

# Iris Recognition II

COMP 388-002/488-002 Biometrics

**Daniel Moreira**

Fall 2024



**LOYOLA**  
UNIVERSITY CHICAGO

# Today we will...

*Get to know*

Iris acquisition and enhancement.

# Today's Attendance

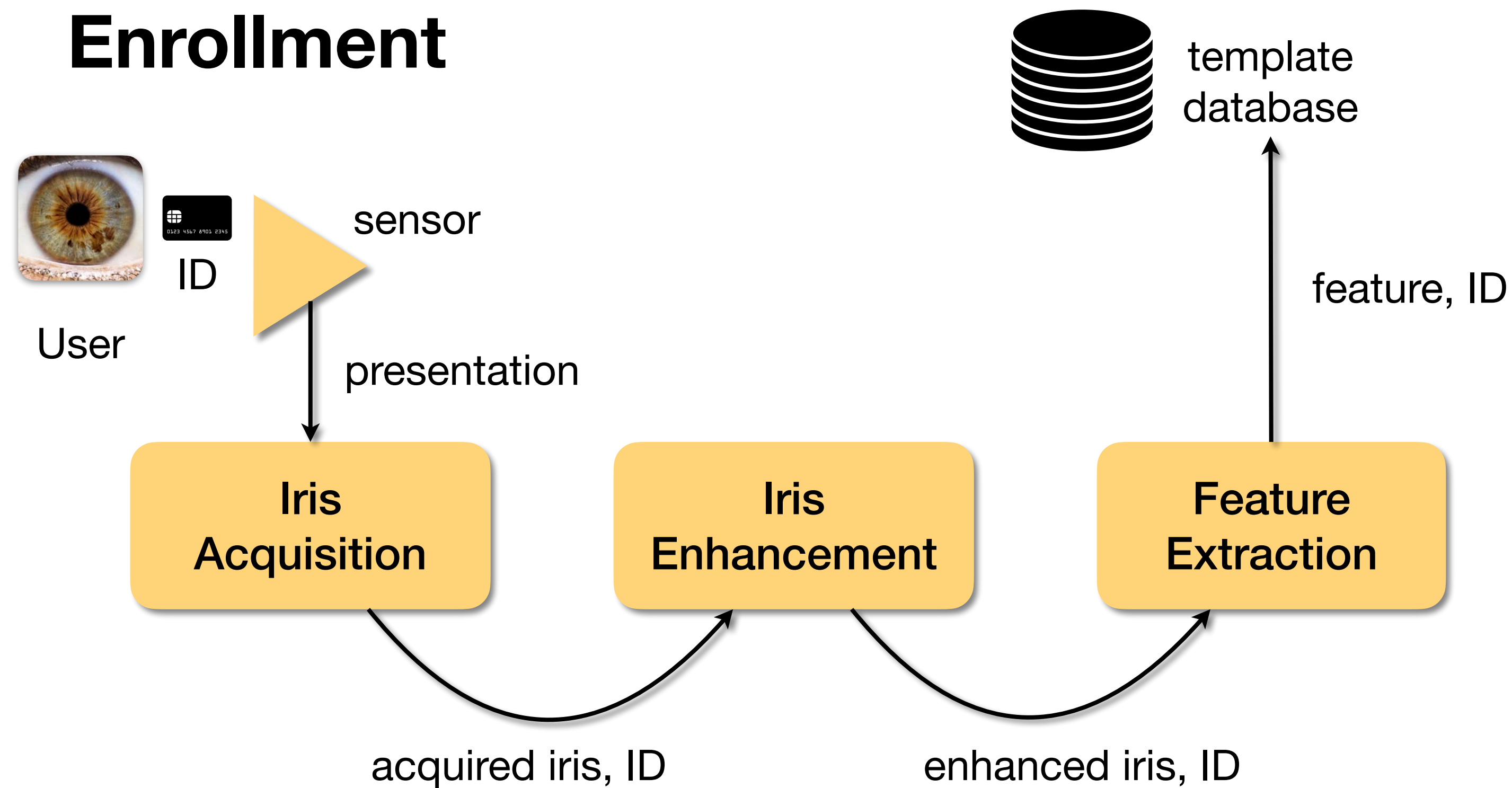
**Please fill out the form**

<https://forms.gle/LwQxV9ZoKeVwwnWZ8>



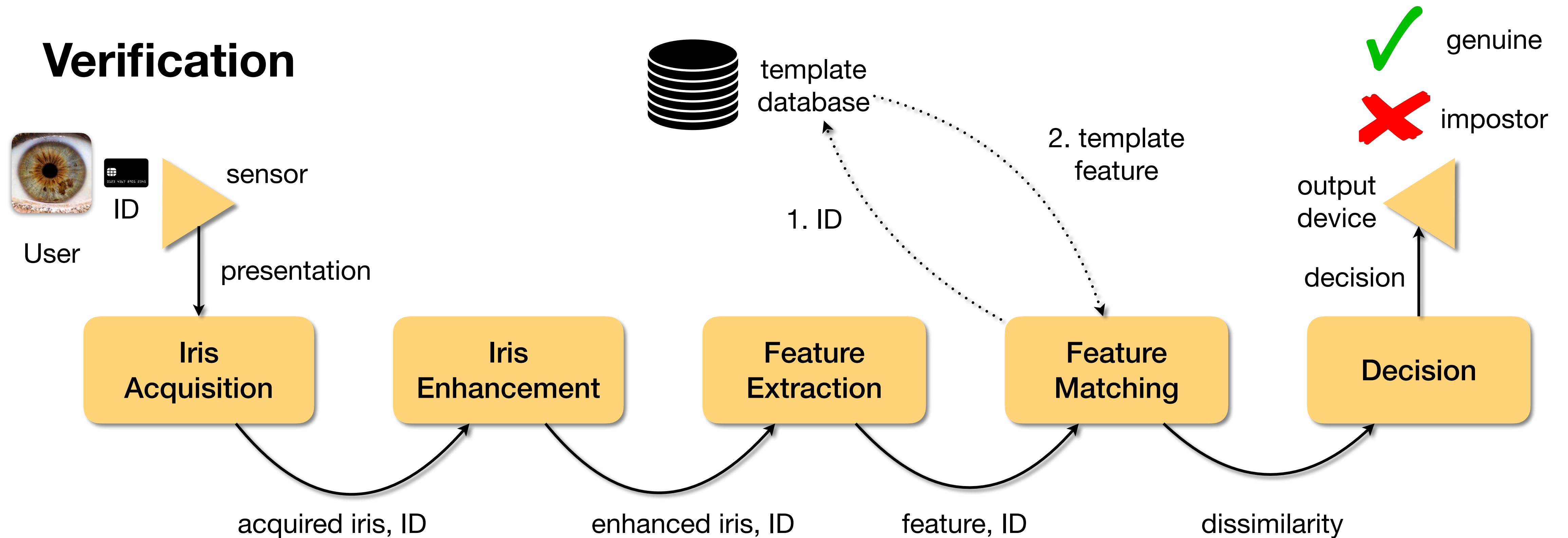
# Iris Recognition

## Enrollment



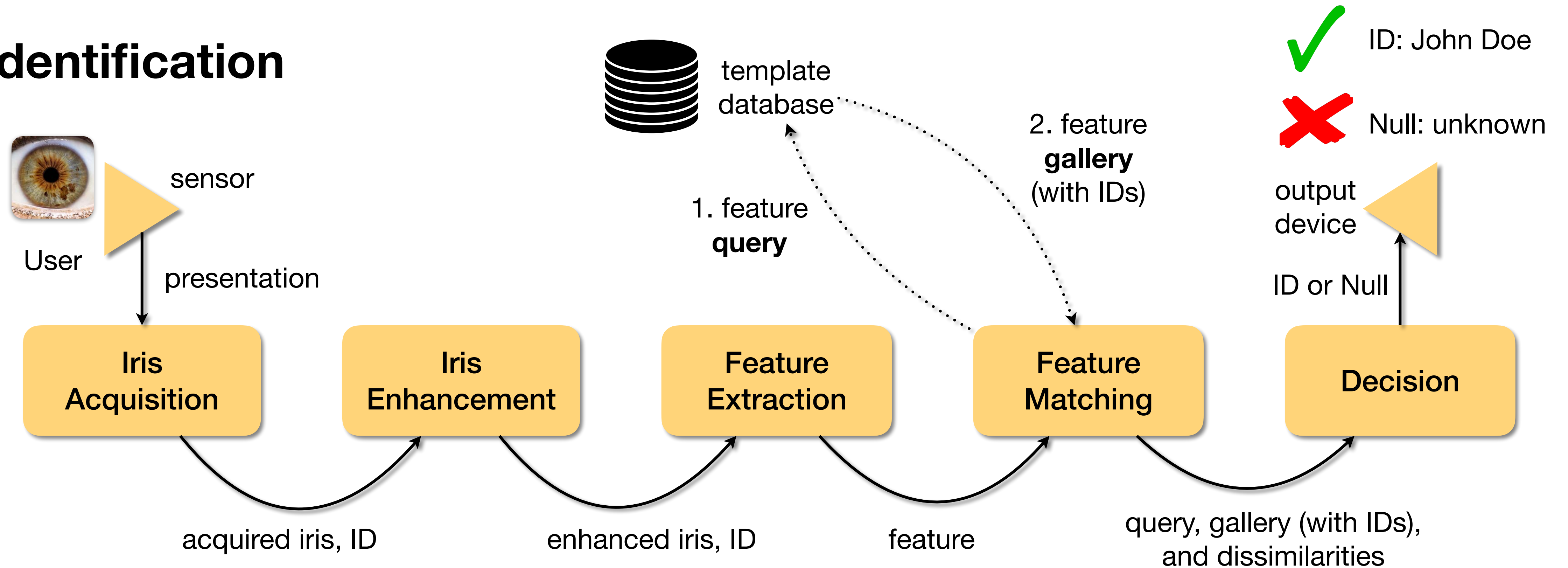
# Iris Recognition

## Verification

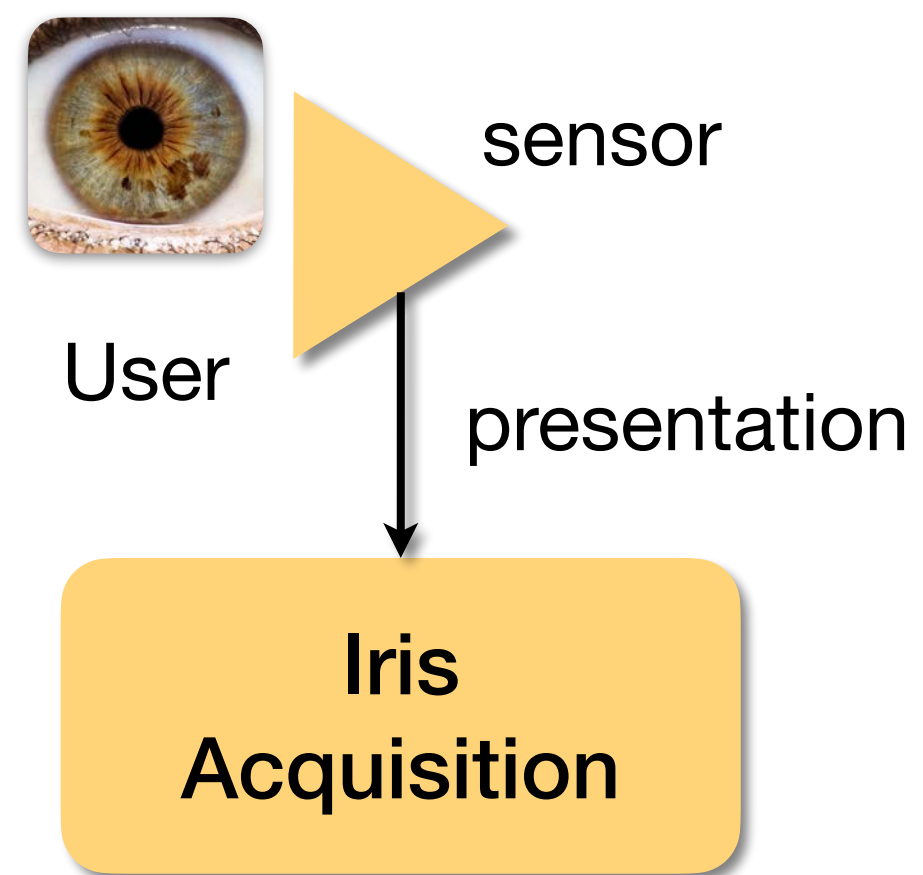


# Iris Recognition

## Identification



# Iris Recognition





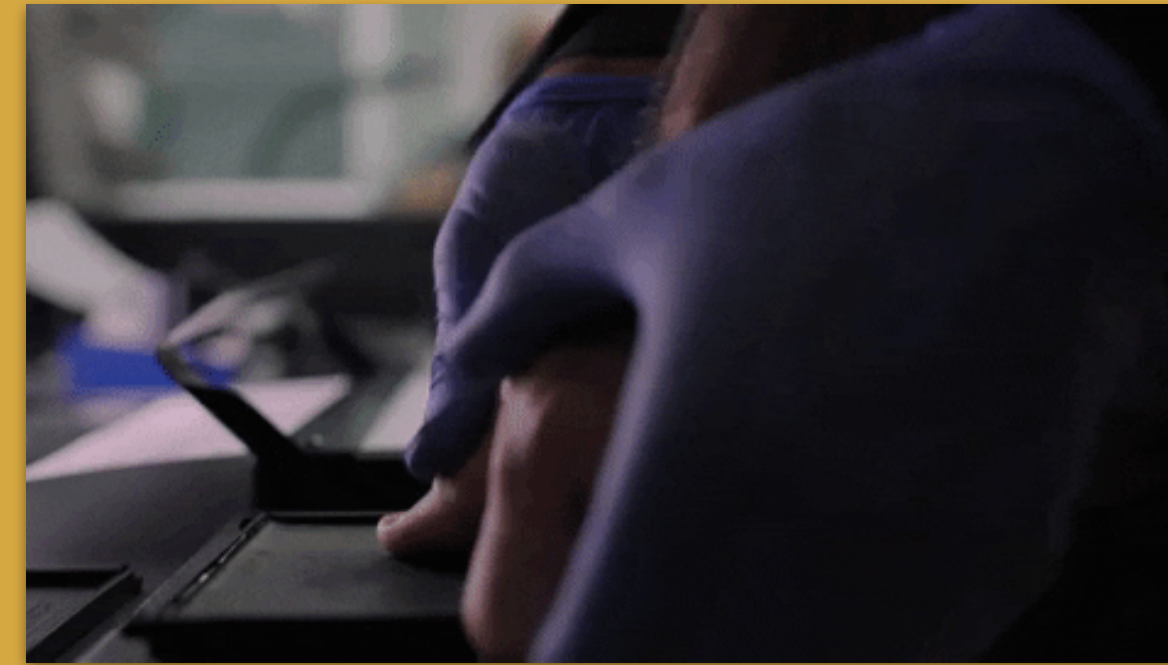
# Acquisition

## On-line



Schiphol Airport

## Off-line



It depends on the resolution and angle of capture.

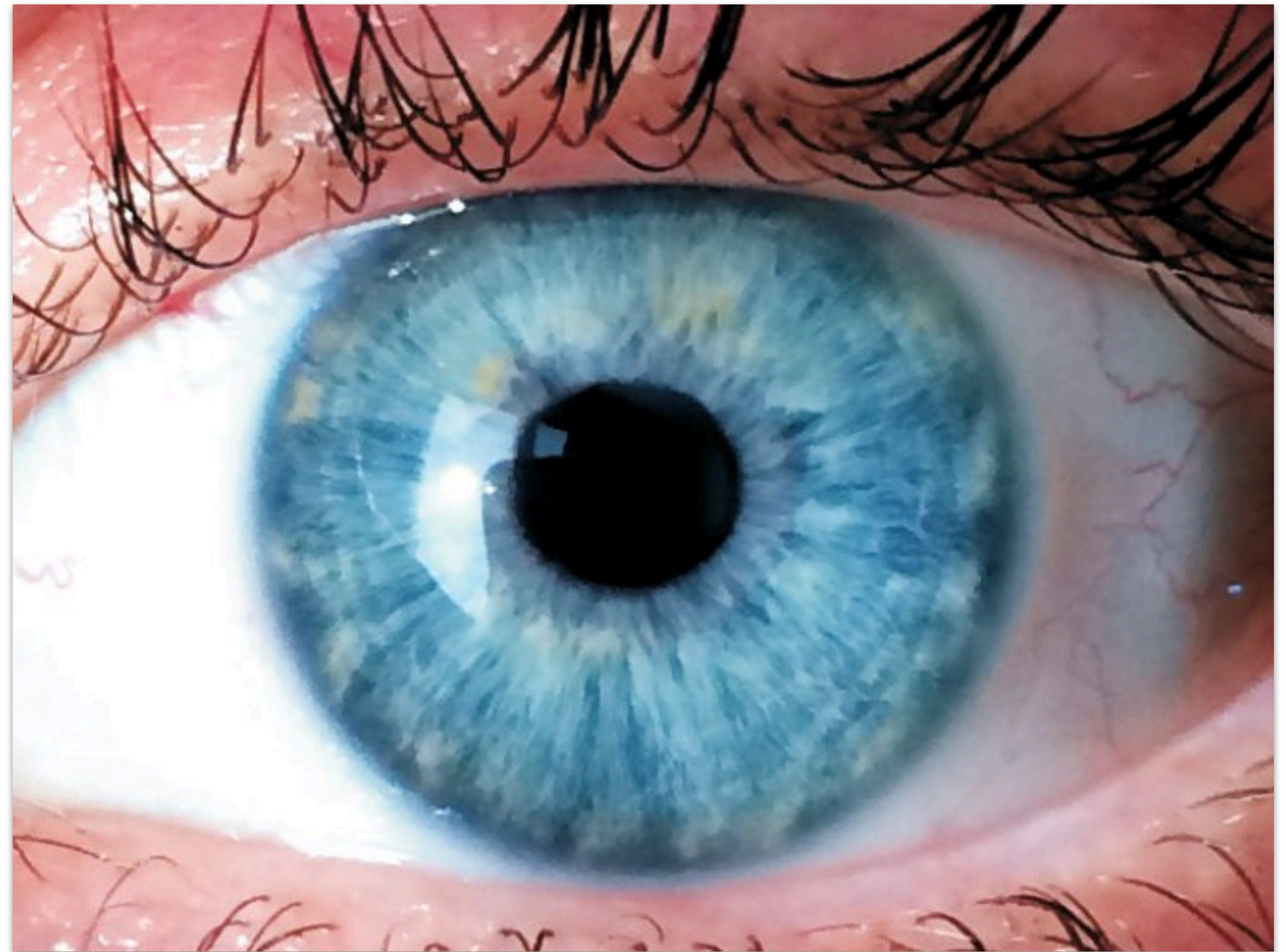


# Acquisition

Dr. Adam Czajka

**Iris Capture**  
Visible light.

Can you see the iris texture  
(crypts, furrows, and collarette)?

