

Fingerprint Recognition I

CSE 40537/60537 Biometrics

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Spring 2020



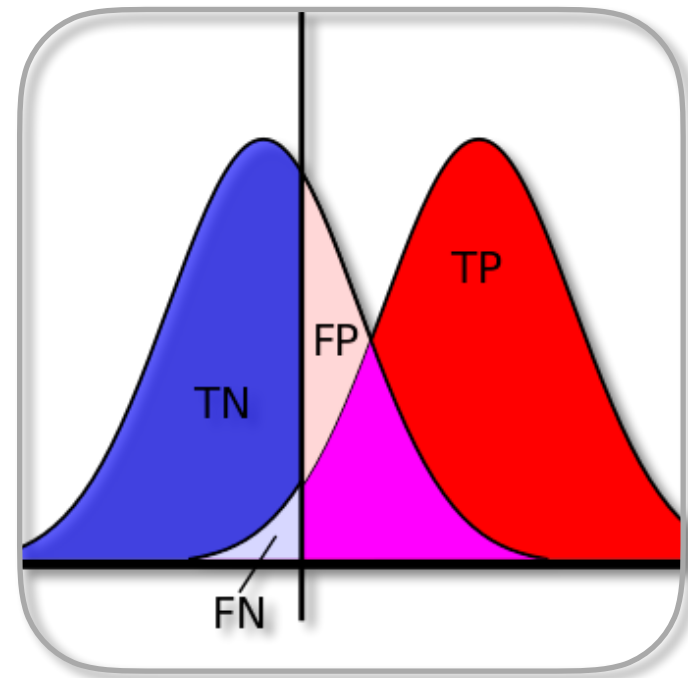
Today you will...

Get to know

The history of the usage of fingerprints.
Useful fingerprint features.

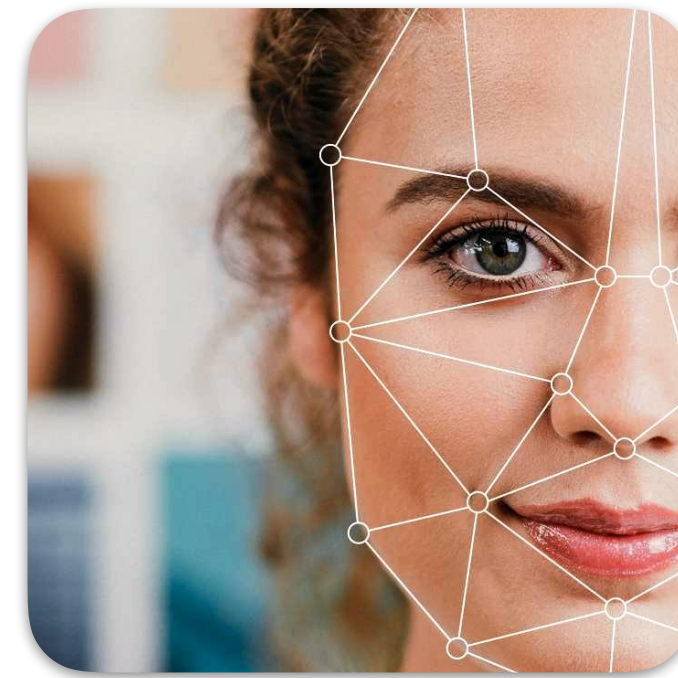
Course Overview

Content



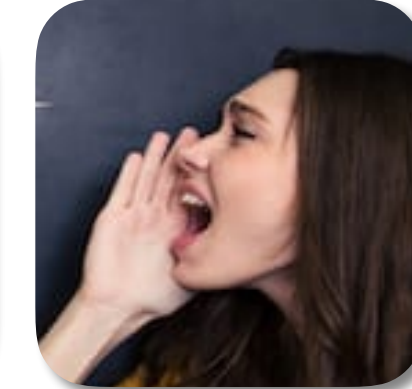
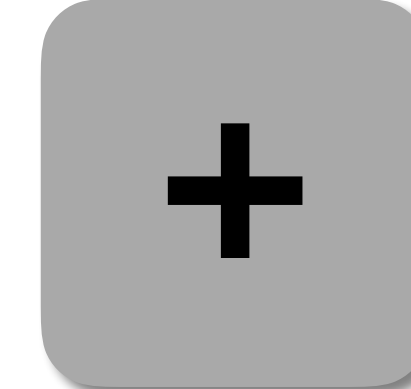
Basics

Concepts
Metrics
Metric
implementation



Core Traits (3)

Concepts
Baseline implementation
Data collection
Evaluation
Attacks
Assignments



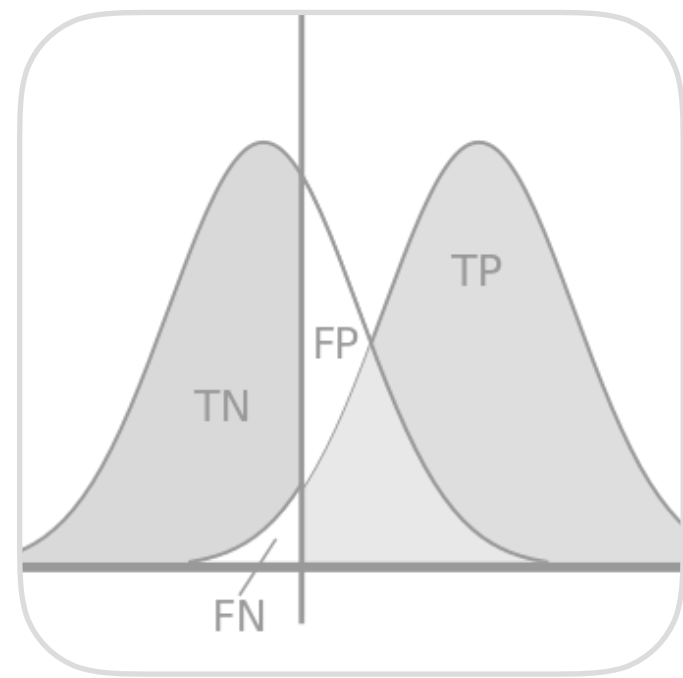
**Alternative Traits and
Fusion
Concepts**



Invited Talks (2)
State of the art
Future work

Course Overview

Content



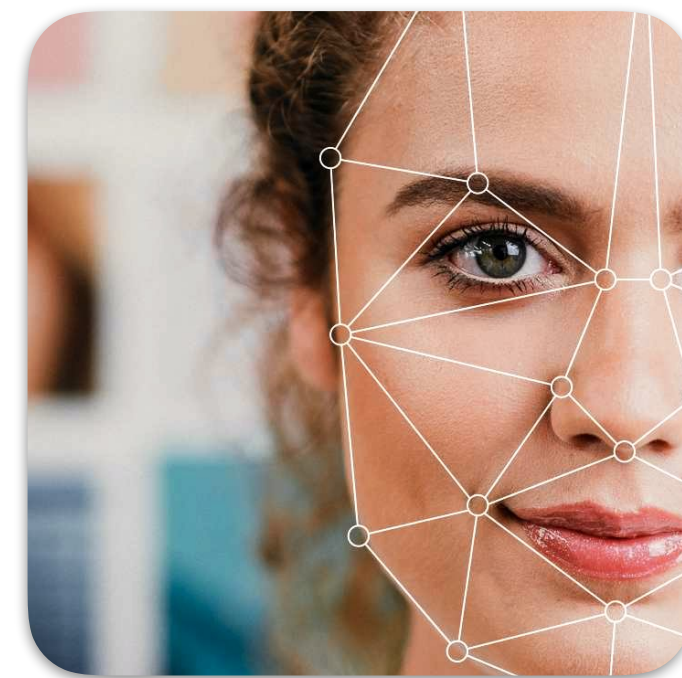
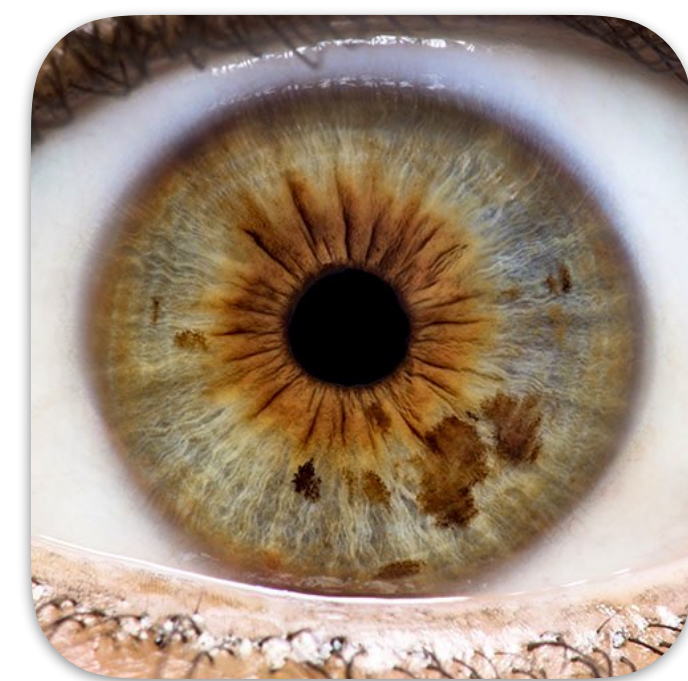
Basics

Concepts

Metrics

Metric

implementation



Core Traits (3)

Concepts

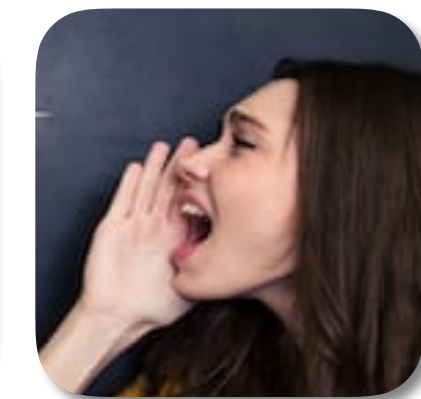
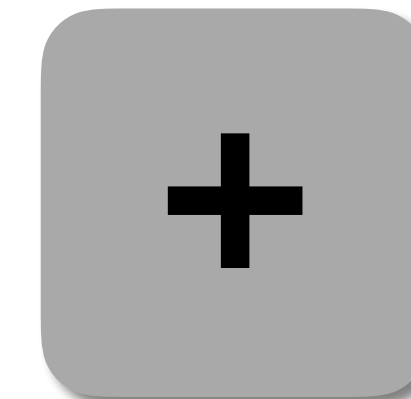
Baseline implementation

Data collection

Evaluation

Attacks

Assignments



Alternative Traits and Fusion

Concepts



Invited Talks (2)

State of the art

Future work

History

Nehemiah Grew (UK, 1684)
Pioneering scientist.

Described the existence of
ridges, valleys, and
sweat pores.



History

Marcello Malpighi
(University of Bologna, Italy, 1686)

Pioneering classification of fingerprints.

Noticed that there were similar patterns across fingerprints, which could be used to group samples.



History

Sir William Herschel (UK, 1858)

Pioneering usage of fingerprints for identification.

Noticed the uniqueness and permanence of fingerprints.

Used fingerprints within contracts while working as an officer in the Indian Civil Service.

