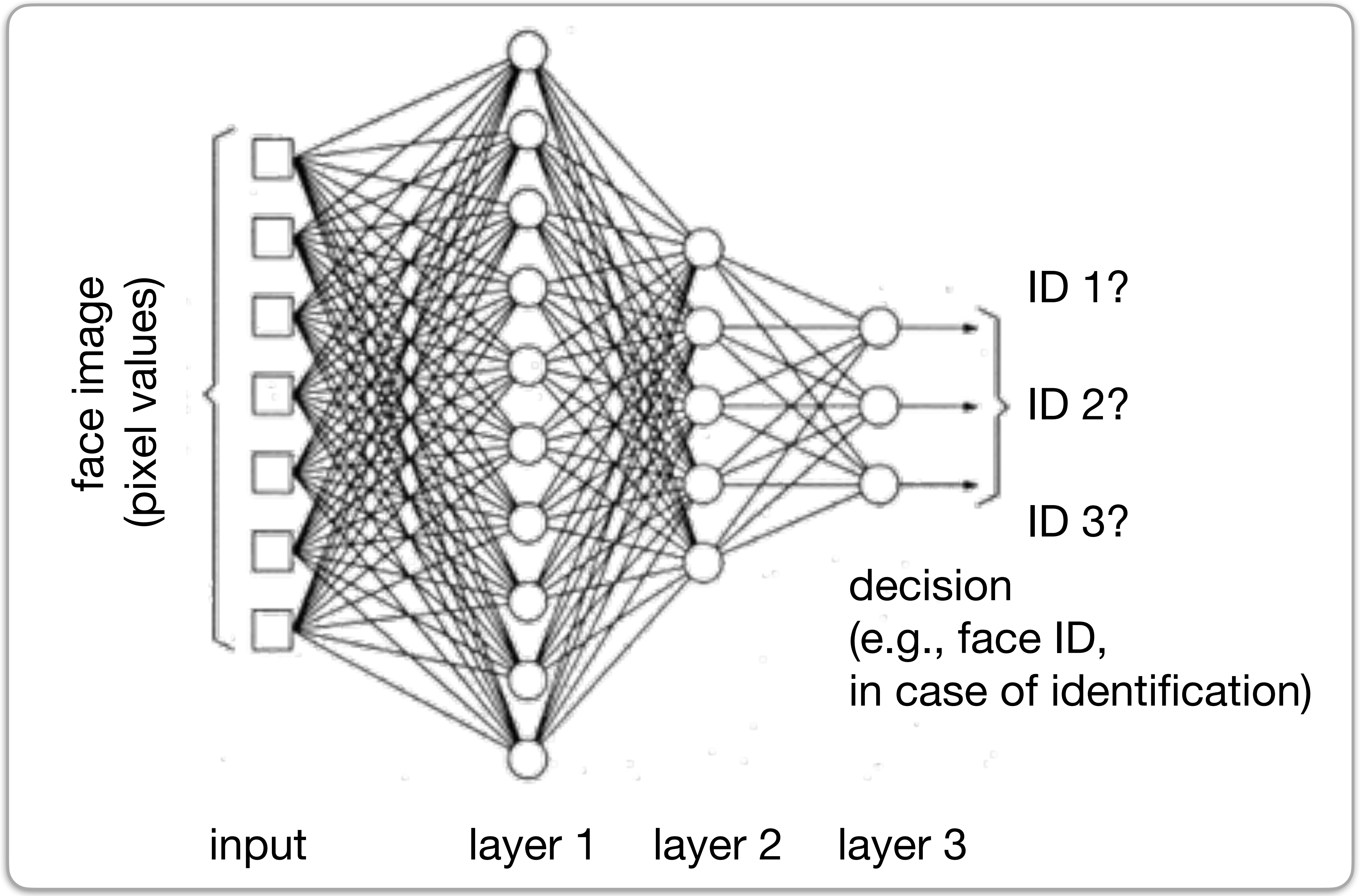
Deep Convolutional Neural Networks (CNN)

From pixels to classification decision.

Hierarchy of feature extractors.

Each layer extracts features from previous layer.



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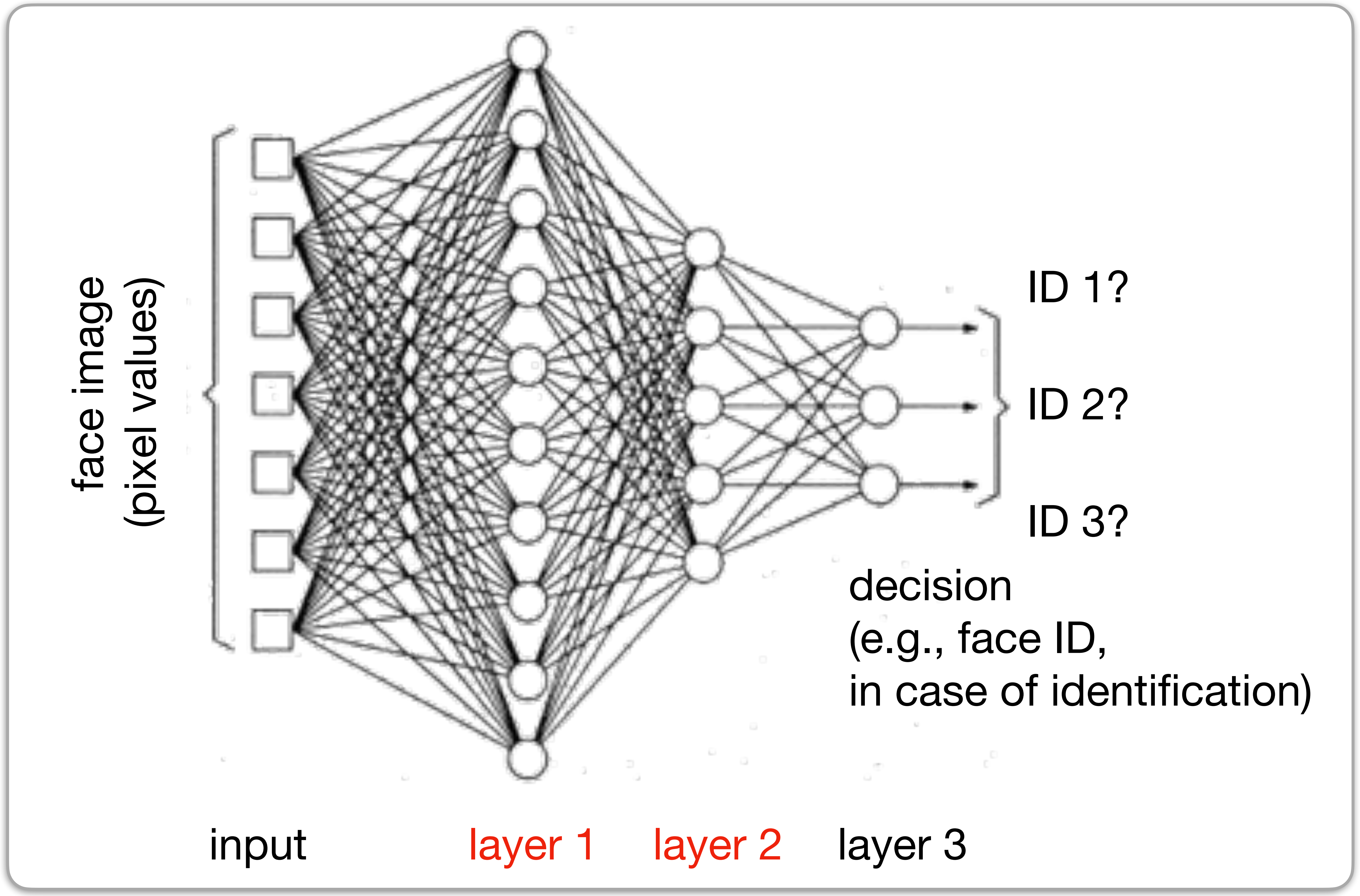
Deep Convolutional Neural Networks (CNN)

Convolutional Layers
E.g., layers 1 and 2.
Feature extractors are convolutional operations which are performed on the output of the previous layer.



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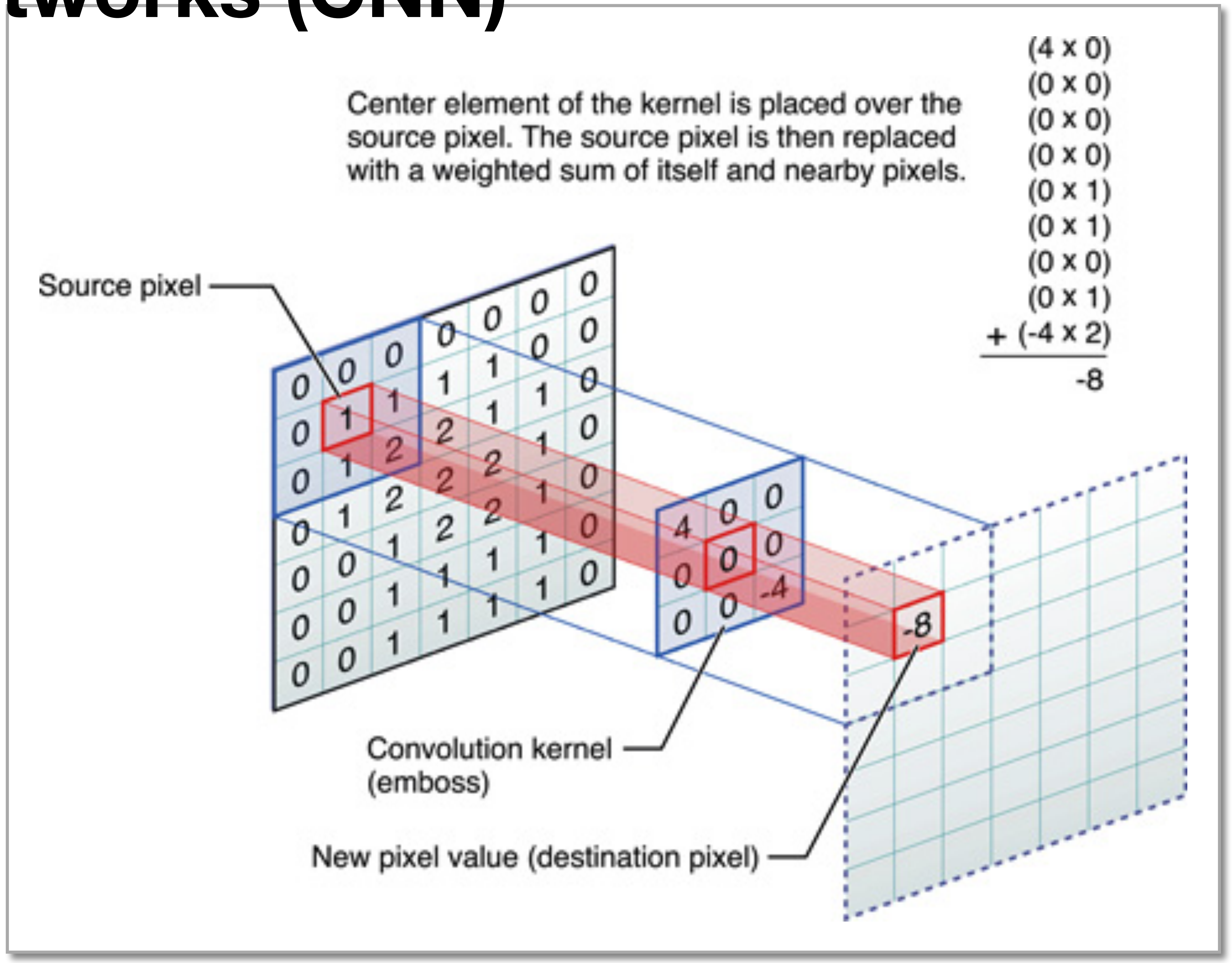

Source:https://developer.apple.com/library/archive/documentation/Performance/Conceptual/vImage/ConvolutionOperations/ConvolutionOperations.html

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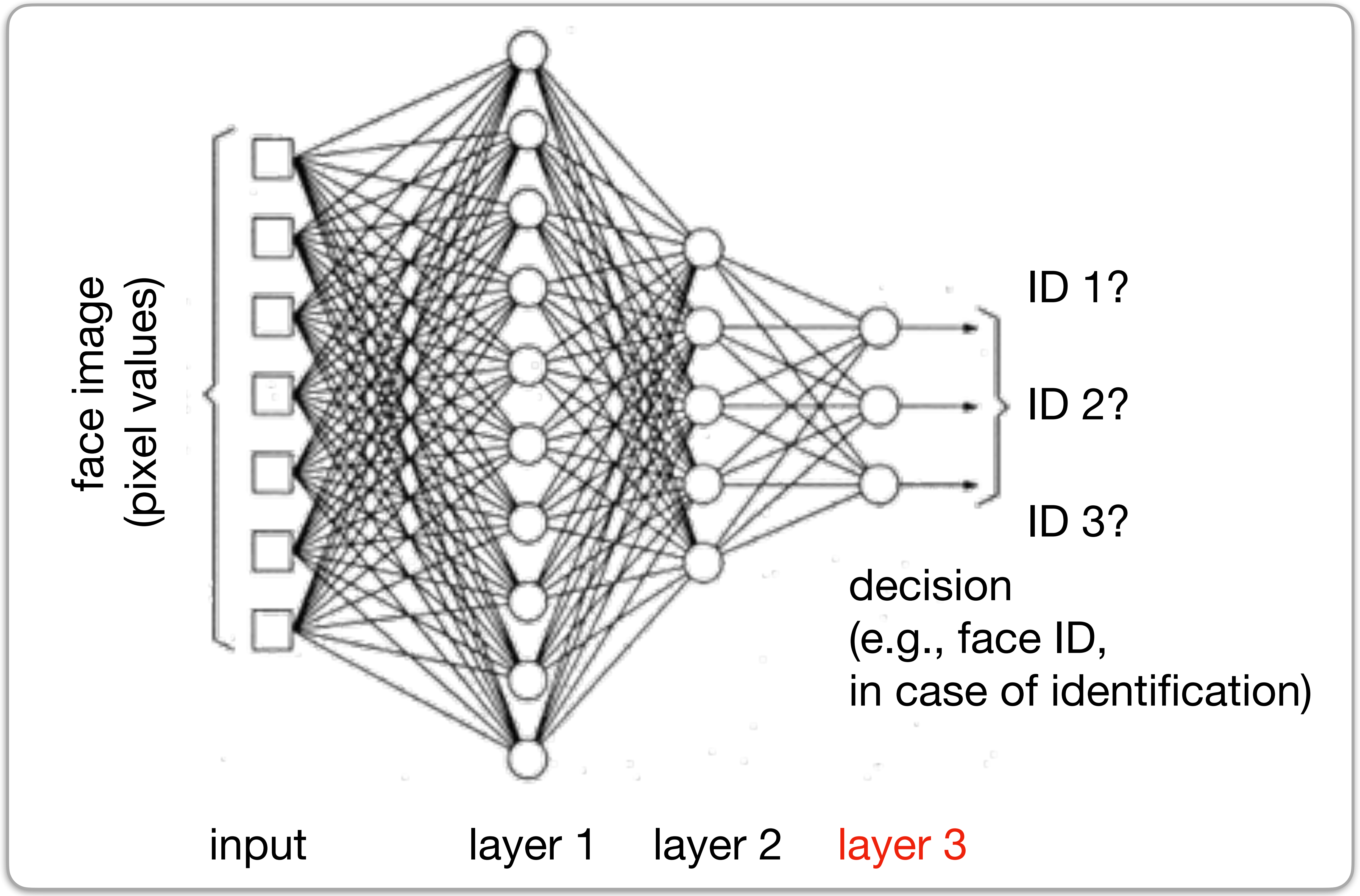
Deep Convolutional Neural Networks (CNN)

Fully Connected Layer
E.g., layer 3.
It performs the classification, presenting one score output for each class (identity, in the case of Biometrics).



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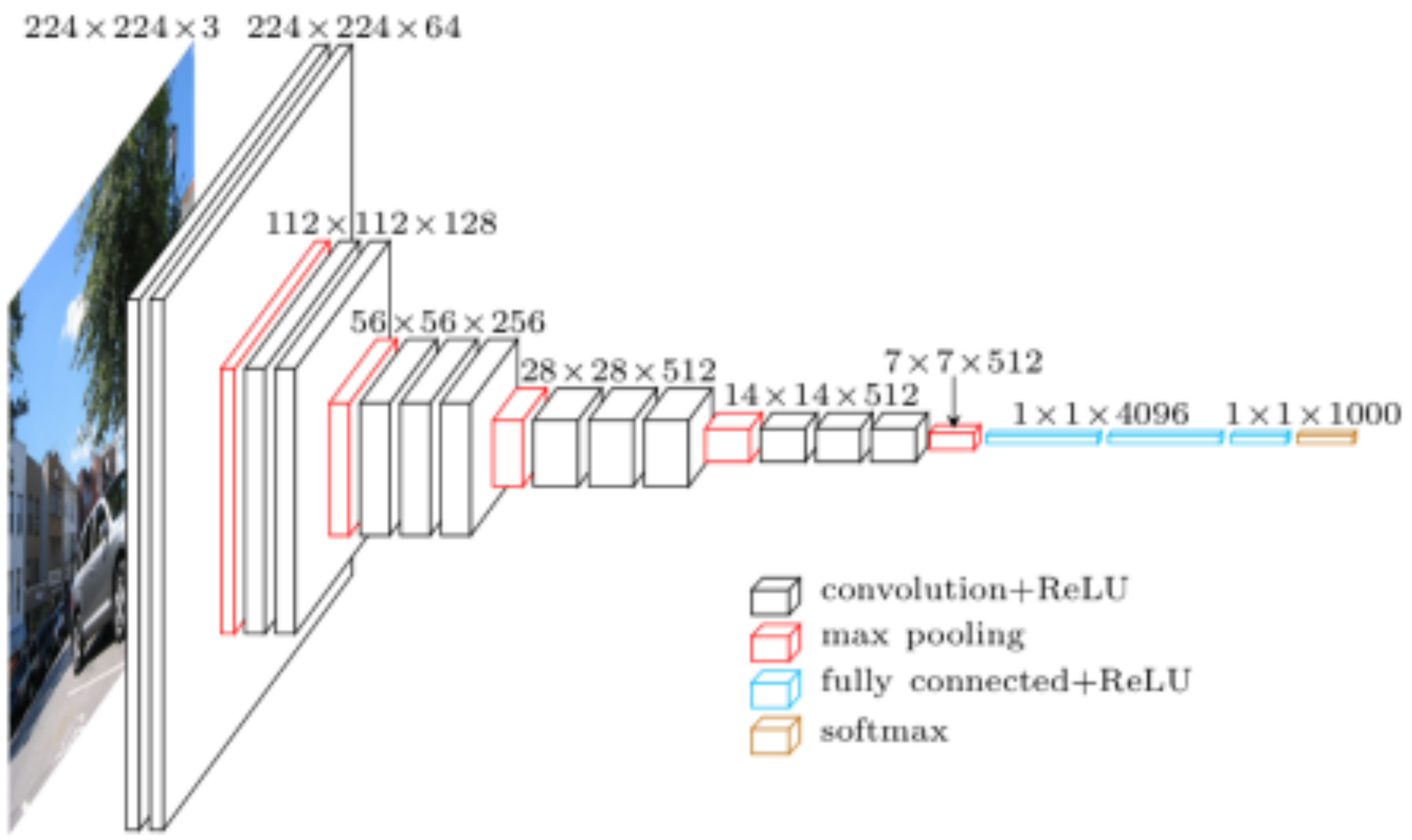
Deep Convolutional Neural Networks (CNN)

How deep can they be?
"Deep" refers to the number of layers.

E.g., VGG16
Simonyan and Zisserman
Very Deep Convolutional Networks for Large-Scale Image Recognition



16 to 19 convolutional layers

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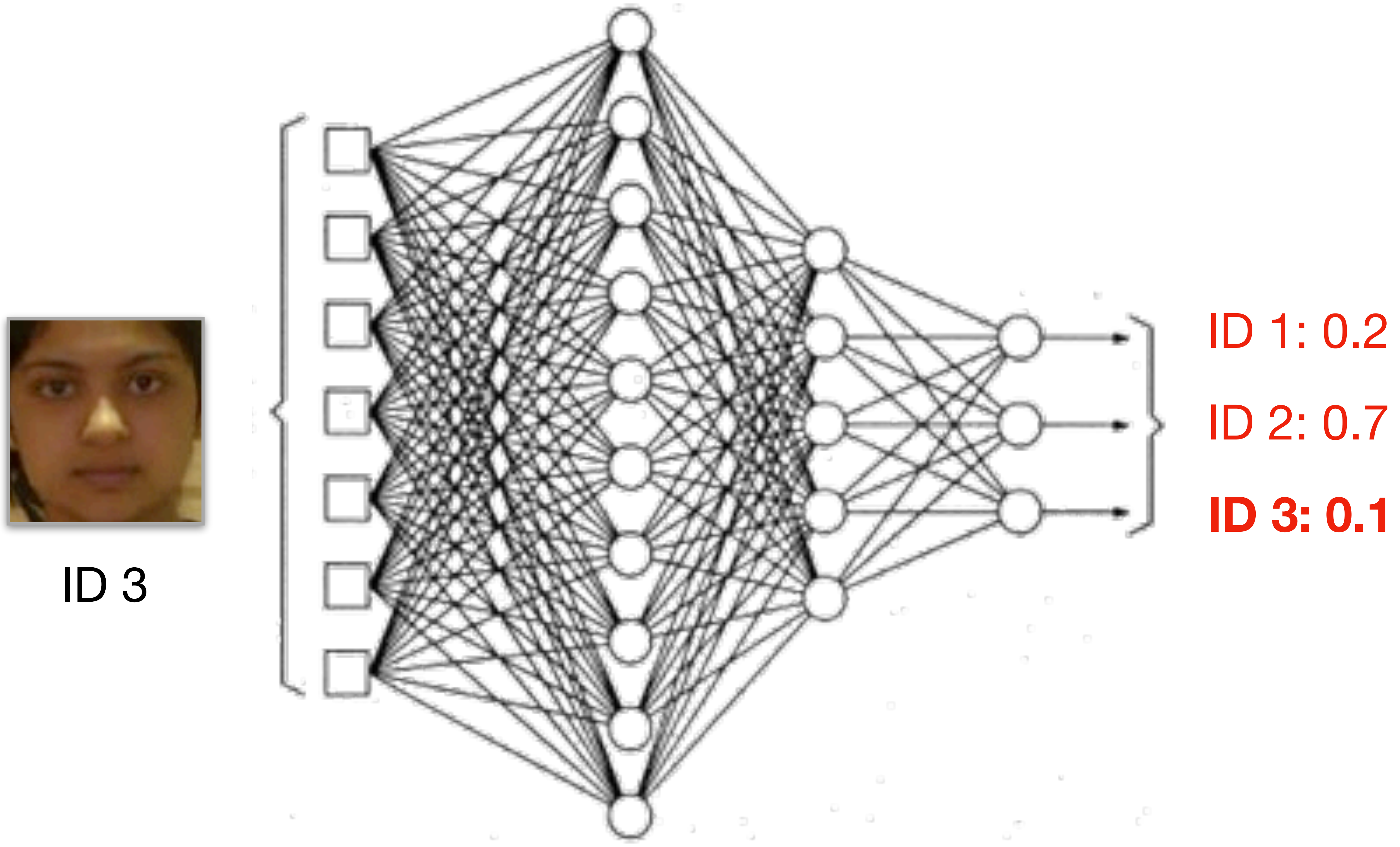
Deep Learning

Training
Labeled examples
(e.g., faces and expected IDs)
are used to teach the network
to classify them correctly.