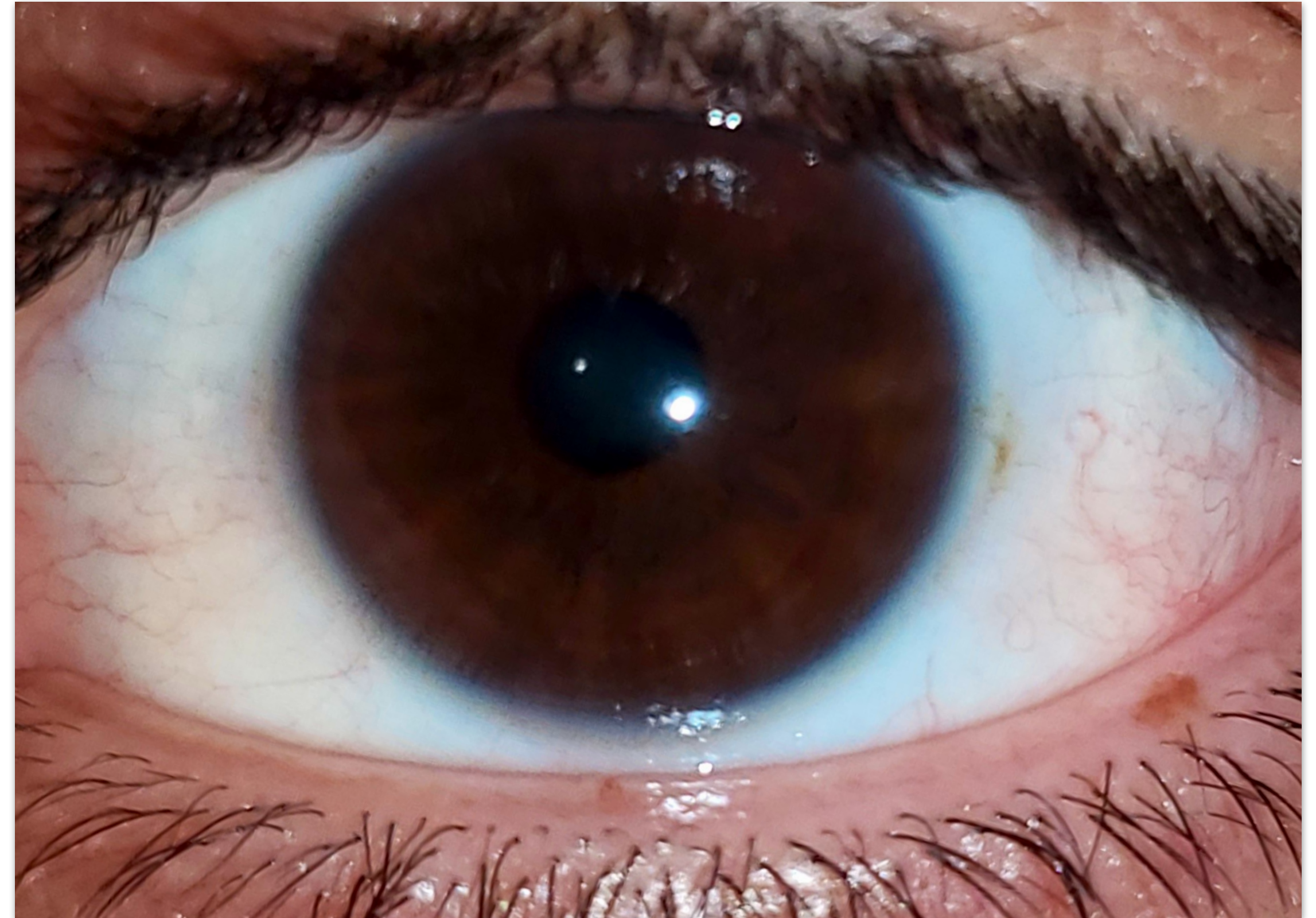




# Acquisition

**Iris Capture**  
Visible light.

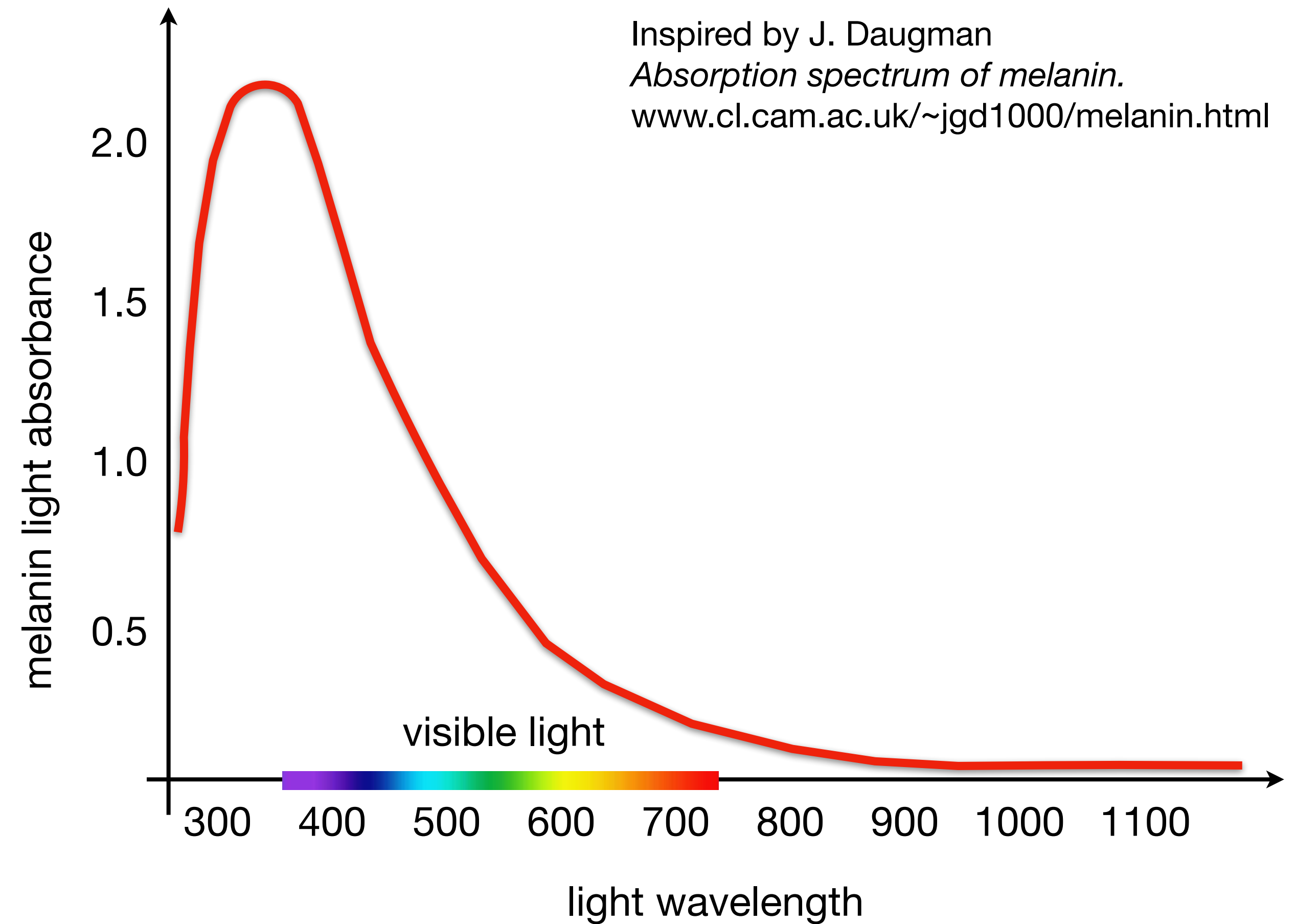
Can you see the iris texture  
(crypts, furrows, and collarette)?



# Acquisition

**Iris Capture**  
Visible light.

Melanin poses a  
challenge to visible-light  
iris recognition.



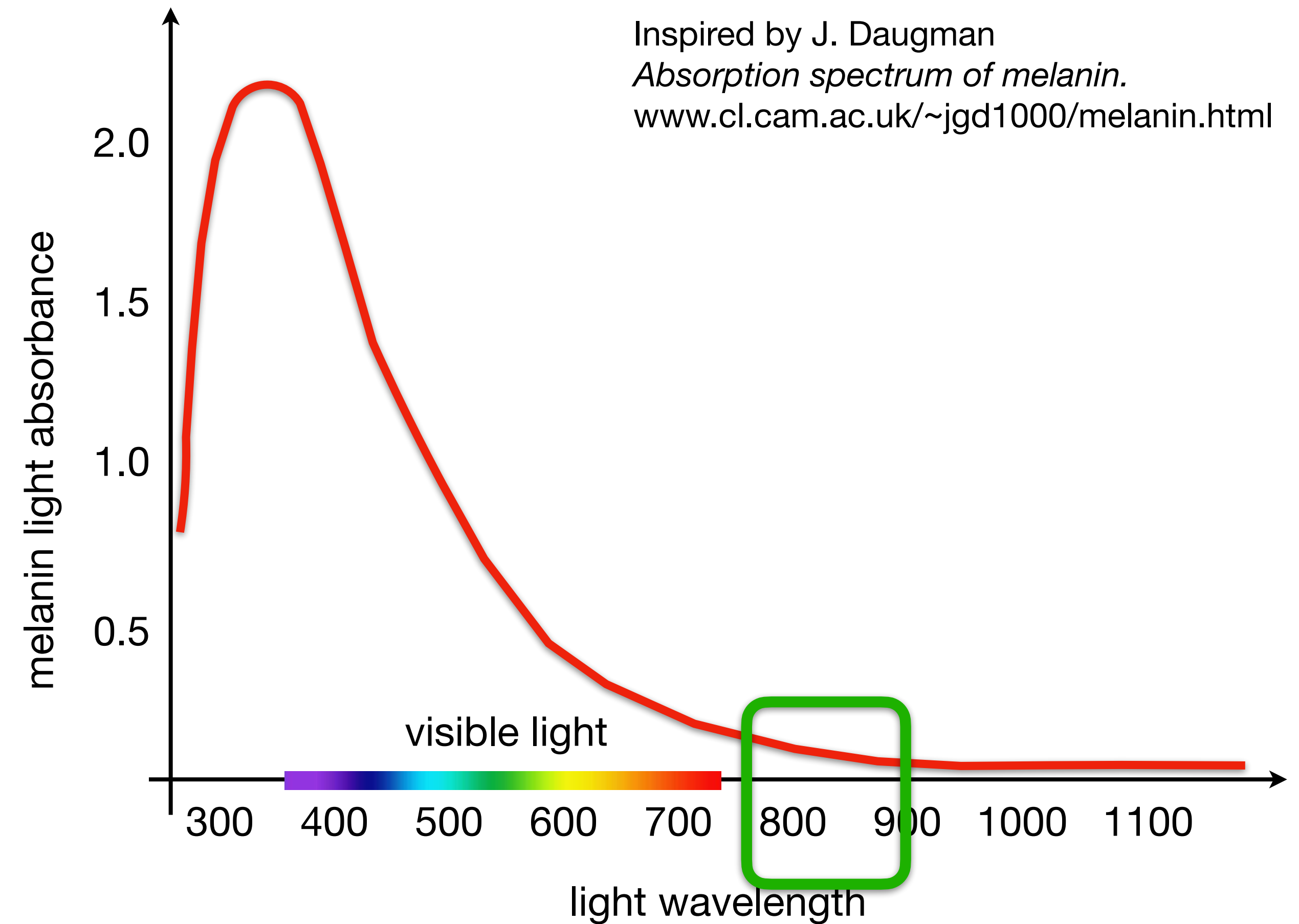


# Acquisition

## Iris Capture

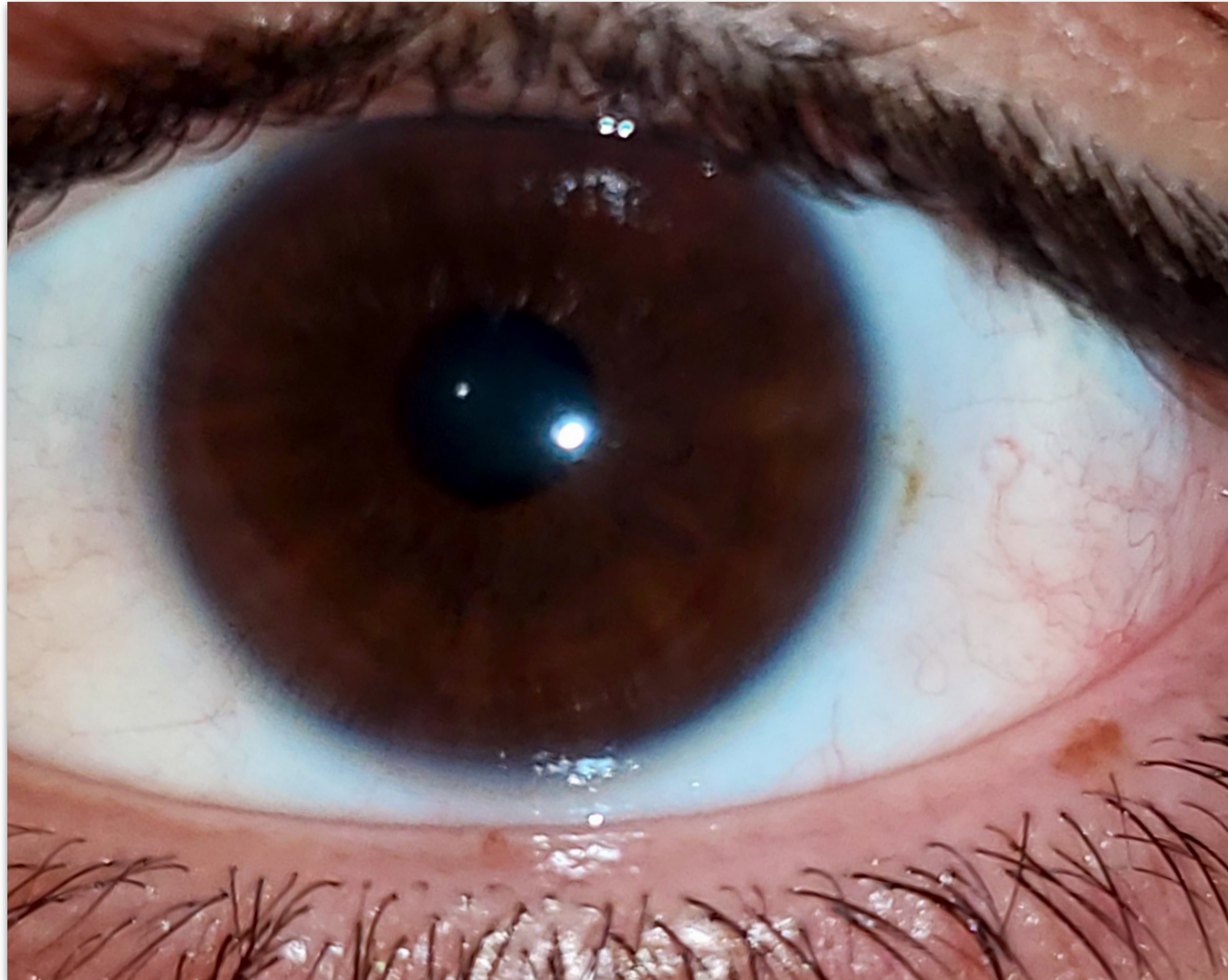
Solution: near-infrared (NIR) light.

Typical wavelengths used by sensors: 750-890 nm.





# Acquisition



visible light



NIR



# Acquisition

## Standards

### Eye Safety

IEC 60825-1:1993

(+ addendum A1:1997 and A2:2001),

ANSI RP-27.1-96

Maximum Permissible Exposure (*MPE*):

$$MPE < 0.1 \times MPE_{max}$$

eye damage due to  
light exposure



# Acquisition

## Standards

### Image Quality

ISO/IEC 19794-6 and ISO/IEC 29794-6

wavelength: 700-900 nm

resolution:  $\geq 20$  lines per iris diameter

non-occluded iris area:  $\geq 70\%$

gray scale:  $\geq 6$  bits

typical resolution: 640 x 480 pixels





# Acquisition

## Sensors

With cooperation.

Jim Wilson / The New York Times



LG Iris Access 3000

Dr. Adam Czajka



CrossMatch

Dr. Adam Czajka



IG-AD100



# Acquisition

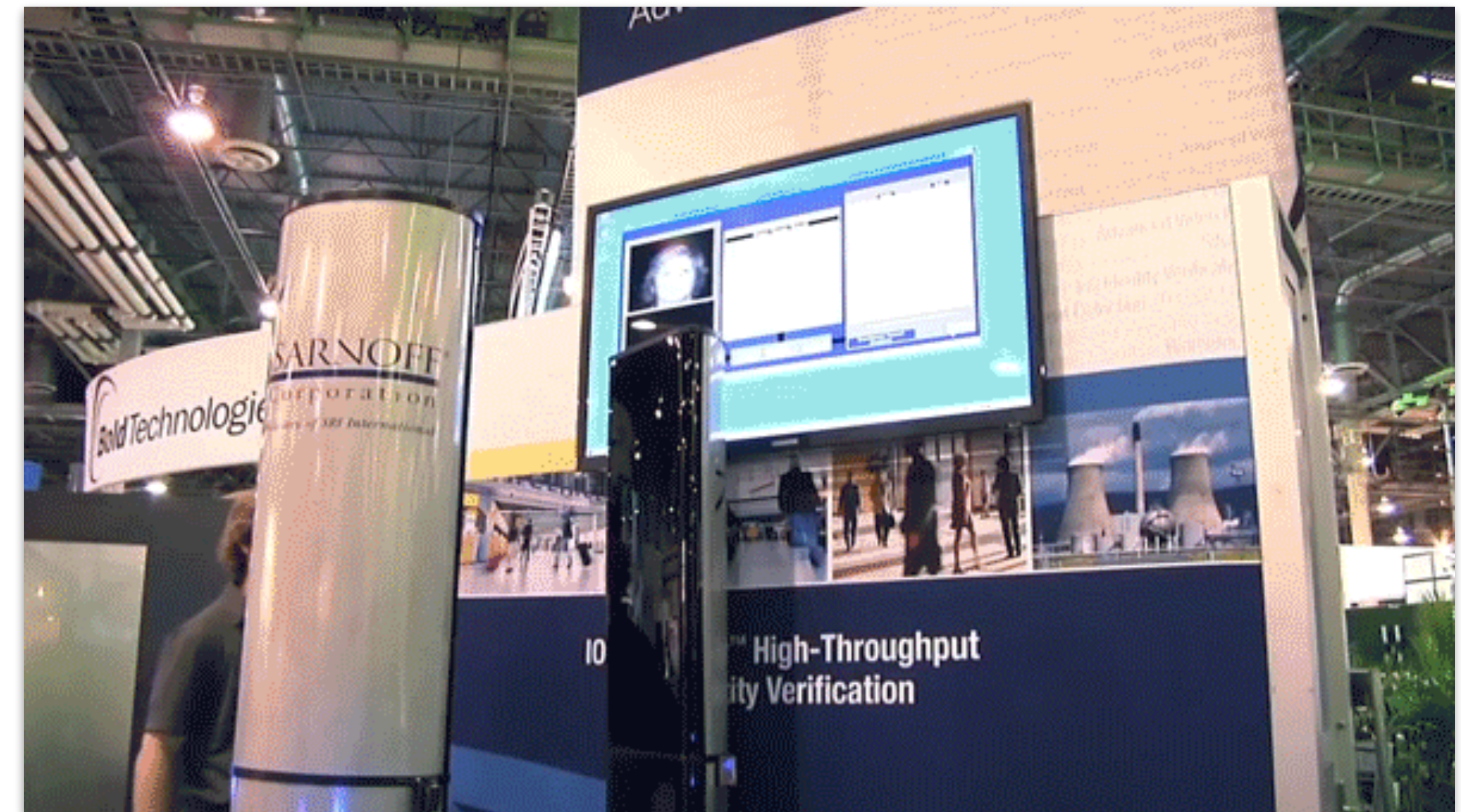
## Sensors

With almost no cooperation.

## Multiple-Resolution Cameras

Wide-angle camera for face detection.  
Narrow-angle cameras for iris capture.

<https://www.youtube.com/watch?v=boINgCrCZW0>



Sarnoff Corp., Iris-on-the-move Gate



# Acquisition

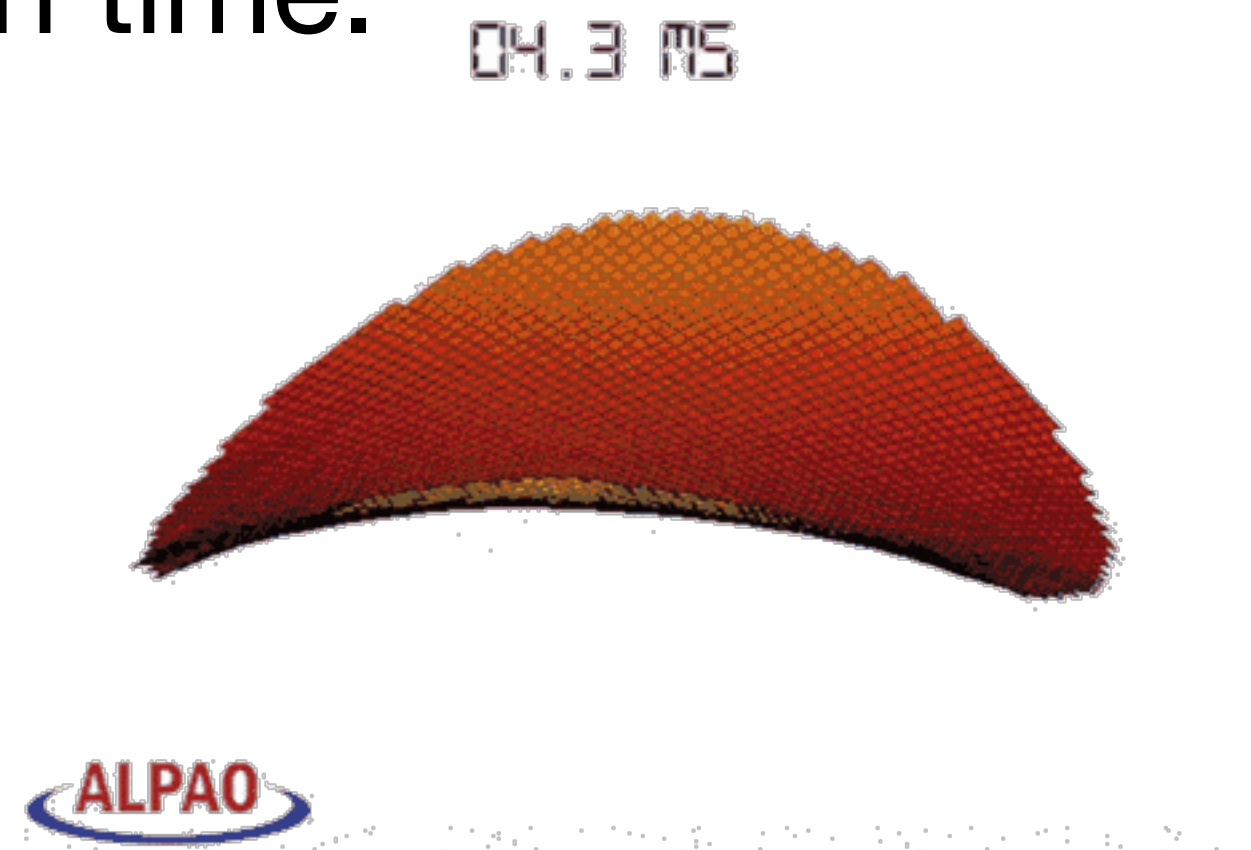
Dr. Adam Czajka

## Sensors

With almost no cooperation.

## Deformable Mirrors

Similar to astronomical telescopes.  
Fast adaptation at presentation time.  
Capture at 1.5-2.5m  
of distance.



AOptix Insight SD, 2008



# Acquisition

## Sensors

Current trend: miniaturization.

### Example 1

Android-based  
*Fidelys* smartwatch.



[linuxgizmos.com/  
worlds-first-iris-recognition-smartwatch-runs-android](http://linuxgizmos.com/worlds-first-iris-recognition-smartwatch-runs-android)

# Acquisition

## Sensors

Current trend: miniaturization.