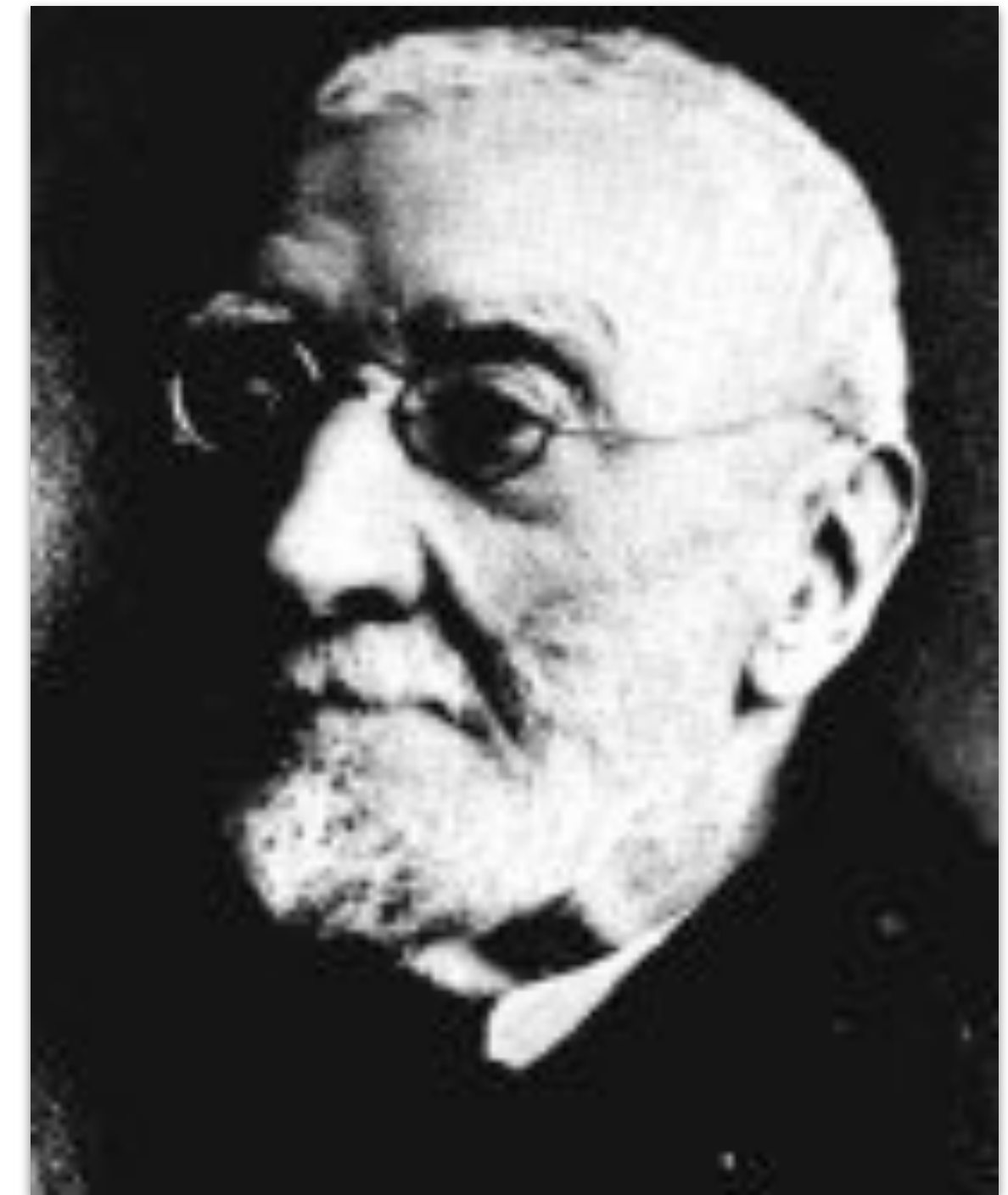


History

Henry Faulds (UK, 1880)

Pioneering usage of fingerprints in a forensic scenario.

Collected a latent fingerprint from a bottle and identified the author of a theft in a hospital in Tokyo.

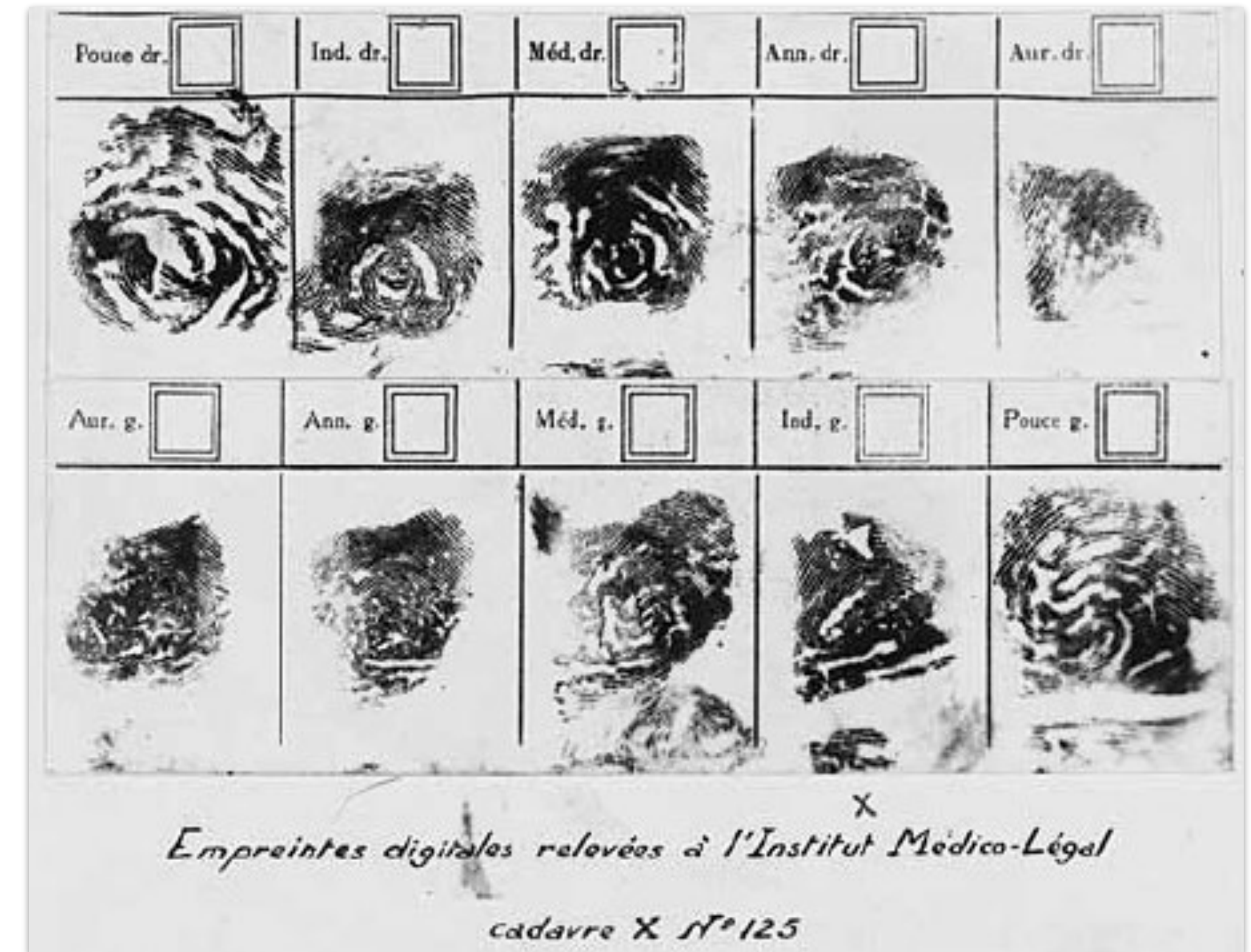


History

Henry Faulds (UK, 1880)

Pioneering usage of fingerprints in a forensic scenario.

Performed the first experiments showing the uniqueness of fingerprints.

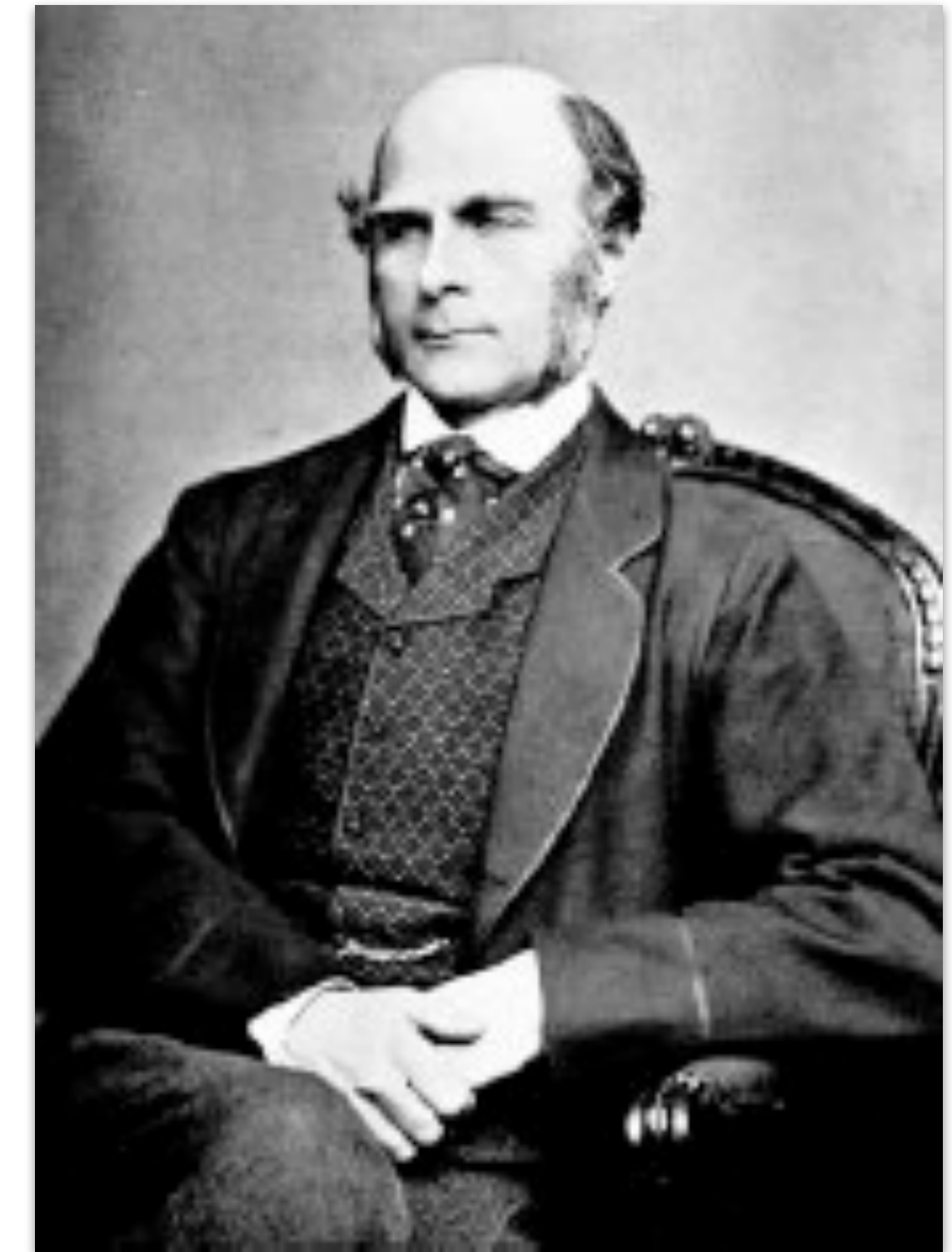


History

Sir Francis Galton (UK, 1888)

Pioneering method of feature extraction.

Definition of **singular points** and **minutiae**, a.k.a. Galton's details (more details soon).



History

Galton, F.
Finger Prints
MacMillan and Co., New York, 1892

Sir Francis Galton (UK, 1888)

Pioneering method of feature extraction.

Publication of book “Finger Prints”,
containing fundamental contributions to Biometrics.
Estimate of 2 people presenting the
same fingerprint: **1 in 64 billion**.

Book available at:
<http://galton.org/books/finger-prints/galton-1892-fingerprints-1up.pdf>



History

Sir Francis Galton (UK, 1888)

Pioneer method of feature extraction.

**New York Times book review
(Jan 1893)**

What Mr. Galton wants to show is that through the prints made by the finger tips we have an absolute method of identification. **As to that stupid thing, palmistry, our authority says it has no more significance than the creases on old clothes.**

MR. GALTON ON FINGER PRINTS.
FINGER PRINTS. By Francis Galton, F. R. S.
New-York: Macmillan & Co.

Mr. Galton devotes his life to the elucidation of the queer and the curious. Undoubtedly there is nothing a man masters which is not of some benefit to his fellows, though centuries may elapse before the application comes. In his present volume Mr. Galton gives the results of a number of years of research, devoted to those tiny ridges of skin which appear in the ends of the fingers. They are the so-called "papillary" ridges. Carried away by his enthusiasm, Mr. Galton declares that these markings "are in some respects the most important of all anthropological data." He makes, too, the statement that they "have the unique merit of retaining all their peculiarities unchanged throughout life, and afford in consequence an incomparably surer criterion of identity than any other bodily feature."