Random weights in the beginning.

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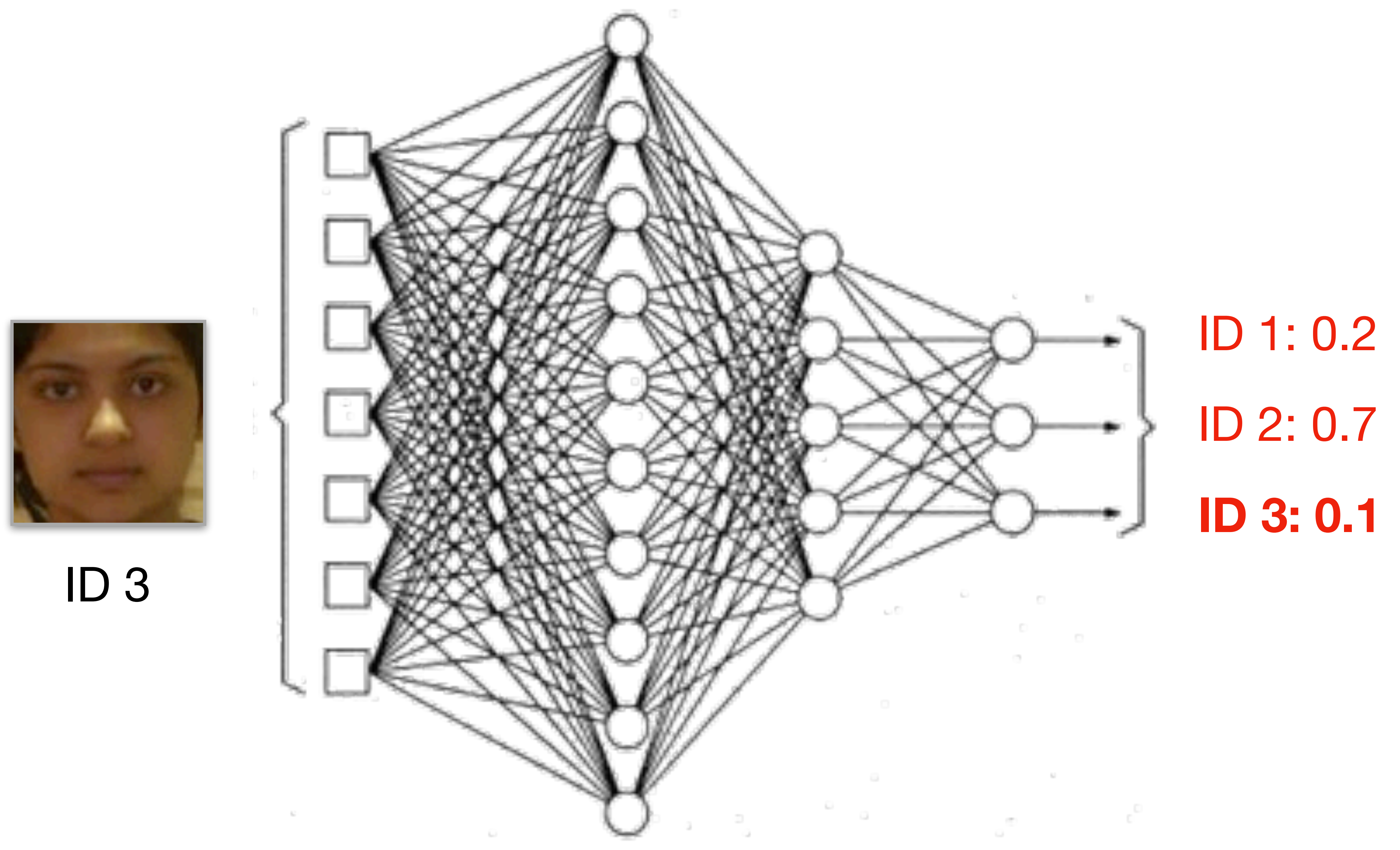
Deep Learning

Training
Back-propagation is used to fix the weights of the convolutions within the network.



Weights are fixed with back-propagation.

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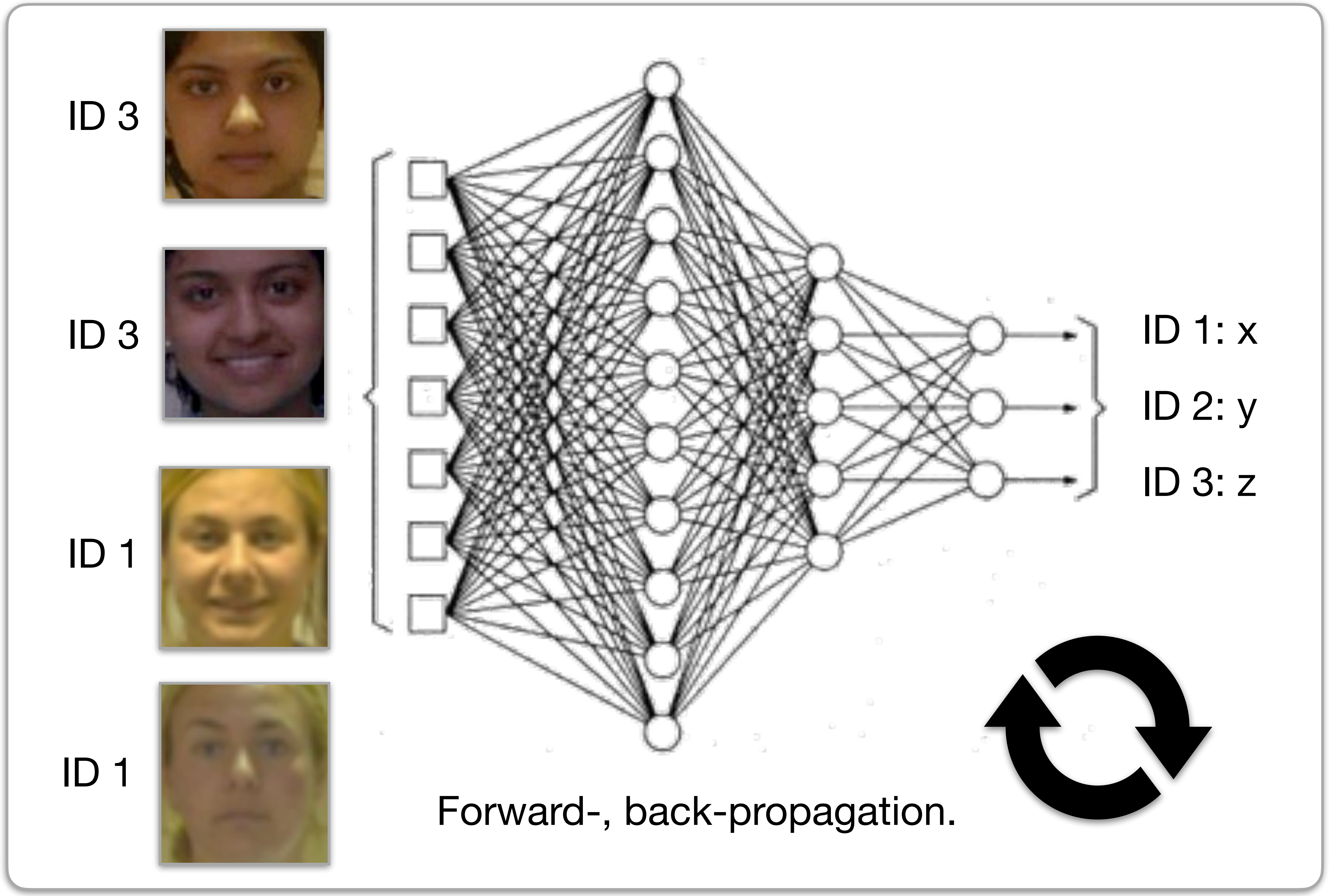
Deep Learning

Present various examples of each class and perform forward-, back-propagation.



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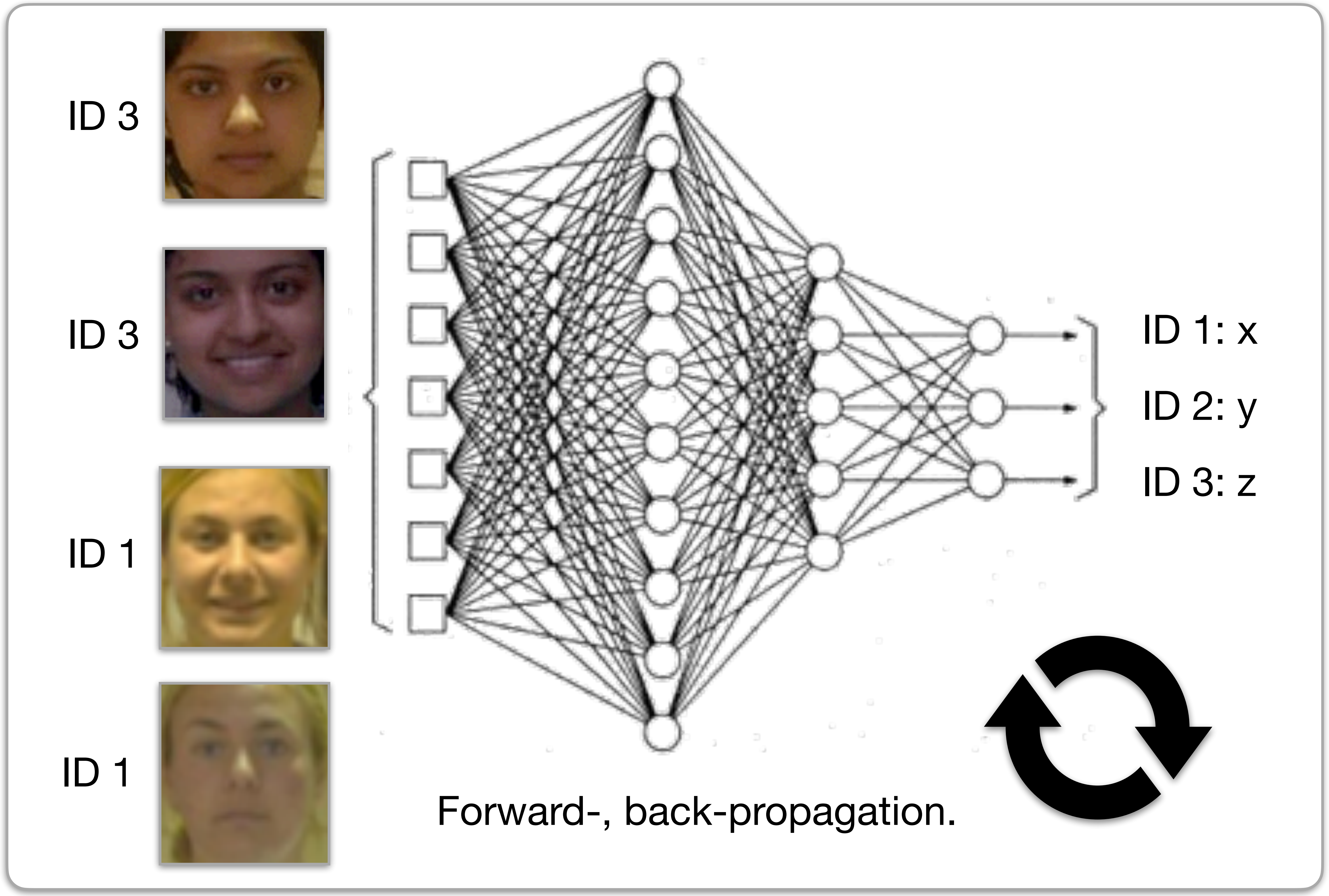
Deep Learning

Optimization target: minimize classification error through **loss function**.

Popular function: **cross-entropy loss**.



Data-Driven Face Recognition

Deep Learning

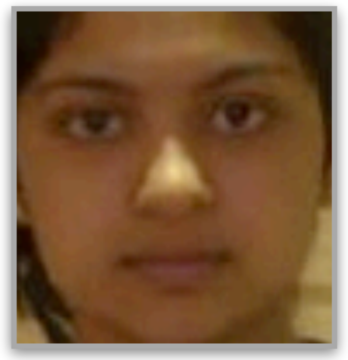
Cross-entropy Loss (CE)

#training faces

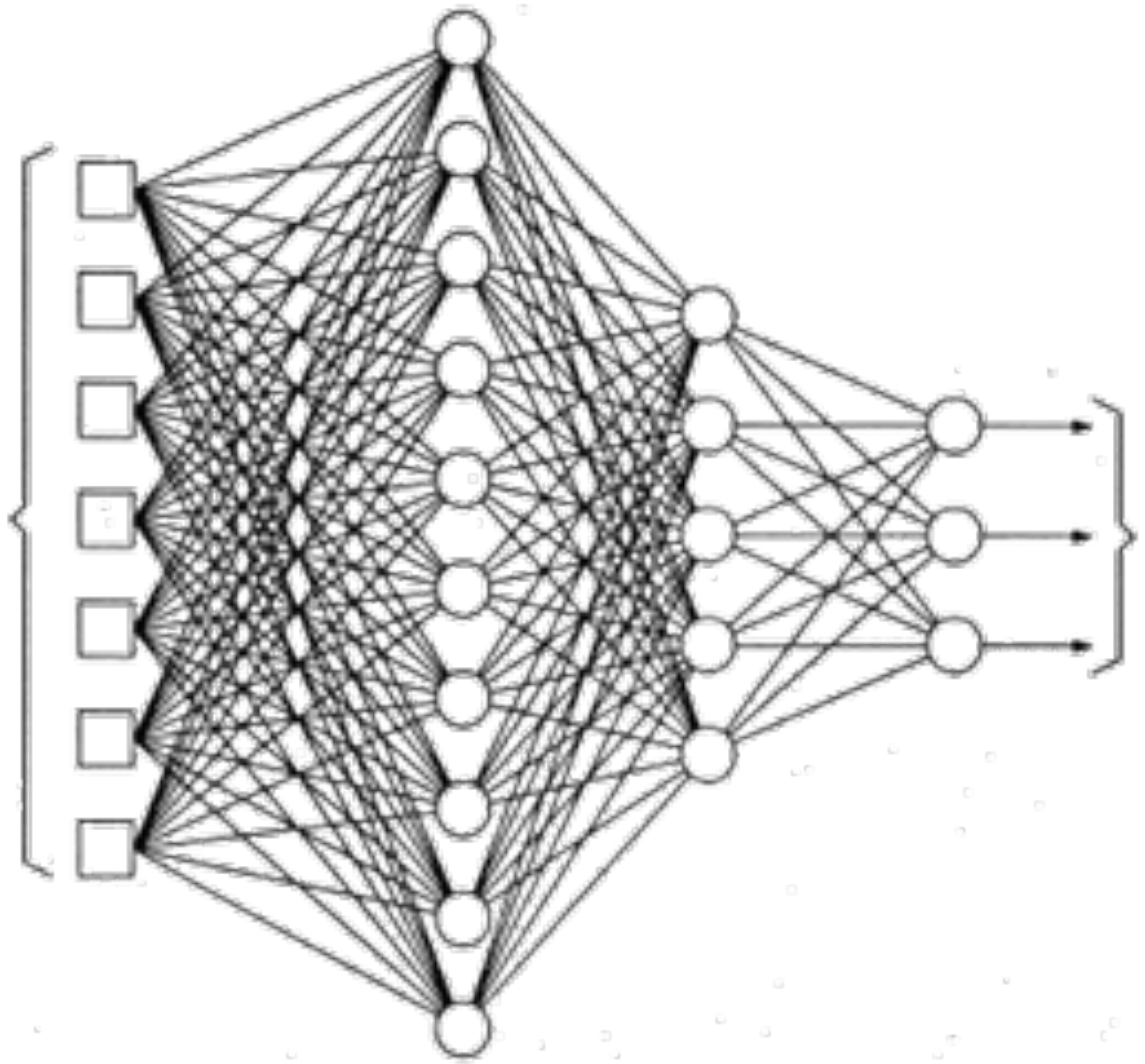
#people's IDs

$$CE = \sum_{face=1}^{m} \sum_{ID=1}^{n} (-log(output(ID)))$$

CNN output for ID



ID 3



ID 1: 0.2

ID 2: 0.7

ID 3: 0.1

Random weights in the beginning.

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Data-Driven Face Recognition

Deep Learning

Cross-entropy Loss (CE)

#training faces

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$$CE = \sum_{face=1}^{m} \sum_{ID=1}^{n} (-log(output(ID)))$$

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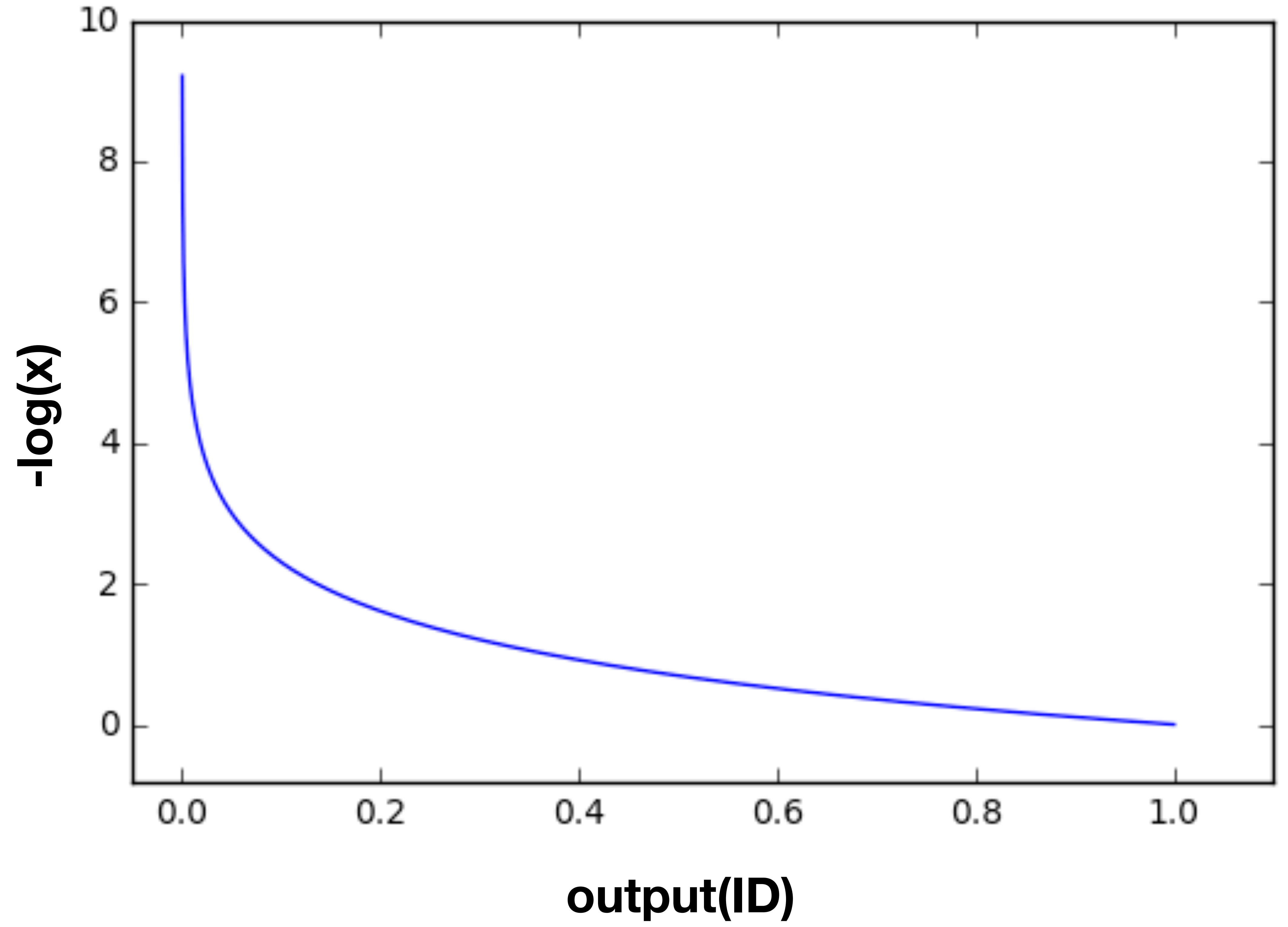

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Data-Driven Face Recognition

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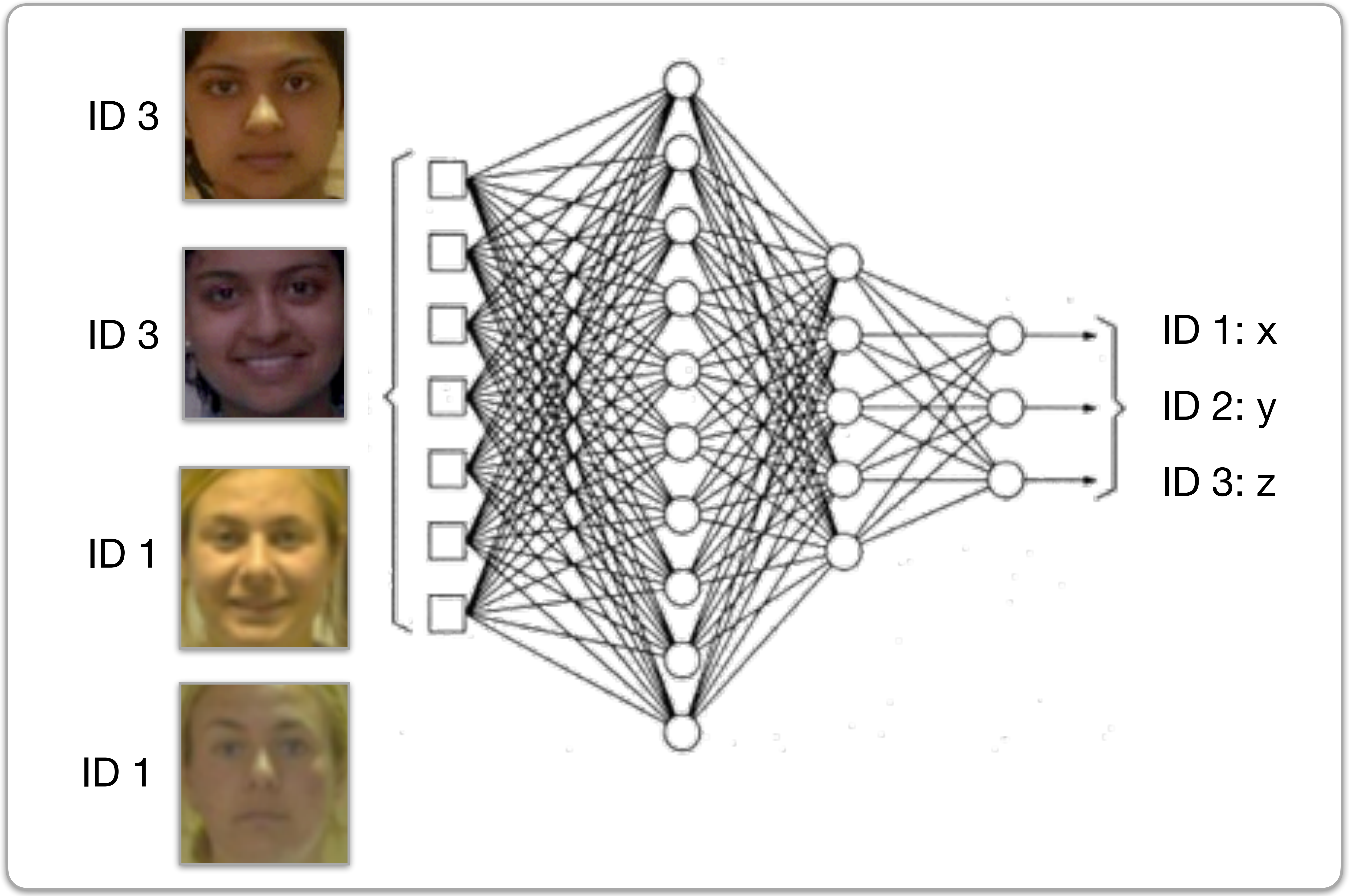
Deep Learning

What are the cons here?
How to enroll a new person?