### Example 2 IriShield USB

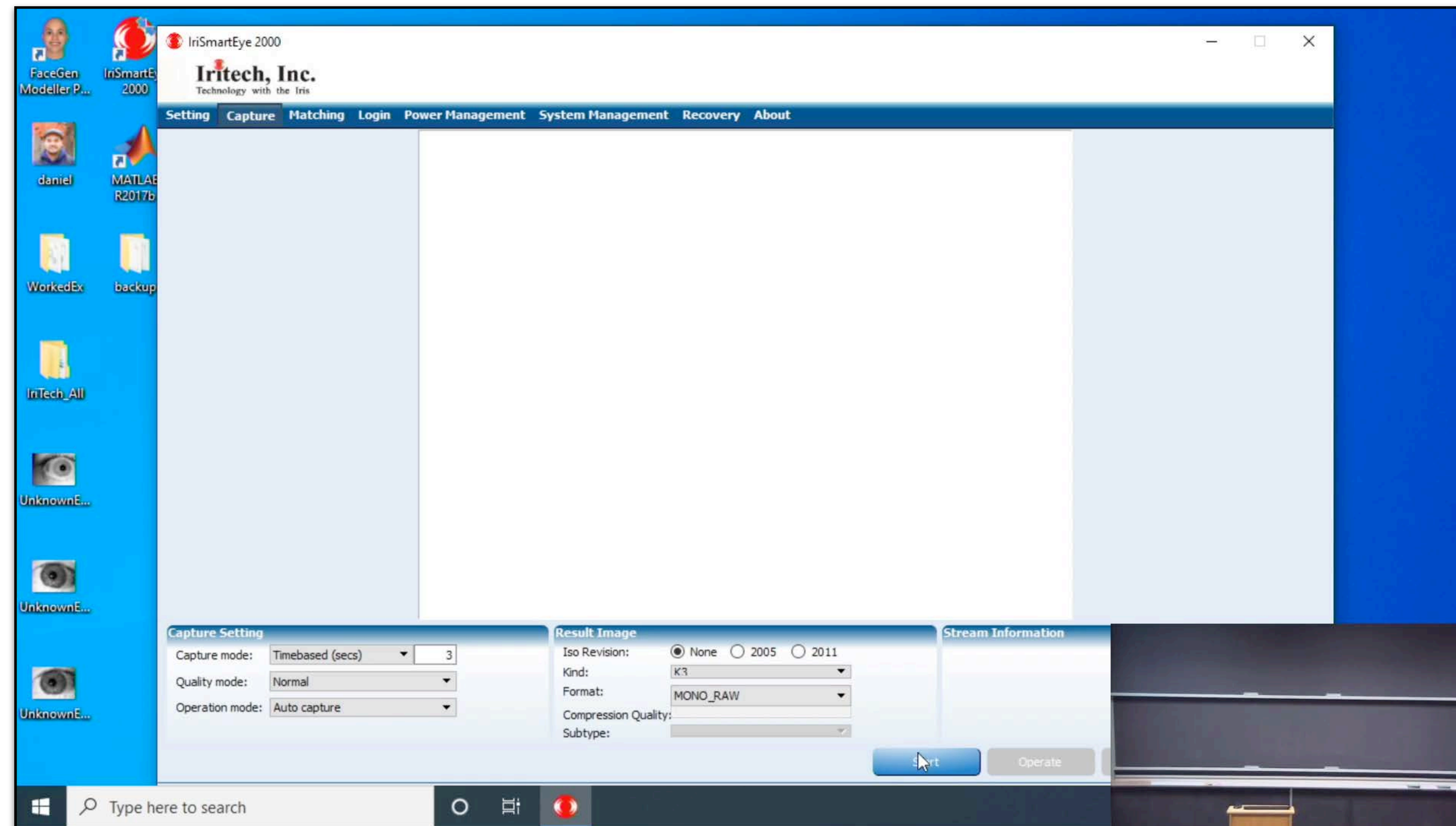
This is the one we'll use to collect data.



<https://urvashicomputers.com/irishield-mk-2120-series/>



# Acquisition



# Acquisition

## Challenges

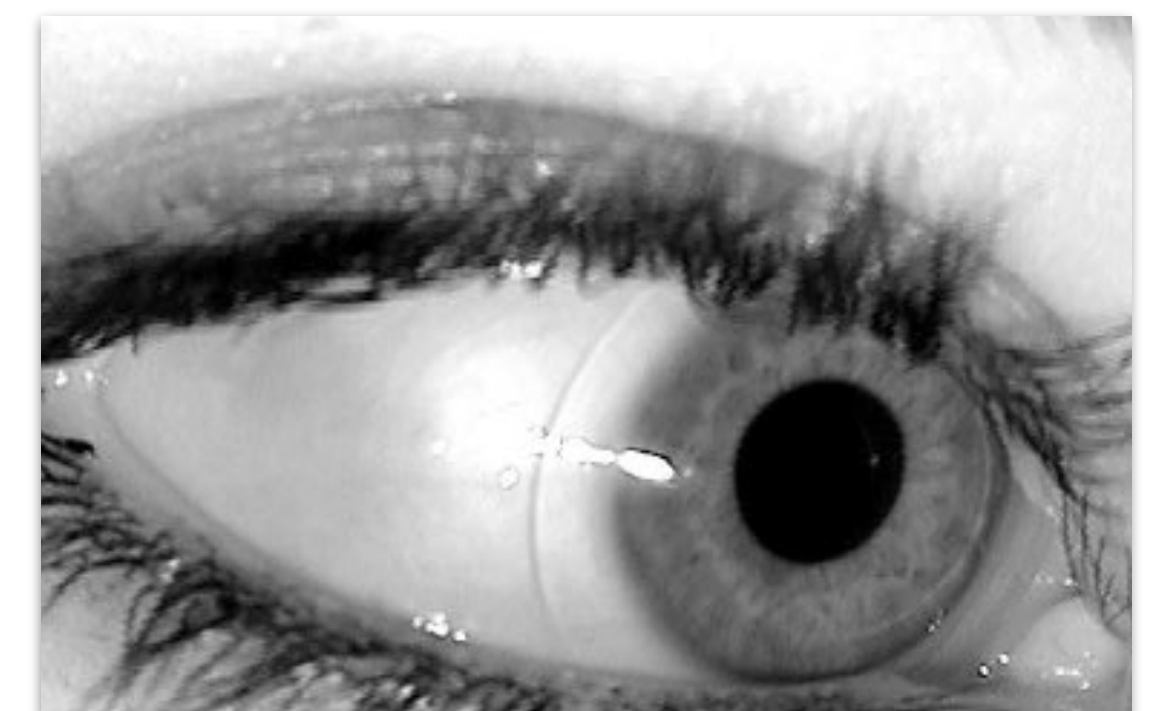
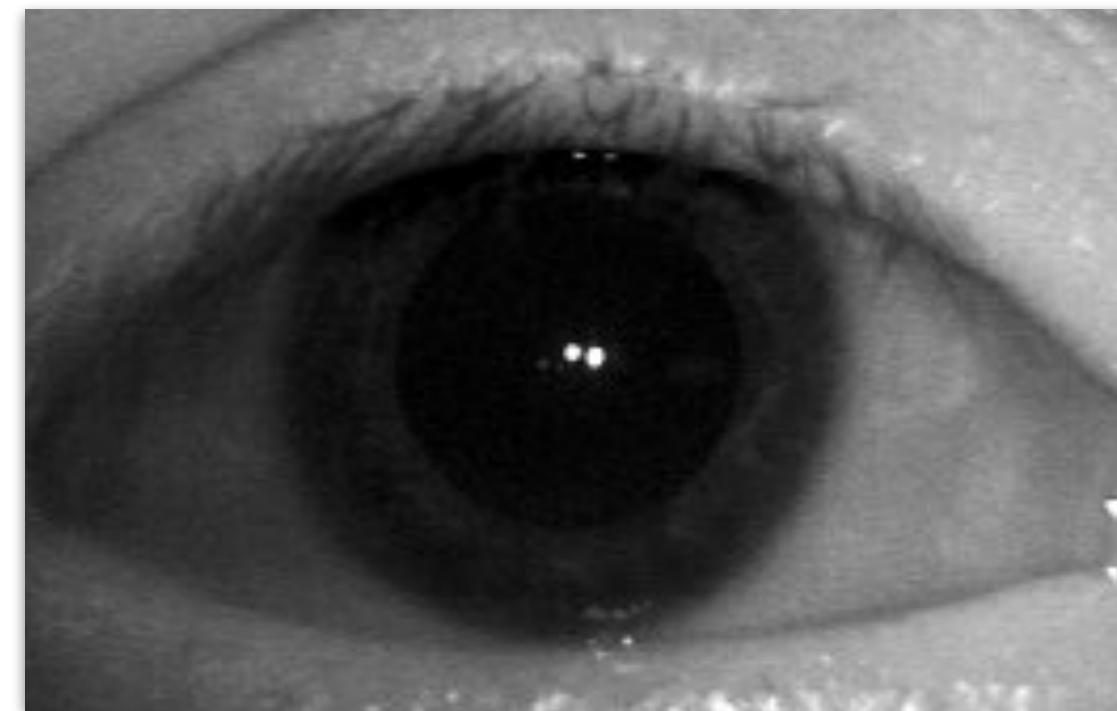
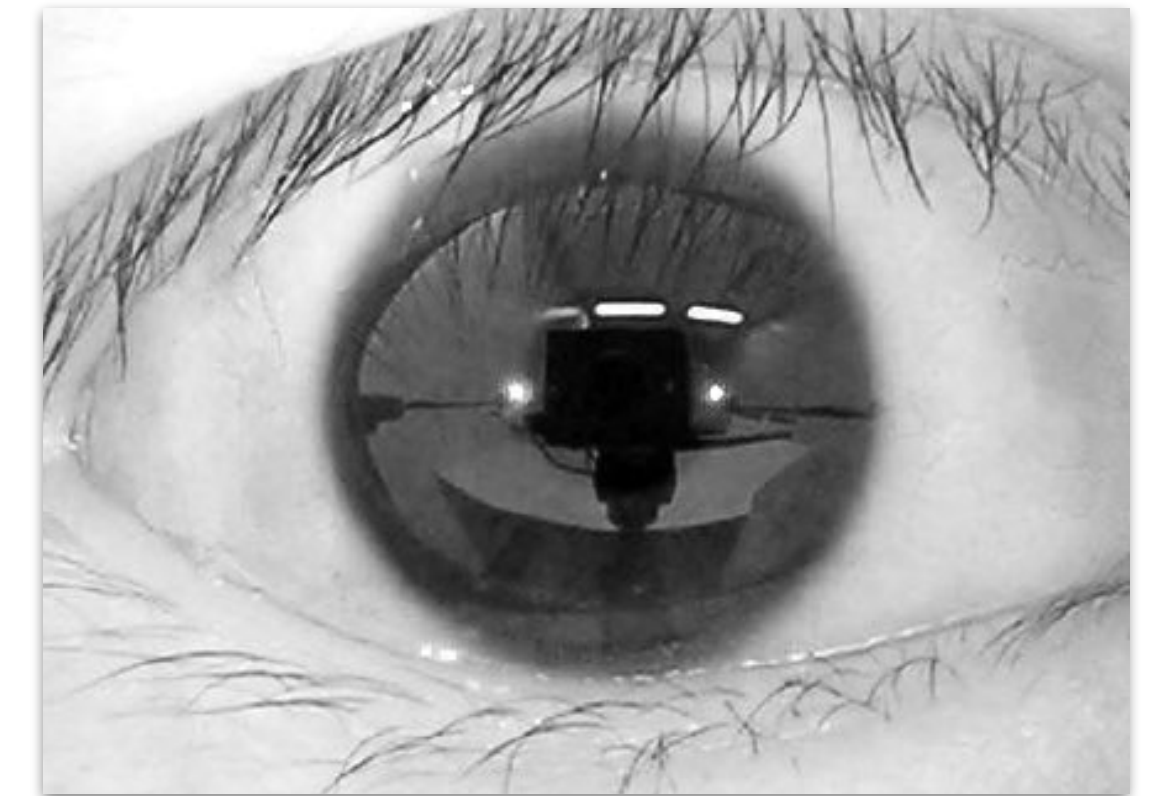
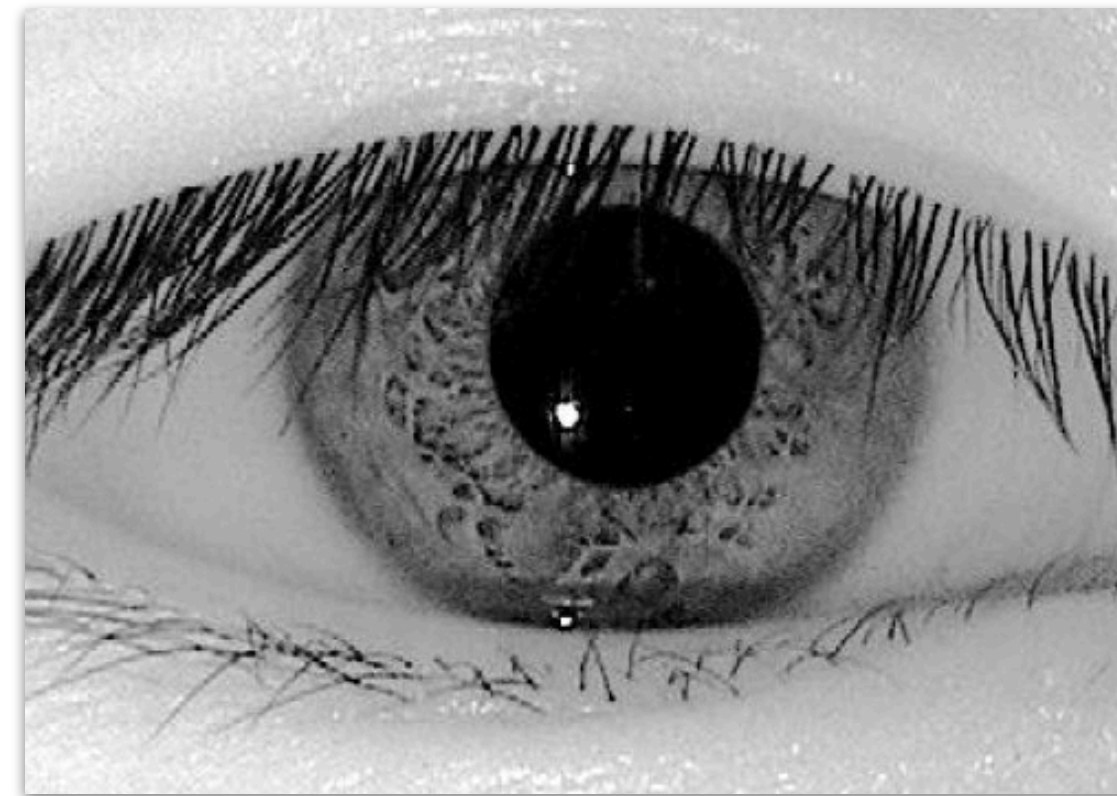
### Deformations and Occlusions

Eyelids and eyelashes.

Specular reflections.

Pupil dilation.

Head movement, off-axis gaze.



[http://www.cse.nd.edu/BTAS\\_07/John\\_Daugman\\_BTAS.pdf](http://www.cse.nd.edu/BTAS_07/John_Daugman_BTAS.pdf)



# Acquisition

## Challenges

### User Cooperation

It is easy for people to protect their irises from capture.





# Acquisition

## Challenges

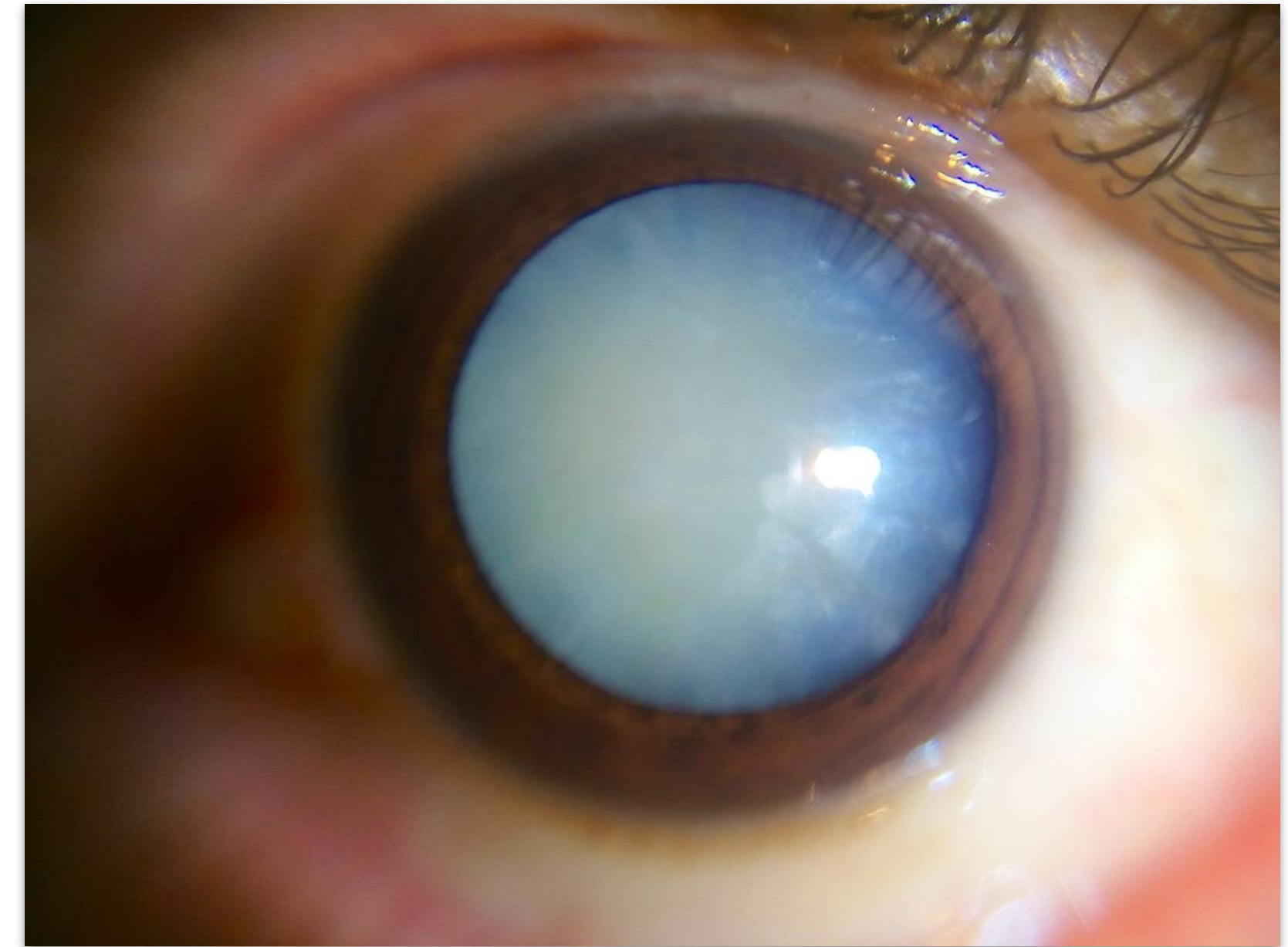
## Diseases

E.g., cataracts, conjunctivitis.

Is iris visible?

Is the disease contagious?

[commons.wikimedia.org](https://commons.wikimedia.org)



E.g., cataracts.