The presence of these minute ridges on the finger tips became the subject of physiological study long ago. Strangely enough, they are perfectly defined in monkeys, but appear "in a much less advanced stage in other mammalia." We know that the finger tips are studded with pores. There are an infinite number of mouths always open which lead to ducts that secrete perspiration. The ridges must assist touch, as they "help in the discrimination of the character of surfaces that are variously rubbed as held between the fingers. These ridges are visible in the child unborn; they increase with the growth of the individual, and are sharply defined until old age sets in. Moderate work develops them, and they are visible on the toes. They are faintly developed in the hands of ladies." The ensuing statement used by Mr. Galton is not fortunate, for he adds that "they are not visible on the fingers of idiots of the lowest type, who are incapable of laboring at all."

What Mr. Galton wants to show is that through the prints made by the finger tips we have an absolute method of identification. As to that stupid thing, palmistry, our authority says it has no more significance than have the creases on old clothes. The ridges Mr. Galton divides into three categories of arches, loops, and whorls, and his book abounds in curious pictures or finger prints, magnified by means of the camera. It seems to us to be terribly complex. As no two persons' finger tips are considered to be alike, and as there is individualism in the fingers of the right and left hand, and there are ten fingers in all, there would have to be ten distinct examinations before an identification could be positive.

When one comes to the real practical use of the finger-mark method it seems to have none. If there be any reliance to be put in it as a means of identification it would require an expert having uncommon powers of observation. When we are told that there are "about thirty-five points [of resemblance] situated on the bulb of each of the ten digits, in addition to more than 100 on the ball of the thumb," it may be seen how troublesome the matter is likely to be. Then, as one has to work up over a thousand points on his own hands, or on somebody else's hands, hours, days, and weeks might elapse before anything like a conclusion could be reached. Scientifically, when further treated, the subject may be of minor interest; practically, it has none at all. The book, of course, shows that diligence and hard work which are common to everything Mr. Galton does, but, really, "the play is not worth the candle."

The New York Times
Published: January 1, 1893
Copyright © The New York Times

History

Juan Vucetich (Argentina, 1892)

Pioneering criminal conviction based on fingerprints.

Rojas case

Woman accused of murder based on bloody fingerprint left at crime scene.



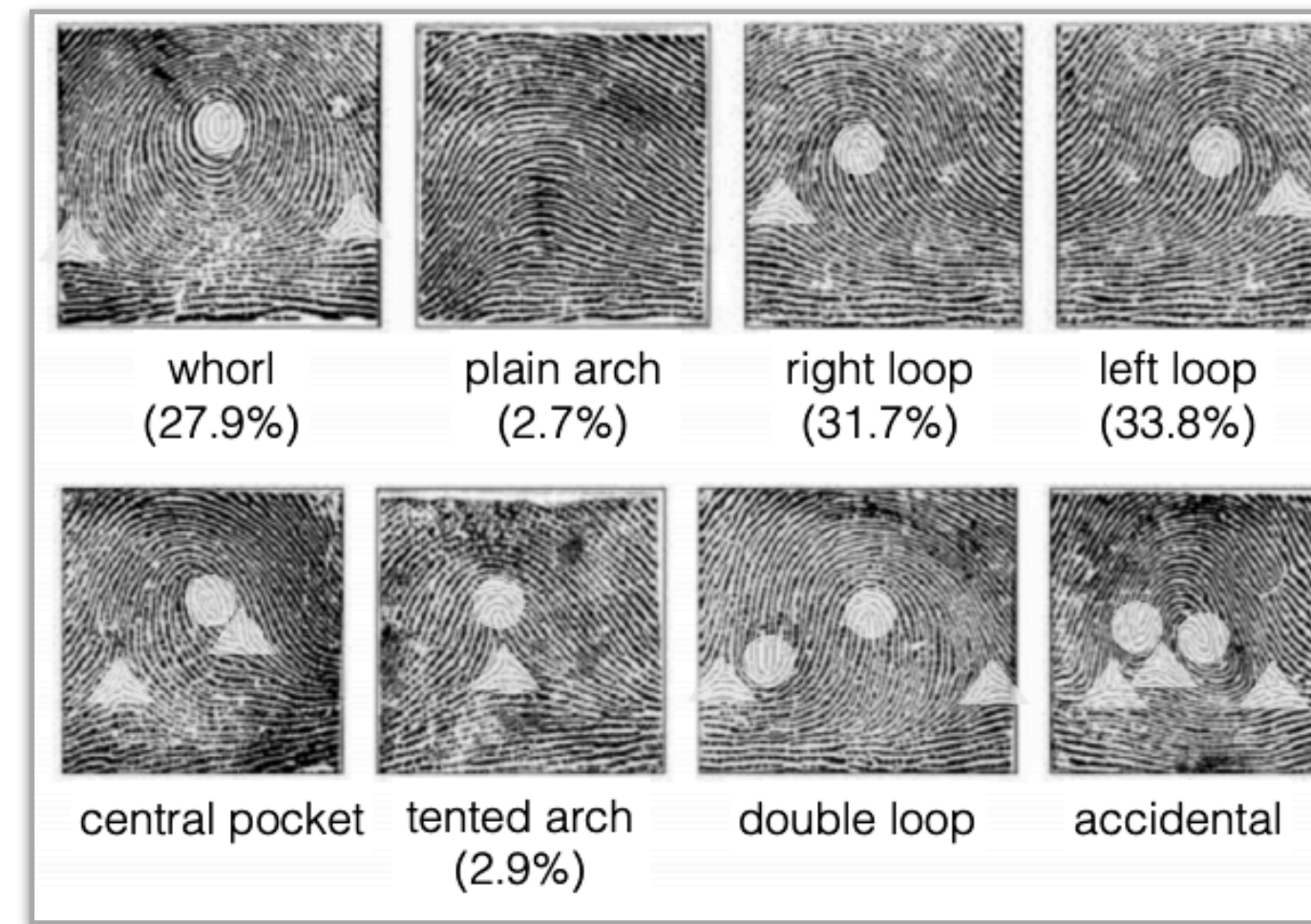
History

Sir Edward Henry (UK, 1897)

Pioneering fingerprint classification and indexing method.

Work at Calcutta, India

Speeded up the process of searching for fingerprints.



Henry's fingerprint classification.



History

Edmond Locard (France, 1910)

Pioneering methodology
to be adopted in court.

A defendant should be pronounced
guilty if at least 12 features match
in the sample and reference material.



History

XX-Century Acceptance

Scotland Yard, 1903

Fingerprints start to be officially used.



International Association for Identification, 1915

Creation of the largest forensic association in the world.



FBI, 1924

Fingerprint Identification Division is established.

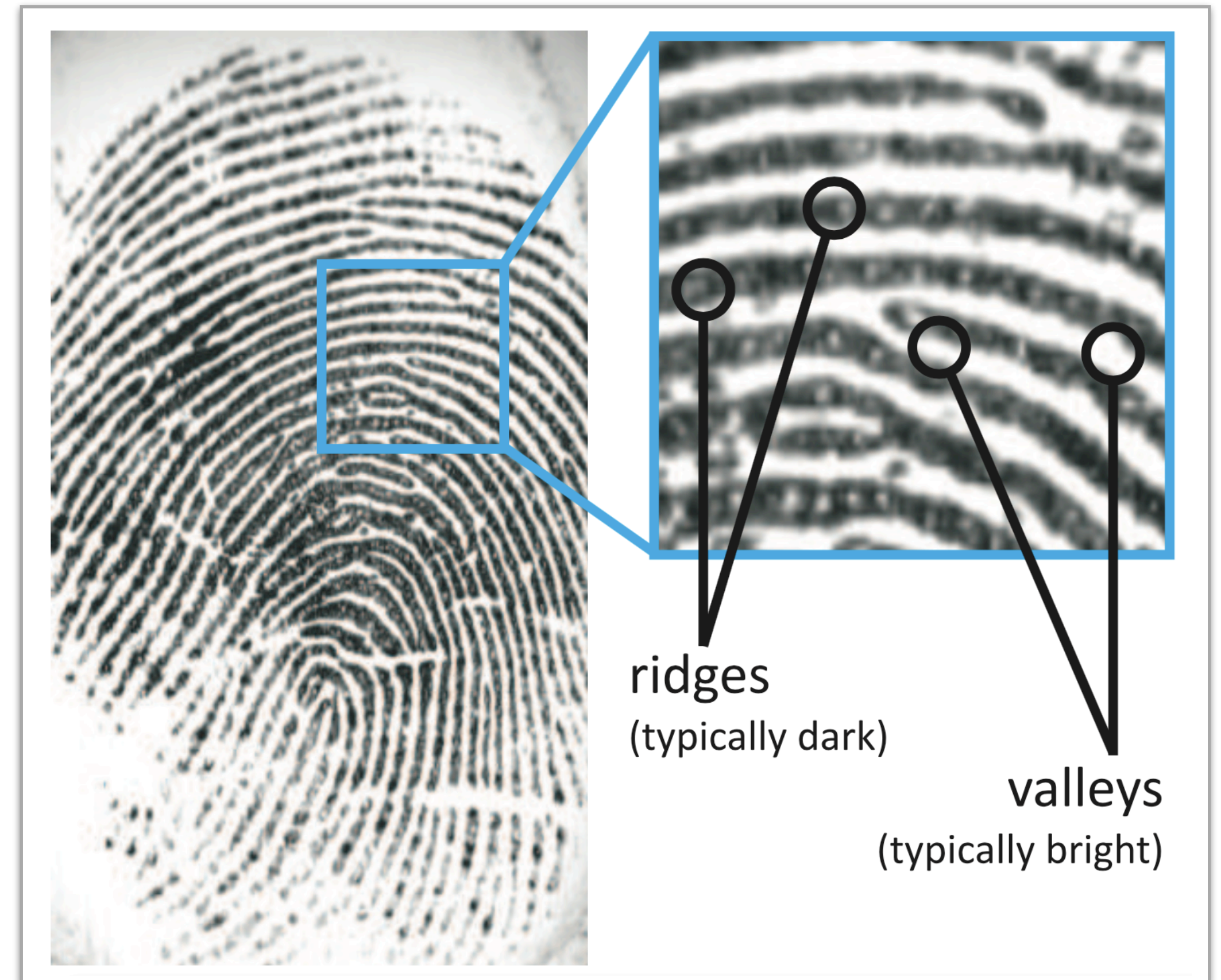


Features

What do we observe in fingerprints?

Ridges and Valleys

Embryology hypothesis:
Ridges appear as a result of the stresses in the womb during the growth of the fetus.



Source: Dr. Adam Czajka

Features

**What do we observe
in fingerprints?**

Beyond Ridges and Valleys

Three types of features,
from coarse to fine levels:

- Level-1 Features
- Level-2 Features
- Level-3 Features



Features

What do we observe
in fingerprints?