Fixed number of classes (i.e., persons).

Need for large training dataset (thousands of sample per class).



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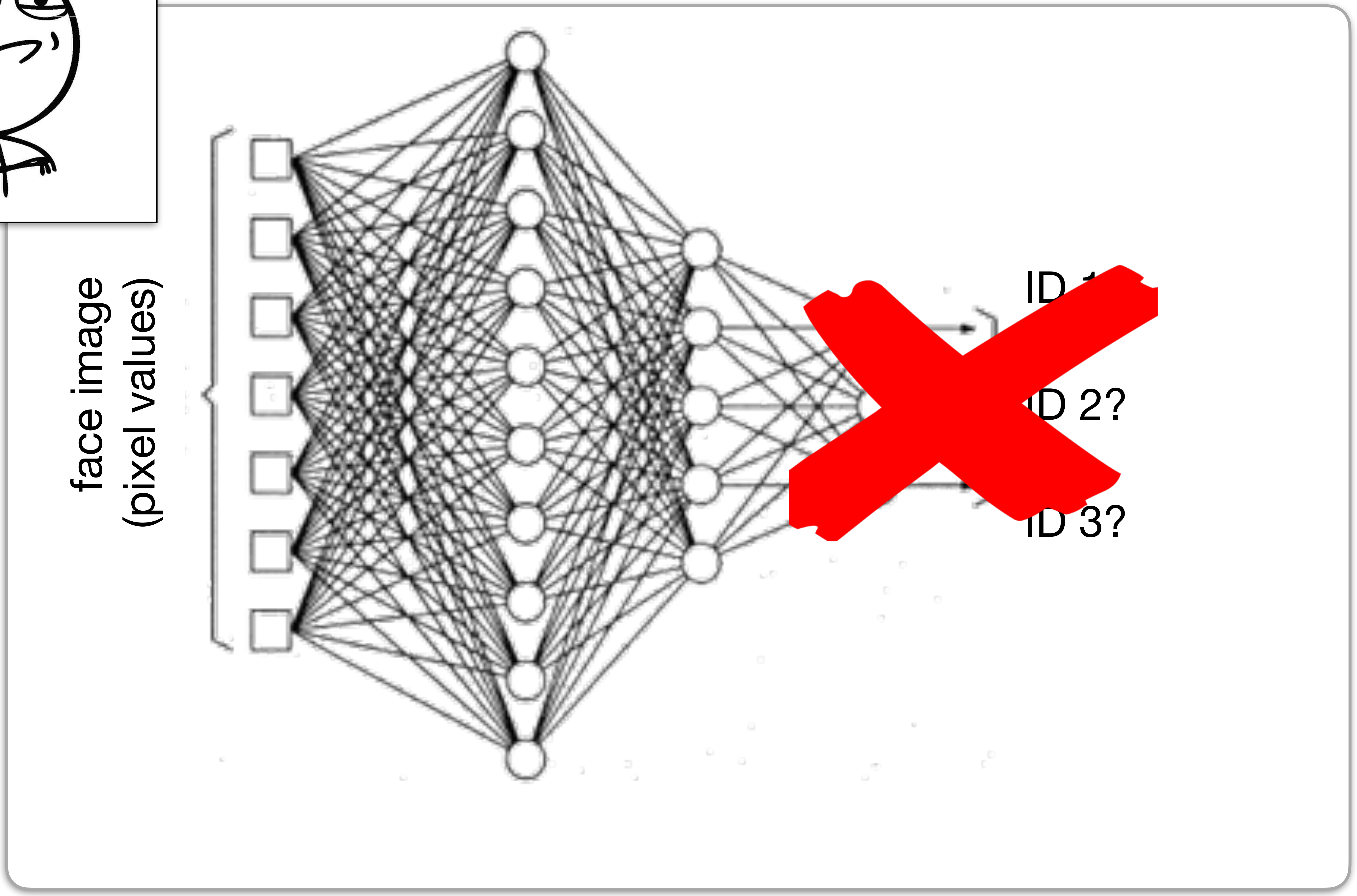
Data-Driven Face Recognition

Deep Learning

How to make CNN more flexible?
Remove fully connected layer and use last convolutional layers as a feature descriptor.



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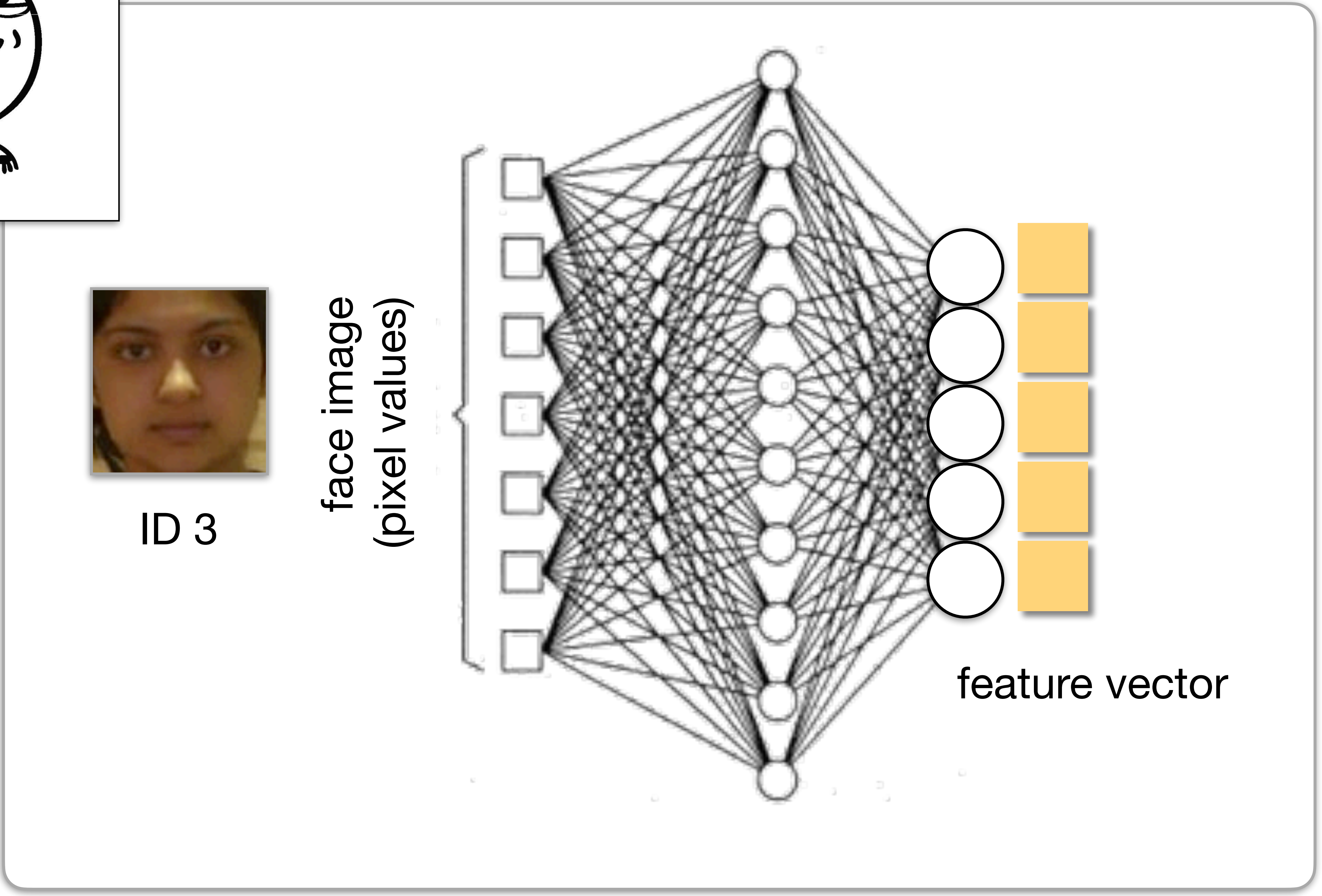
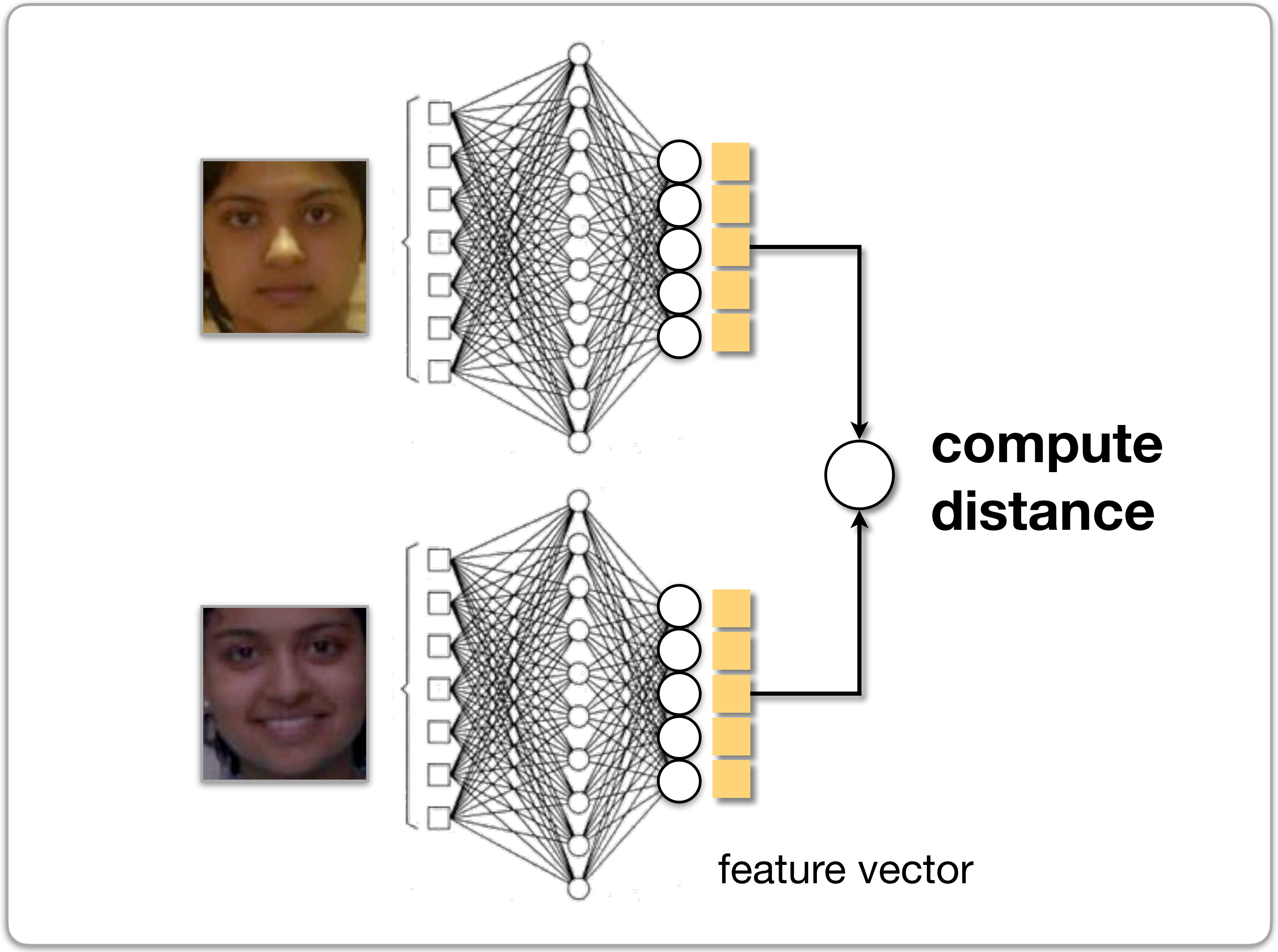


Data-Driven Face Recognition

Deep Learning

How to make CNN more flexible?
To speed up training, use **siamese networks** (same architecture, same weights).



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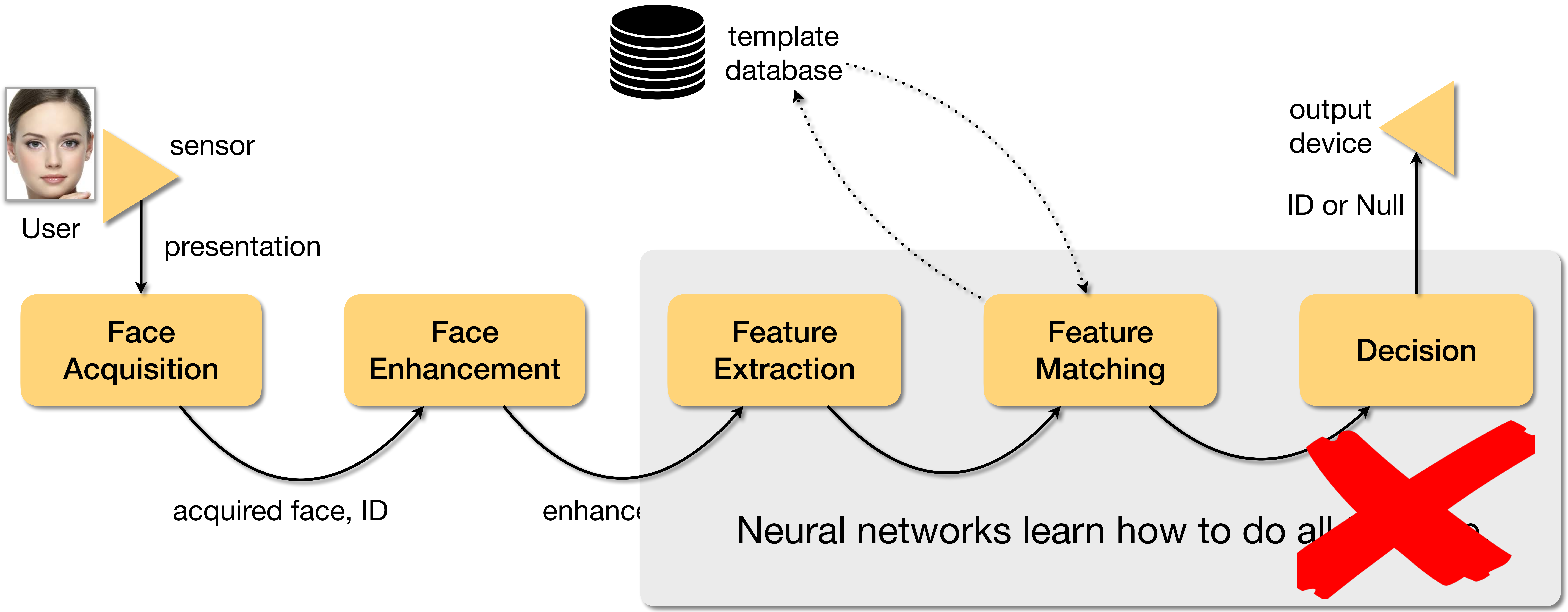

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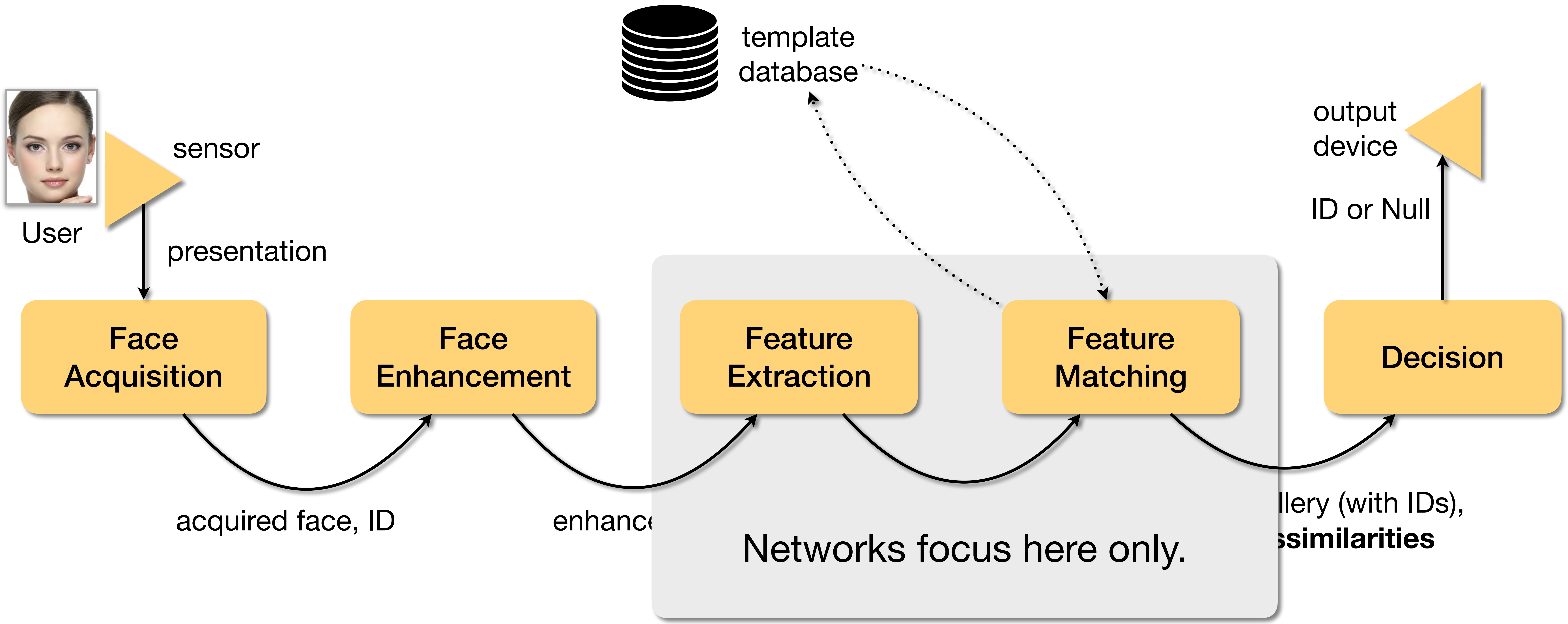
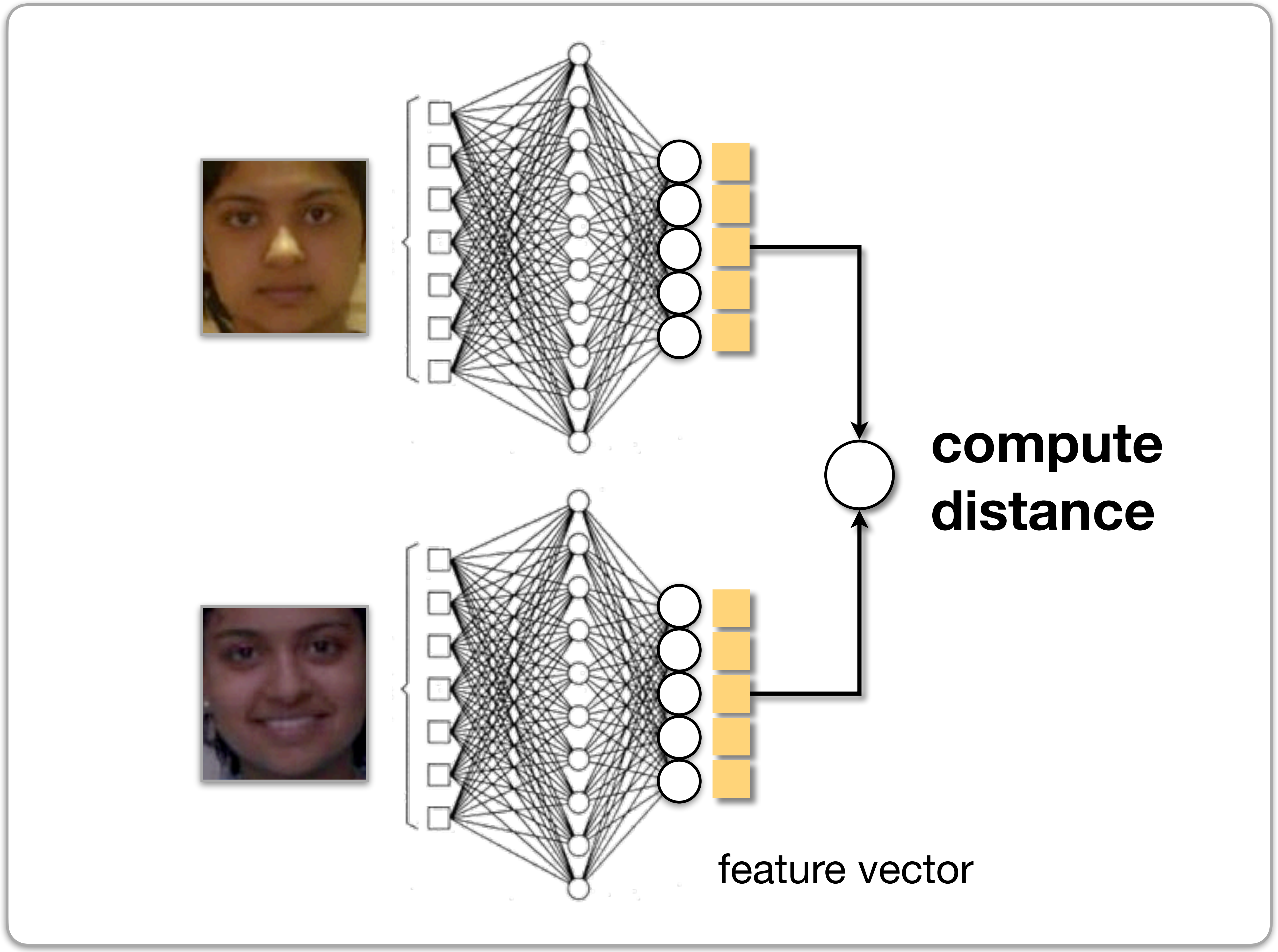
Data-Driven Face Recognition