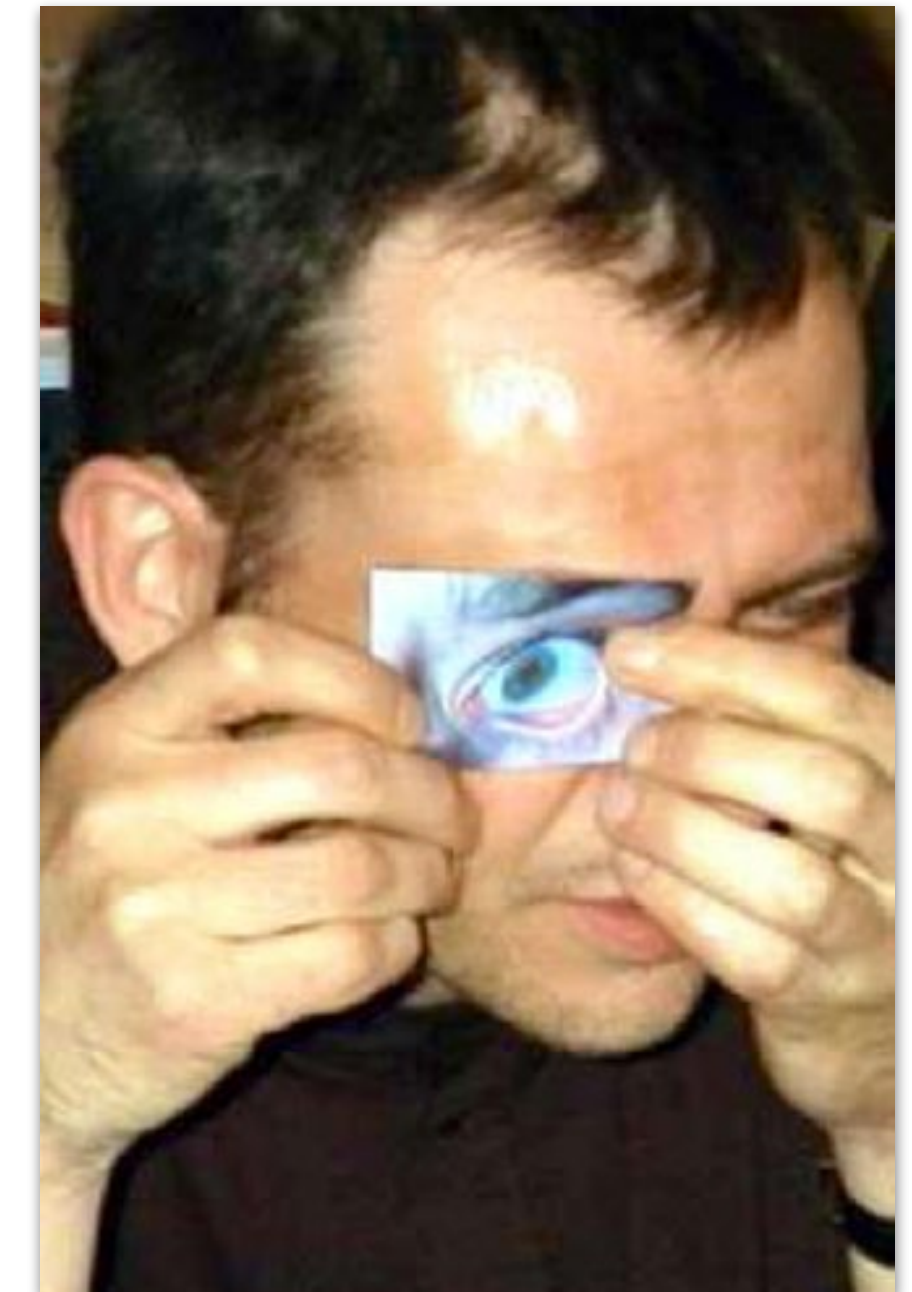
# Acquisition

## Challenges

### Attacks

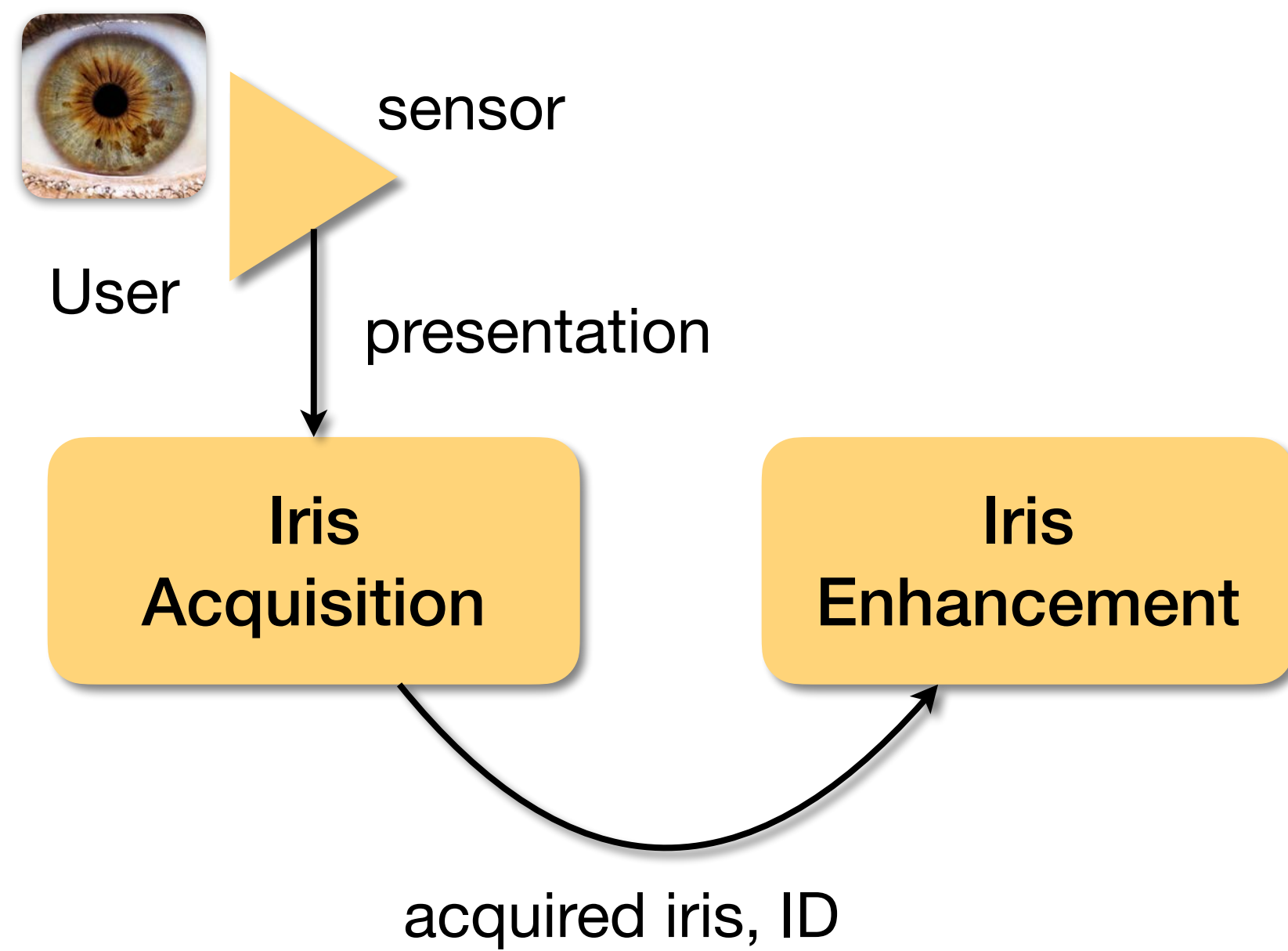
Obfuscation with texturized contact lenses.

Presentation attack.



Jain, Ross, and Nadakumar  
*Introduction to Biometrics*  
Springer Books, 2011

# Iris Recognition





# Enhancement

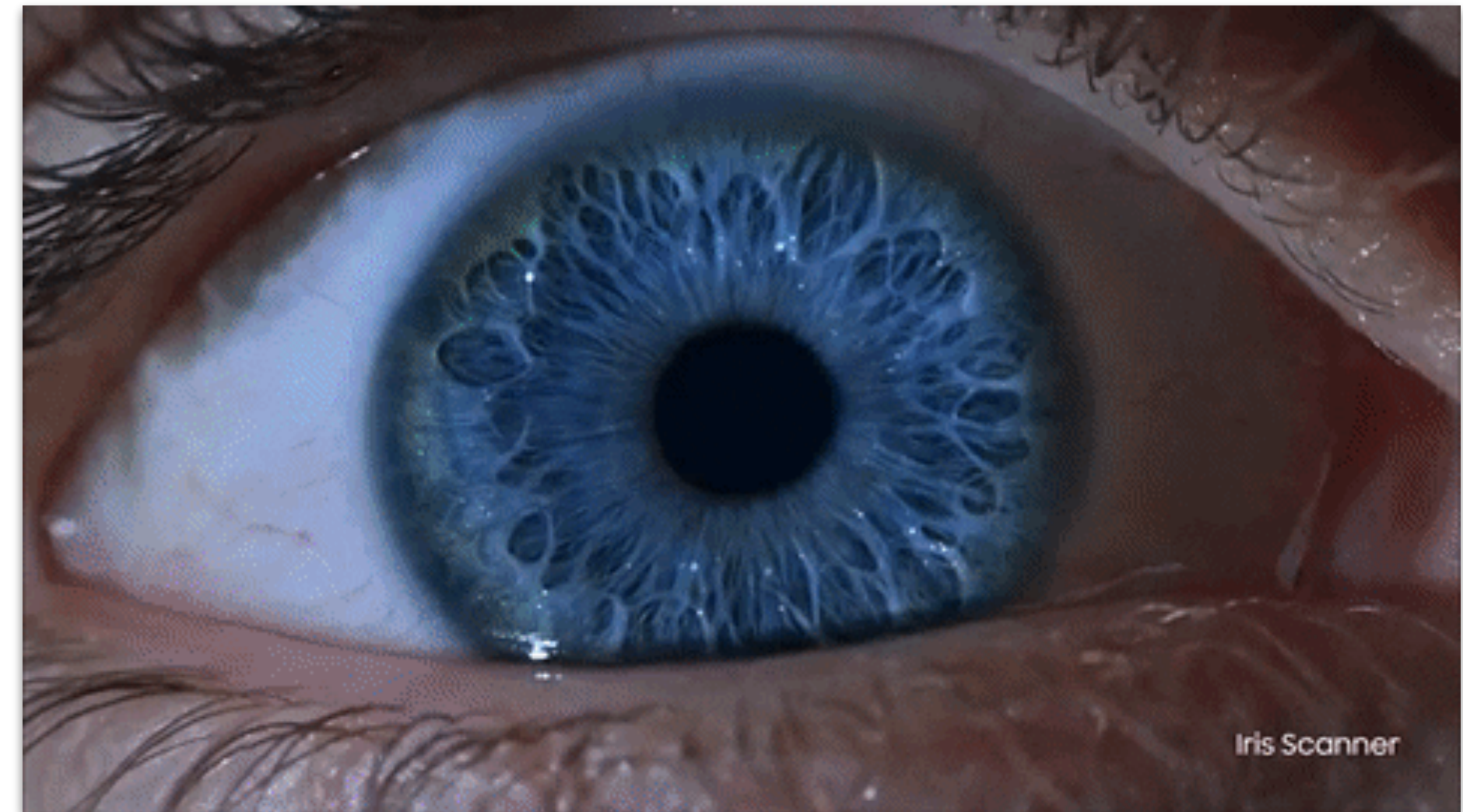
## Steps

### Segmentation

Keep only useful information  
(iris texture).

### Normalization

Make different captures of the same  
iris look as similar as possible.



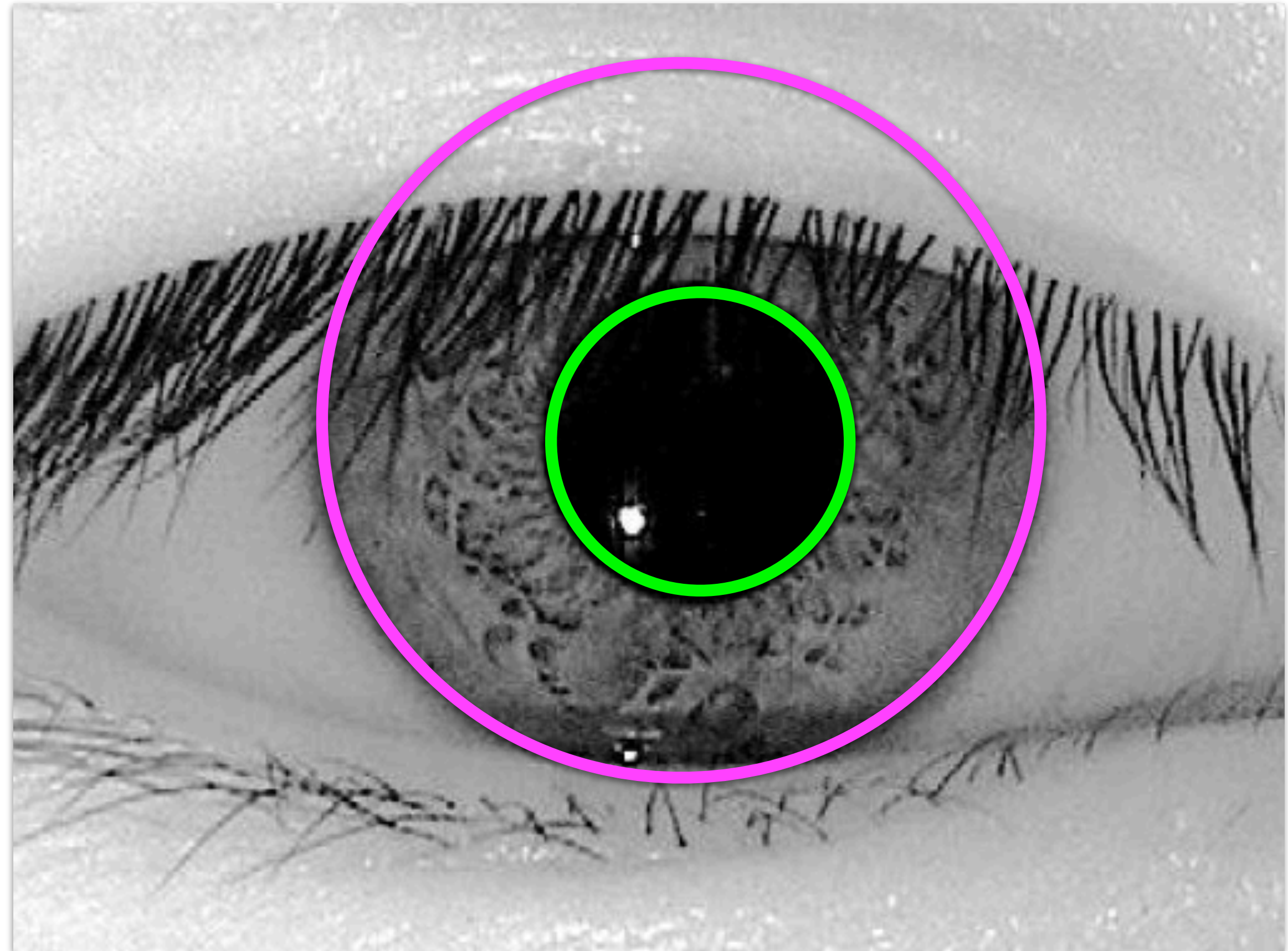


# Enhancement

## Segmentation (1/2)

### Iris and Pupil Localization

Localize limbus and pupillary boundaries.



[http://www.cse.nd.edu/BTAS\\_07/John\\_Daugman\\_BTAS.pdf](http://www.cse.nd.edu/BTAS_07/John_Daugman_BTAS.pdf)



# Enhancement

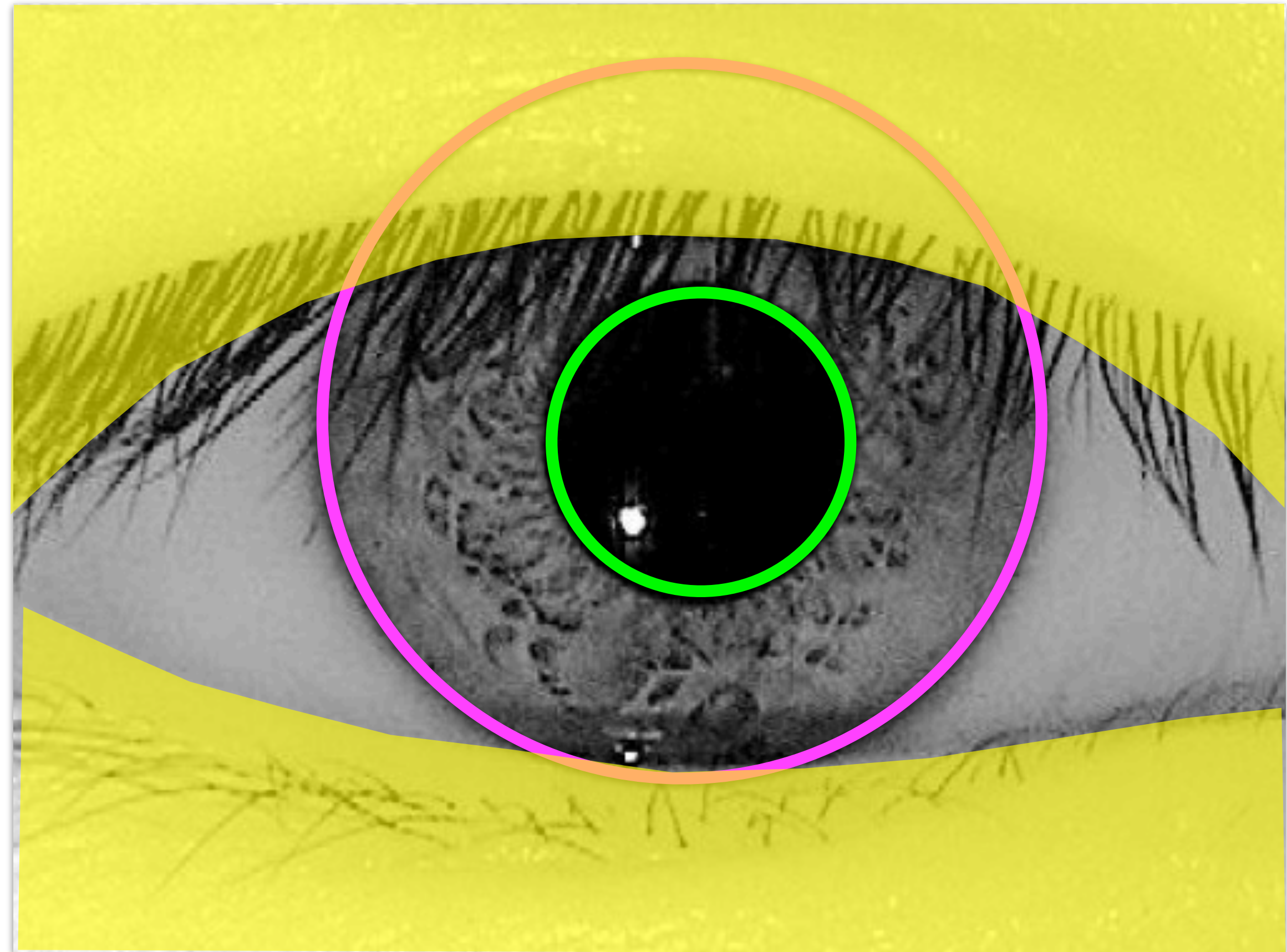
## Segmentation (1/2)

### Iris and Pupil Localization

Localize limbus and pupillary boundaries.

### Eyelid, Eyelash, and Specular Reflection Detection

Deal with iris texture occlusions.



[http://www.cse.nd.edu/BTAS\\_07/John\\_Daugman\\_BTAS.pdf](http://www.cse.nd.edu/BTAS_07/John_Daugman_BTAS.pdf)



# Enhancement

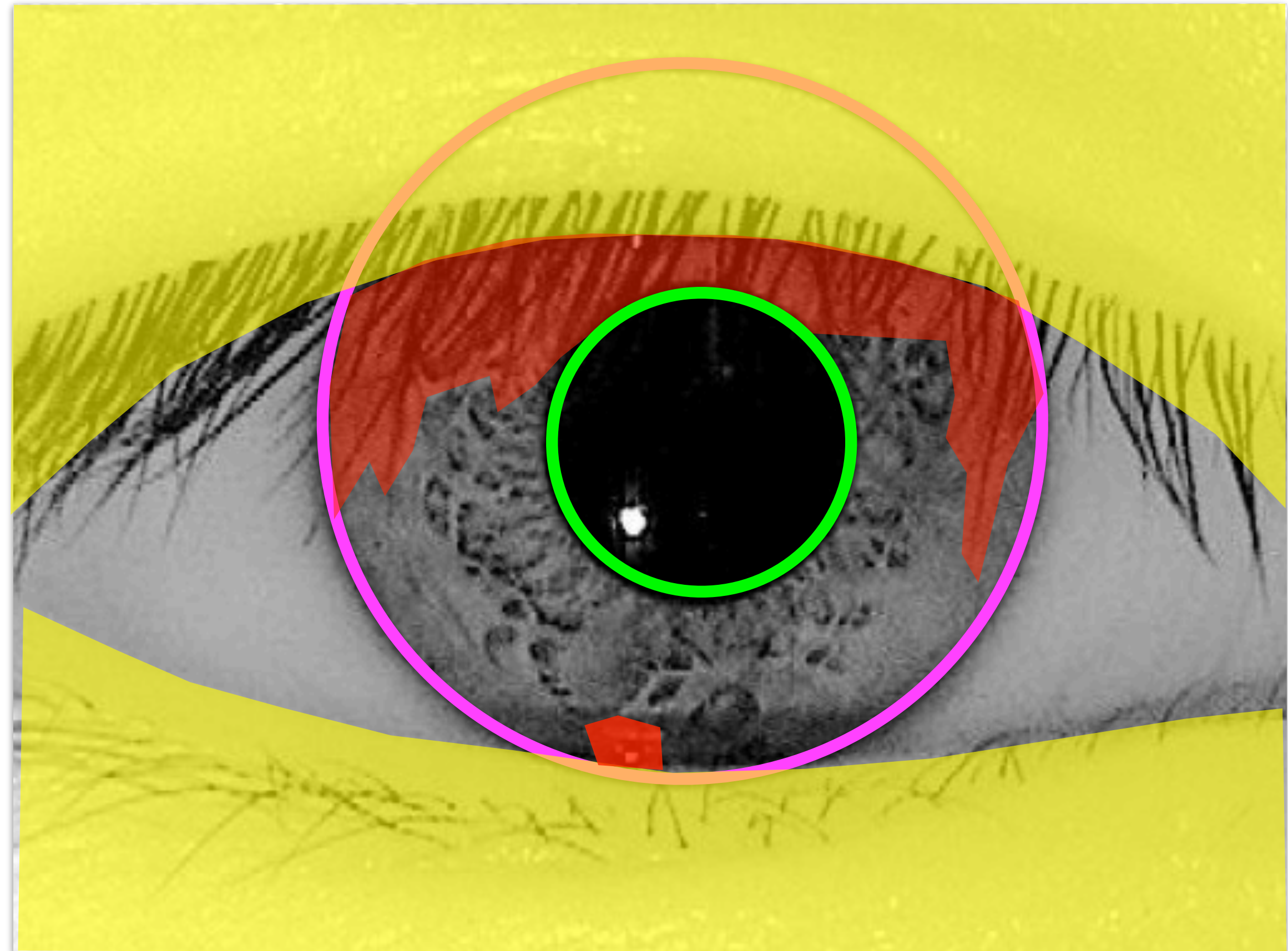
## Segmentation (1/2)

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[http://www.cse.nd.edu/BTAS\\_07/John\\_Daugman\\_BTAS.pdf](http://www.cse.nd.edu/BTAS_07/John_Daugman_BTAS.pdf)



# Enhancement

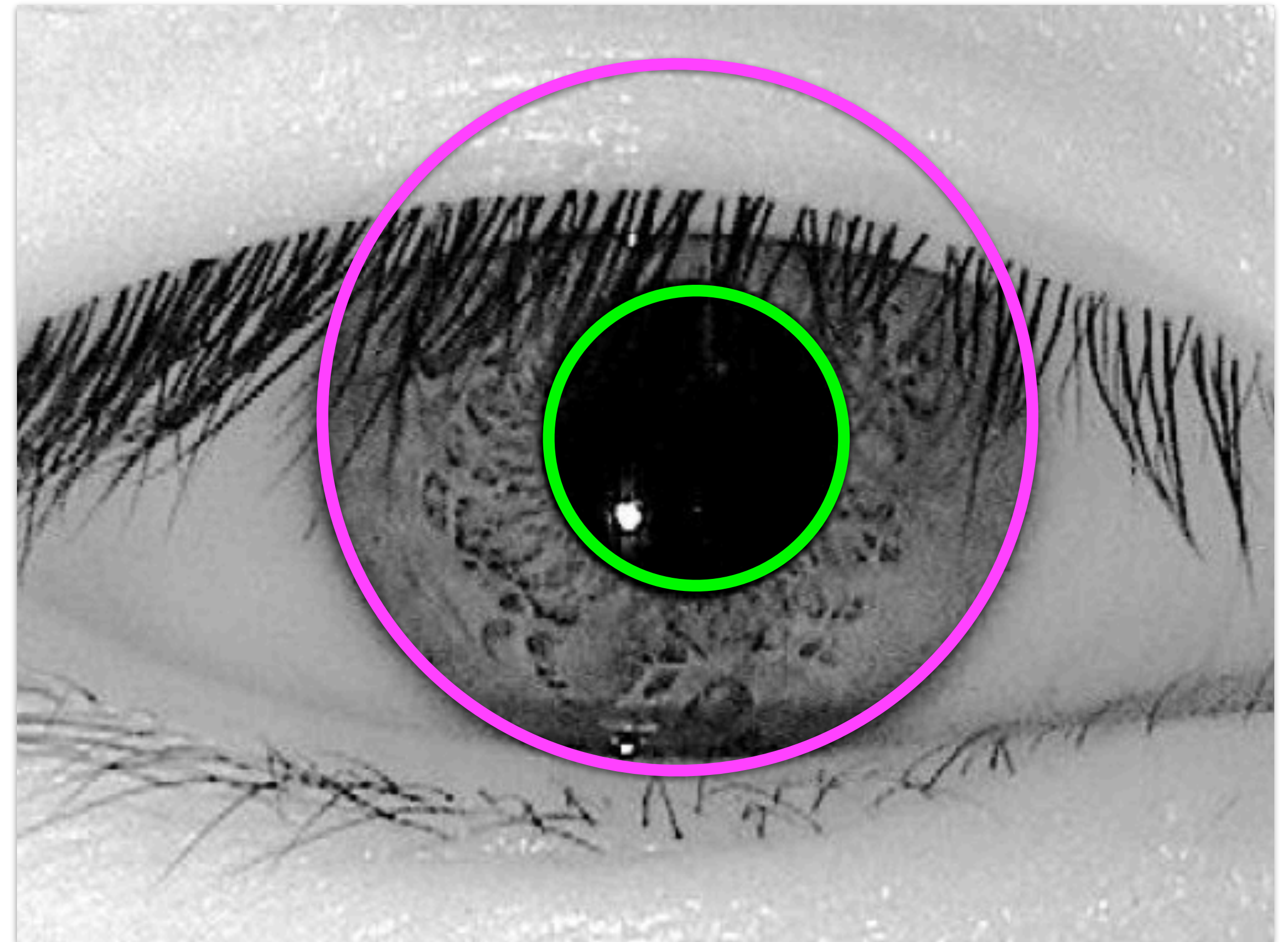
## Segmentation (1/2)

### Iris and Pupil Localization

Method 1: Integral-differential operator

Objective:

Find  $(r, x_0, y_0)$  of **limbus** and  $(r, x_0, y_0)$  of **pupillary** boundaries.