Beyond Ridges and Valleys

Three types of features,
from coarse to fine levels:

- **Level-1 Features**
- Level-2 Features
- Level-3 Features



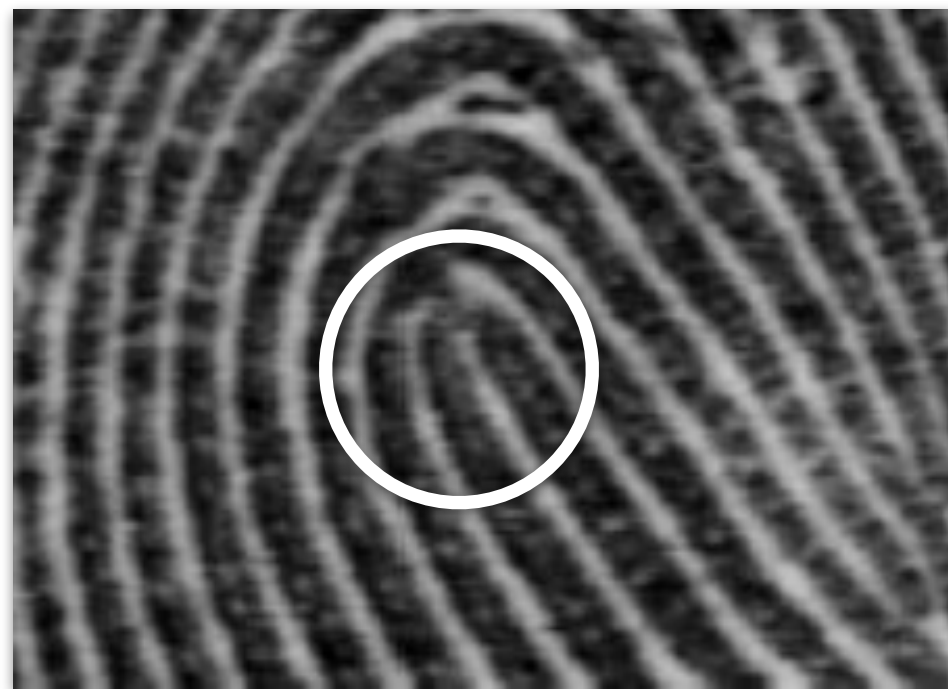
Features

Level-1 Features

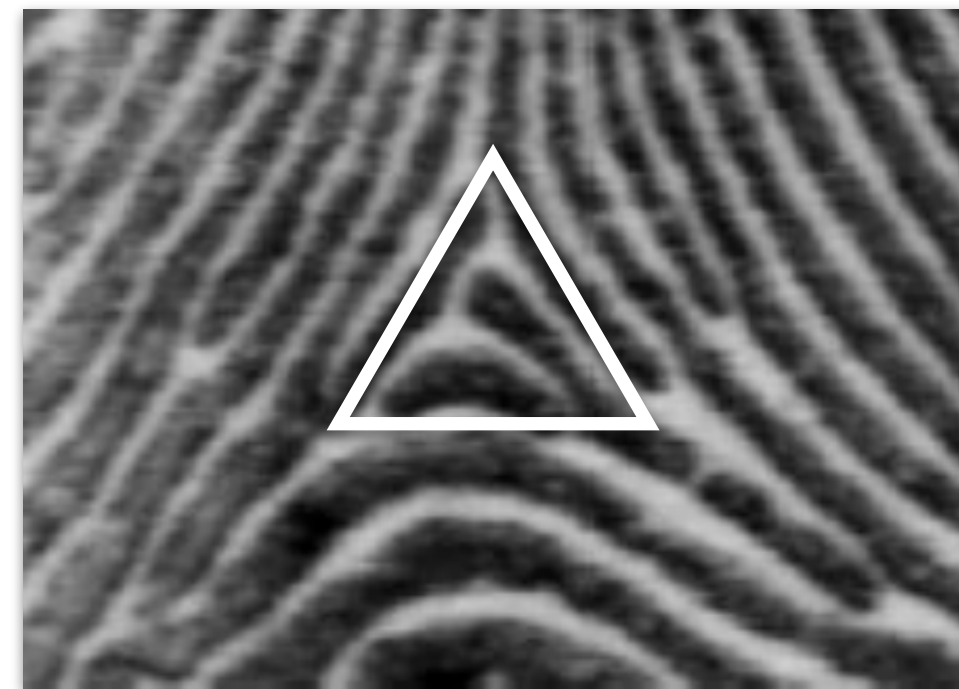
Observe singular points and core.

Useful capture resolution: 250 ppi (pixels per inch)

Singular Points



loop



delta

Jain, Ross, and Nadakumar
Introduction to Biometrics
Springer Books, 2011

Core

Up-most singular point

or (in case of no singular point)

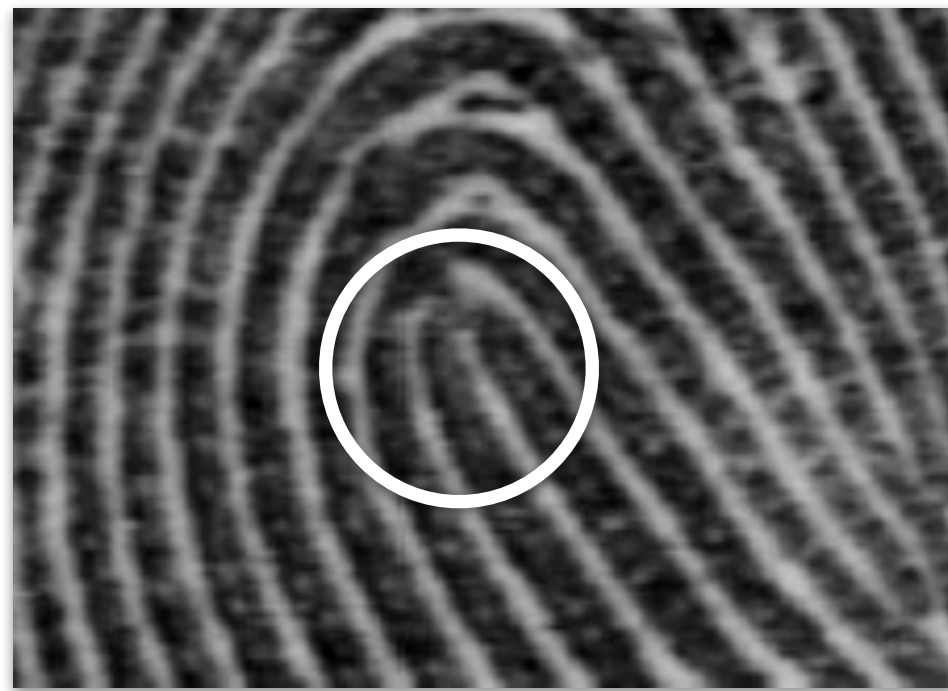
Point of maximum ridge curvature.

Features

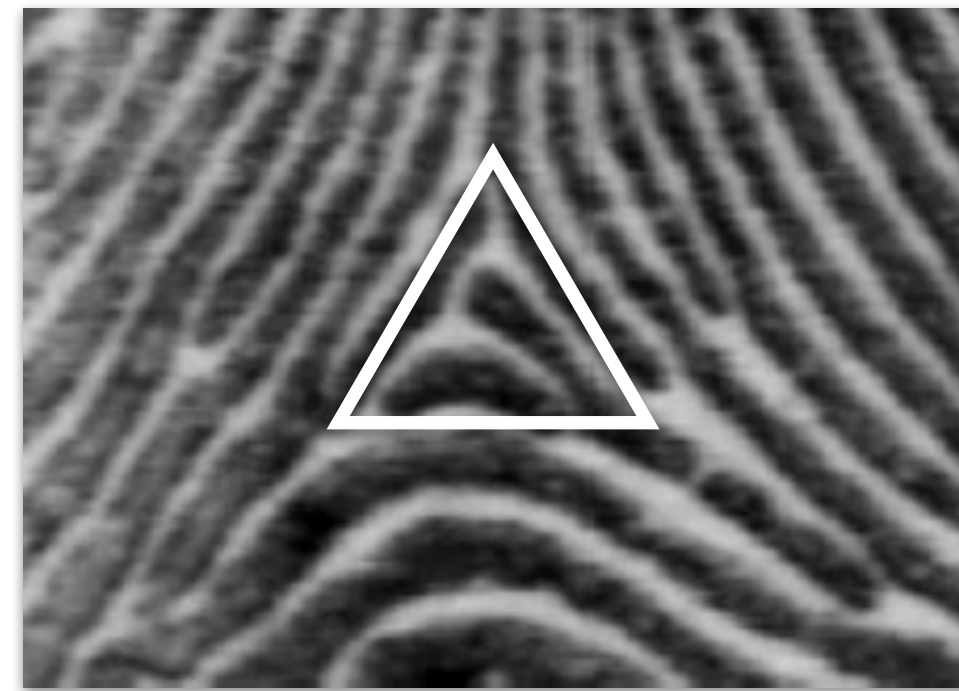
Level-1 Features

Observe singular points and core.

Usage of Singular Points and Core



loop



delta

Jain, Ross, and Nadakumar
Introduction to Biometrics
Springer Books, 2011

Alignment of two samples.
Fingerprint classification.

Features

Fingerprint Classification

Jain, Ross, and Nadakumar
Introduction to Biometrics
Springer Books, 2011



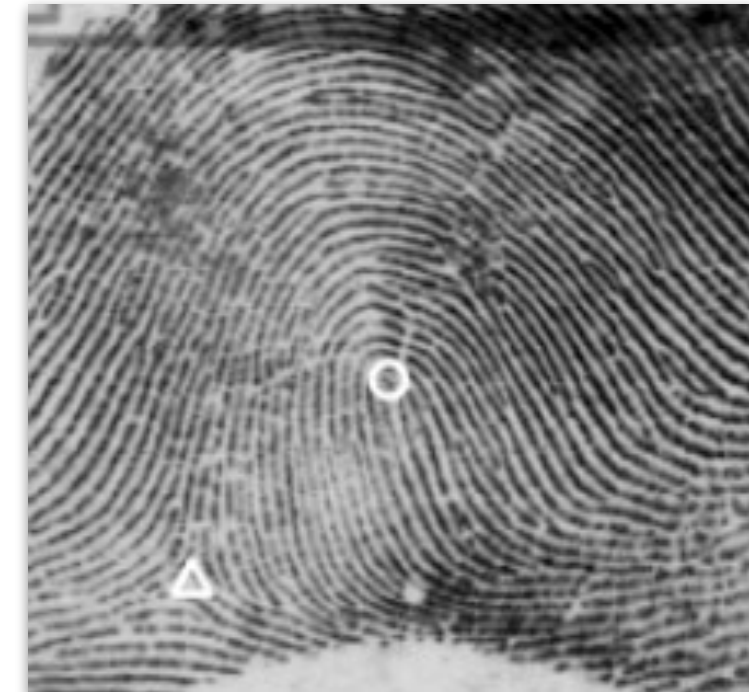
plain arch
4%



tented arch
3%

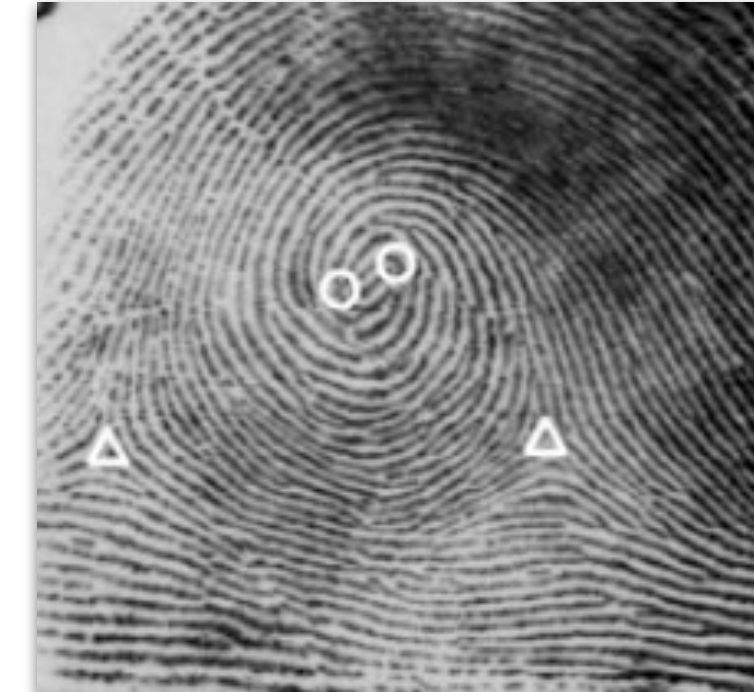


left loop

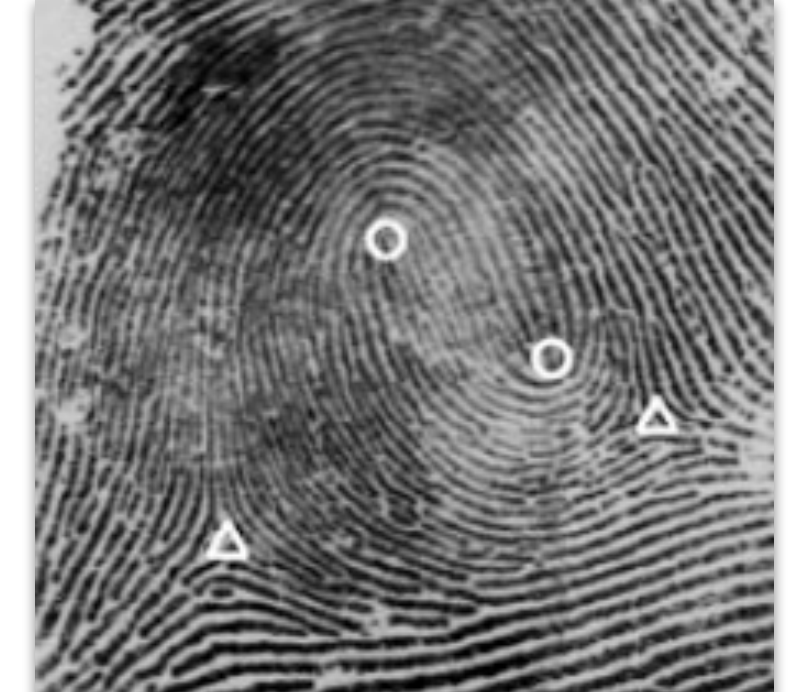


right loop

65%



whorl
24%



twin loop
4%

Percentages: frequencies of observation.