Data-Driven Face Recognition

Deep Learning

Training Approaches
Pairwise-loss-based
Triplet-loss-based



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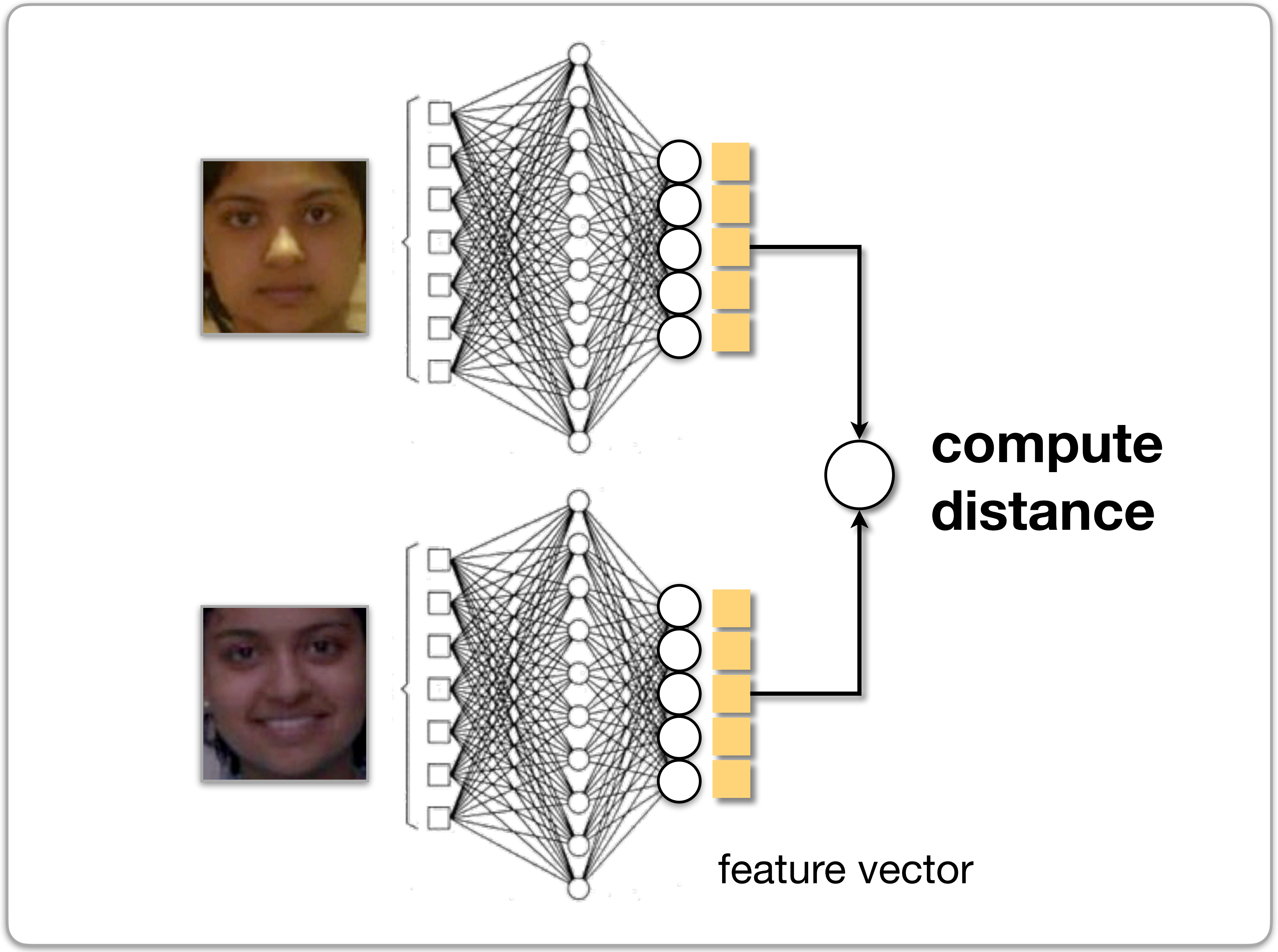

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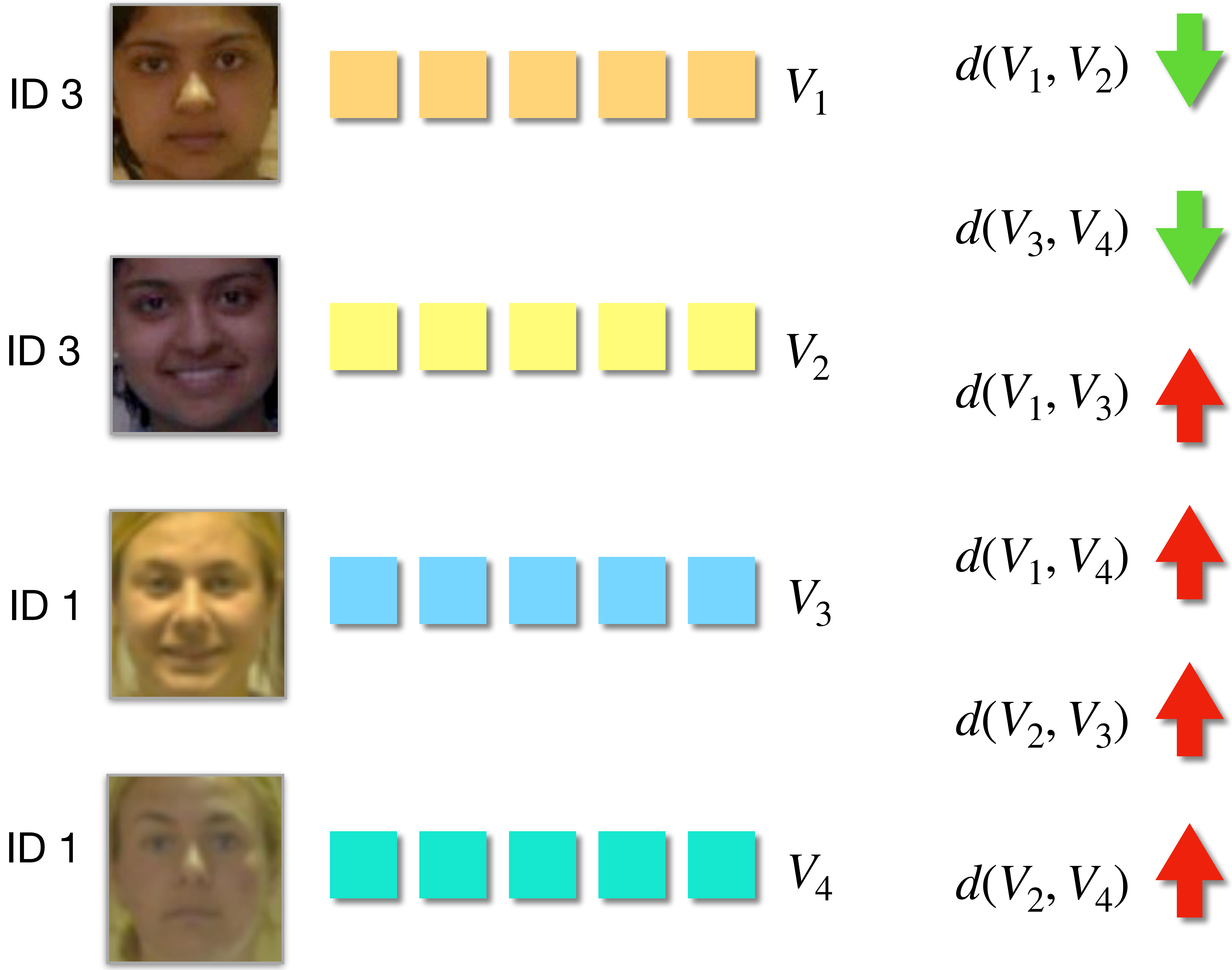
Pairwise Face Recognition

Pairwise Loss (PL)

Train the network in a way that feature vectors of the same class have small distance, while feature vectors from different classes have large distance.



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Pairwise Face Recognition

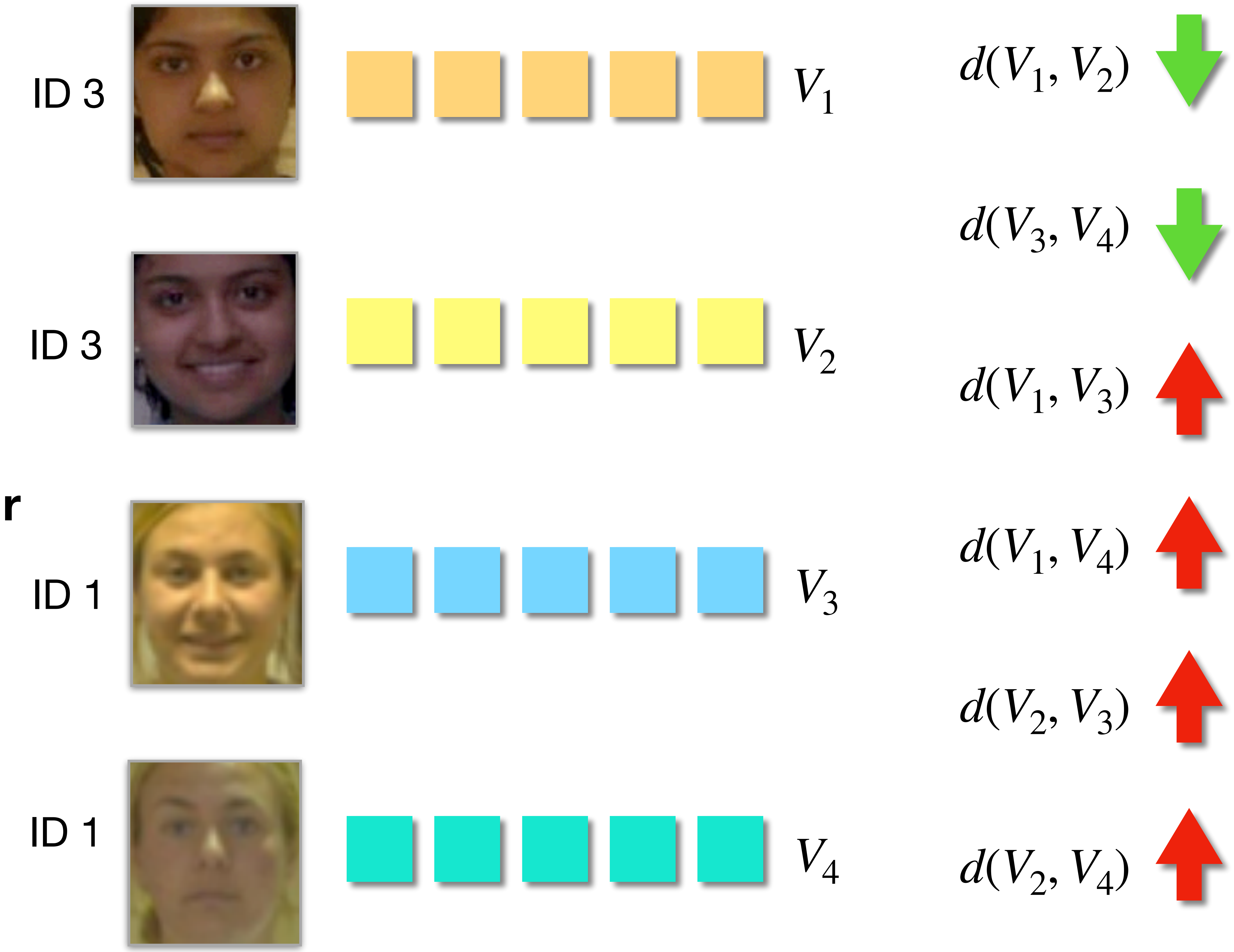
Pairwise Loss (PL)

the smaller, the better

$$PL = \begin{cases} d(V_x, V_y) & \textbf{if genuine pair} \\ max(0,\ m - d(V_x, V_y)) & \textbf{if impostor pair} \end{cases}$$

enforced margin

it must be larger than *m*



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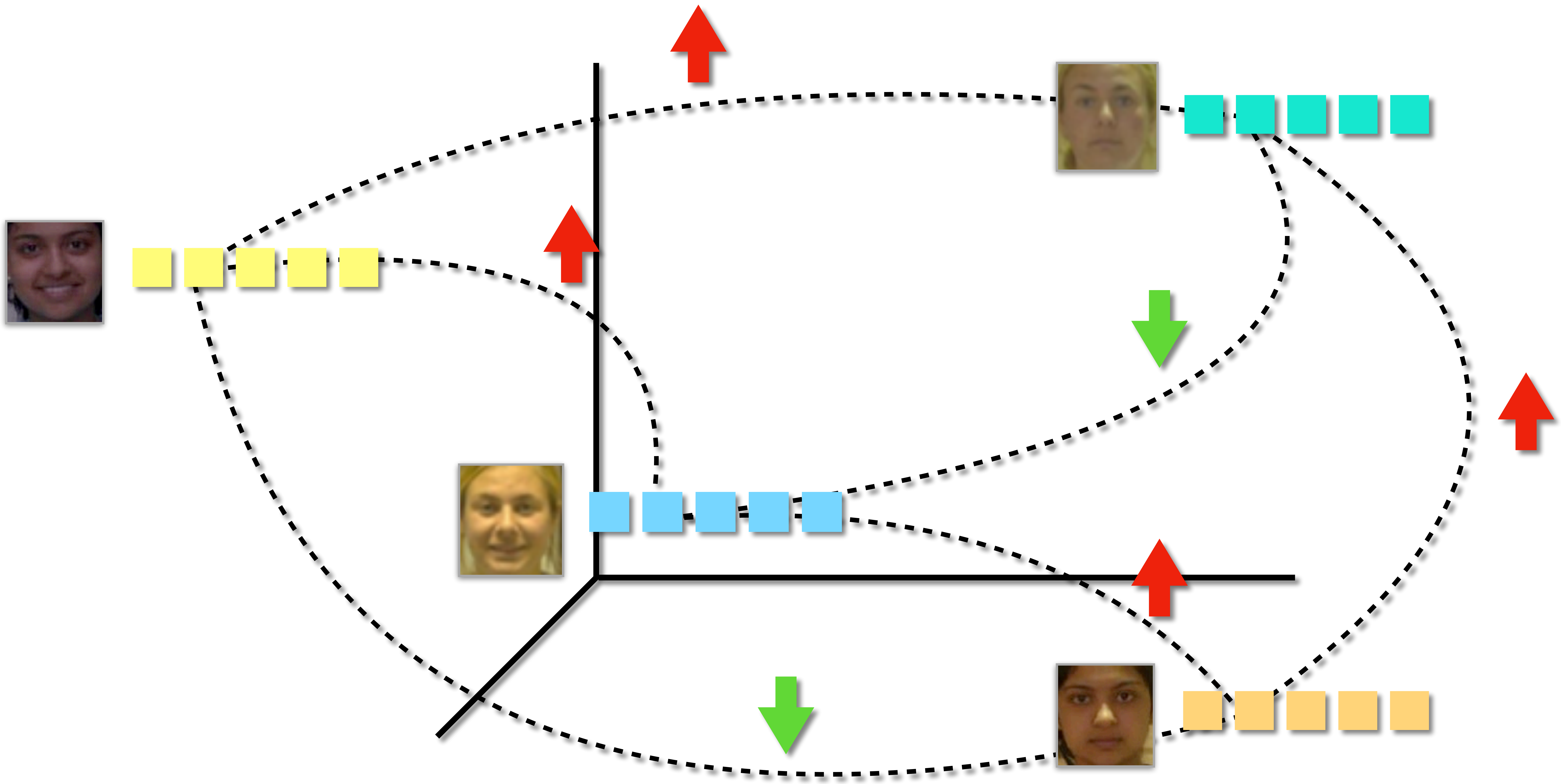
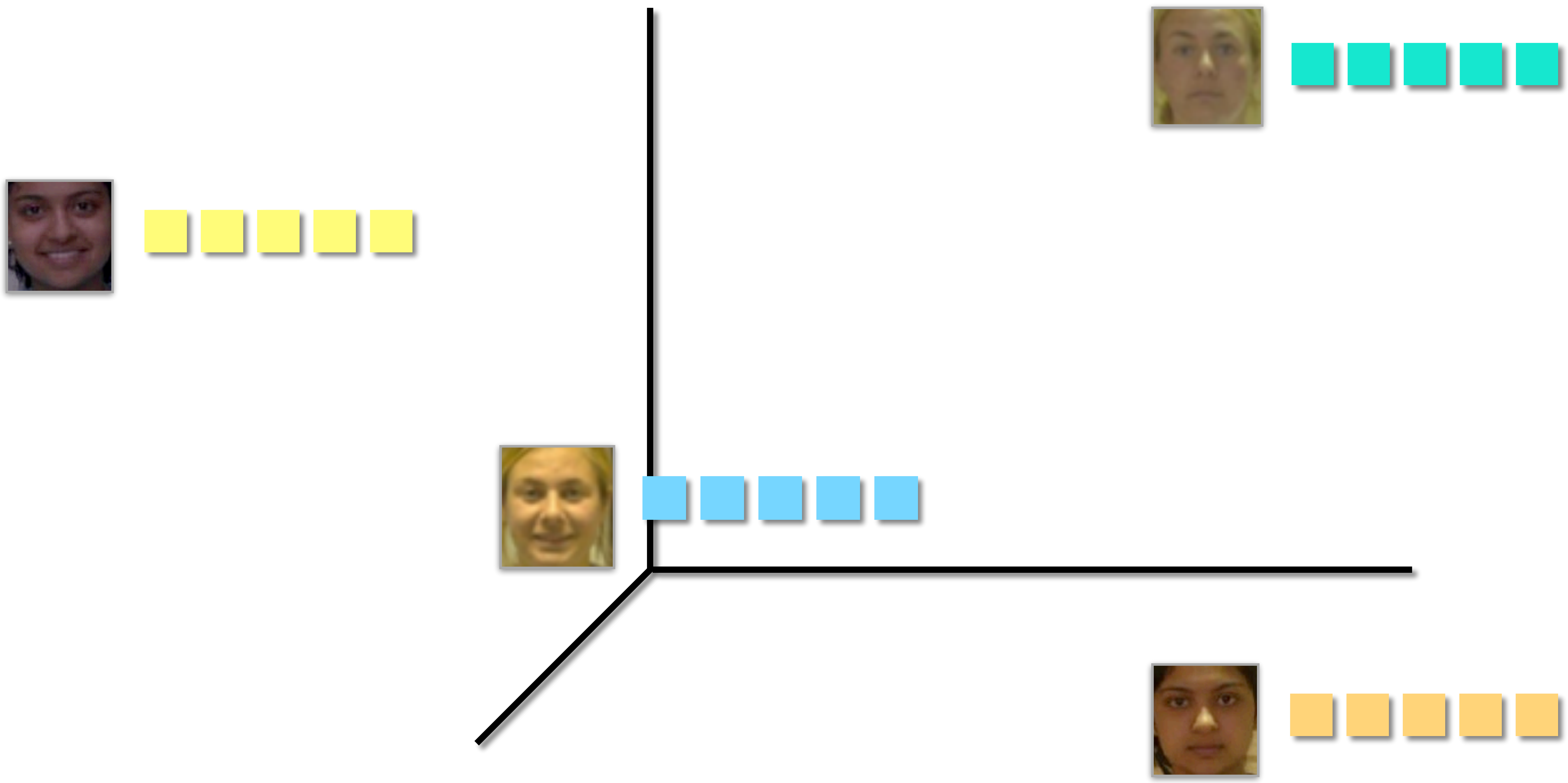
Pairwise Face Recognition