[http://www.cse.nd.edu/BTAS\\_07/John\\_Daugman\\_BTAS.pdf](http://www.cse.nd.edu/BTAS_07/John_Daugman_BTAS.pdf)

# Enhancement

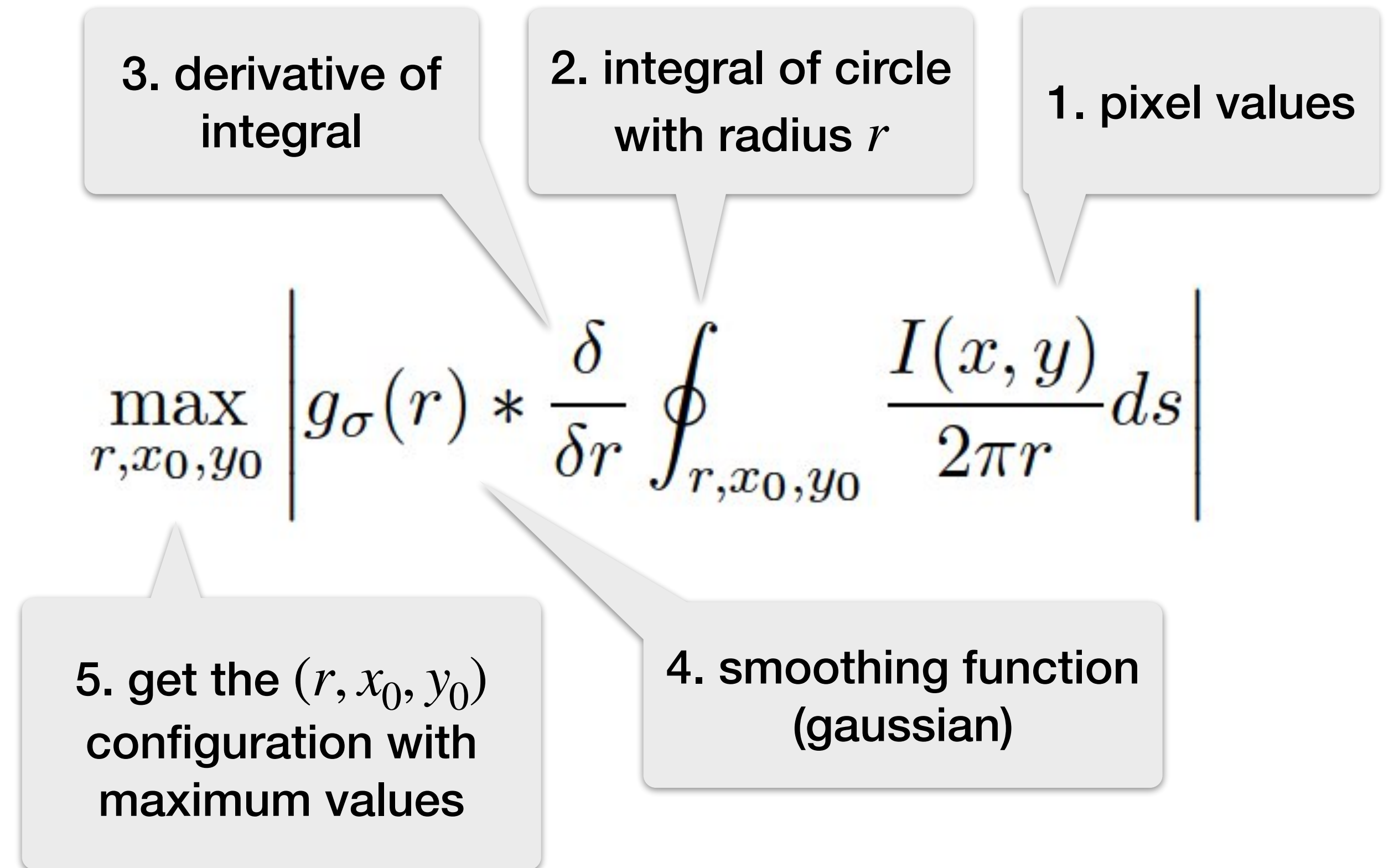
## Segmentation (1/2)

### Iris and Pupil Localization

Method 1: Integral-differential operator

Strategy:

Try various values for  $(r, x_0, y_0)$ .





# Enhancement

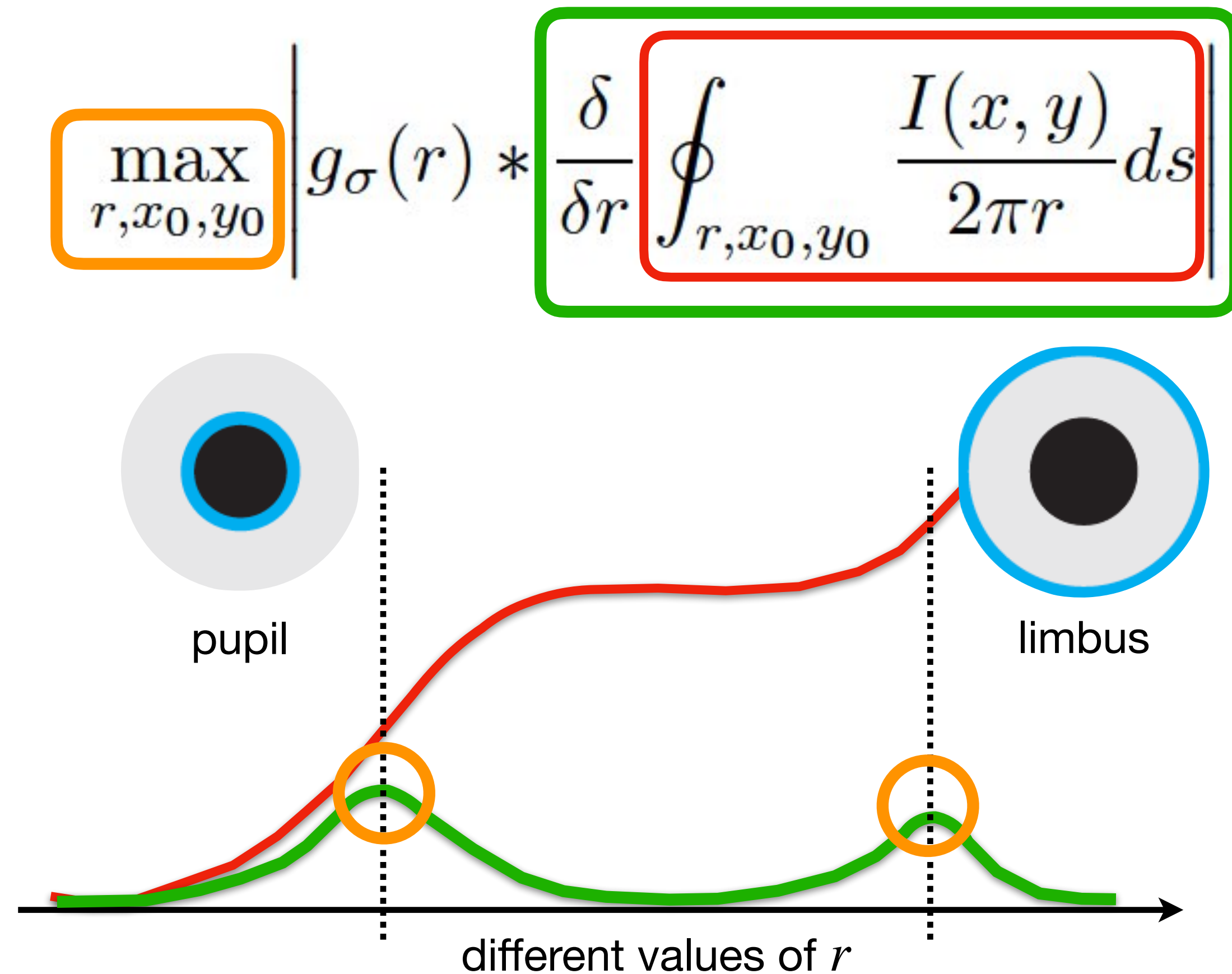
## Segmentation (1/2)

### Iris and Pupil Localization

Method 1: Integral-differential operator

Strategy:

Try various values for  $(r, x_0, y_0)$ .



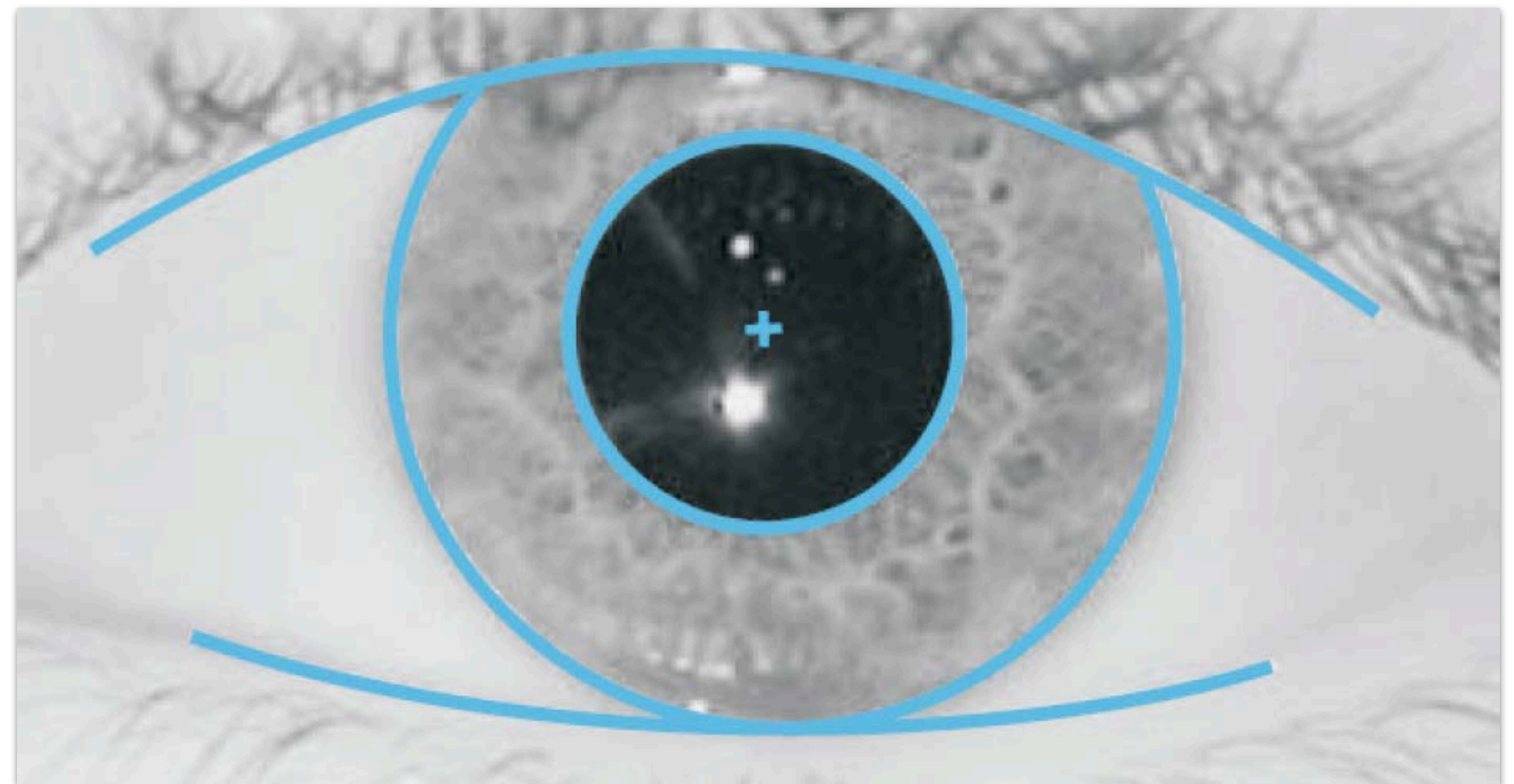
# Enhancement

## Segmentation (1/2)

### Iris and Pupil Localization

Method 1: Integral-differential operator

J. Daugman  
*How Iris Recognition Works*  
IEEE TCSVT, 2004



result example

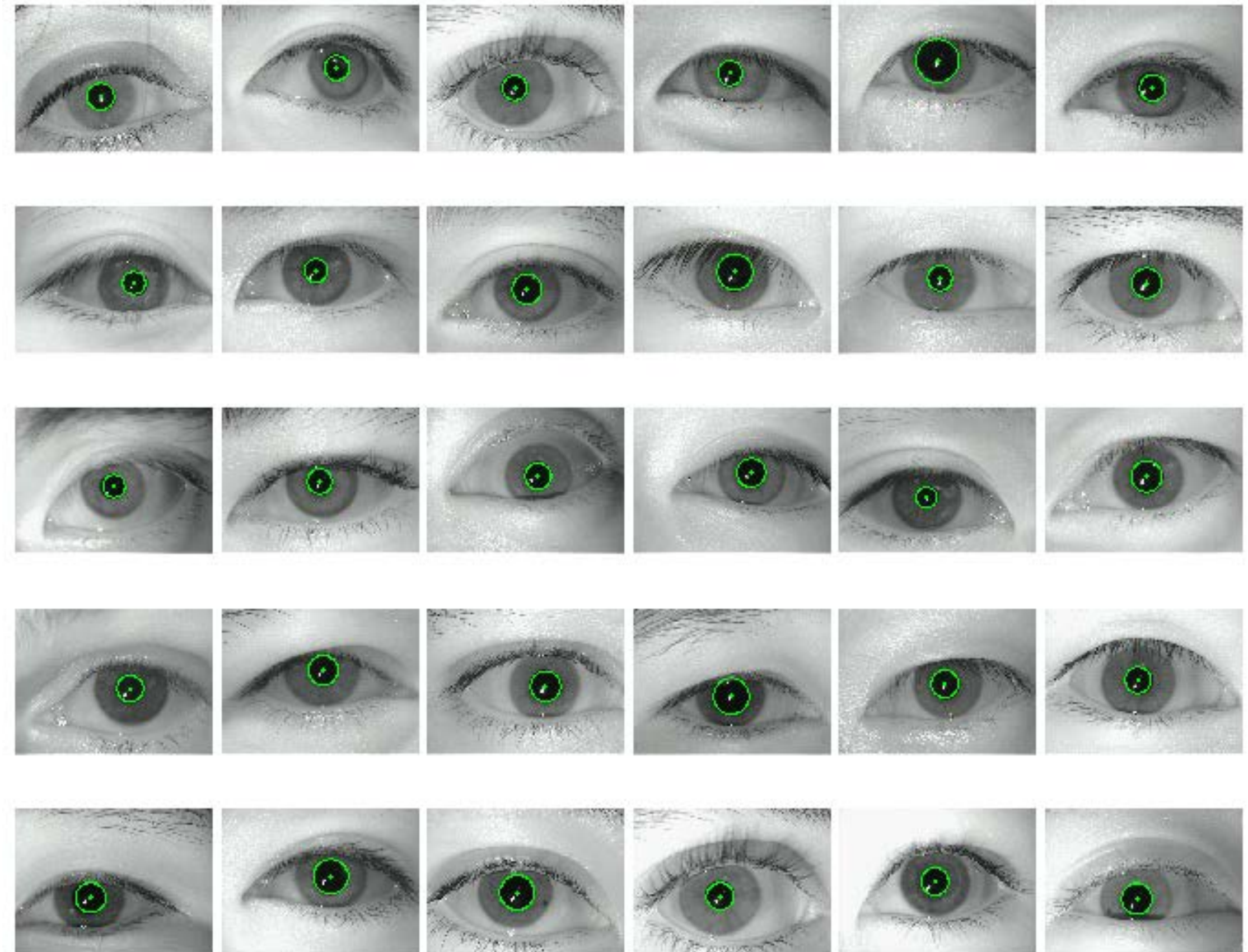


# Enhancement

## Segmentation (1/2)

### Iris and Pupil Localization

Method 2: Image processing ending with Hough circle transform.