Features

How useful are level-1 features?

FBI Automated Fingerprint Identification system (AFIS)

More than 200 million dactyloscopy cards.
Varied quality of samples.

Estimated: one untrained person
would spend **67 years** to search
1.7 million cards.

P.D. 154, 10-24

NAME *Hickhouse* (alias *Hickhouse*, *Hickhouse*, *Hickhouse*) F. P. FORMULA *10:5* MALE

F. P. No. *3* BER. No. *10* REF. *1*

PRISON No. *10* *Alias A. Brown*

1. RIGHT THUMB 2. R. FORE FINGER 3. R. MIDDLE FINGER 4. R. RING FINGER 5. R. LITTLE FINGER

6. LEFT THUMB 7. L. FORE FINGER 8. L. MIDDLE FINGER 9. L. RING FINGER 10. L. LITTLE FINGER

LEFT HAND PLAIN IMPRESSIONS OF THE FOUR FINGERS TAKEN SIMULTANEOUSLY

RIGHT HAND PLAIN IMPRESSIONS OF THE FOUR FINGERS TAKEN SIMULTANEOUSLY

IDENTIFICATION SECTION
Department of Police
CHICAGO

Impressions taken by *1*

Classified at *1*

Tested at *1*

Date *1974*

Date *19*

Date *19*

Date *19*

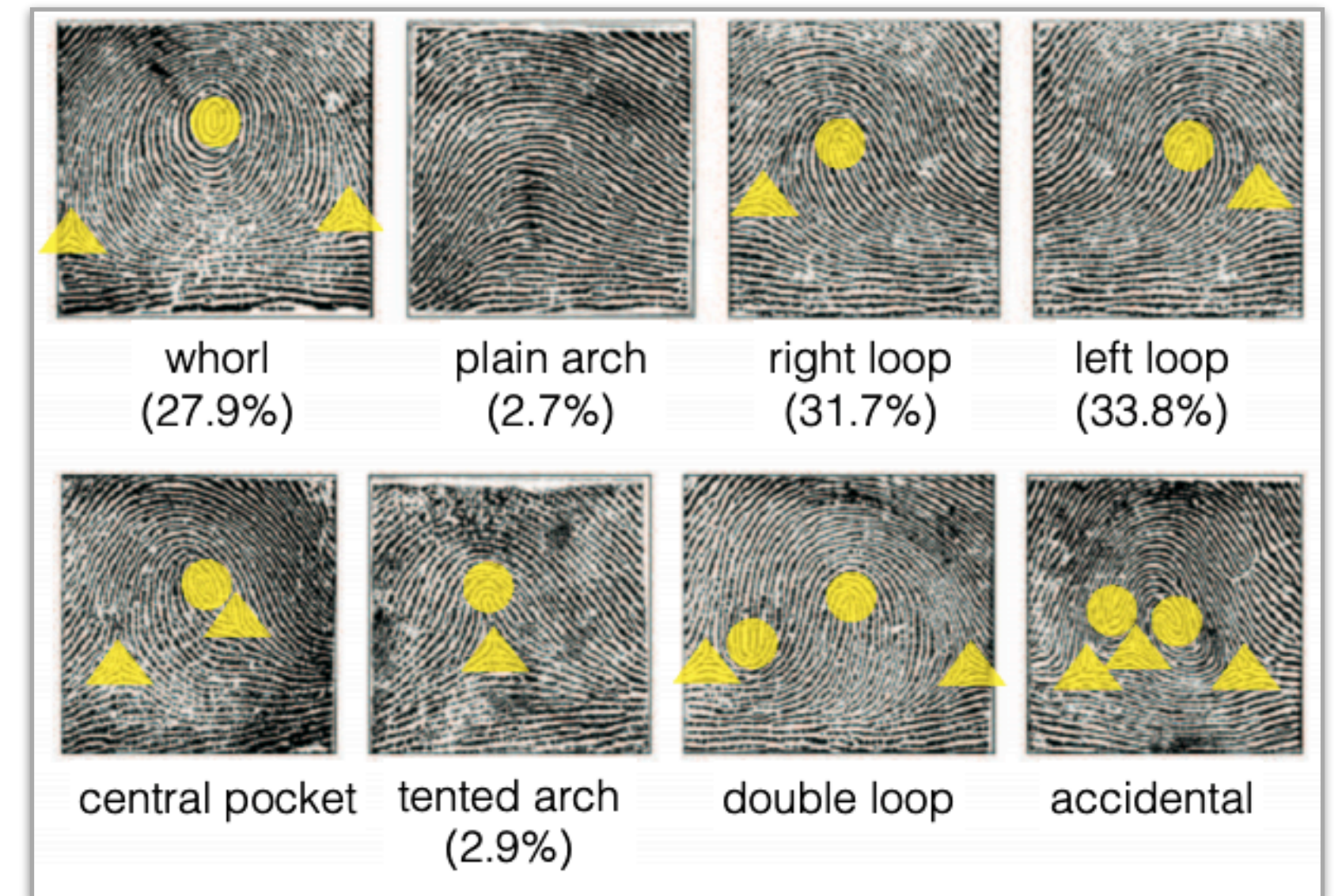
Features

How useful are level-1 features?

FBI Automated Fingerprint Identification system (AFIS)

More than 200 million dactyloscopy cards.
Varied quality of samples.

Thanks to fingerprint classification through level-1 features, this time is reduced to **20 min.**



Henry's features, an alternative classification of level-1 features with 8 classes.

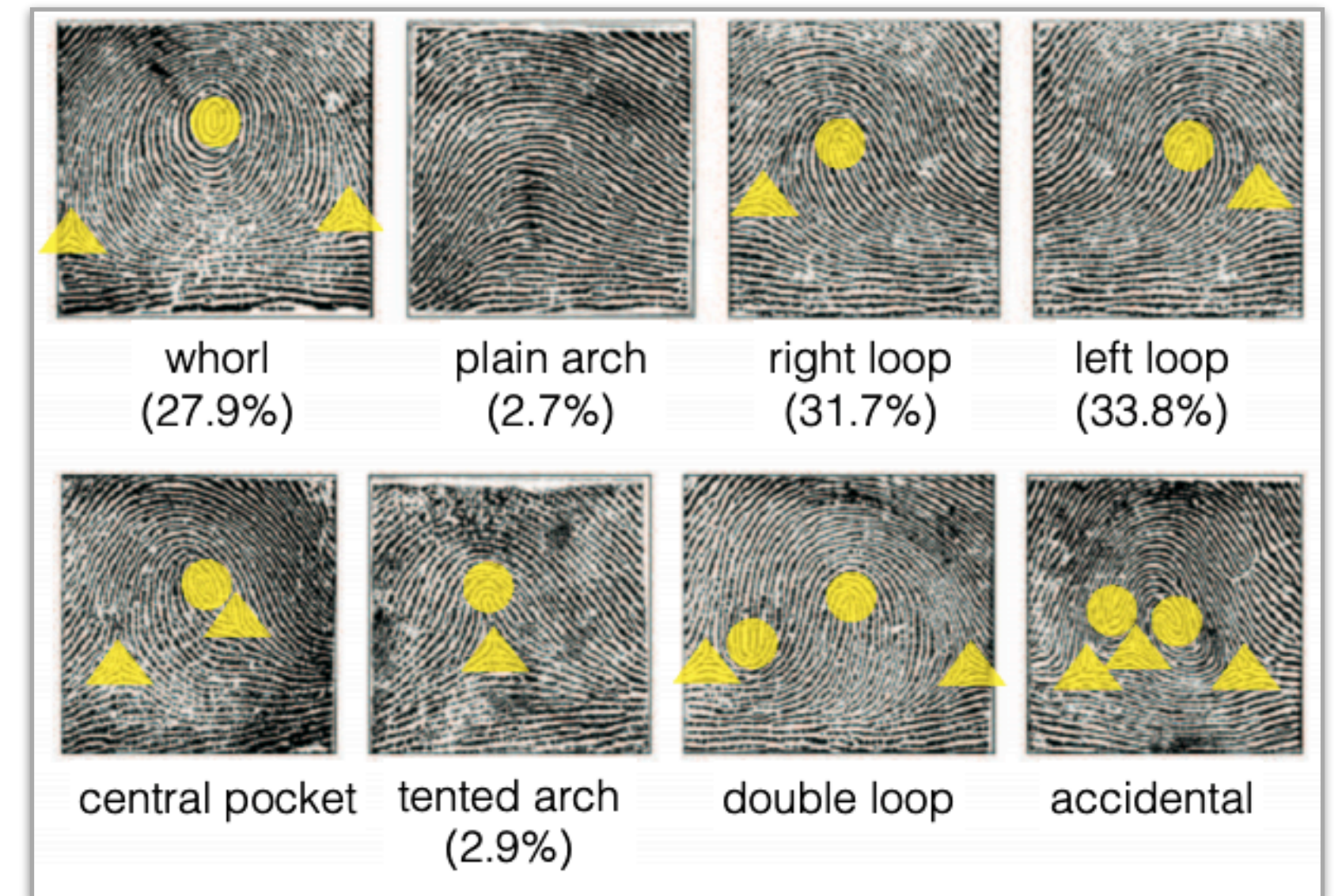
Features

How useful are level-1 features?

FBI Automated Fingerprint Identification system (AFIS)

More than 200 million dactyloscopy cards.
Varied quality of samples.

And a computer-based solution
can do it in seconds, benefitting from
the same features.



Henry's features, an alternative
classification of level-1 features
with 8 classes.

Features

What do we observe
in fingerprints?

Beyond Ridges and Valleys

Three types of features,
from coarse to fine levels:

- Level-1 Features
- **Level-2 Features**
- Level-3 Features



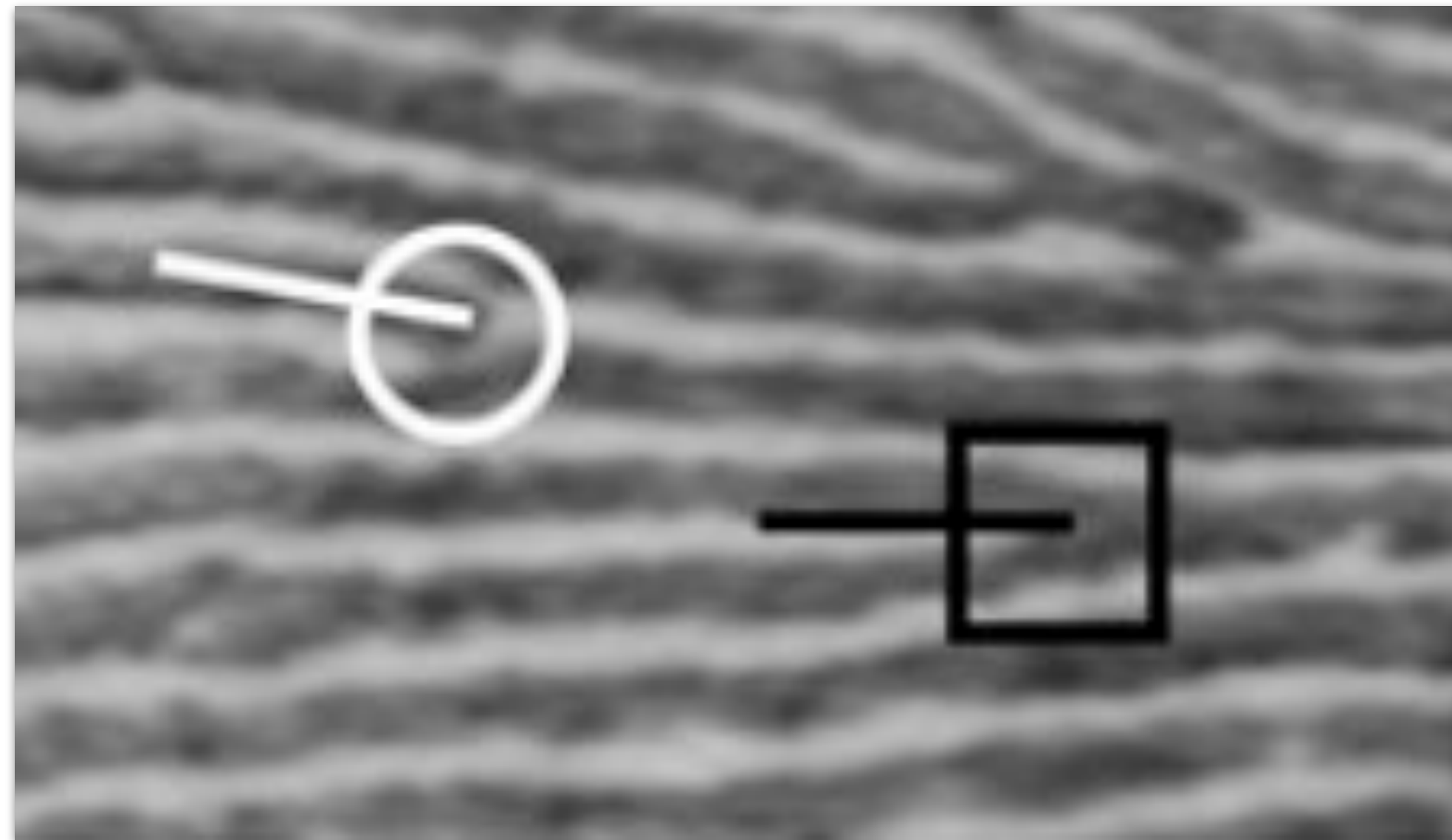
Features

Level-2 Features

Observe minutiae (Galton's details).