Pairwise Face Recognition



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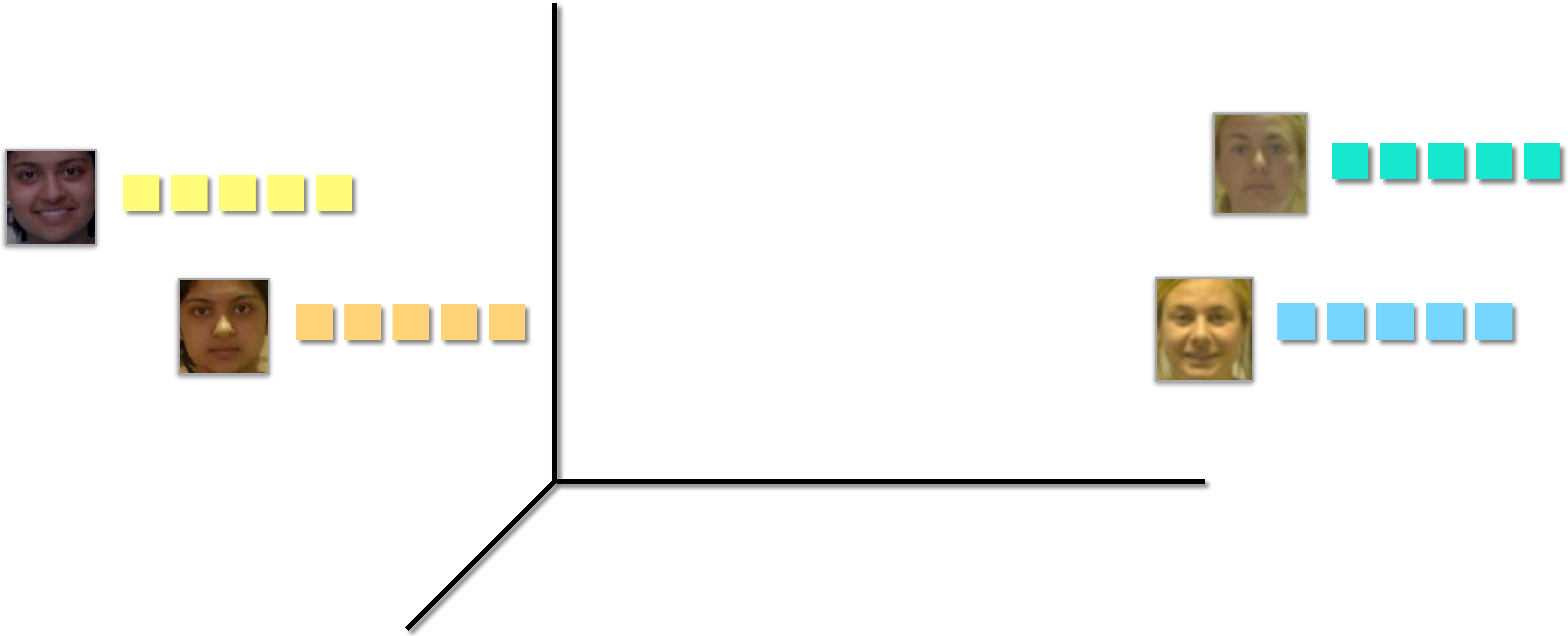
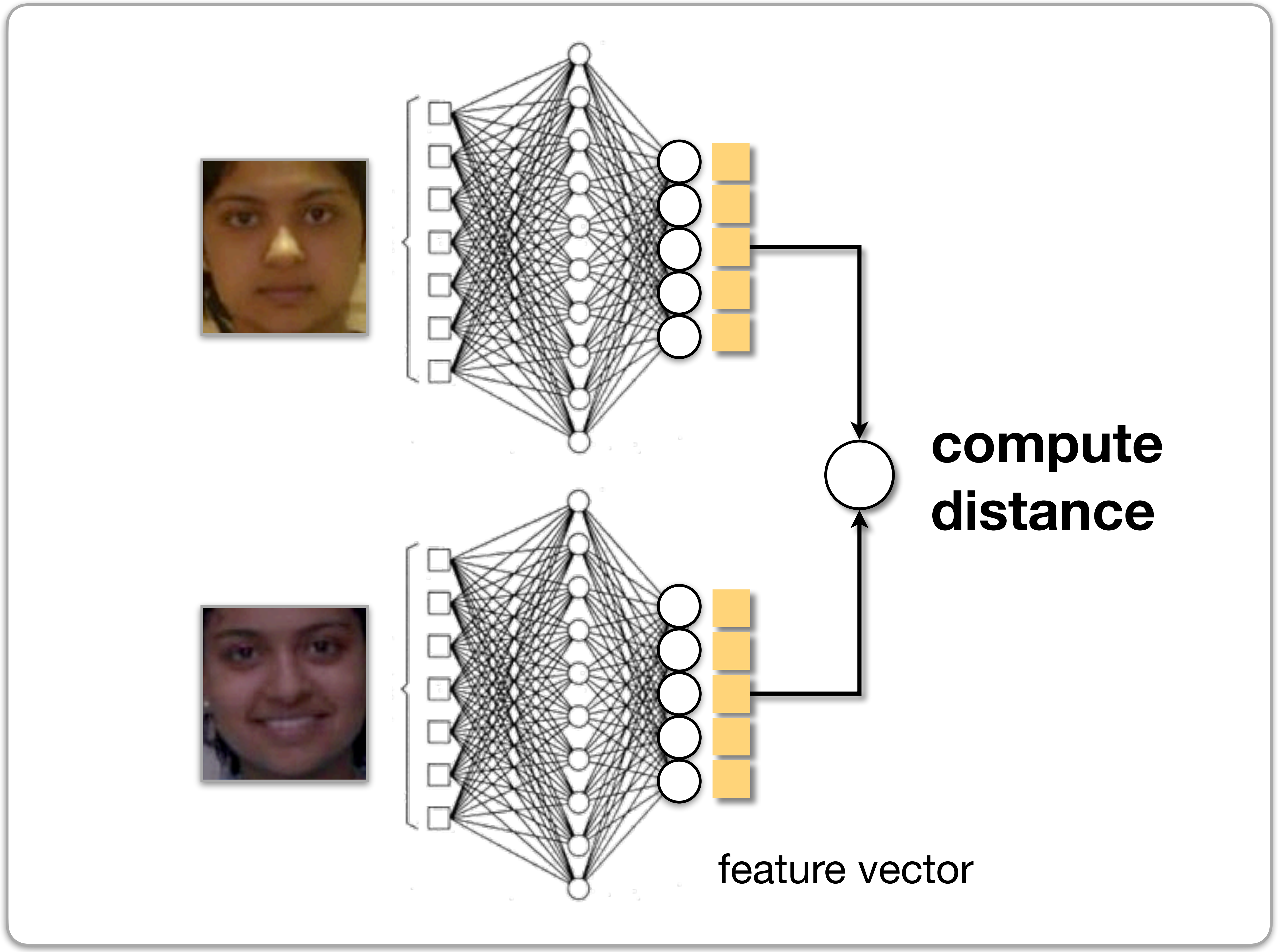
Pairwise Face Recognition



Data-Driven Face Recognition

Deep Learning



Training Approaches

Pairwise-loss-based

Triplet-loss-based

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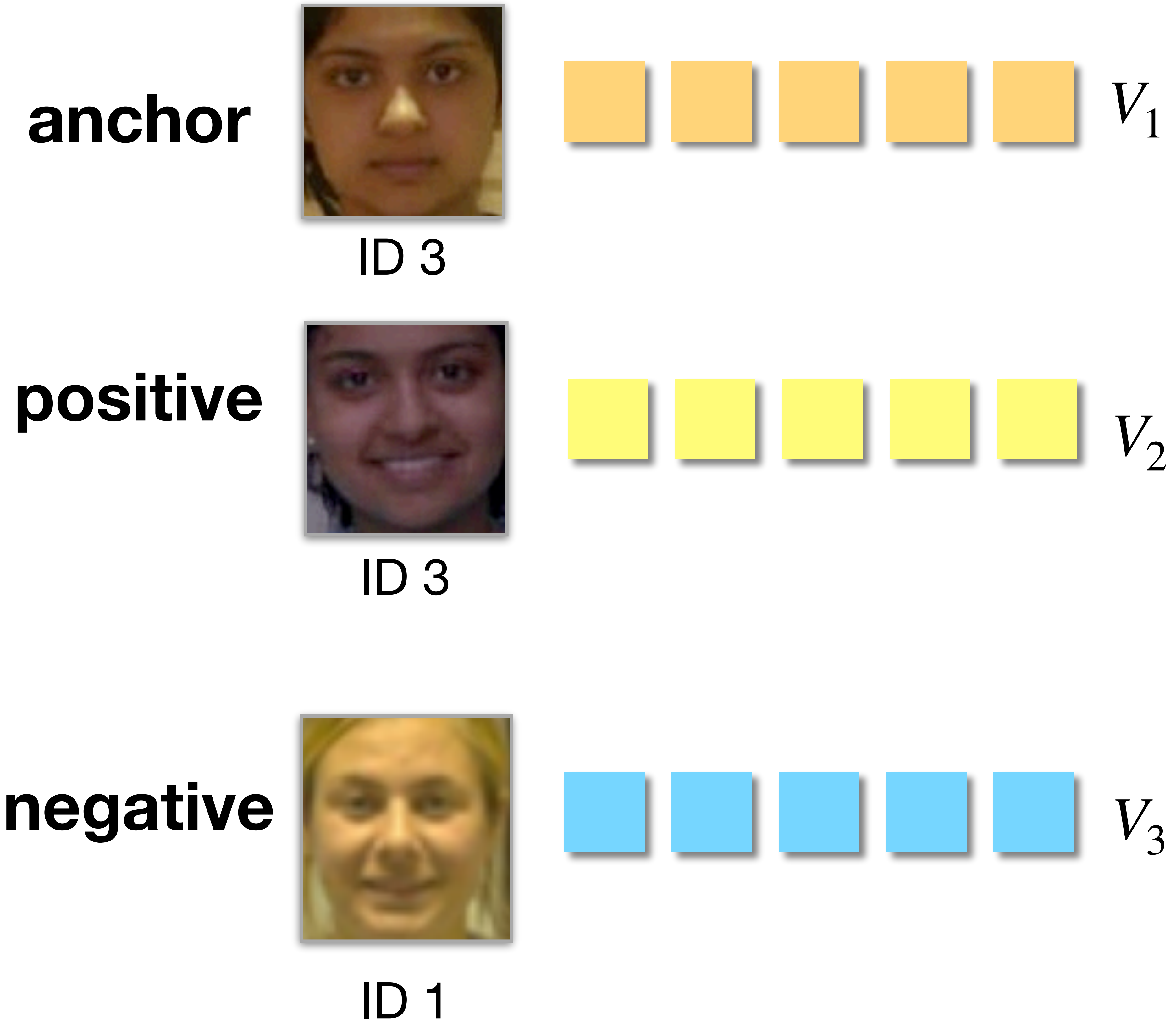
Triplet Face Recognition

Triplet Loss (TL)

Choose a reference data sample (the **anchor**) and a **positive** and a **negative** data samples to optimize their distances.



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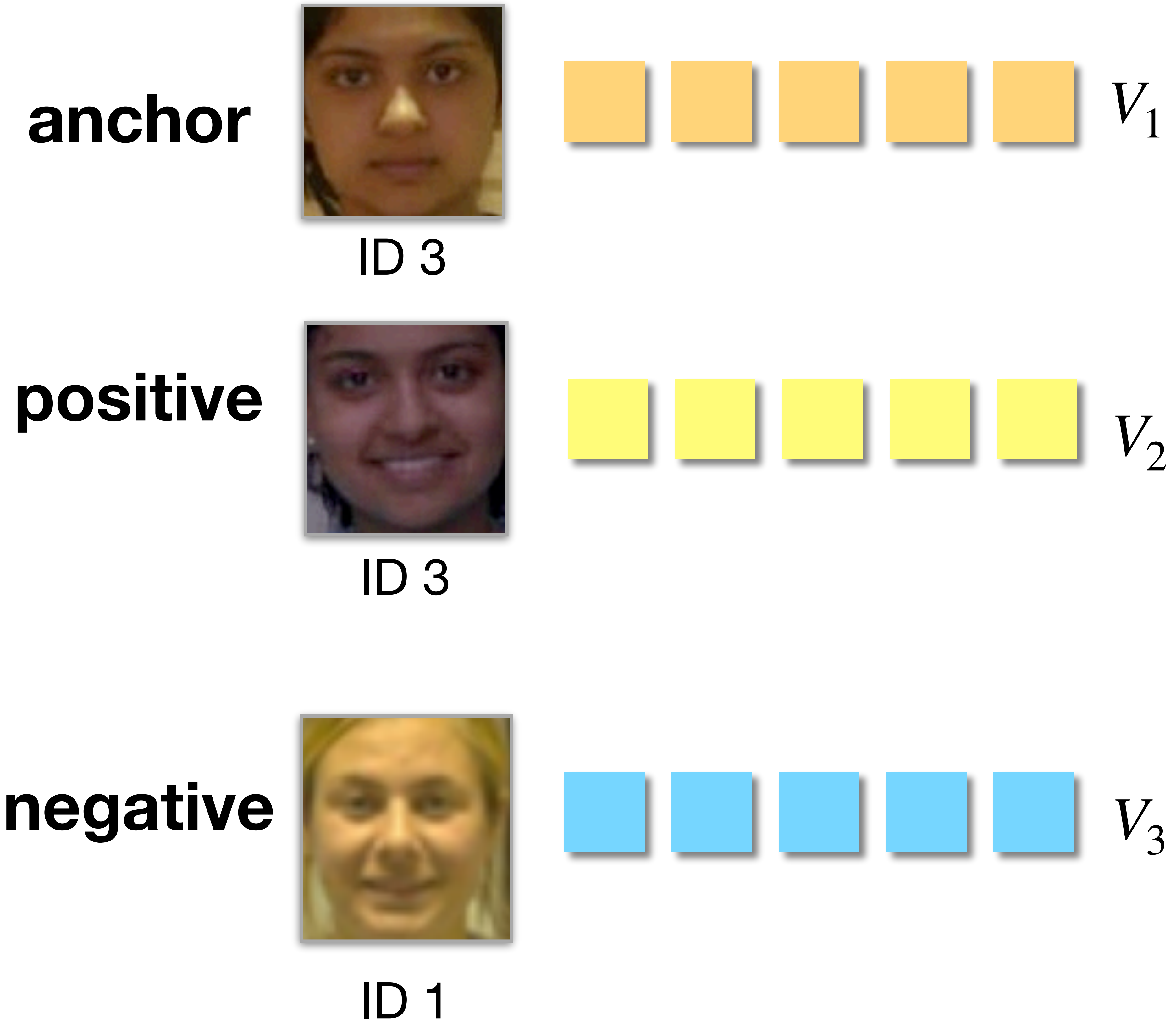
Triplet Face Recognition

Triplet Loss (TL)

Choose a reference data sample (the **anchor**) and a **positive** and a **negative** data samples to optimize their distances.

Minimize $d(V_1, V_2)$ and maximize $d(V_1, V_3)$.



Schroff et al.
Facenet: A unified embedding for face recognition and clustering.
CVPR 2015

Triplet Face Recognition

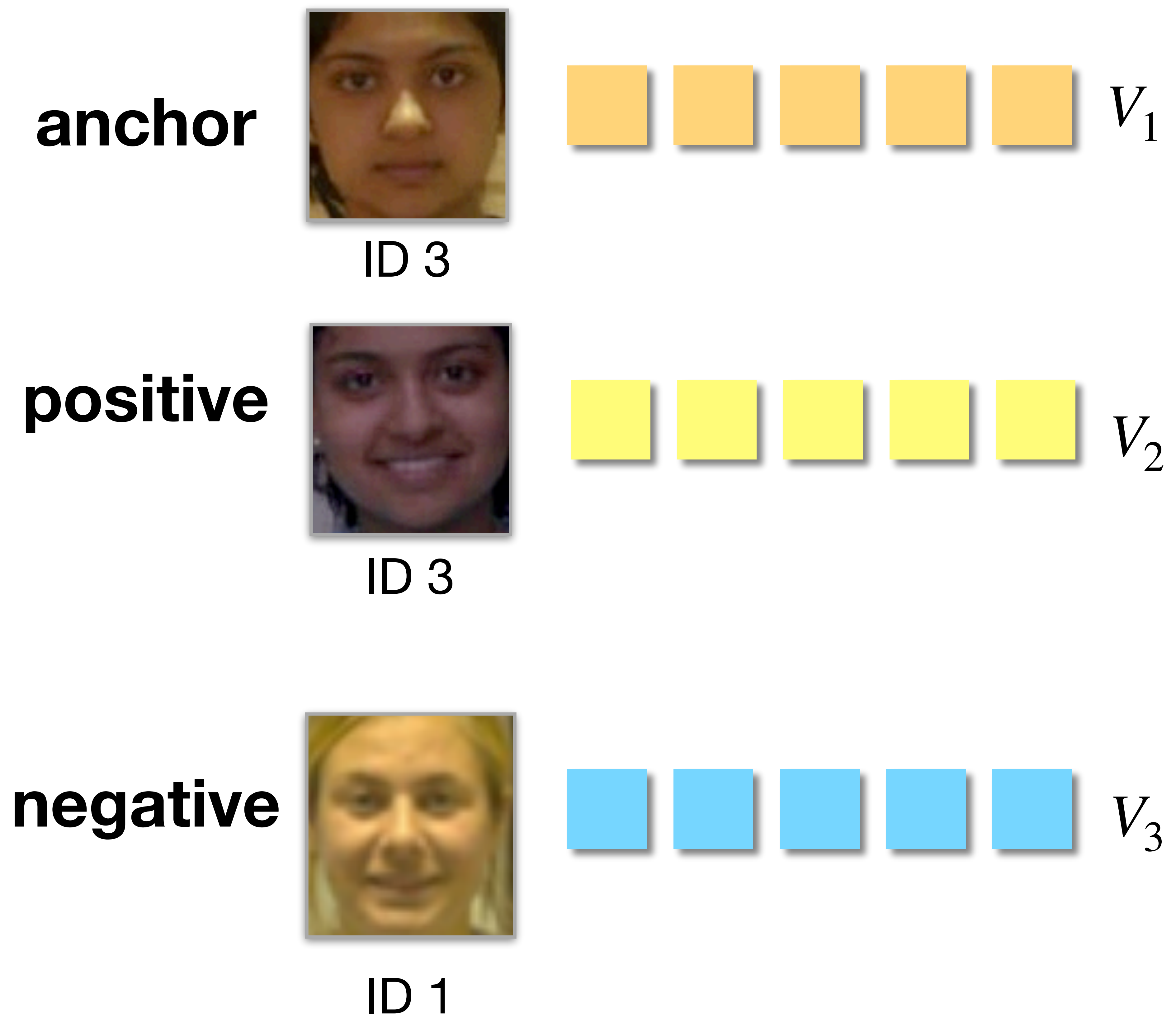
Triplet Loss (TL)

the smaller, the better

$$TL = max(0,\ m + d(V_{\text{anchor}}, V_{\text{positive}}) - d(V_{\text{anchor}}, V_{\text{negative}}))$$

enforced margin

the larger, the better



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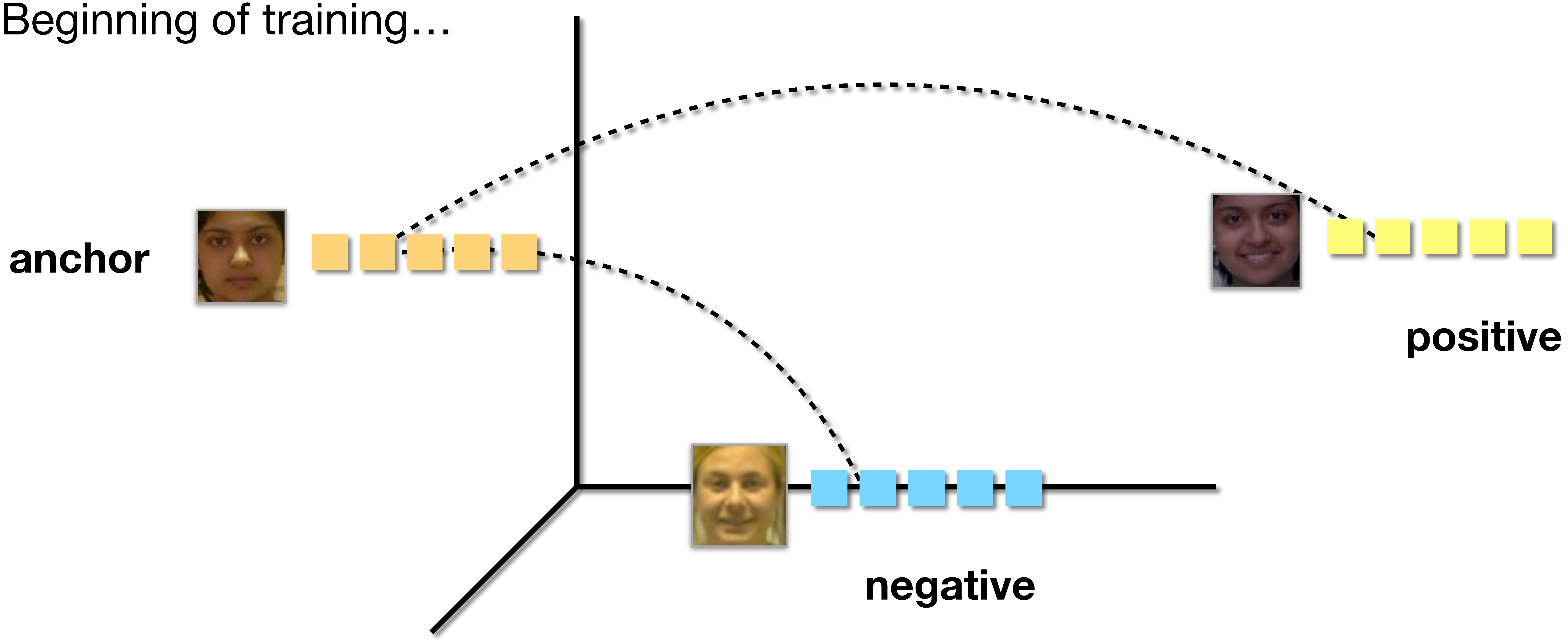
Triplet Face Recognition