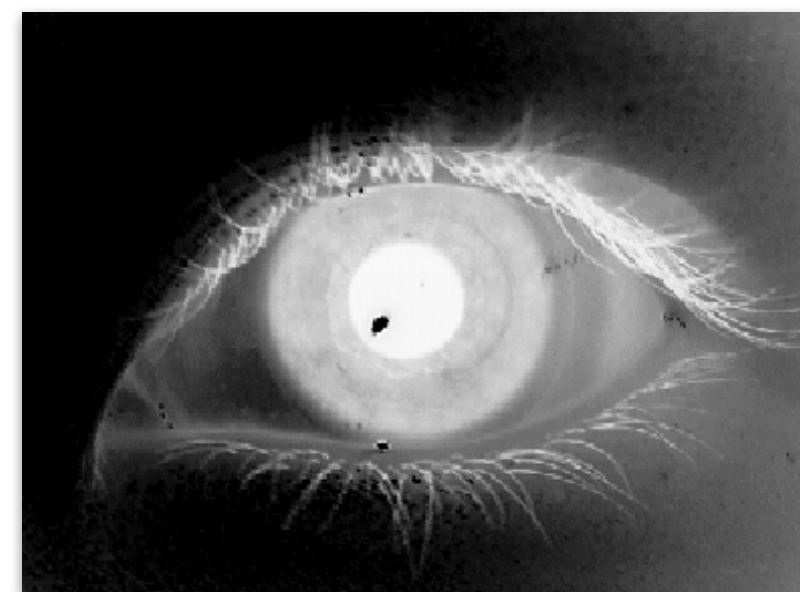


<https://github.com/olesia-midiana/iris-recognition-py>

# Enhancement



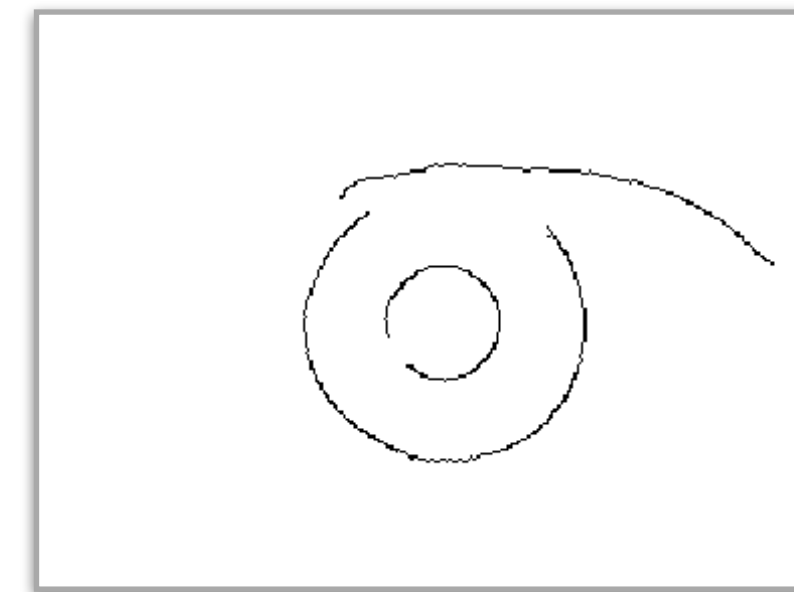
1. grayscale



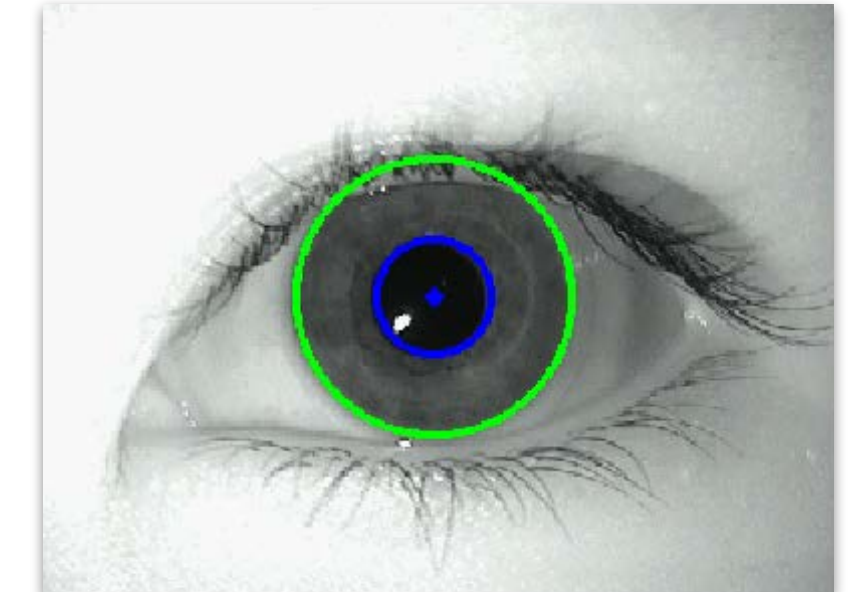
2. inverted



3. median blur



4. Canny edge detector



5. Hough circle transform

<https://github.com/olesia-midiana/iris-recognition-py>



# Enhancement

## Segmentation (1/2)

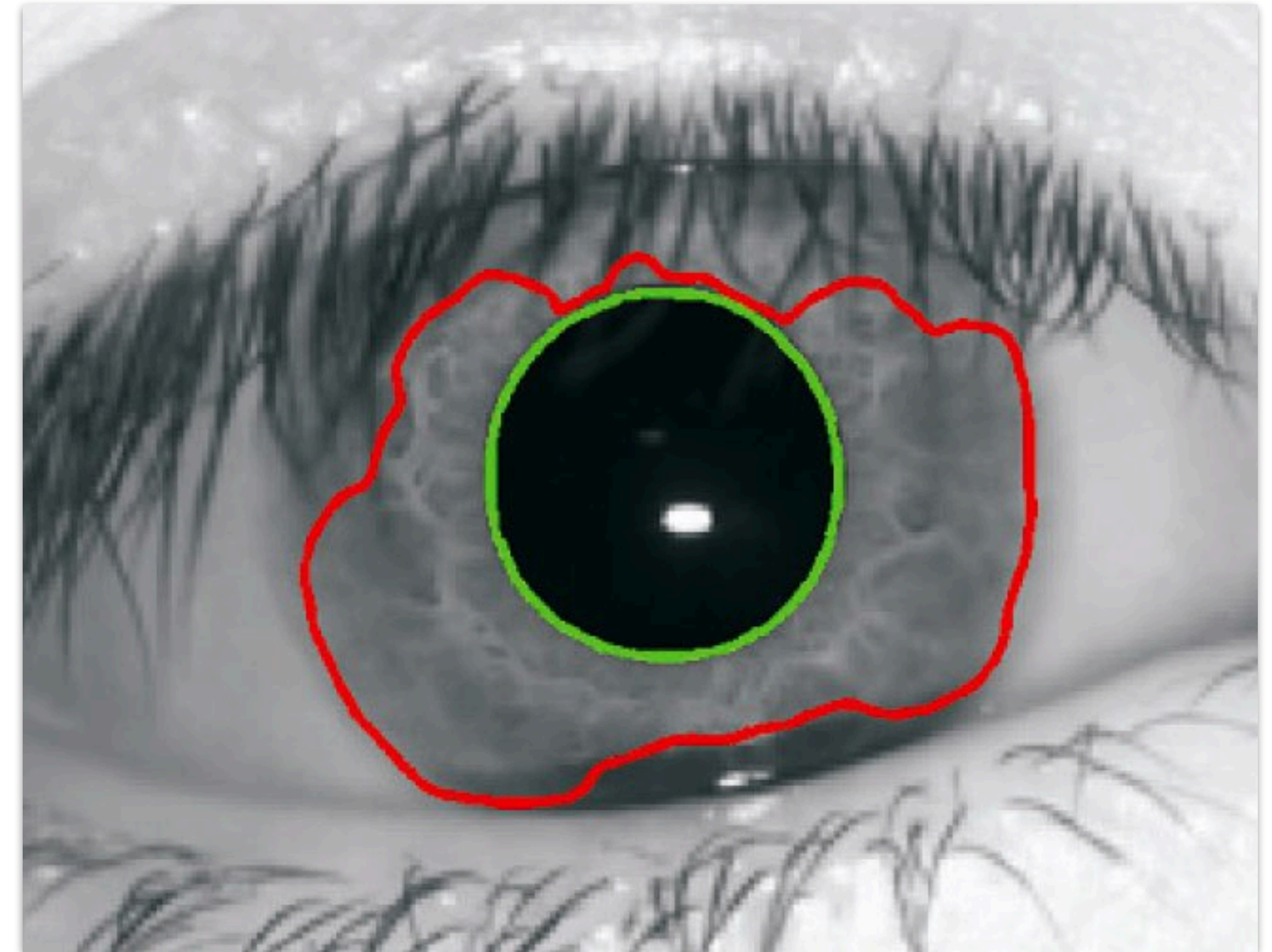
**Eyelids, eyelashes,  
specular highlights**

Fit of parabolic curves for eyelids.

Active contours (curve evolution)  
to avoid eyelashes.

Fit of elliptical curves for specular highlights.

Machine learning from annotated examples.



Gutfeter

*Active contours for iris segmentation.*

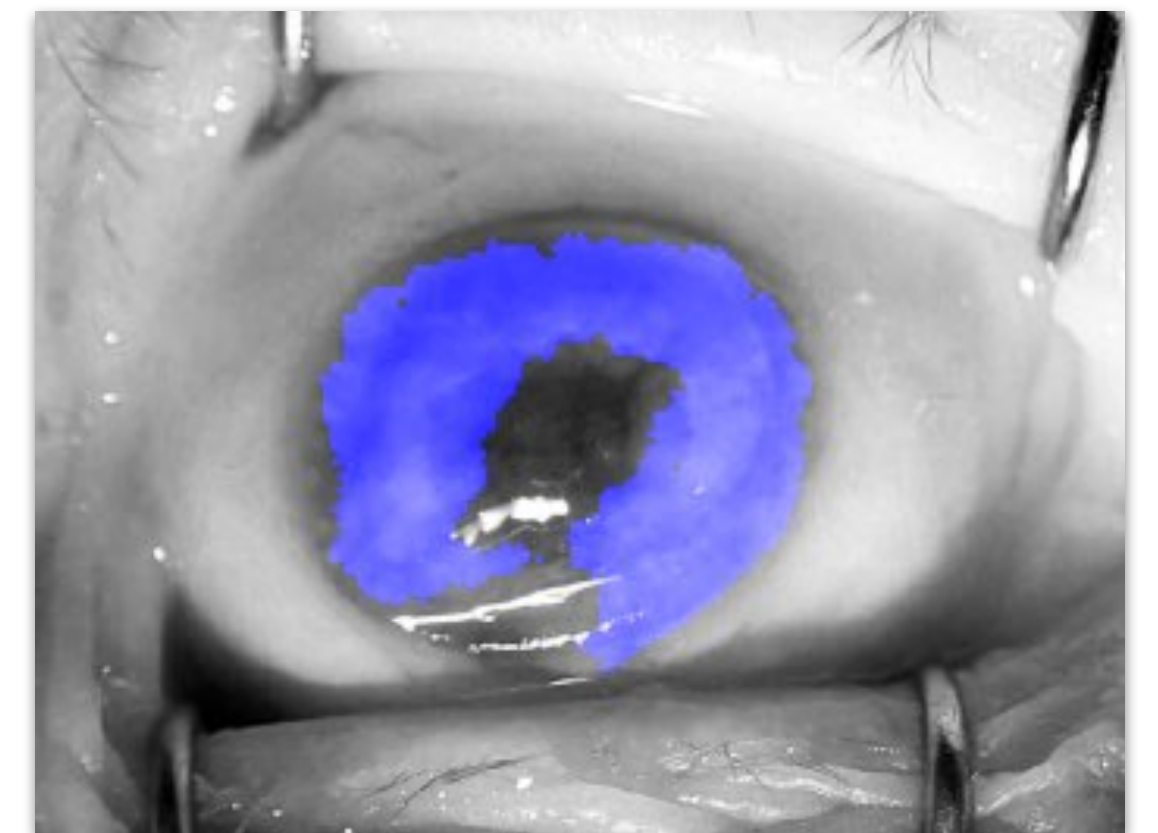
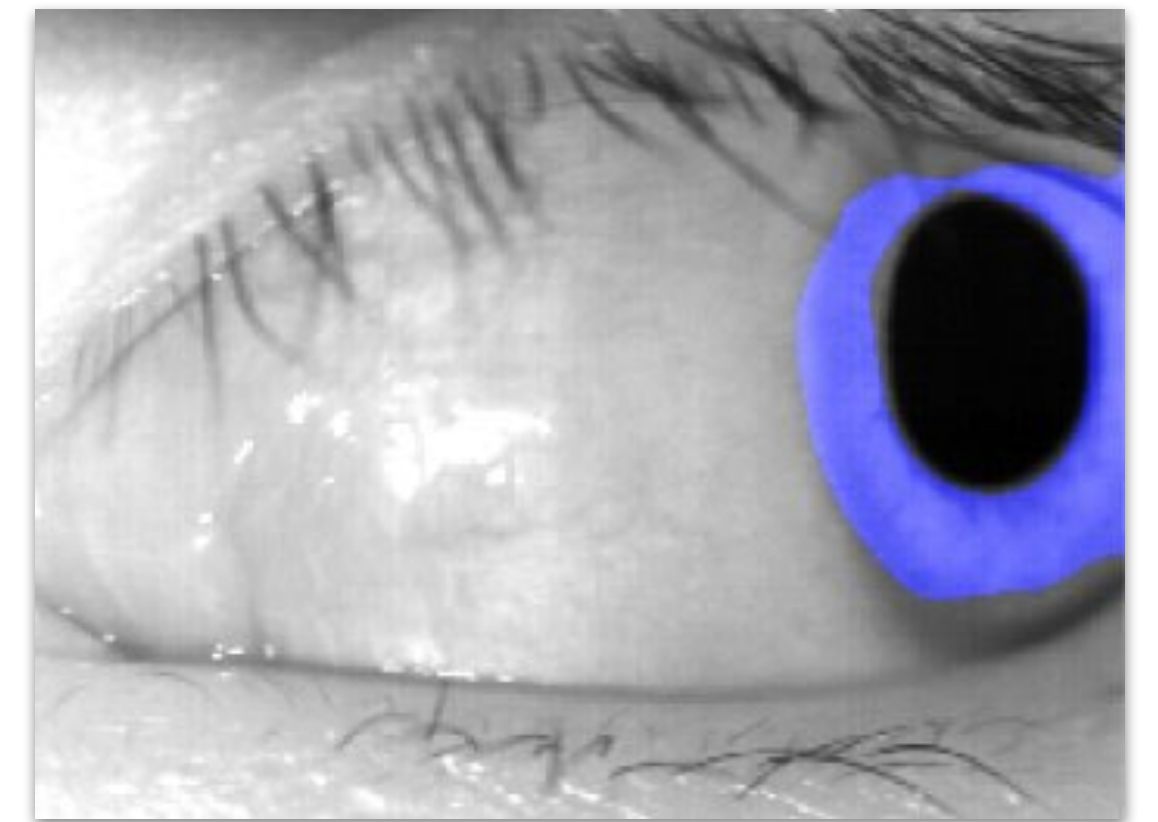
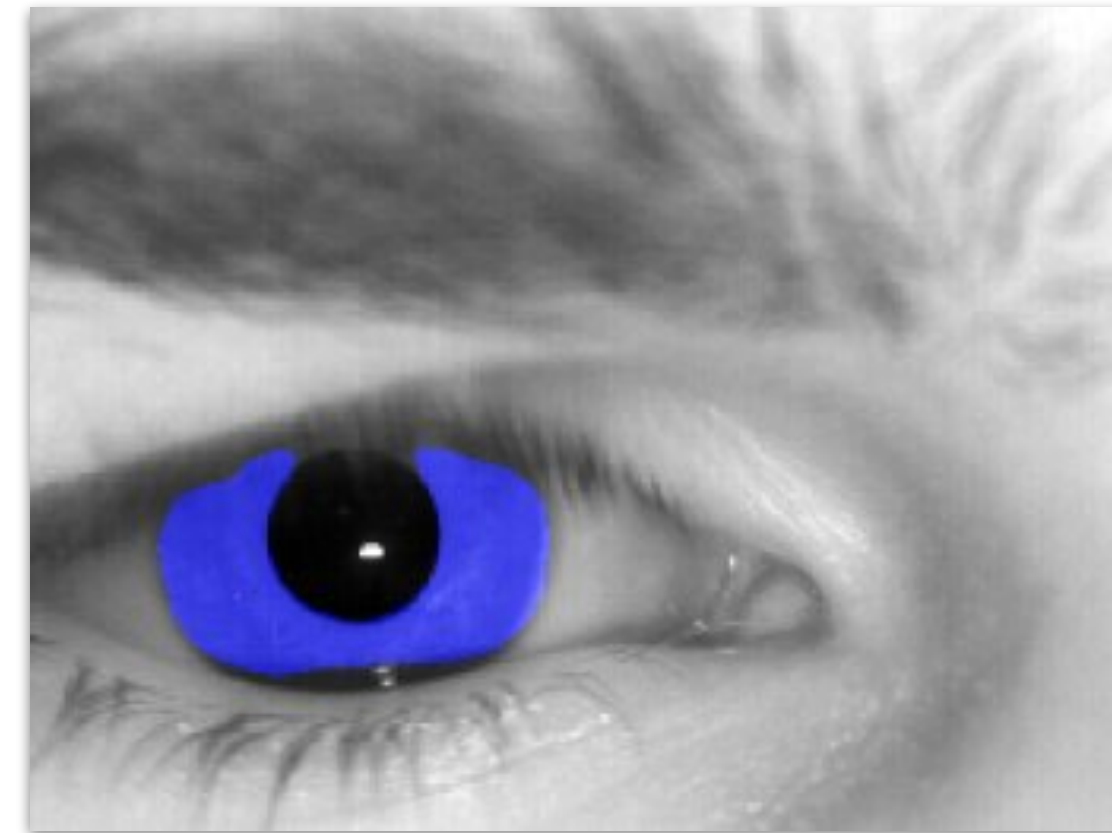
BSc Thesis, WUT, 2010

# Enhancement

## Segmentation (1/2)

### Iris Localization

Convolutional Neural Networks  
(machine learning trained with  
annotation examples).



Kerrigan et al.  
*Iris Recognition with Image  
Segmentation Employing  
Retrained Off-the-Shelf Deep Neural  
Networks*  
<https://arxiv.org/abs/1901.01028>, 2019



# Enhancement

## Segmentation (1/2)

### Manual Segmentation

Next slide: iris recognition tool developed at Notre Dame.