Useful capture resolution: 500 ppi

Ridge Ending



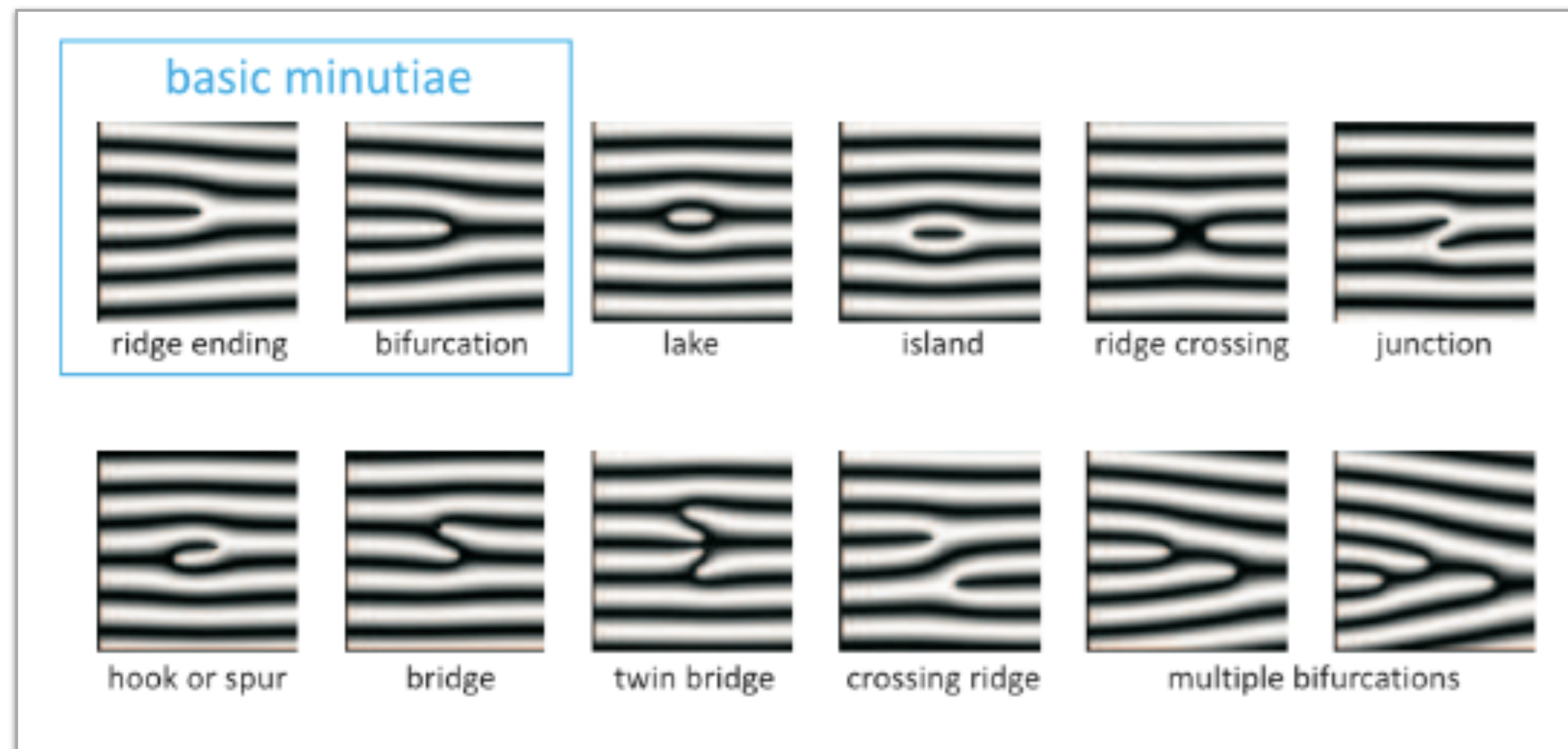
Ridge Bifurcation

Jain, Ross, and Nadakumar
Introduction to Biometrics
Springer Books, 2011

Features

Level-2 Features

Alternative minutiae.



Source:
www.optel.com.pl

Features

Level-2 Features

Usage of minutiae
Fingerprint matching.

More details on **how** to do it
in the upcoming classes.

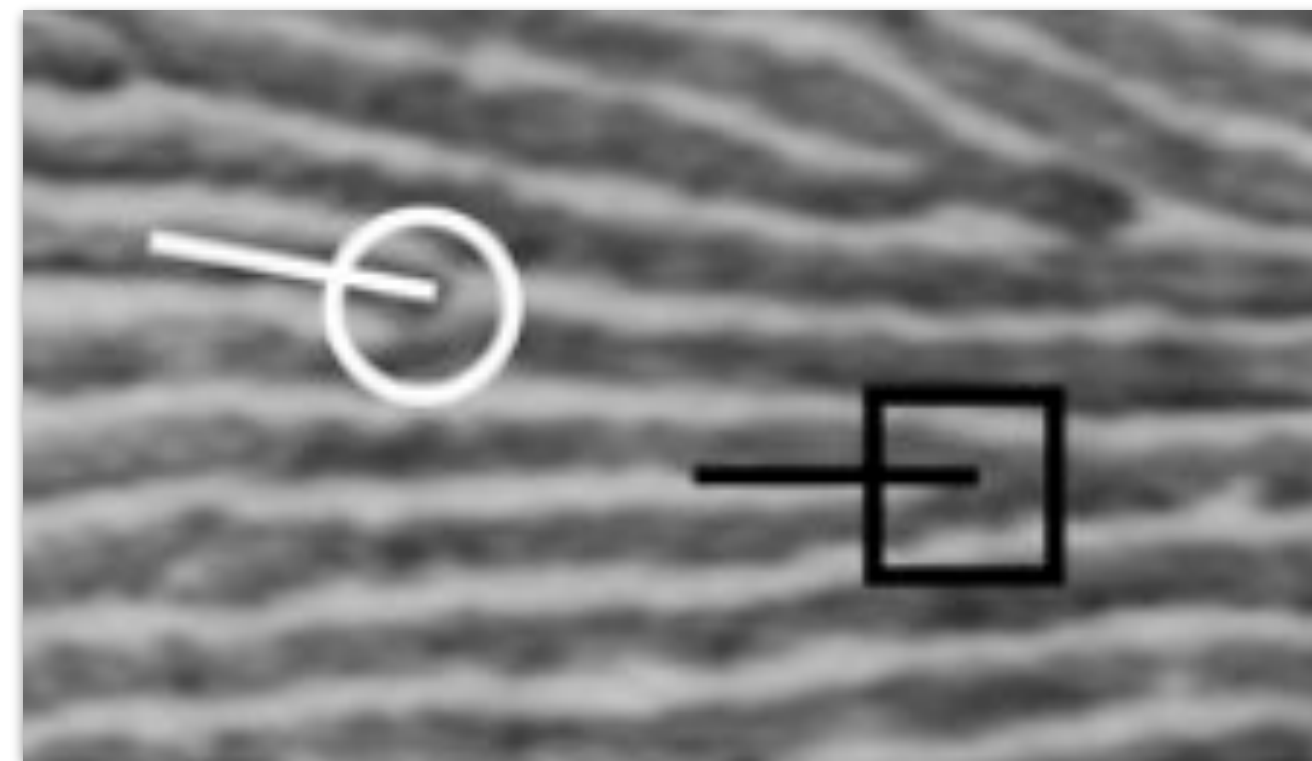


Features

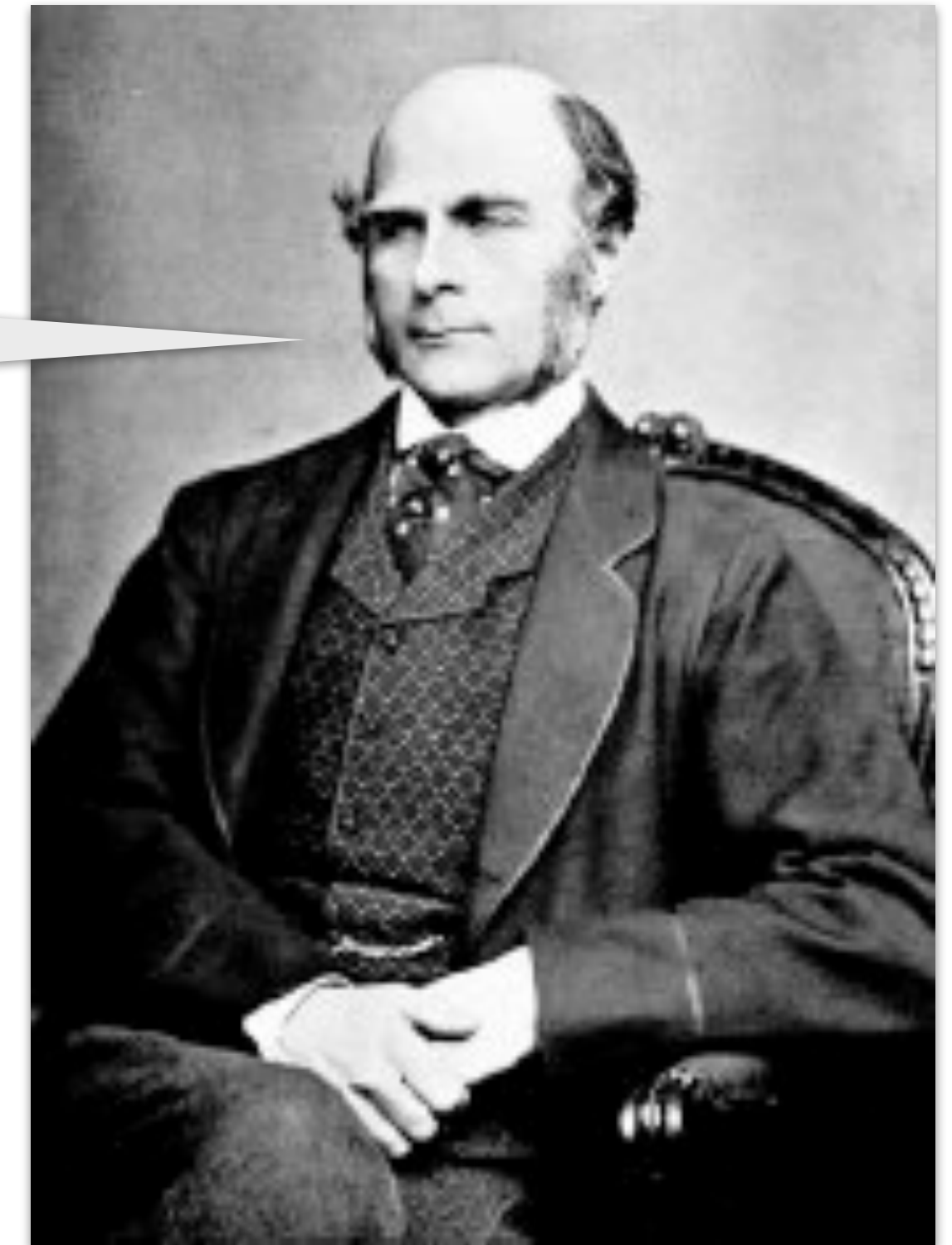
Level-2 Features

Galton's Estimate

Given 2 similar fingerprints,
what is the chance they come
from different people?
I'll tell you: 1 in 64 billion.