Beginning of training…



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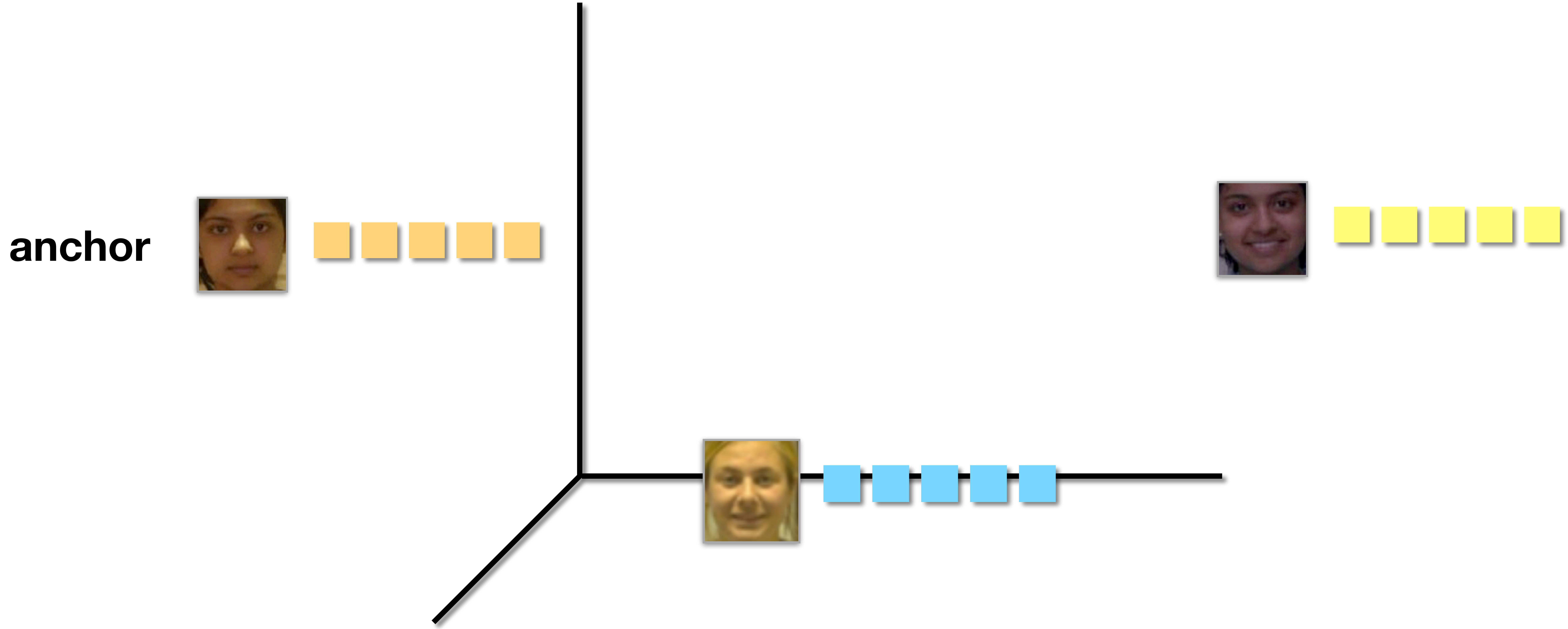
Triplet Face Recognition



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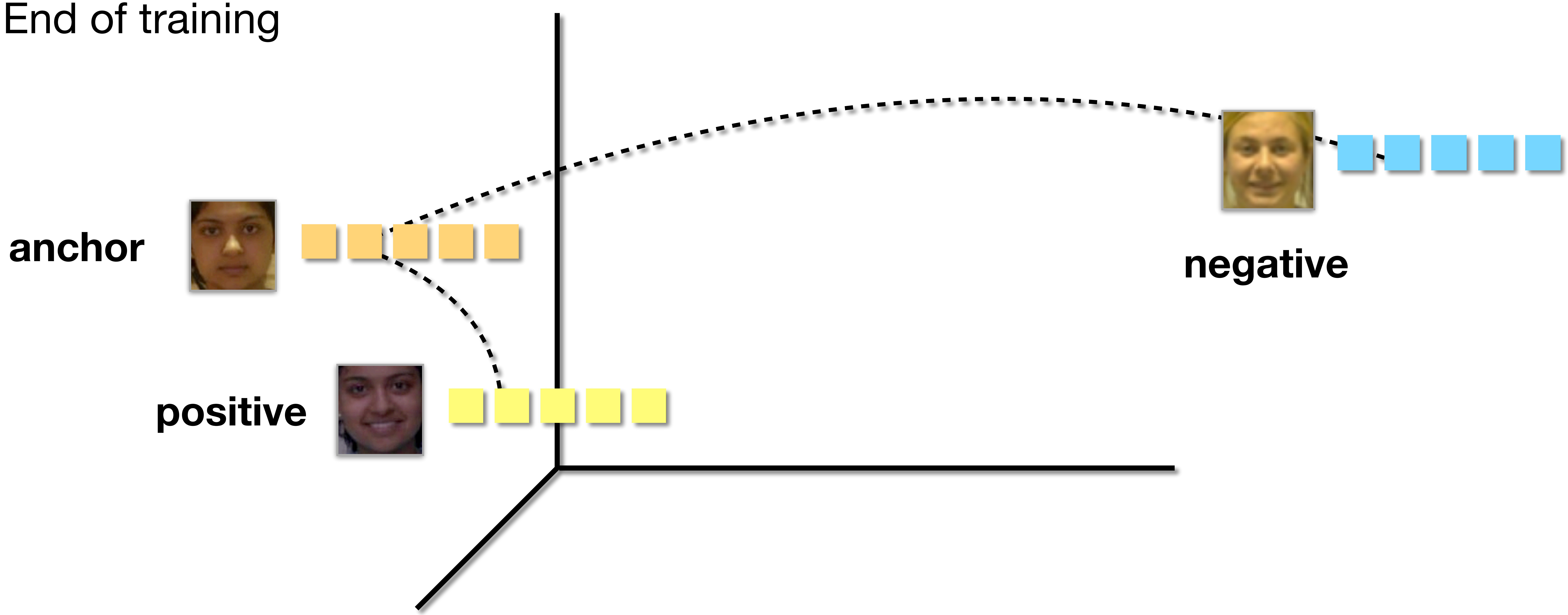
Triplet Face Recognition

End of training



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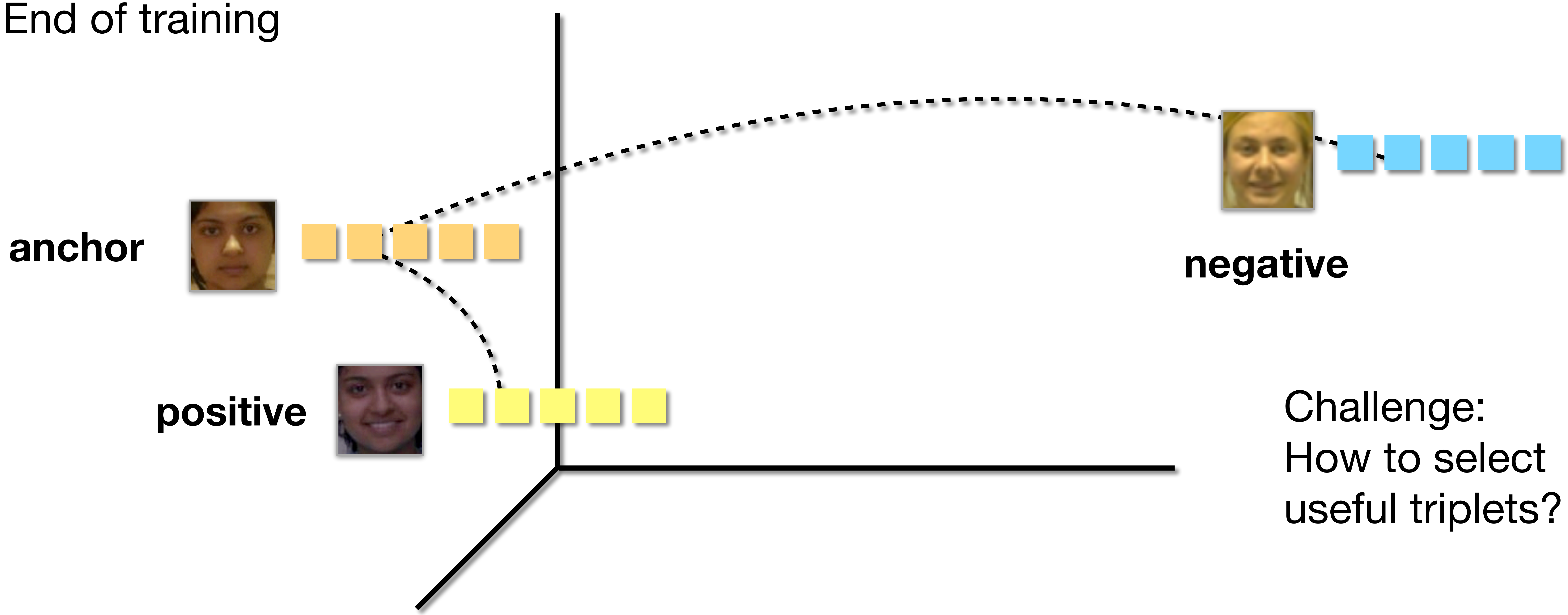
Triplet Face Recognition

End of training

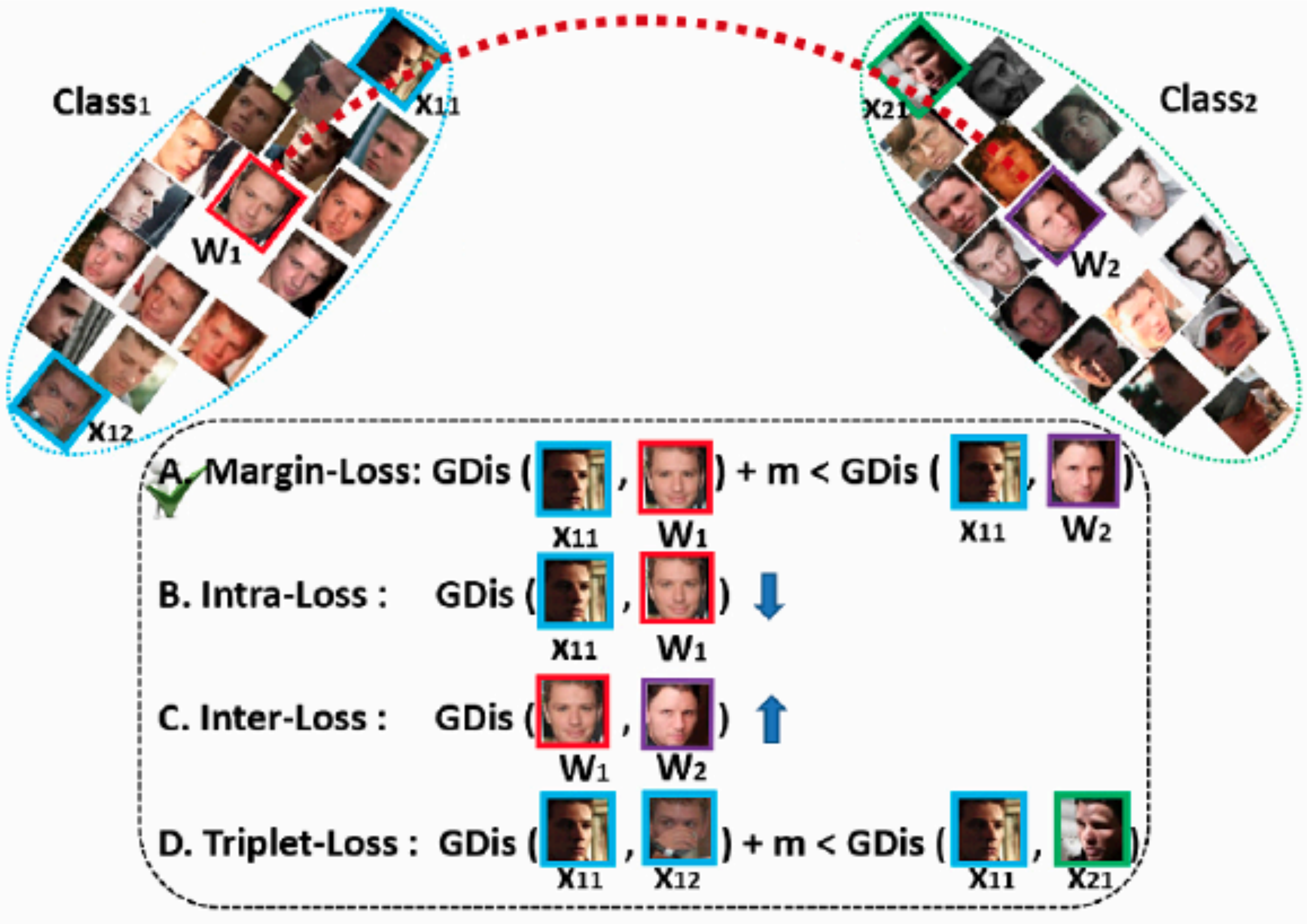


Challenge:
How to select
useful triplets?

Improvements

Source: Deng et al.
Additive Angular Margin Loss for Deep Face Recognition. CVPR 2019

Centre Loss



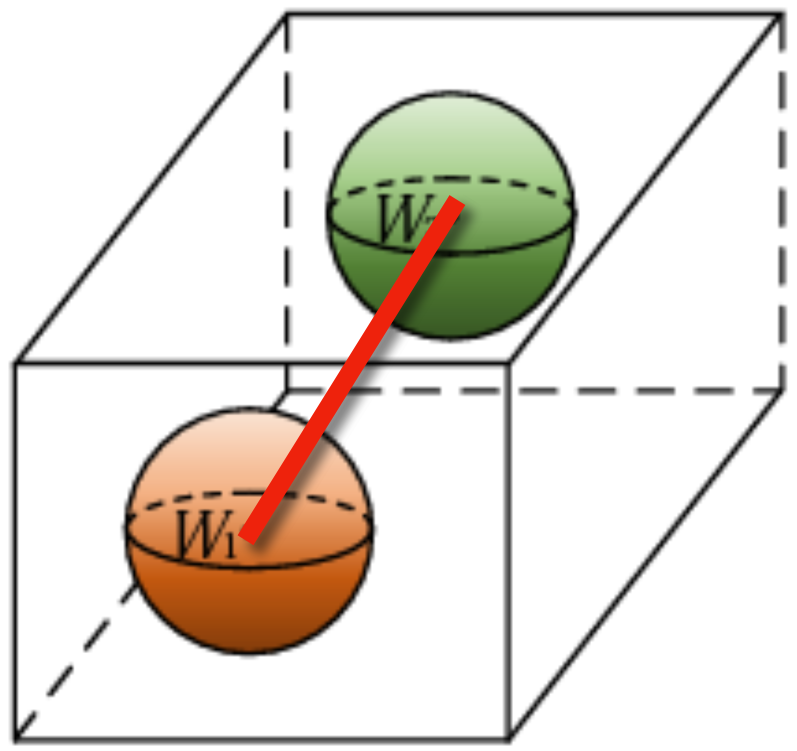
Use class clusters' centers to improve the convergence of the learning process.

Liu et al.
Sphereface: Deep hypersphere embedding for face recognition.
CVPR 2017

Improvements

SphereFace

Transform feature space into hypersphere and compute the distances as the **angles** between the feature vectors.



Euclidean space

Liu et al.
Sphereface: Deep hypersphere embedding for face recognition.
CVPR 2017

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Improvements

SphereFace

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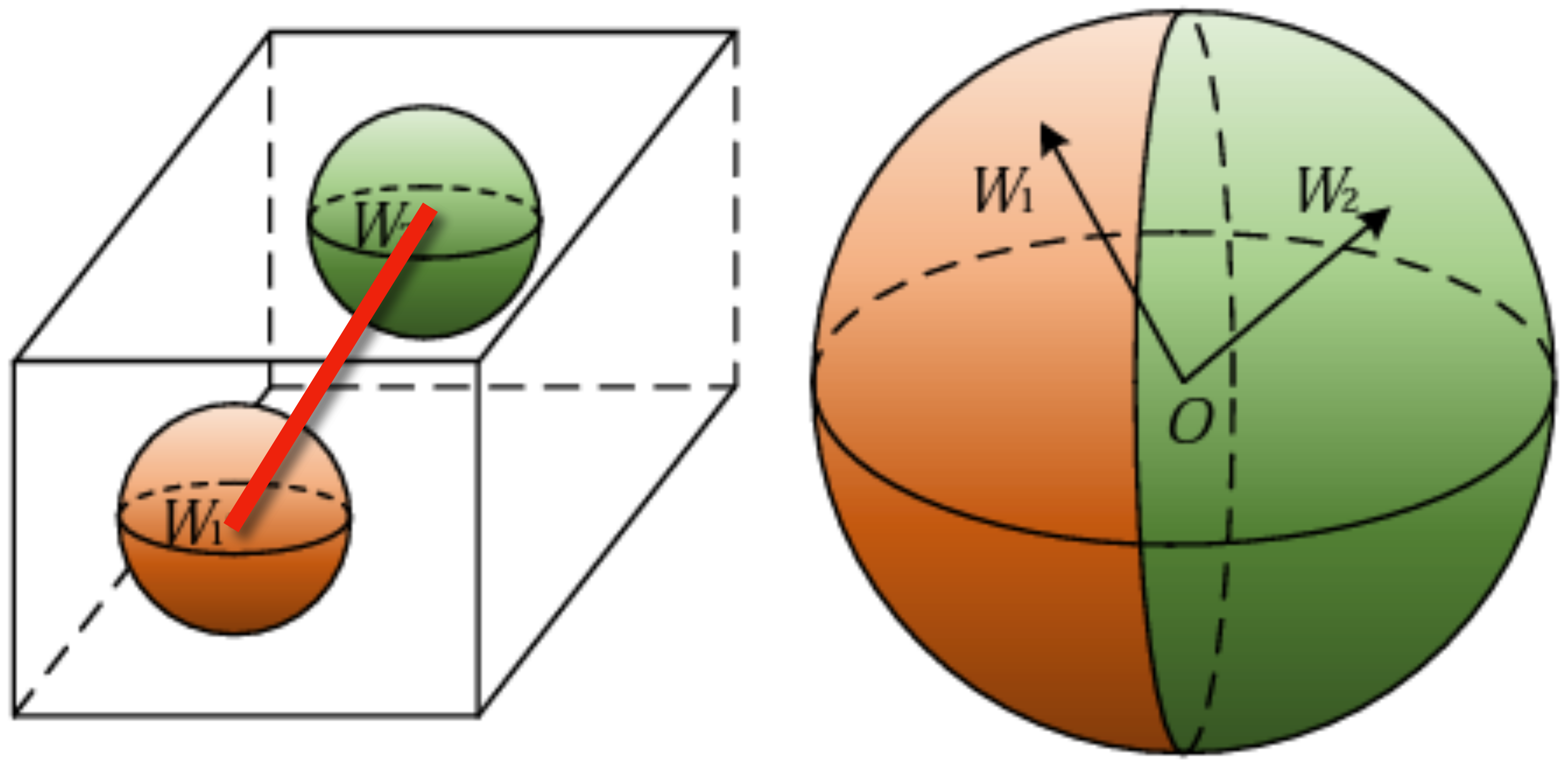

Euclidean space ▶ **Hypersphere**

Liu et al.
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Improvements

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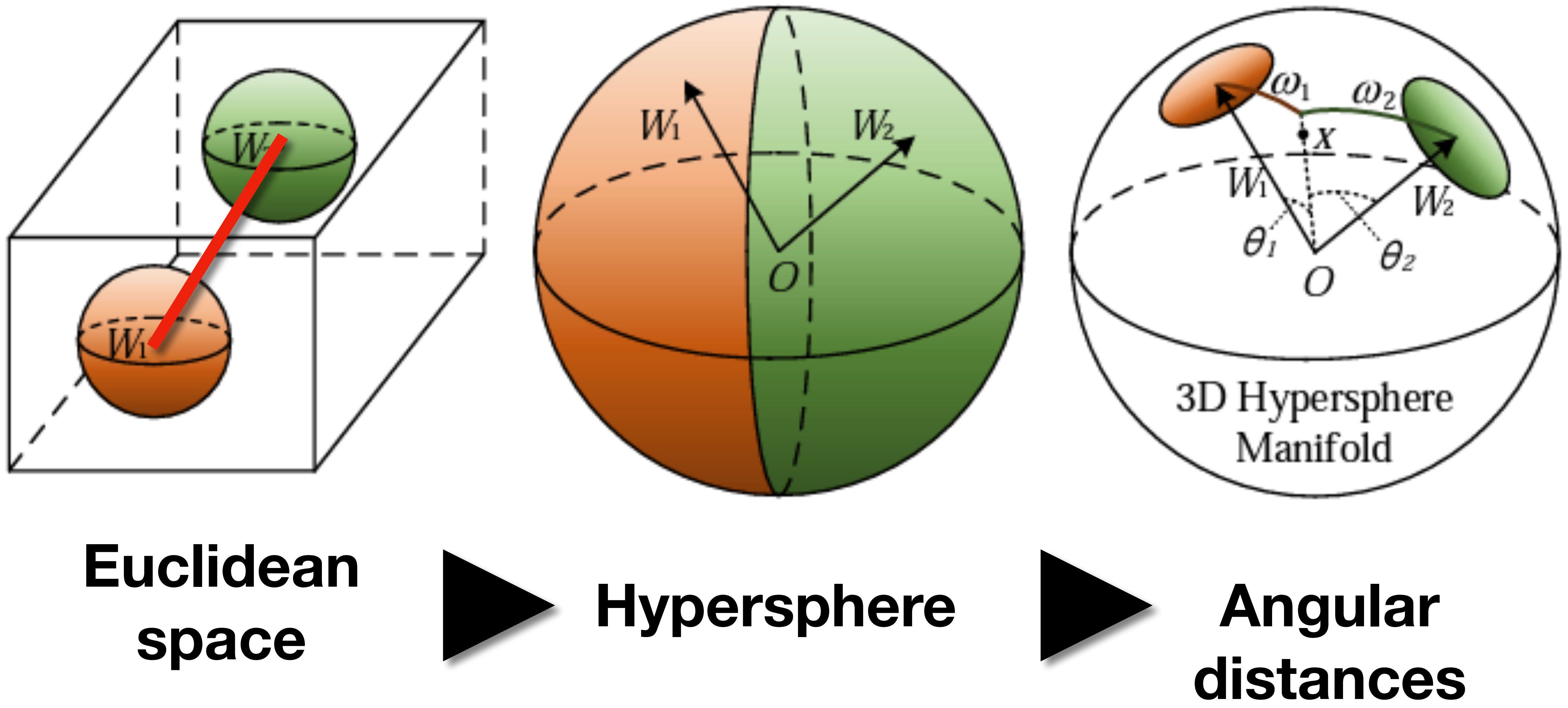
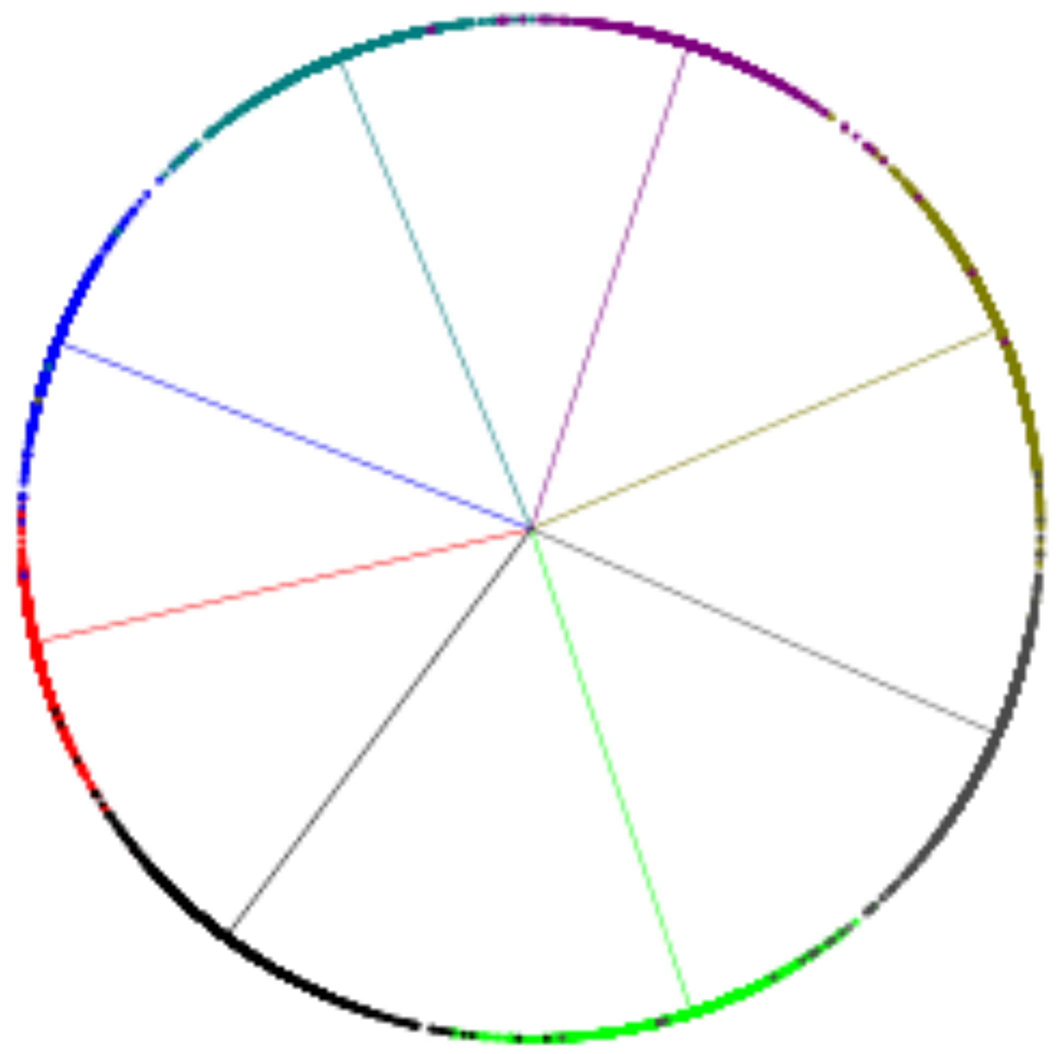


Improvements

ArcFace
Current state of the art.