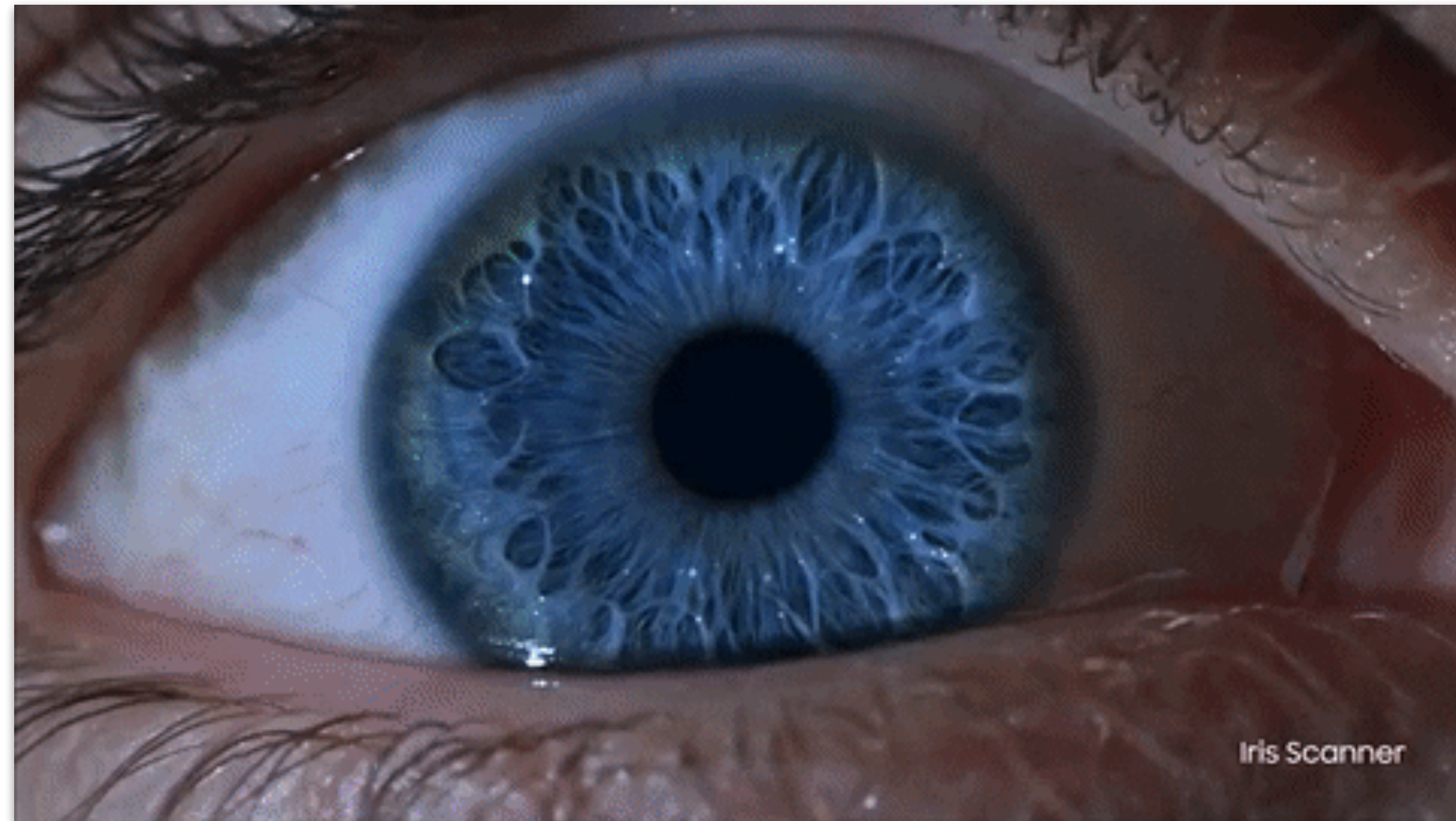




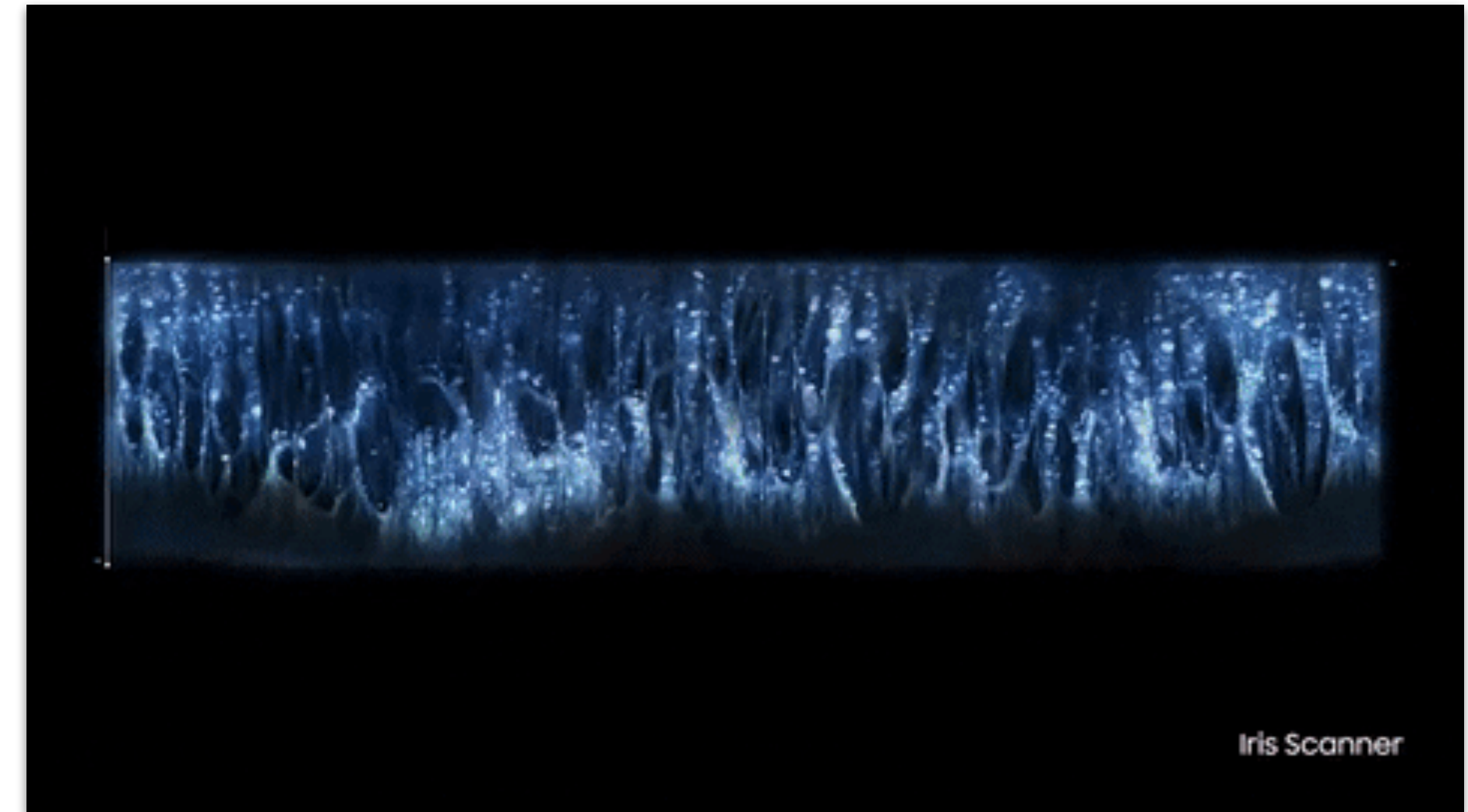


# Enhancement

## Normalization (2/2)



source

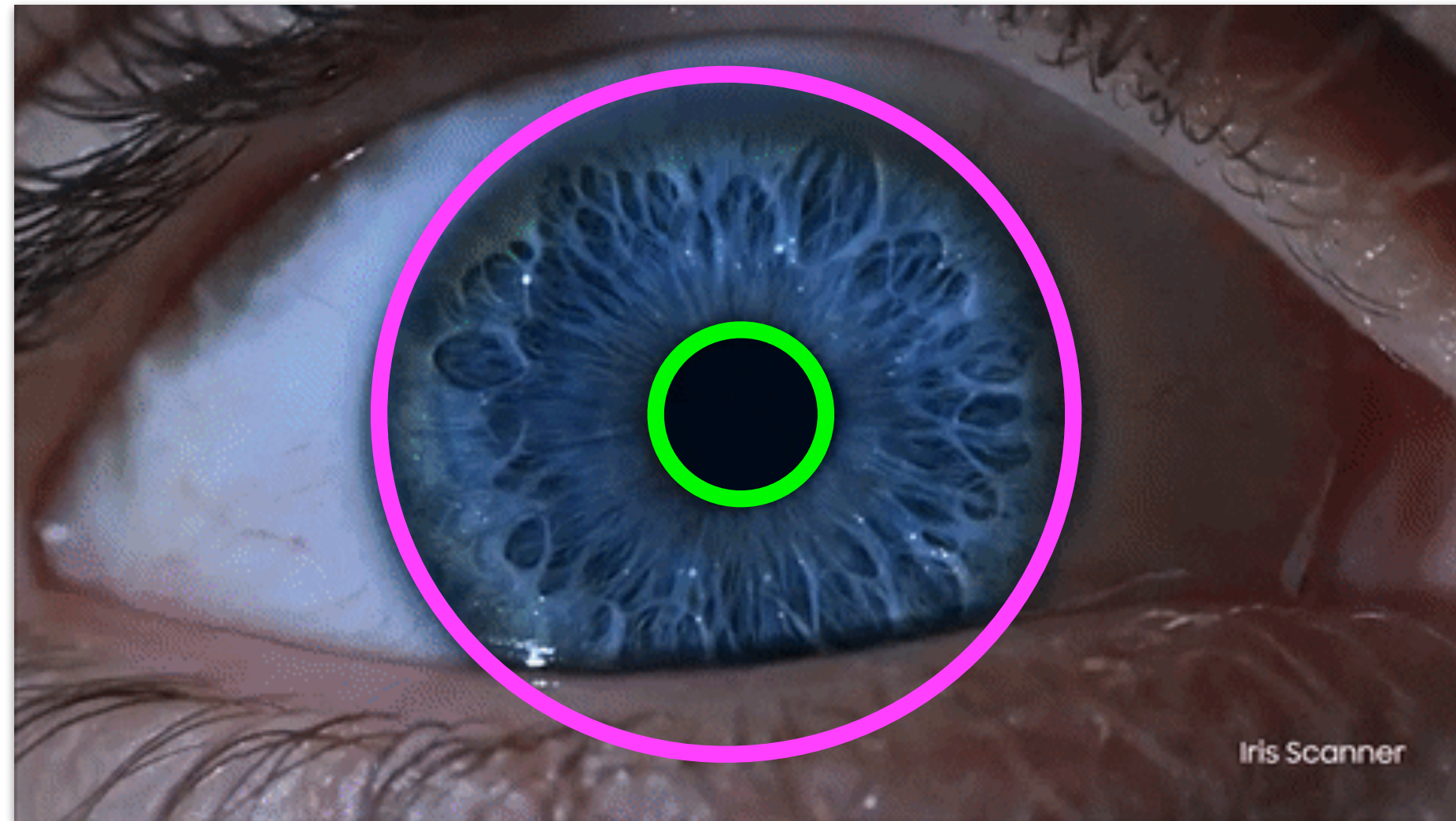


target

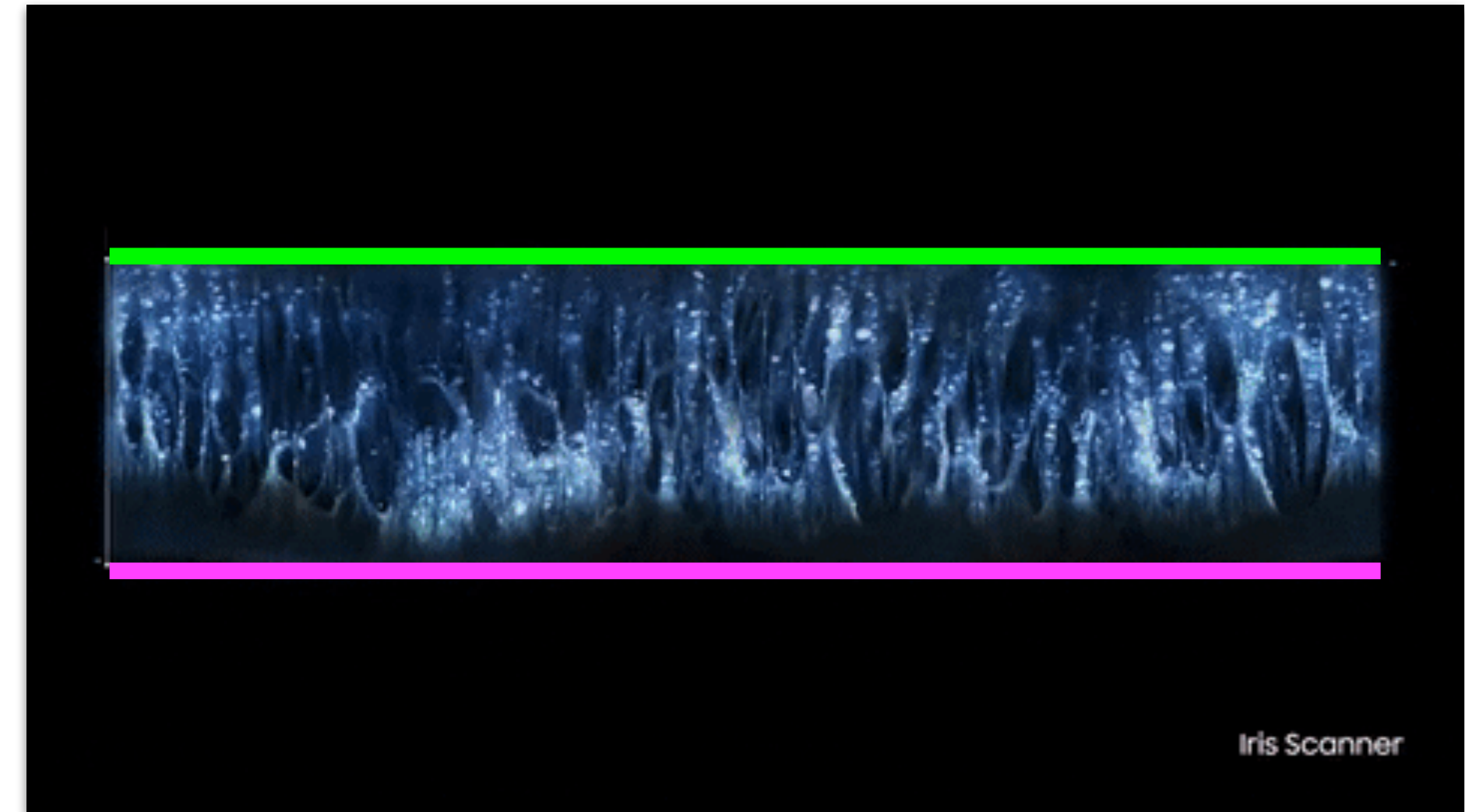


# Enhancement

## Normalization (2/2)



source

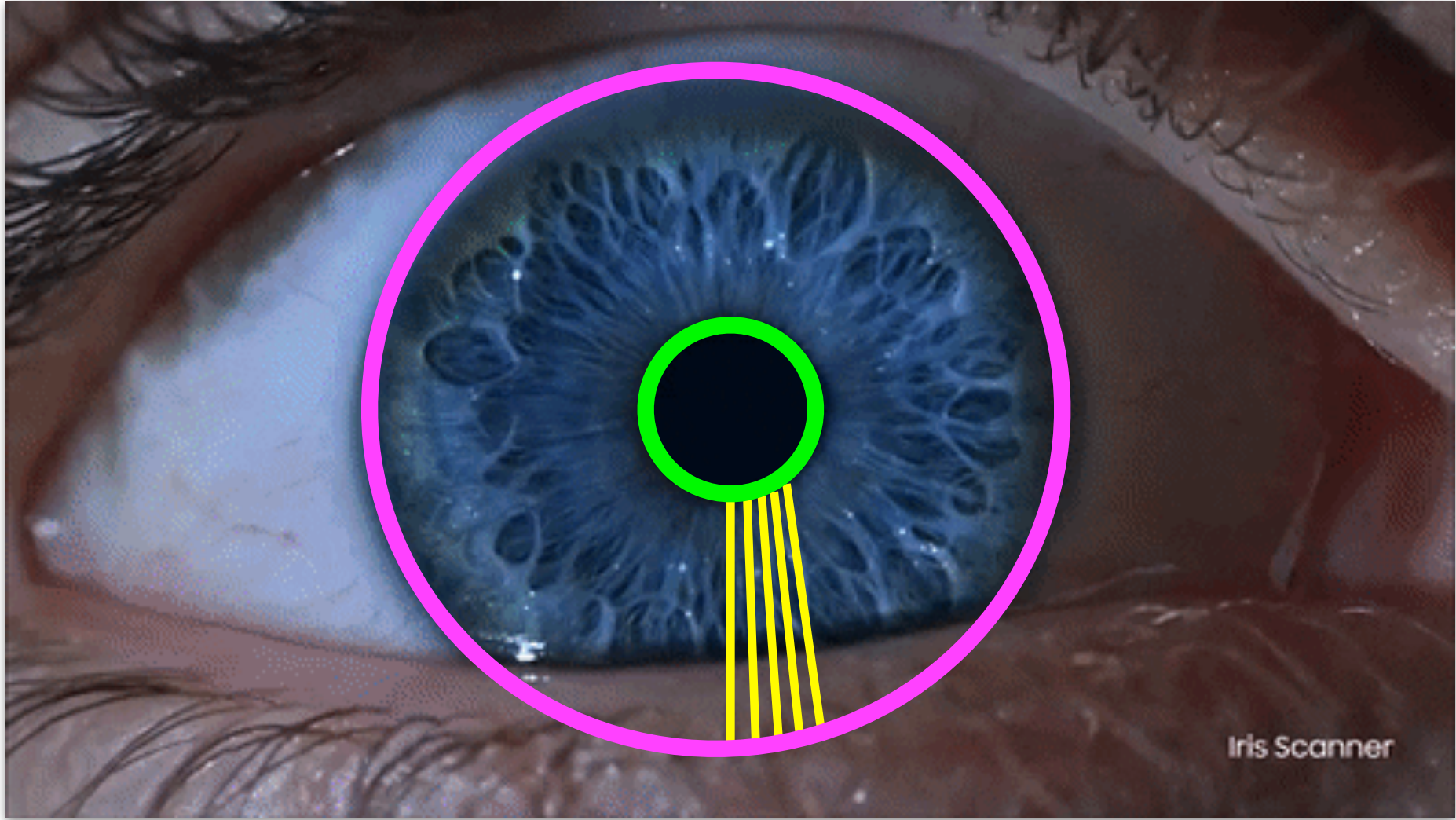


target

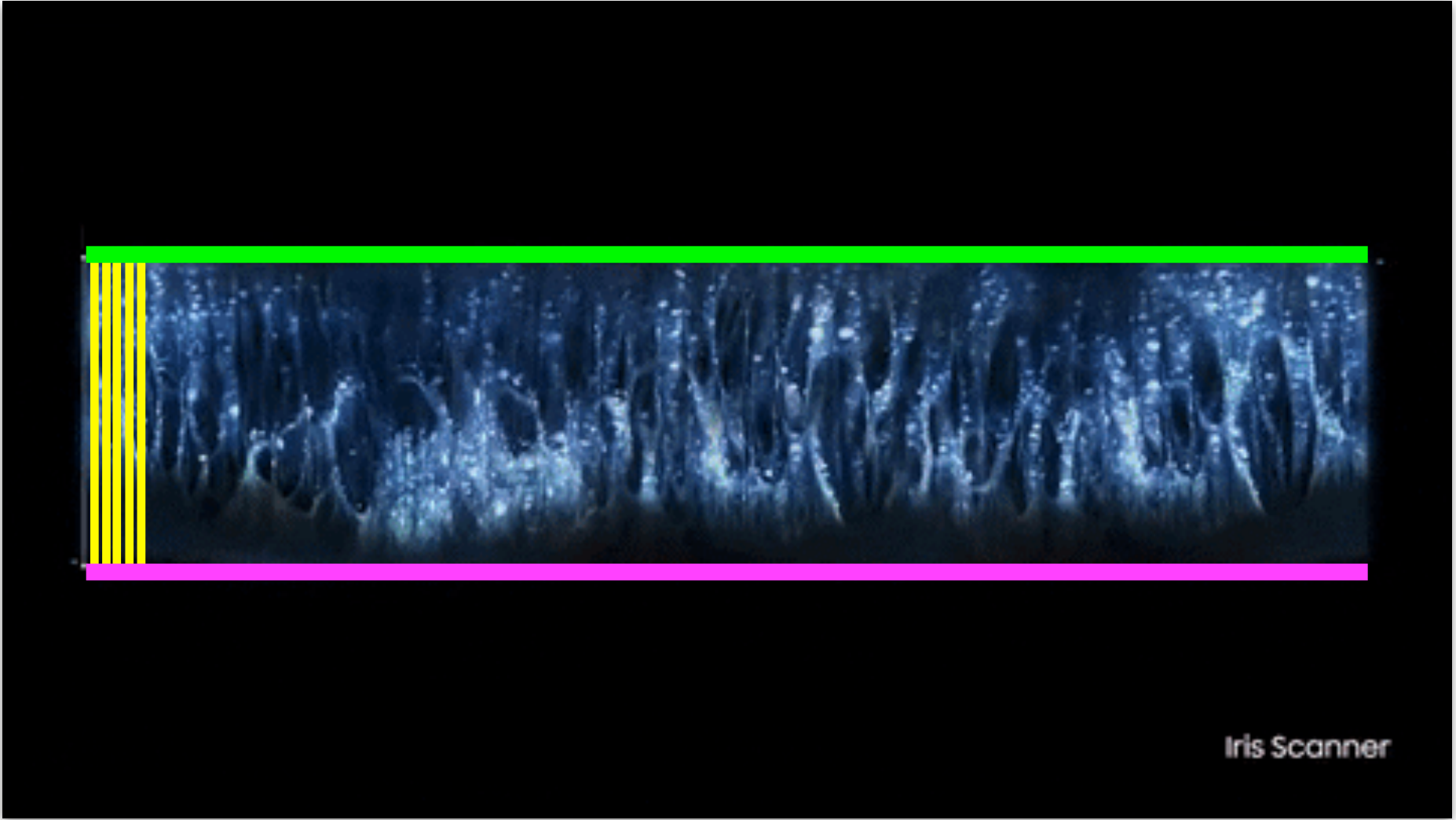


# Enhancement

## Normalization (2/2)



source

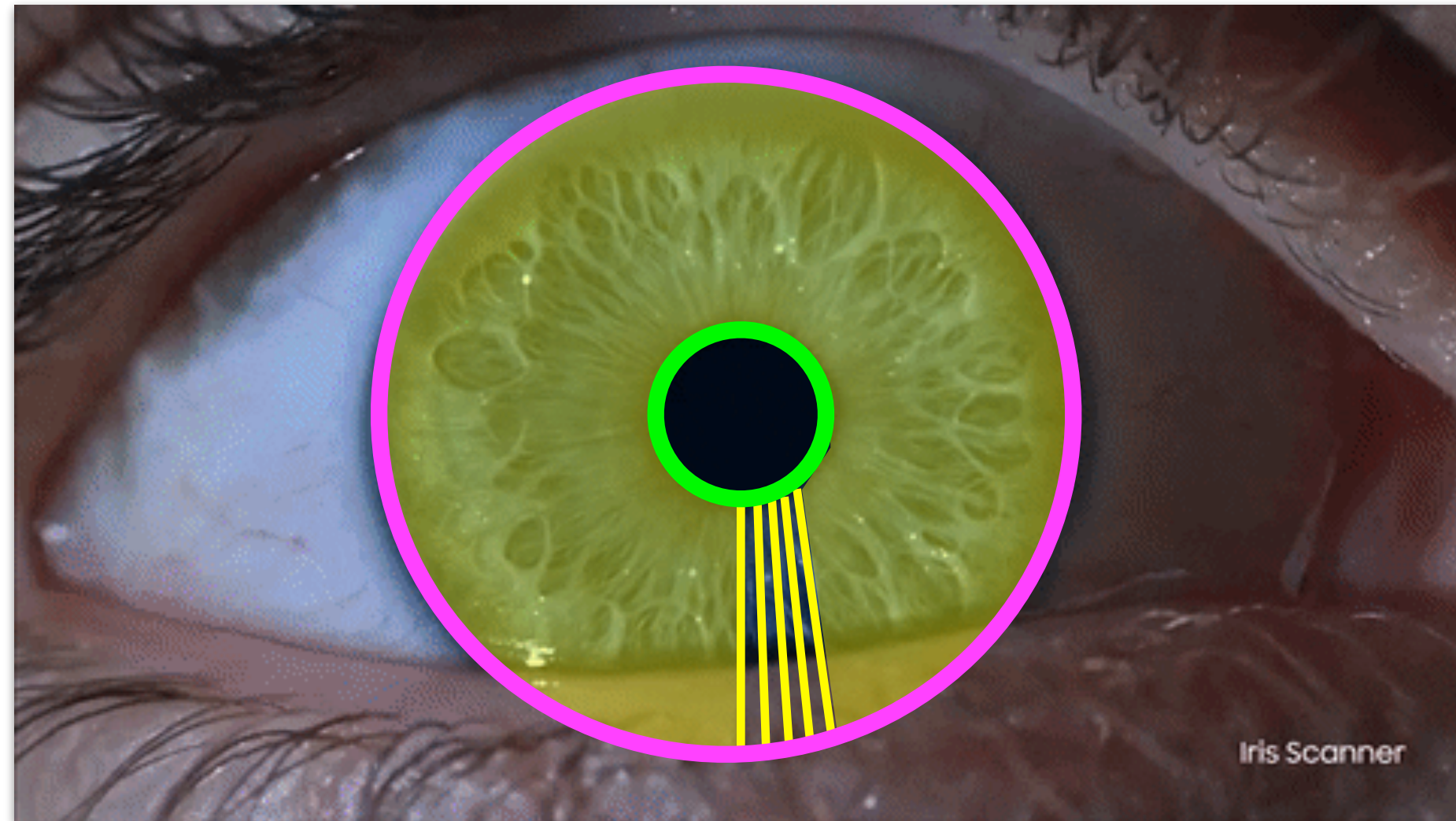


target

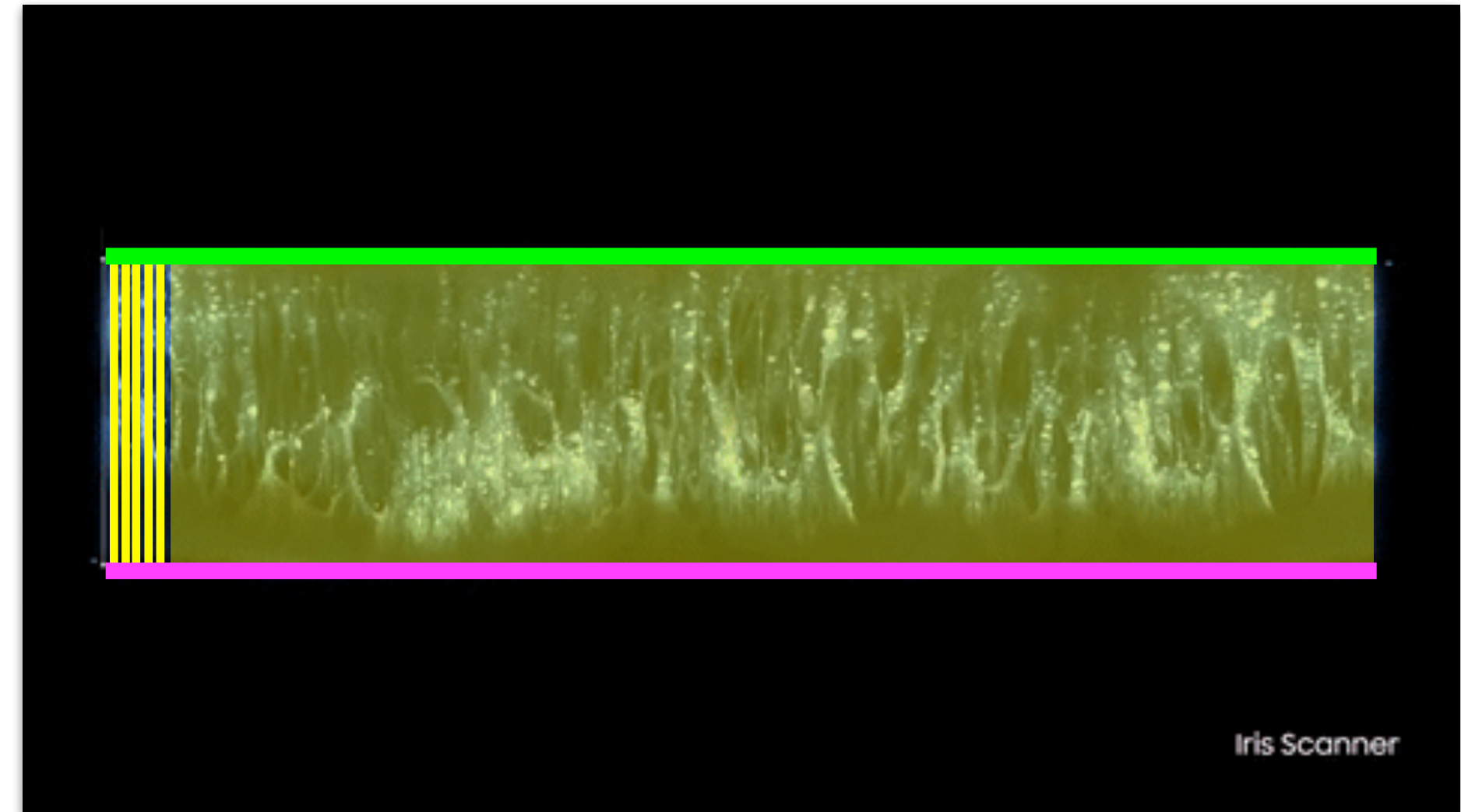