Jain, Ross, and Nadakumar
Introduction to Biometrics
Springer Books, 2011



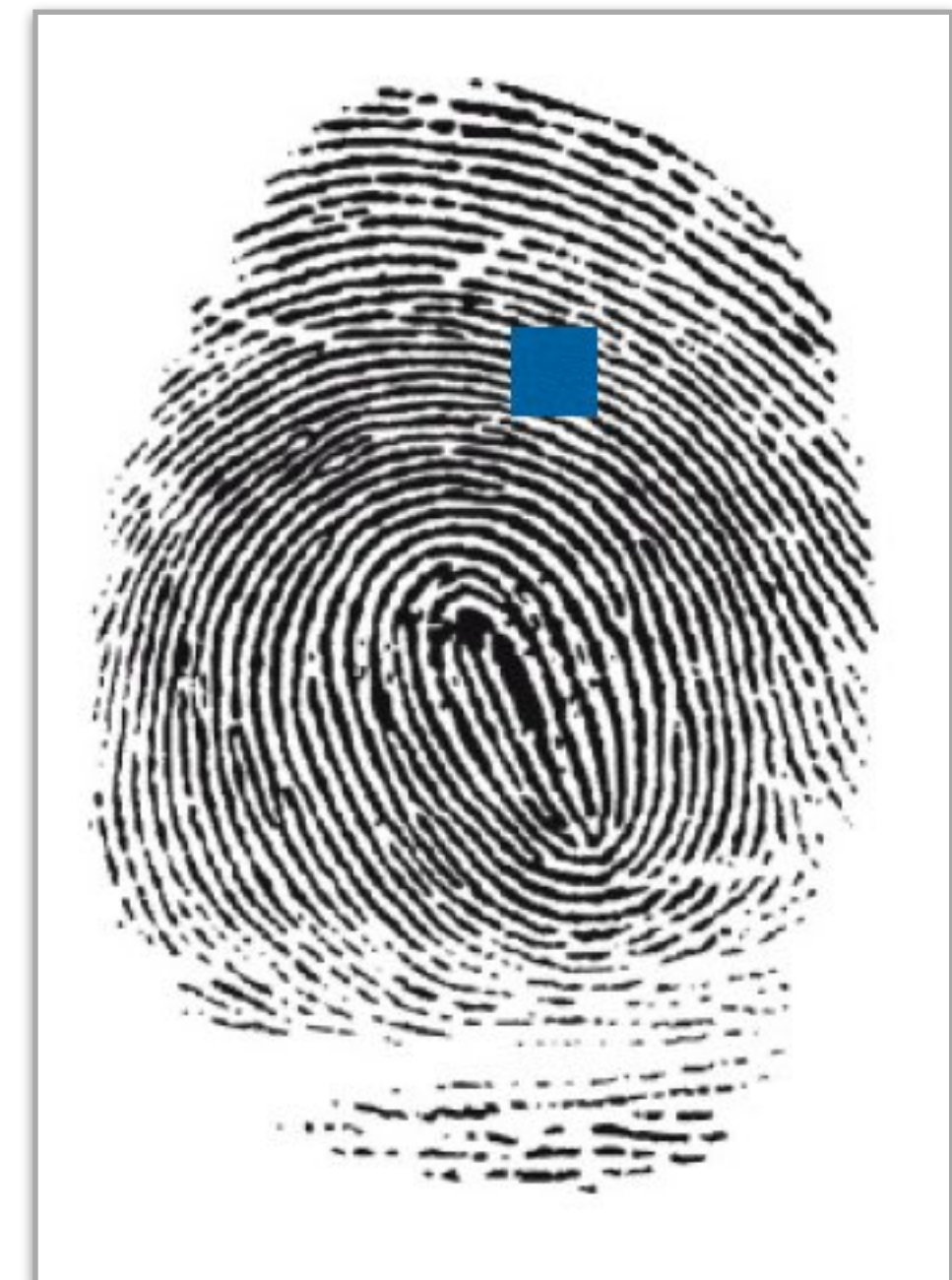
Features

Level-2 Features

Galton's Estimate

Rationale

What would be the smallest portion of a fingerprint leading to a 1/2 chance of being correctly guessed as belonging to a particular individual?



Source:
Dr. Walter Scheirer

Features

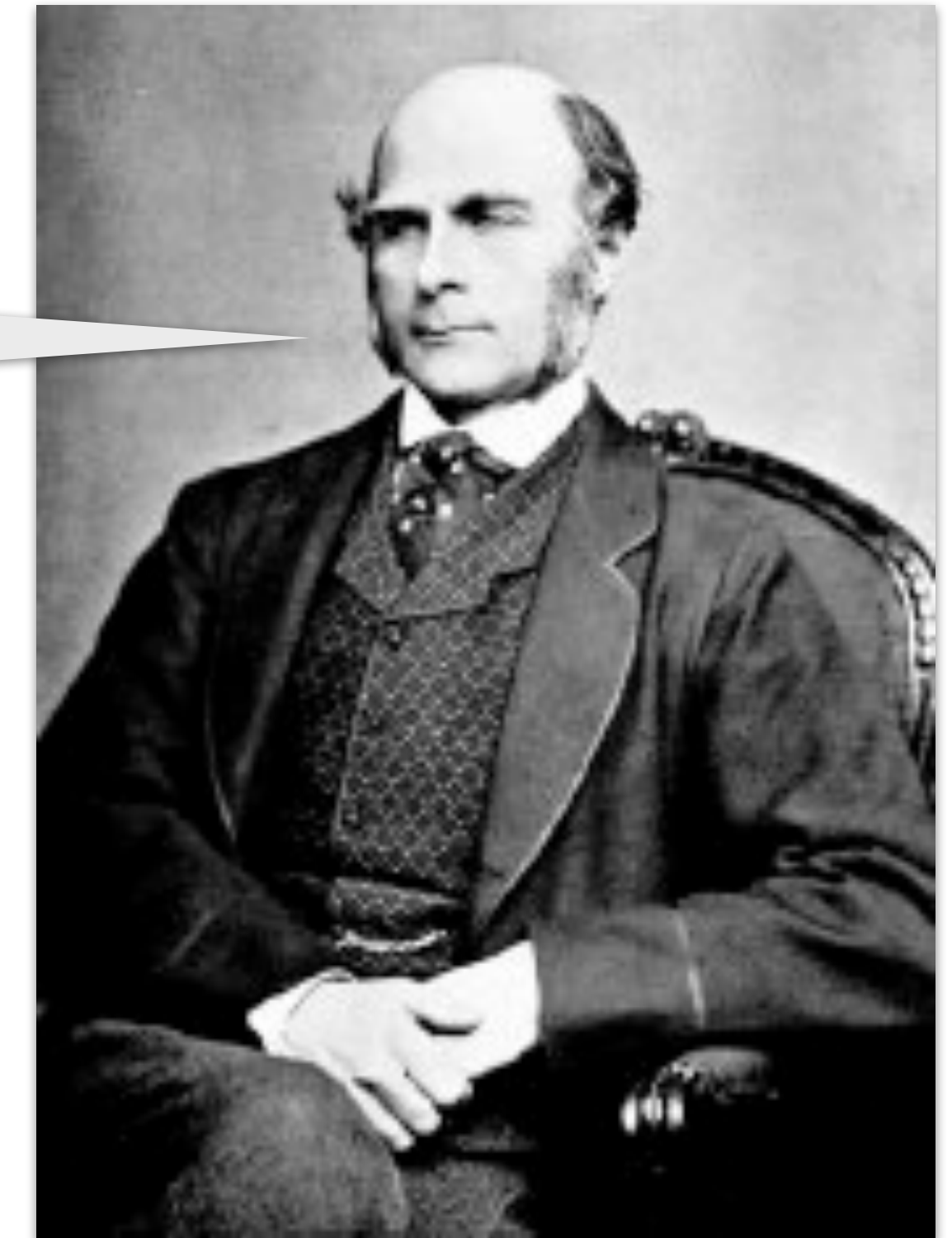
Level-2 Features

Galton's Estimate

After a few trials, let me say:
A square containing 5-6 ridges.

Rationale

What would be the smallest portion of a fingerprint leading to a 1/2 chance of being correctly guessed as belonging to a particular individual?



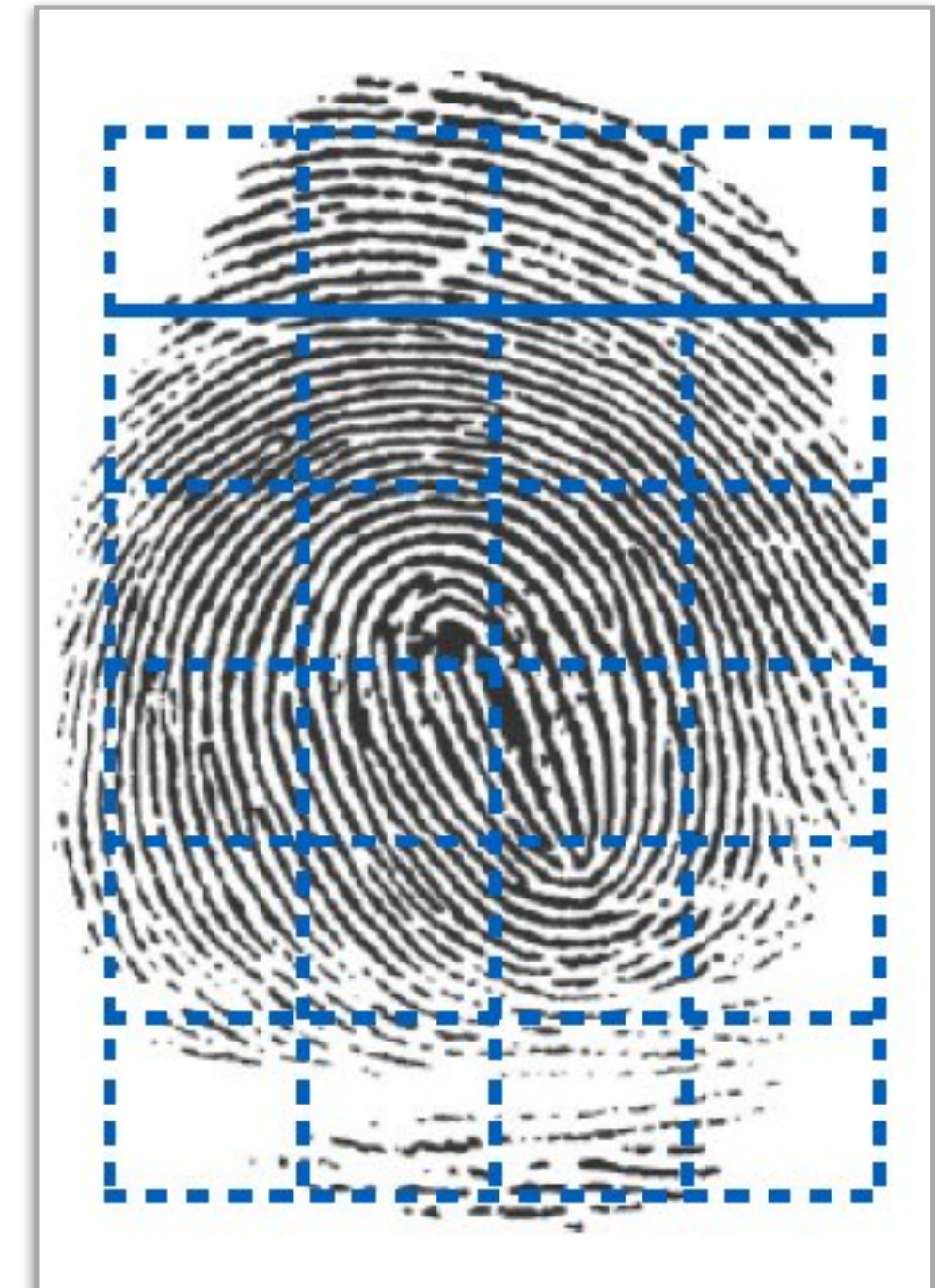
Features

Level-2 Features

Galton's Estimate

A typical fingerprint consists of 24 six-ridge squares.