Deng et al. proposed the **additive angular margin loss** to the problem of face recognition.

Deng et al.
Additive Angular Margin Loss for Deep Face Recognition.
CVPR 2019



Margin-less class separation

Improvements

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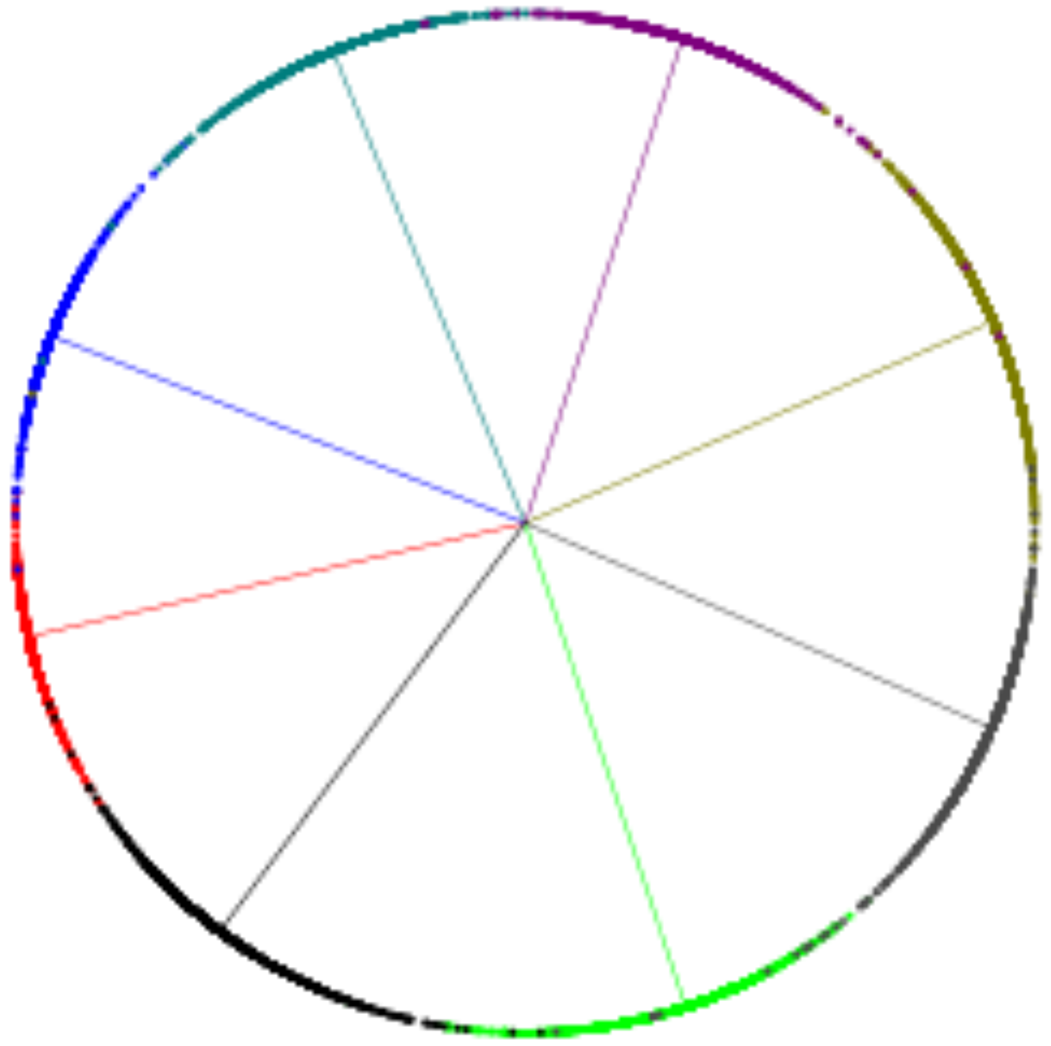
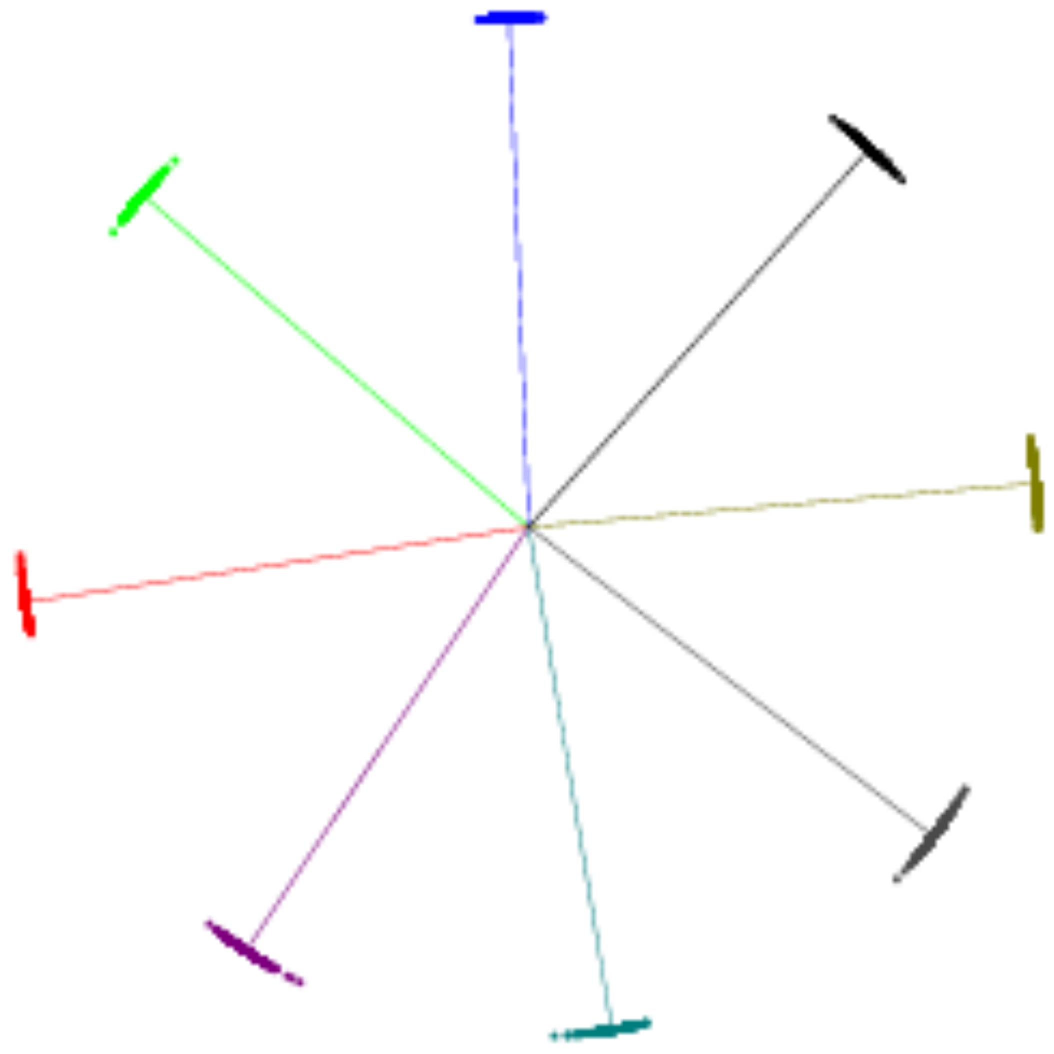
CVPR 2019



Margin-less class separation

VS



Additive angular margin loss

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Improvements

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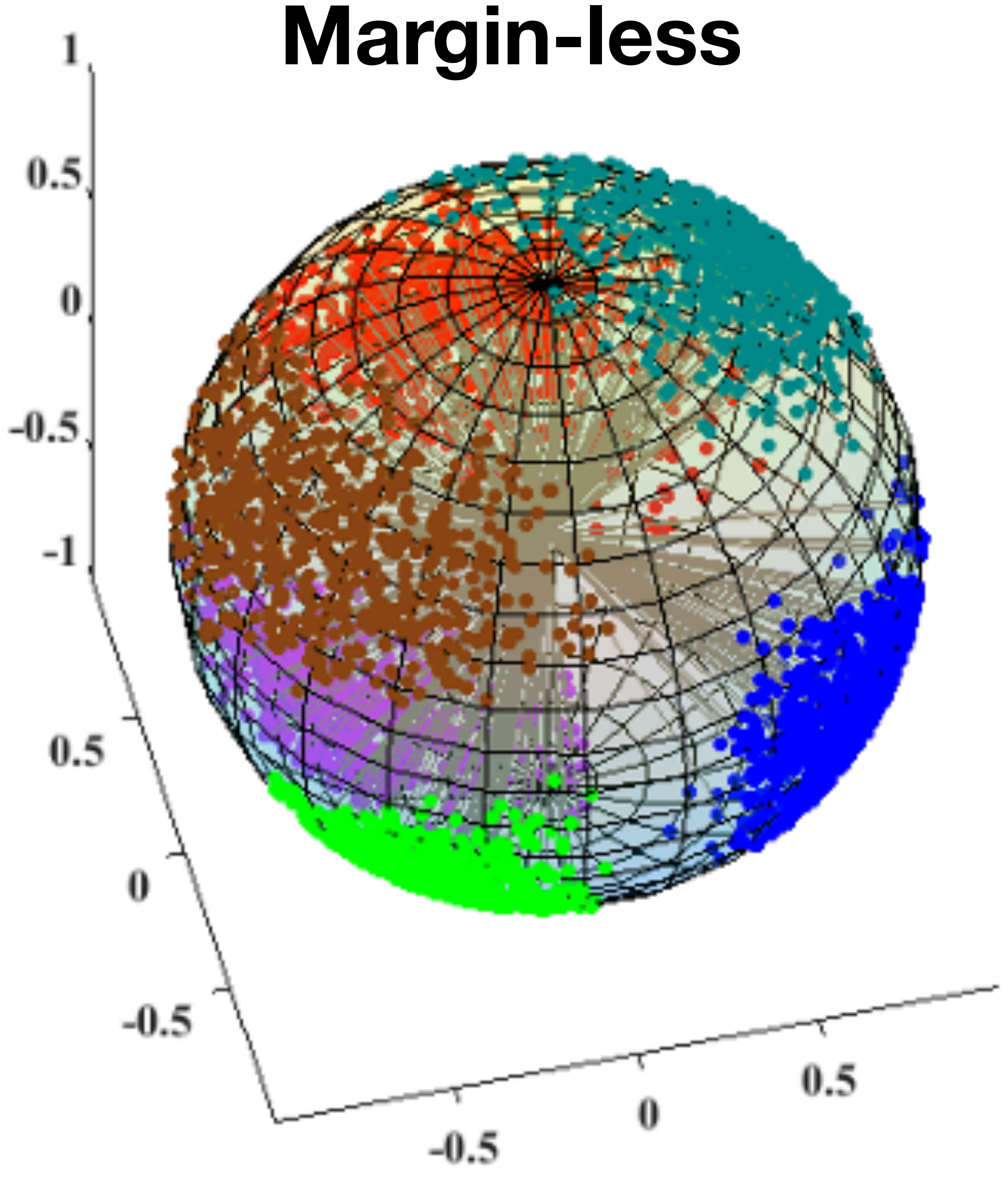
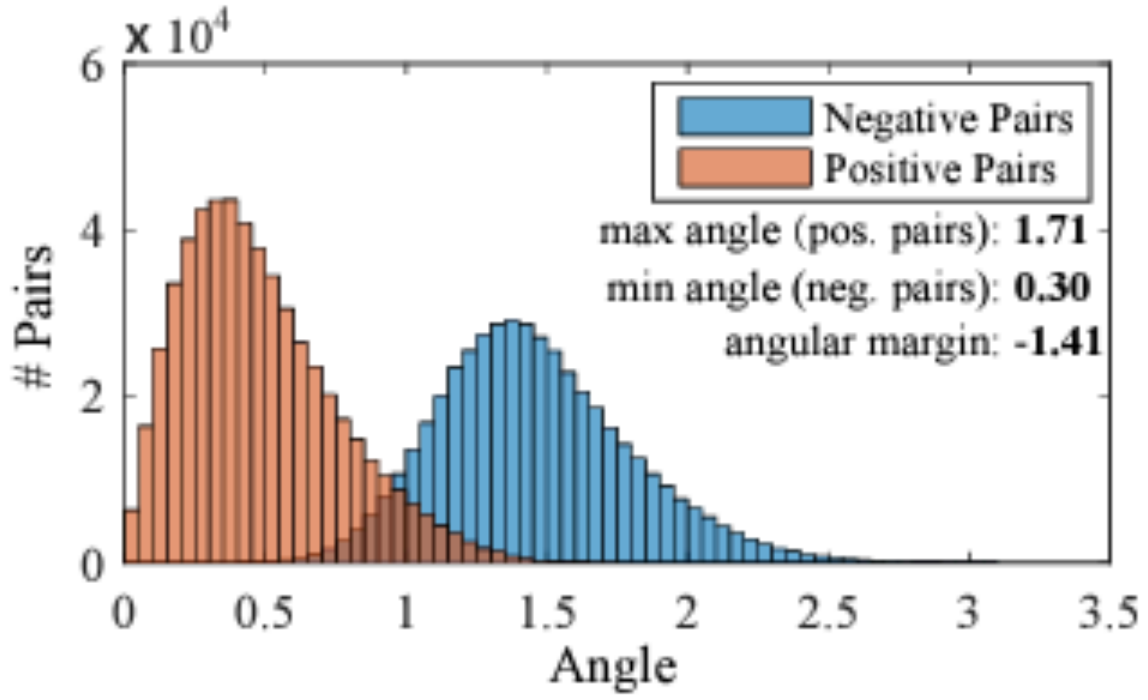
Margin-less





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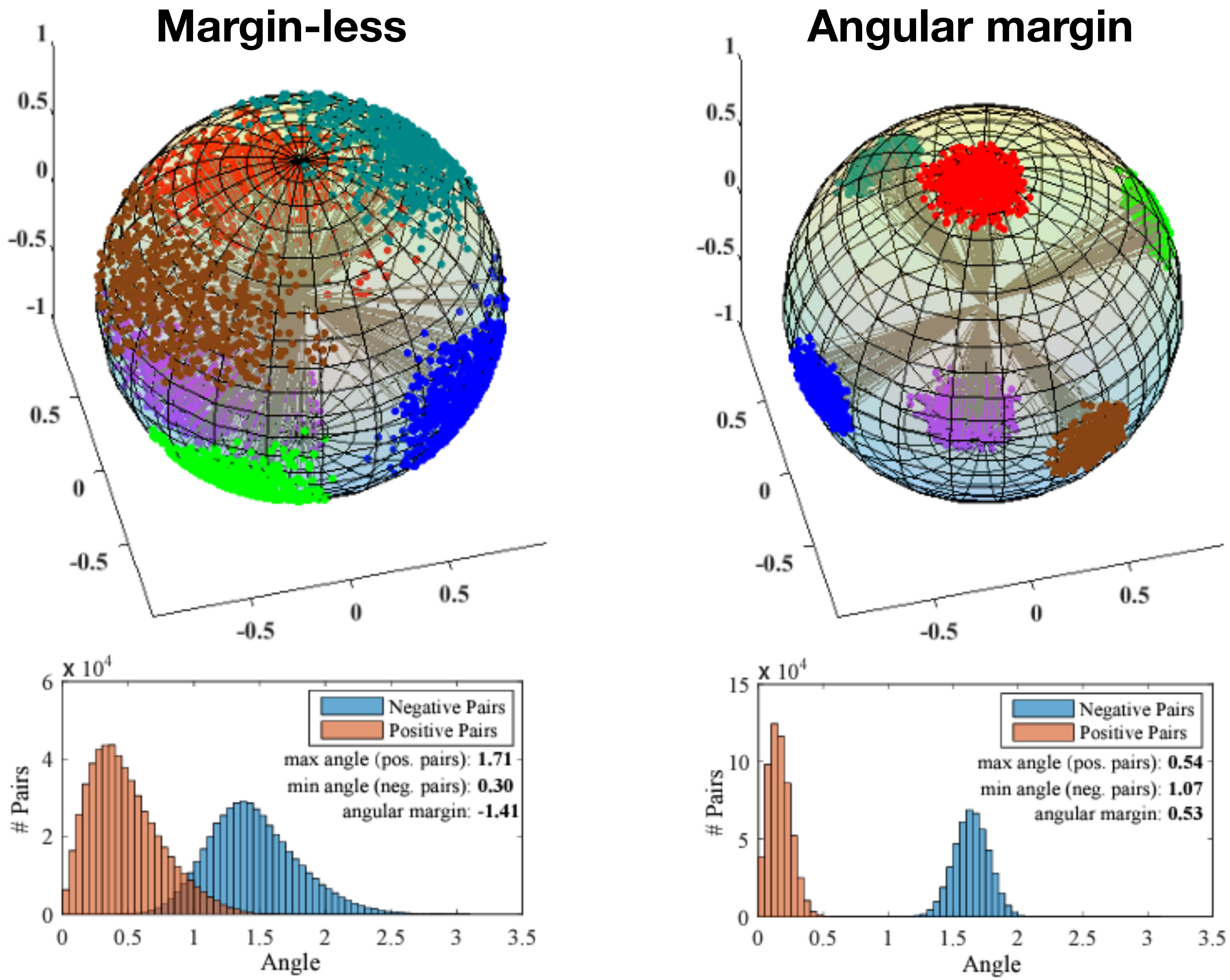

Data-Driven Face Recognition

Problems

Accountability
You must understand what the network is using to classify samples.

You must avoid this in the case of Face Recognition!

https://twitter.com/EricTopol/status/1161657580675985409

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Data-Driven Face Recognition

Problems

Accountability
You must understand what the network is using to classify samples.

Comments on:
https://www.youtube.com/watch?v=rga2-d1oi30

Automated Inference on Criminality using Face Images

Xiaolin Wu
Shanghai Jiao Tong University
xwu510@gmail.com

Xi Zhang
Shanghai Jiao Tong University
zhangxi_19930818@sjtu.edu.cn

Abstract

We study, for the first time, automated inference on criminality based solely on still face images. Via supervised machine learning, we build four classifiers (logistic regression, KNN, SVM, CNN) using facial images of 1856 real persons controlled for race, gender, age and facial expressions, nearly half of whom were convicted criminals, for discriminating between criminals and non-criminals. All four classifiers perform consistently well and produce evidence for the validity of automated face-induced inference on crim-

people share the belief that the face alone suffices to reveal innate traits of a person. Aristotle in his famous work Prior Analytics asserted, "It is possible to infer character from features, if it is granted that the body and the soul are changed together by the natural affections". Psychologists have known, for as long as a millennium, the human tendency of inferring innate traits and social attributes (e.g., the trustworthiness, dominance) of a person from his/her facial appearance, and a robust consensus of individuals' inferences . These are the facts found through numerous studies [2, 32, 4, 5, 9, 20, 21, 27, 25].

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Data-Driven Face Recognition

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https://www.youtube.com/watch?v=rga2-d1oi30

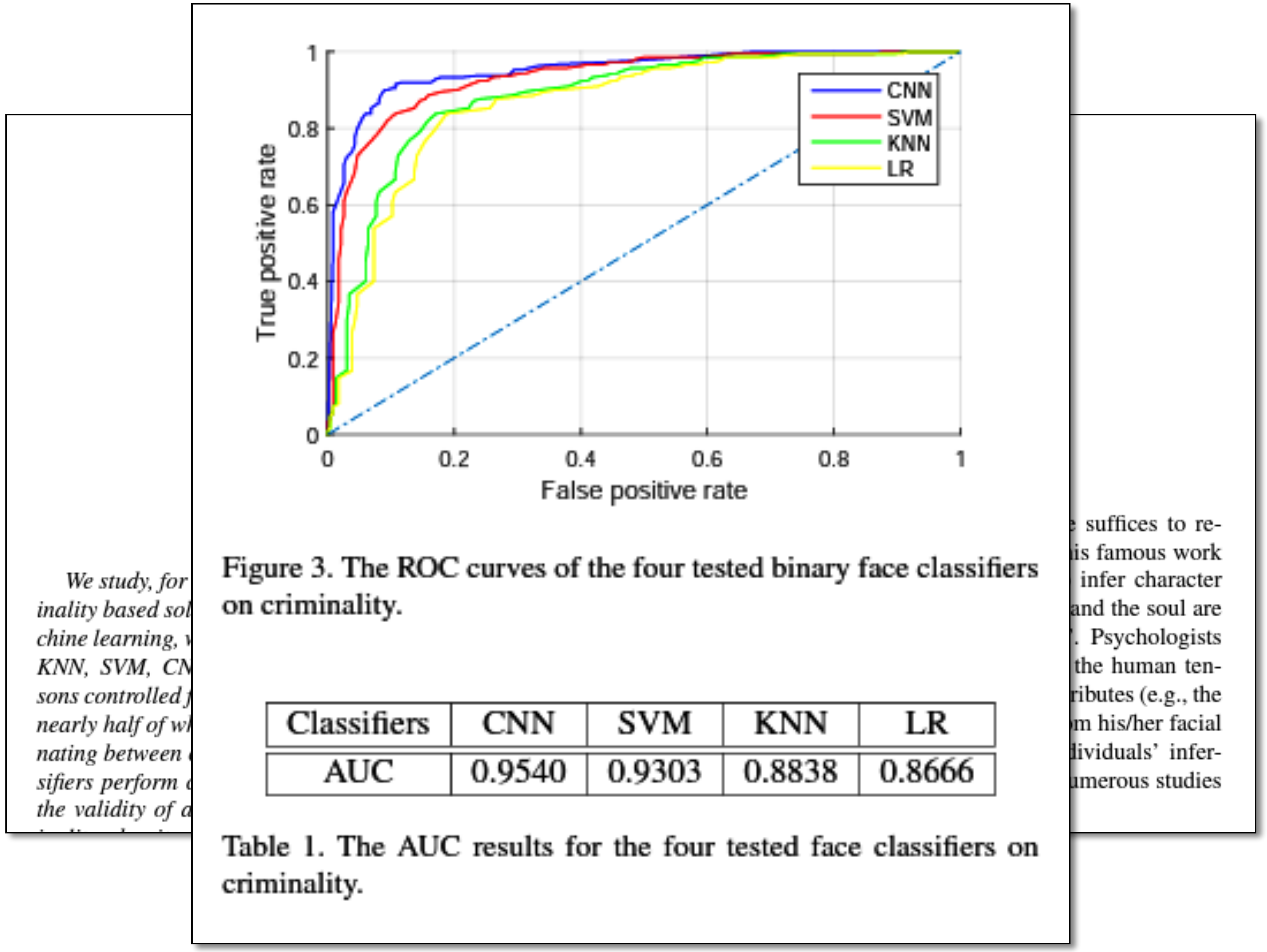

Figure 3. The ROC curves of the four tested binary face classifiers on criminality.

Classifiers	CNN	SVM	KNN	LR
AUC	0.9540	0.9303	0.8838	0.8666

Table 1. The AUC results for the four tested face classifiers on criminality.

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Data-Driven Face Recognition

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Comments on:
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Data-Driven Face Recognition

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Deep Neural Networks Are More Accurate Than Humans at Detecting Sexual Orientation From Facial Images

By Michal Kosinski, Yilun Wang

Journal of Personality and Social Psychology. February 2018, Vol. 114, Issue 2, Pages 246-257.

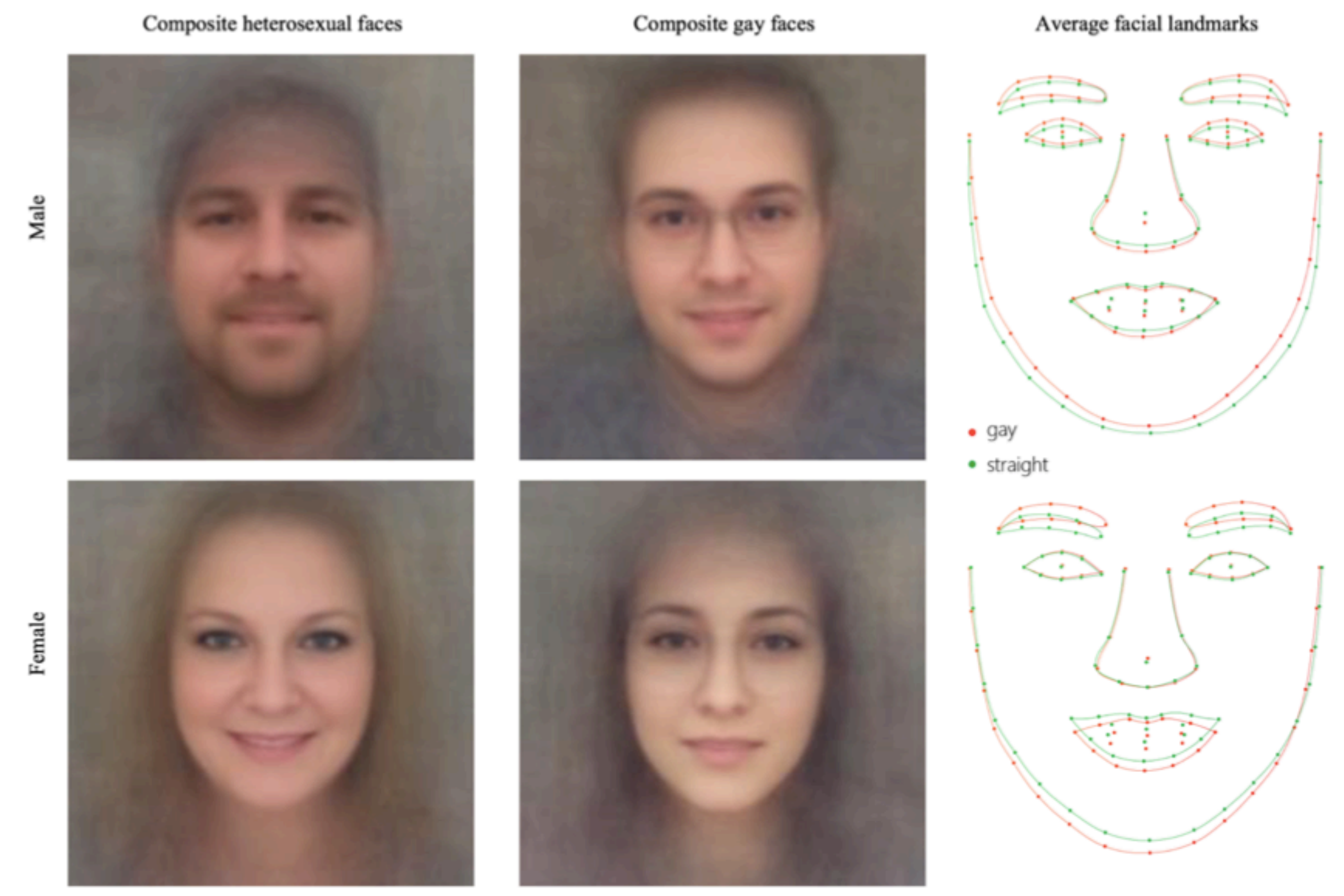

Figure 4. Composite faces and the average facial landmarks built by averaging faces classified as most and least likely to be gay.

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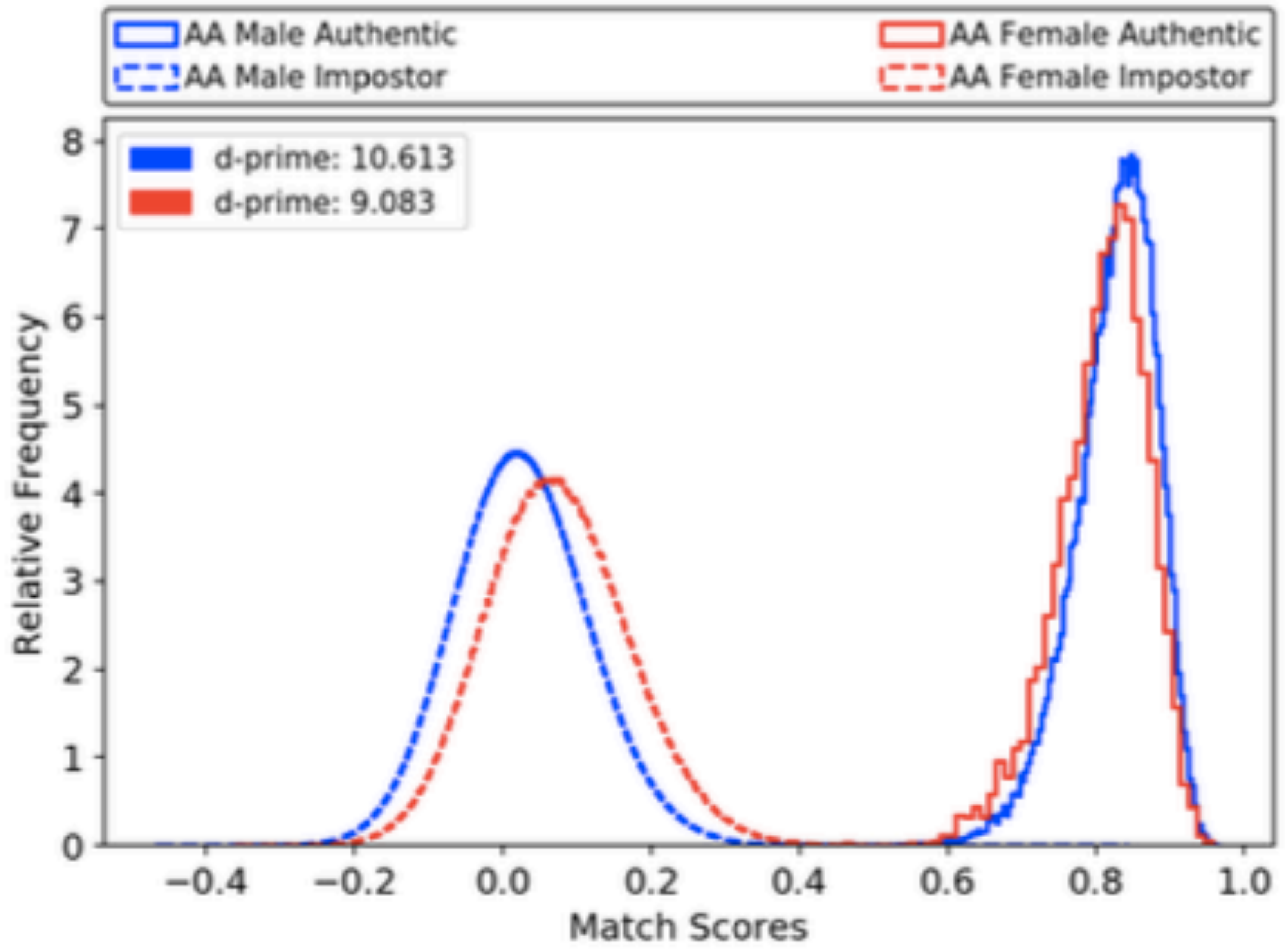
Data-Driven Face Recognition

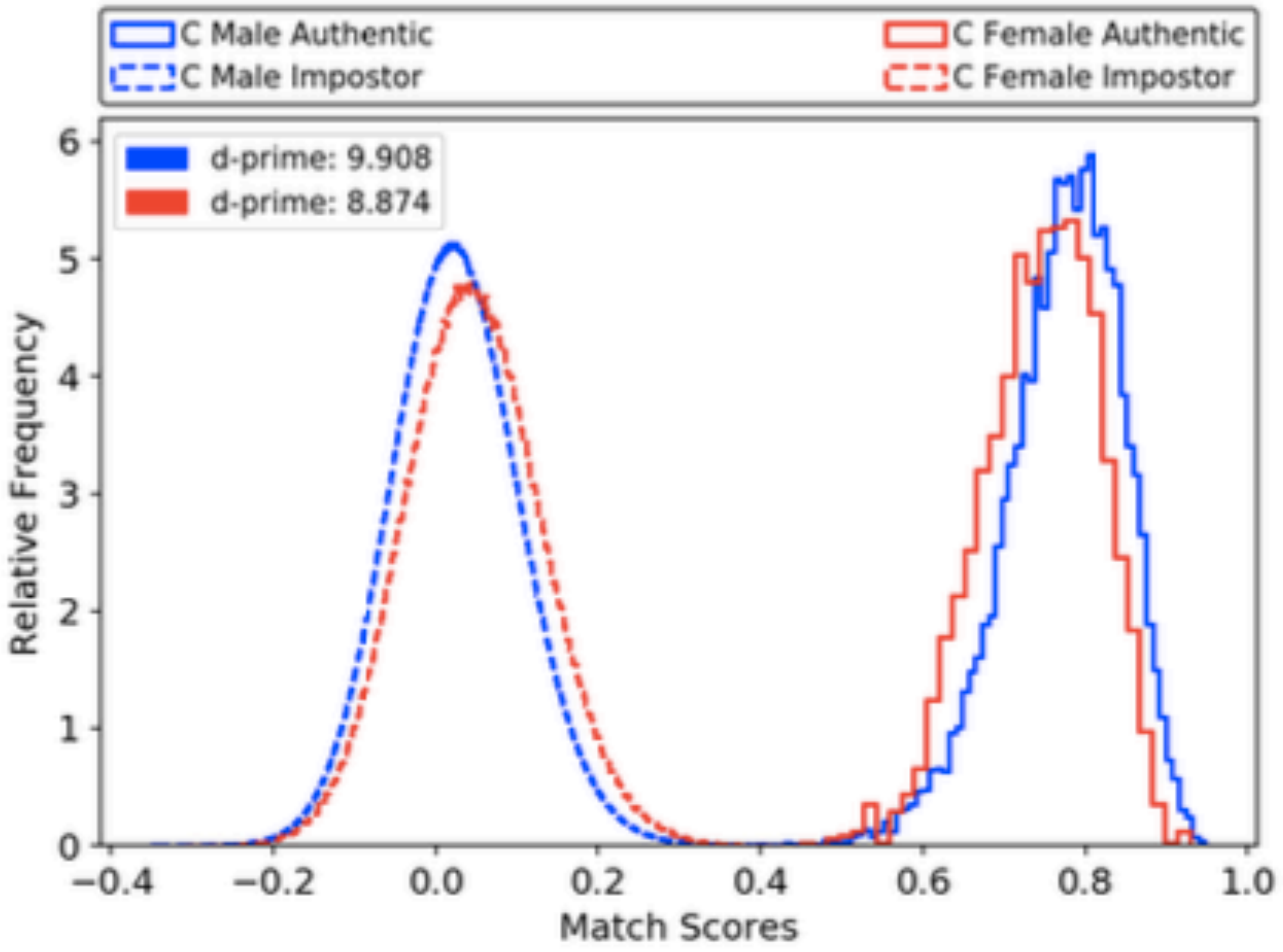
Notre Dame Preliminary Studies

Dr. Bowyer at CVRL

ArcFace performance trained on MORPH dataset.



(a) MORPH African American



(b) MORPH Caucasian

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MORPH: A Longitudinal Image Database of Normal Adult Age-Progression

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3.2. Statistics

As of this writing, the database contains 1,724 face images of 515 individuals. These images represent a diverse population with respect to age, gender, and ethnicity. There are 1,278 images of individuals of African-American decent, 433 images of individuals of Caucasian decent and 3 images classified as other. There are 294 images of females and 1,430 images of males. For the male images, seventy-six percent have some form of facial hair, usually a mustache.

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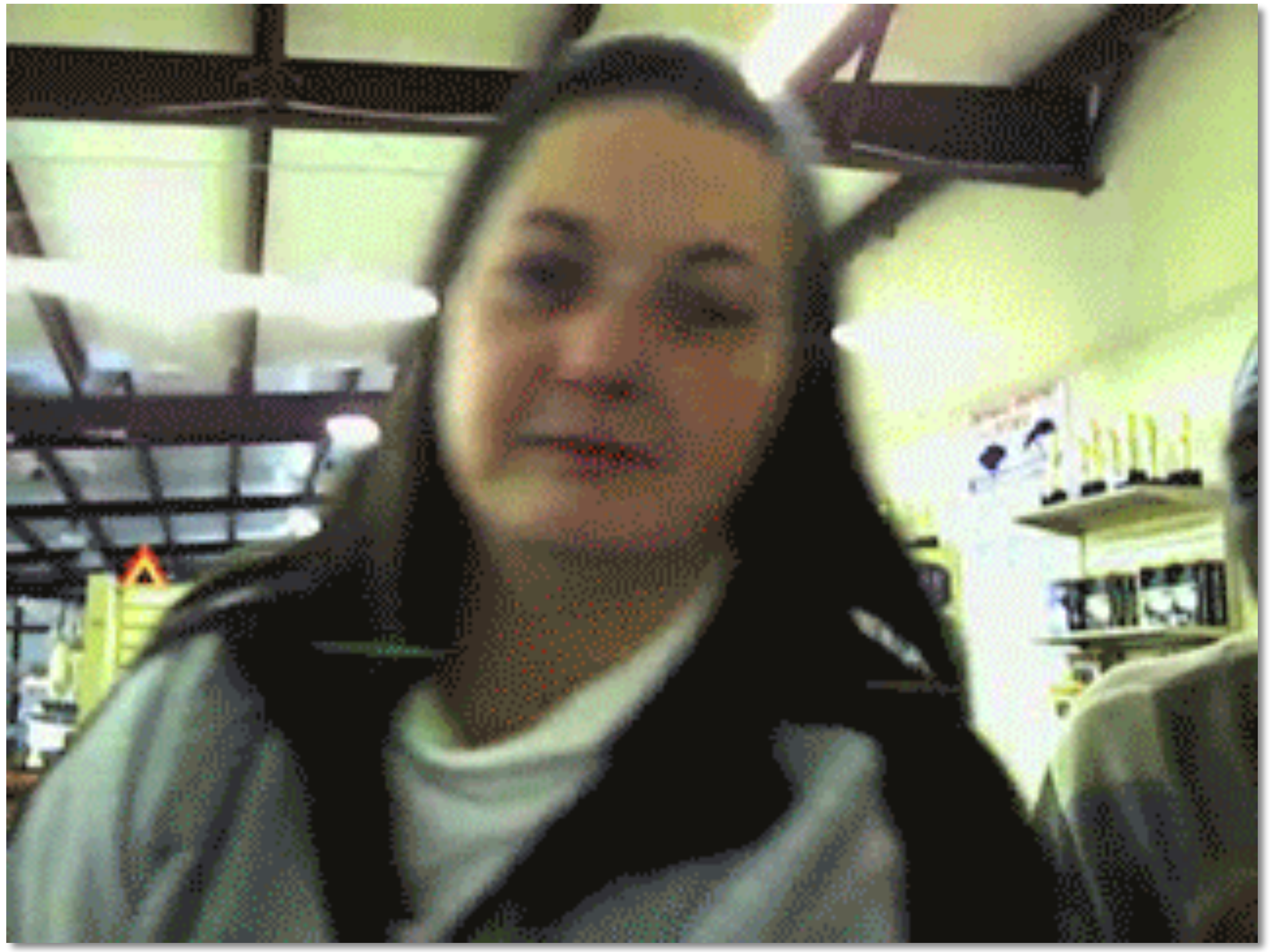
Data-Driven Face Recognition

Problems

Bias
What happens if you train the network only with one type of faces (e.g., with only young caucasians)?



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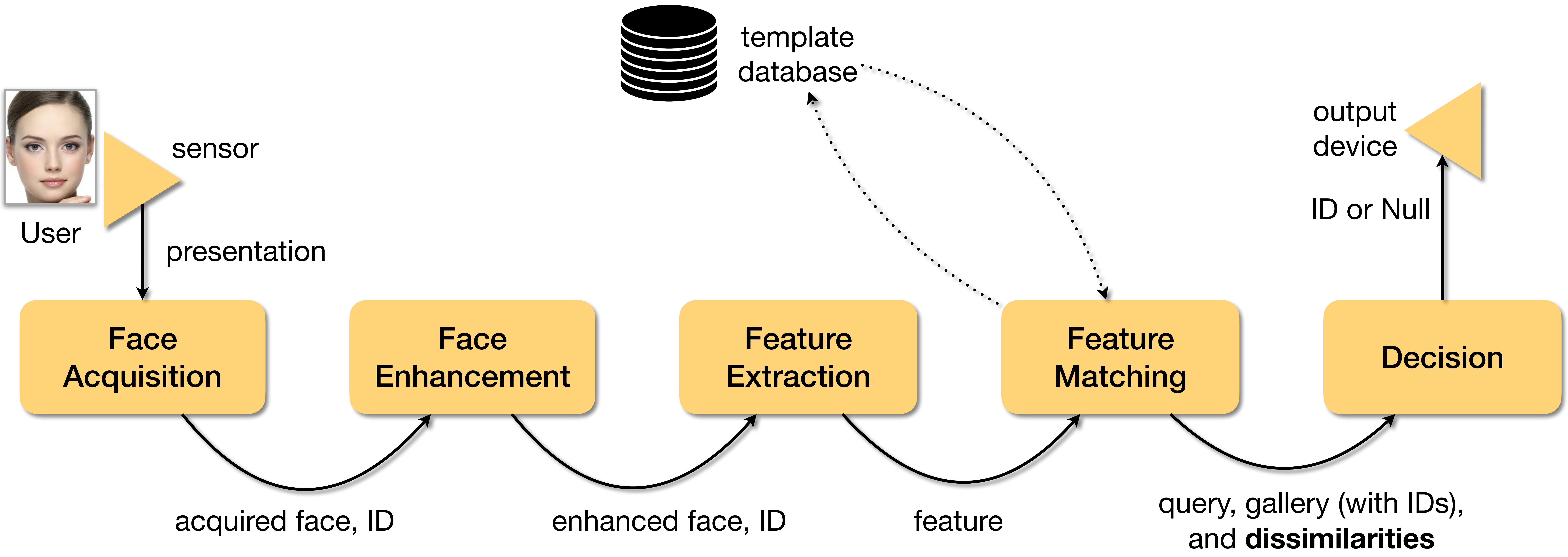
Data-Driven Face Recognition

Problems

Avoid Bias
Diversify the training dataset.

There are synthetic ways to do it…
(FaceGen demonstration)

S’up Next?


template
database
sensor
User
presentation
output
device
ID or Null
Face
Acquisition
Face
Enhancement
Feature
Extraction
Feature
Matching
Decision
acquired face, ID
enhanced face, ID
feature
query, gallery (with IDs),
and **dissimilarities**

S’up Next?

Face Recognition Coding Class
Please bring your computers.

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