Hence, chance of correct full fingerprint guess: $1/2^{24}$



Source:
Dr. Walter Scheirer

Features

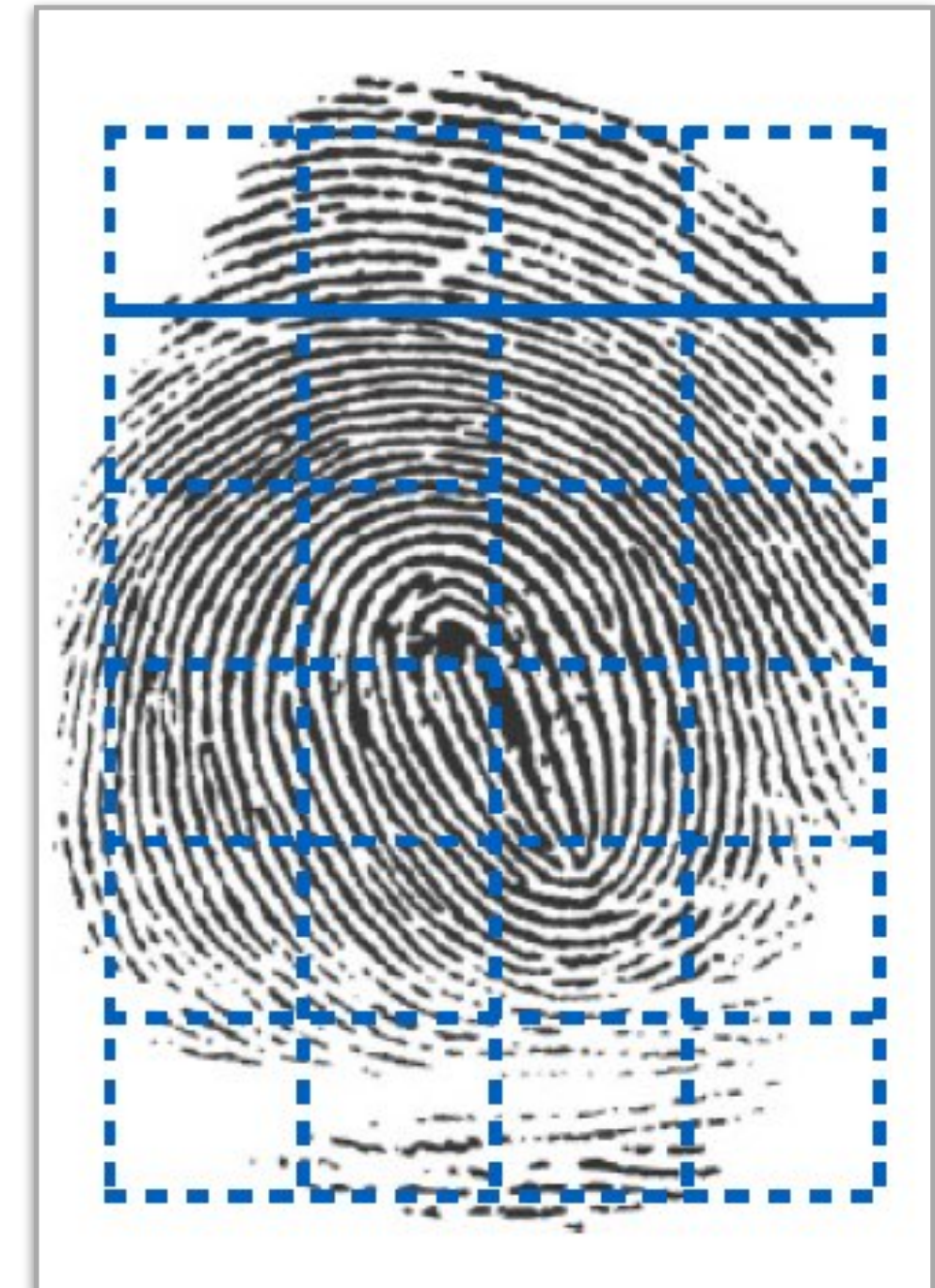
Level-2 Features

Galton's Estimate

For each square:

Chance of correct guess of #ridges
entering and leaving leaving it: $1/2^8$

Chance of correct guess of the
course of ridges within it: $1/2^4$



Source:
Dr. Walter Scheirer

Features

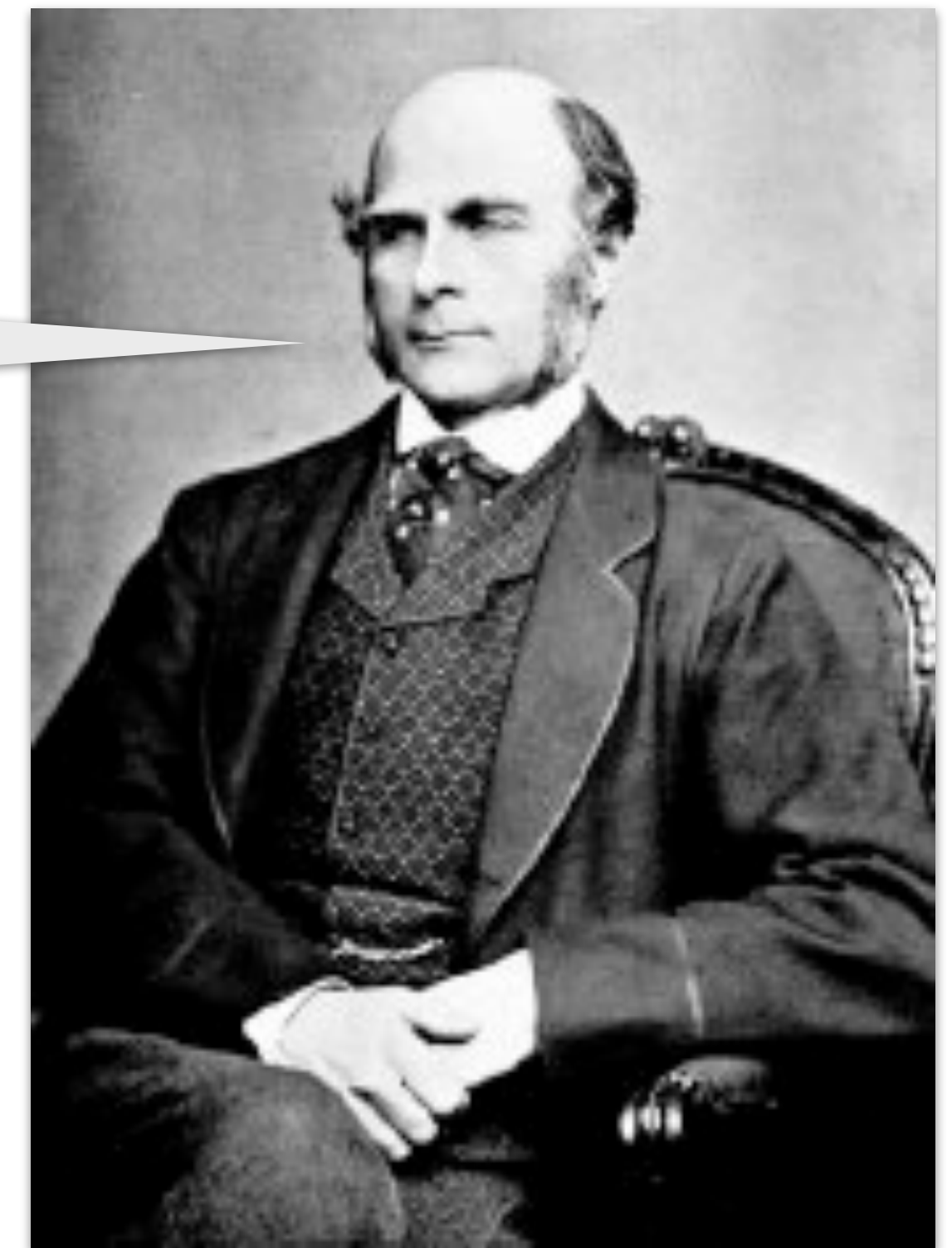
Level-2 Features

Galton's Estimate

1 in 64 billion

Total chance of a random fingerprint match a particular one:

$$1/2^{24} \times 1/2^8 \times 1/2^4 = 1/2^{36}$$



Features

Level-2 Features

Galton's Estimate

Total chance of a random fingerprint match a particular one:

$$1/2^{24} \times 1/2^8 \times 1/2^4 = 1/2^{36}$$

How many humans have ever lived?



107 billion

<https://www.bbc.com/news/magazine-16870579>

Features

What do we observe
in fingerprints?

Beyond Ridges and Valleys

Three types of features,
from coarse to fine levels:

- Level-1 Features
- Level-2 Features
- **Level-3 Features**

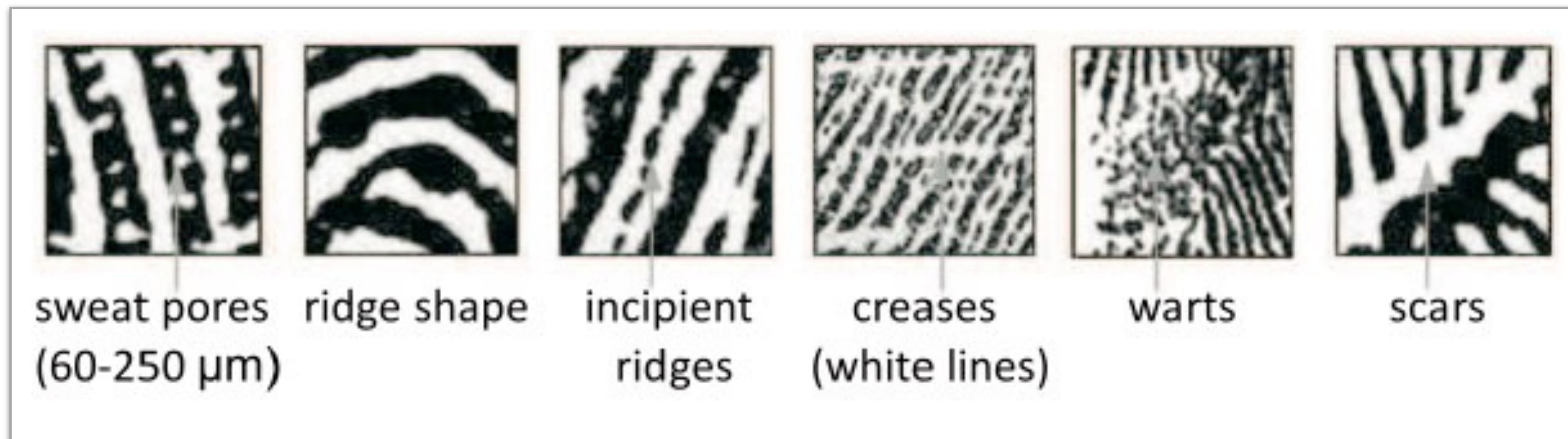


Features

Level-3 Features

Observe sweat pores, ridge shape, and lifetime acquired marks.

Useful capture resolution: 1000 ppi



Jain, Chen, and Demirkus
Pores and Ridges: High-Resolution Fingerprint Matching Using Level 3 Features
IEEE T-PAMI, 2007

Features

Level-3 Features

Observe sweat pores, ridge shape, and lifetime acquired marks.

Usage of Level-3 Features

Fingerprint liveness detection.

Rule-out questioned fingerprint matches.



<https://www.bbc.com/news/world-latin-america-21756709>

S'up Next?

More about fingerprints

Fingerprint acquisition methods.

Fingerprint enhancement methods.

Fingerprint data representation.



Acknowledgments

This material is heavily based on
Dr. Adam Czajka's and Dr. Walter Scheirer's courses.
Thank you, professors, for kindly allowing me to use your material.

<https://engineering.nd.edu/profiles/aczajka>
<https://www.wjscheirer.com/>