# Enhancement

## Normalization (2/2)



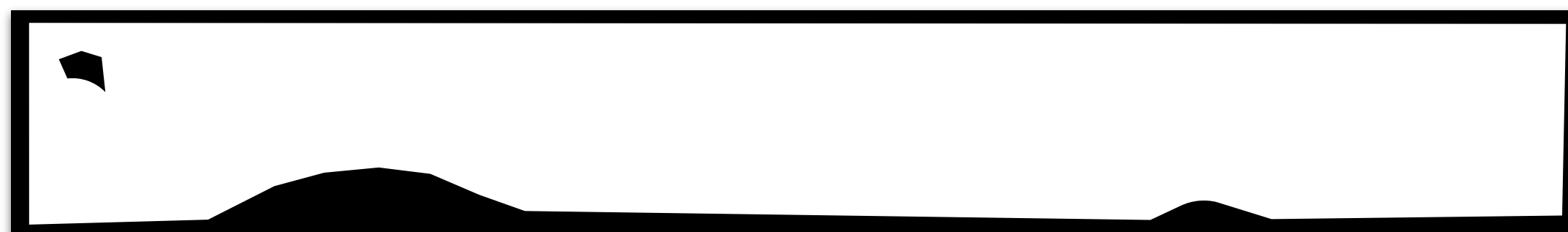
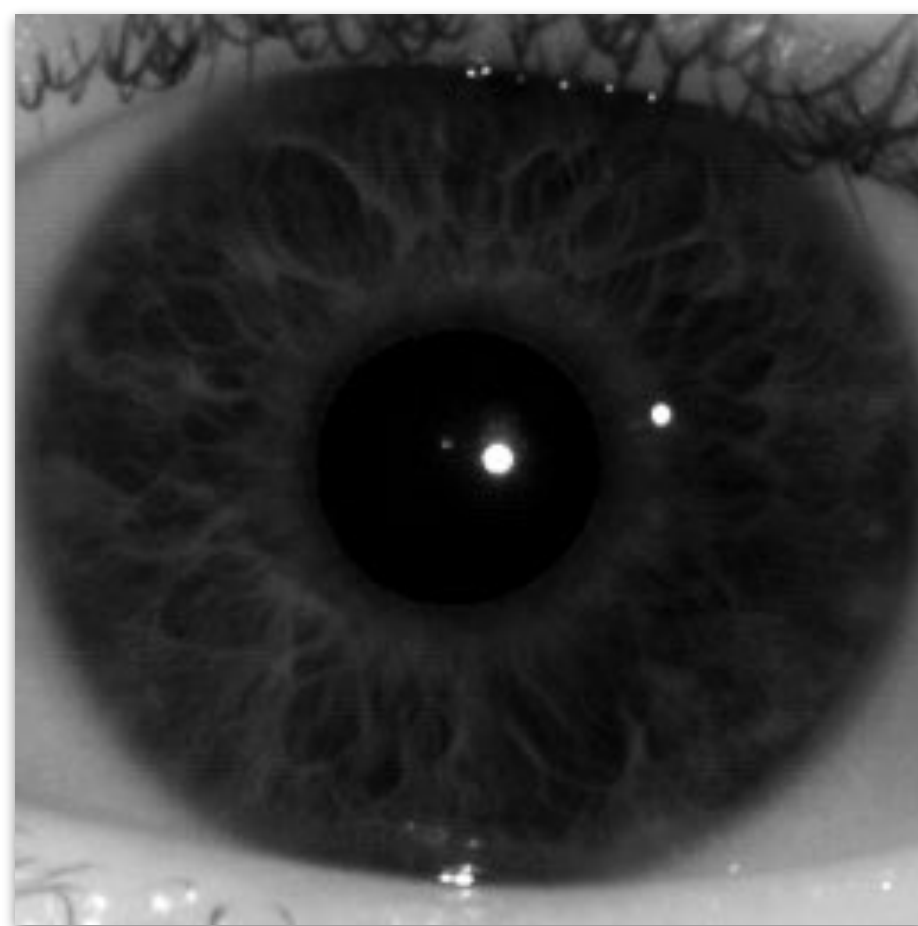
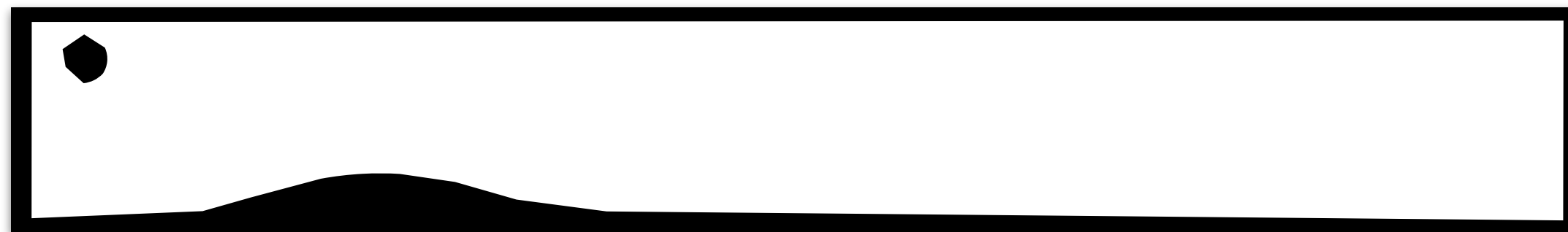
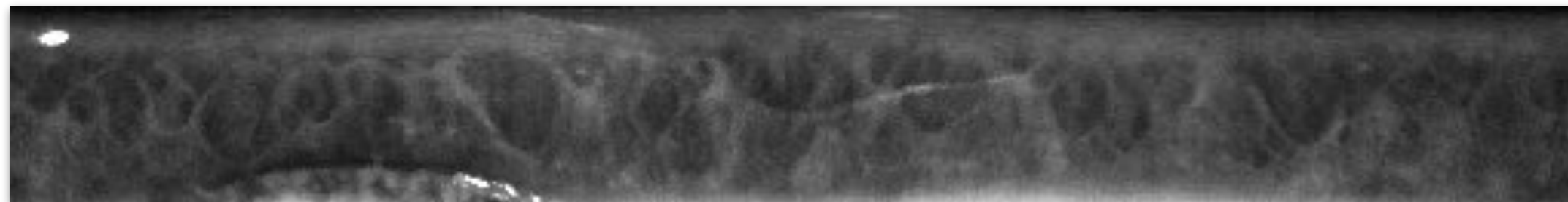
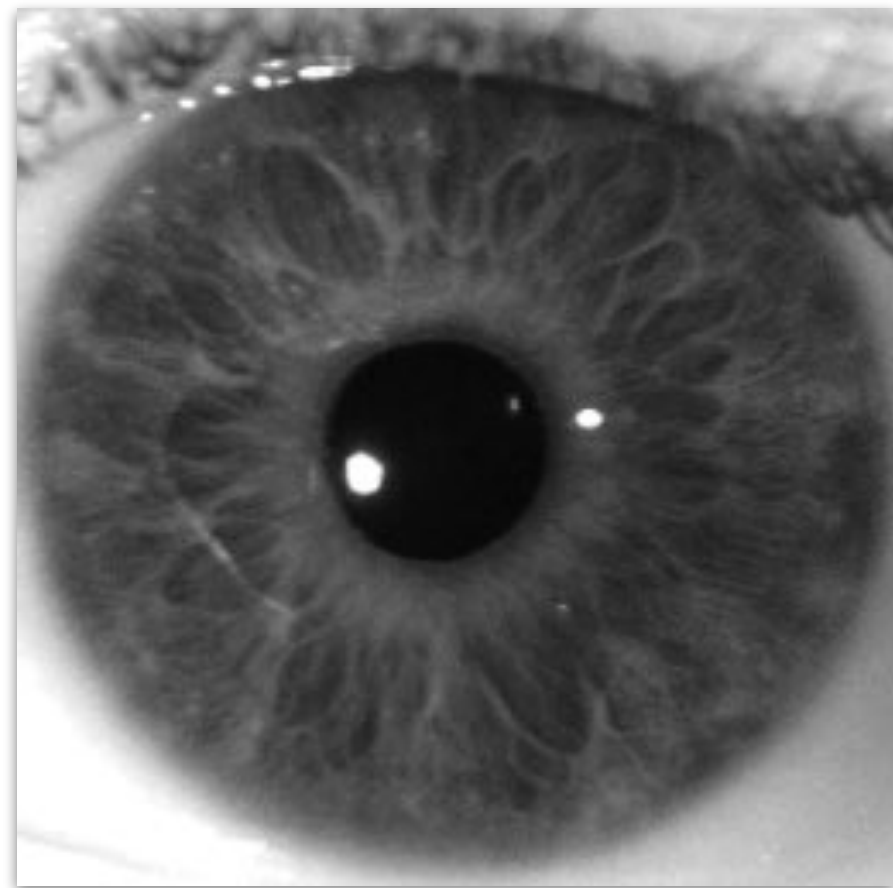
source



target



# Enhancement



# Enhancement

## Limitations

## Segmentation

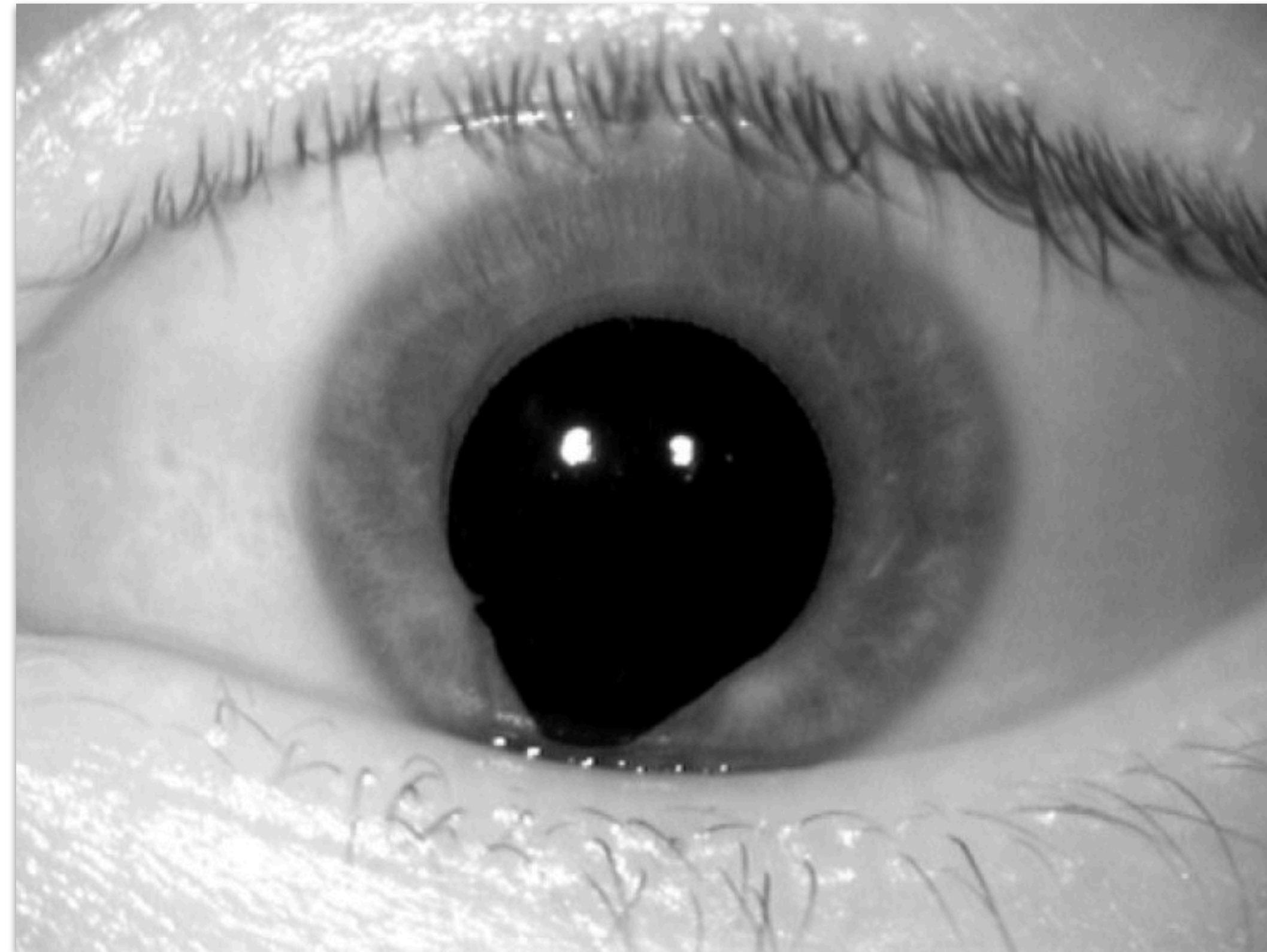
Pupil and iris are not concentric  
(pupils are slightly shifted to the nasal corner).  
They are not perfectly round.





# Enhancement

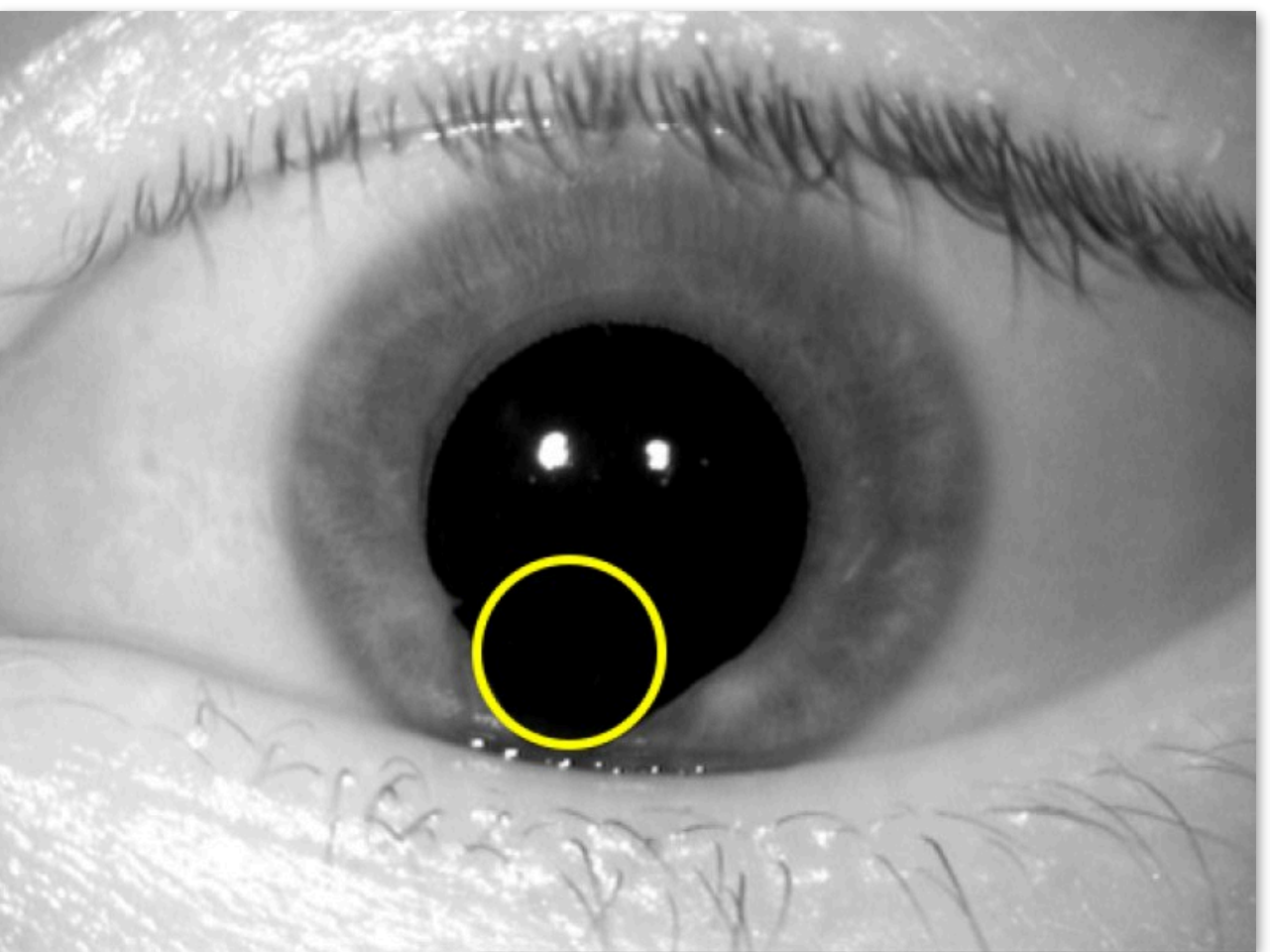
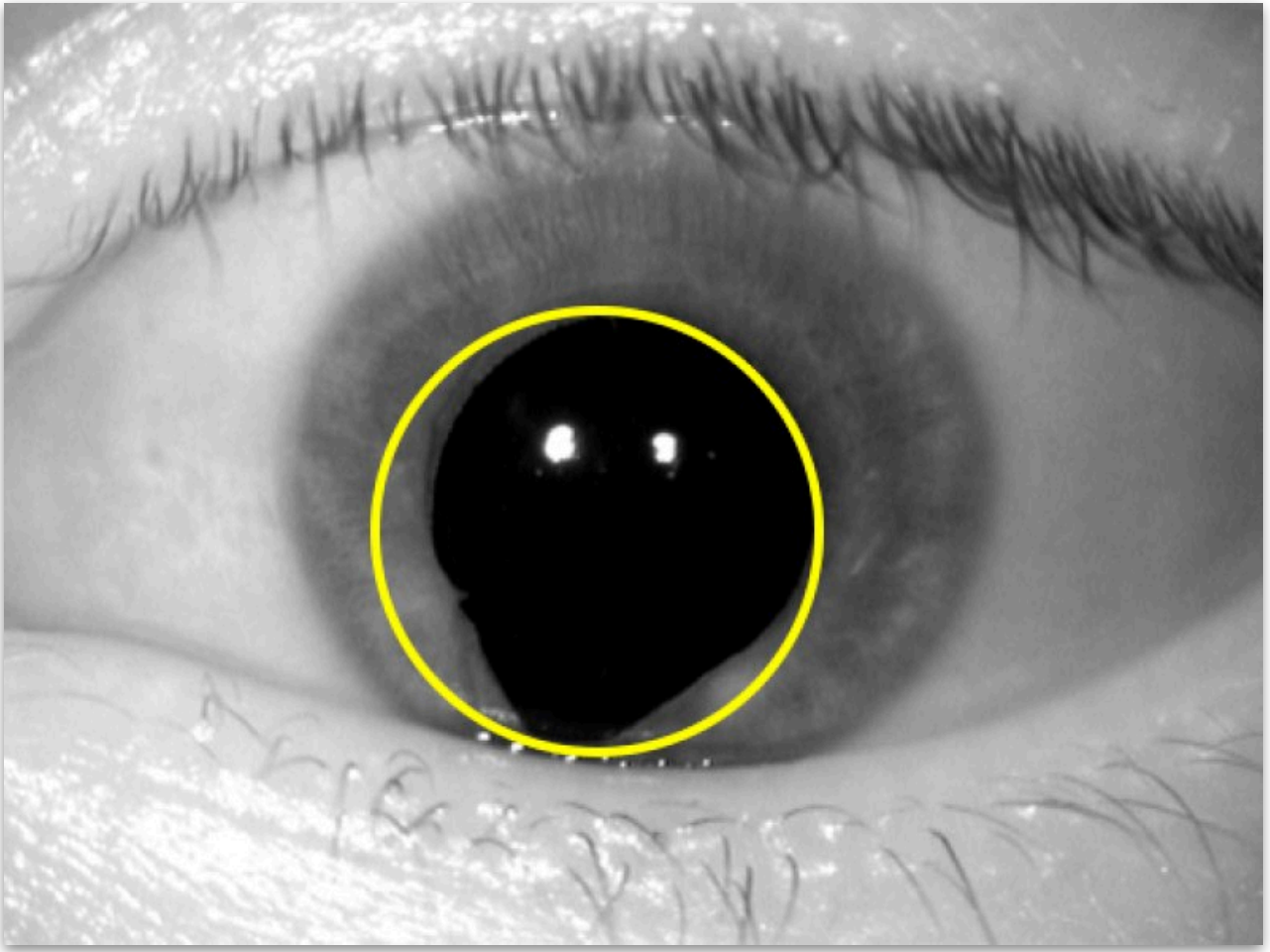
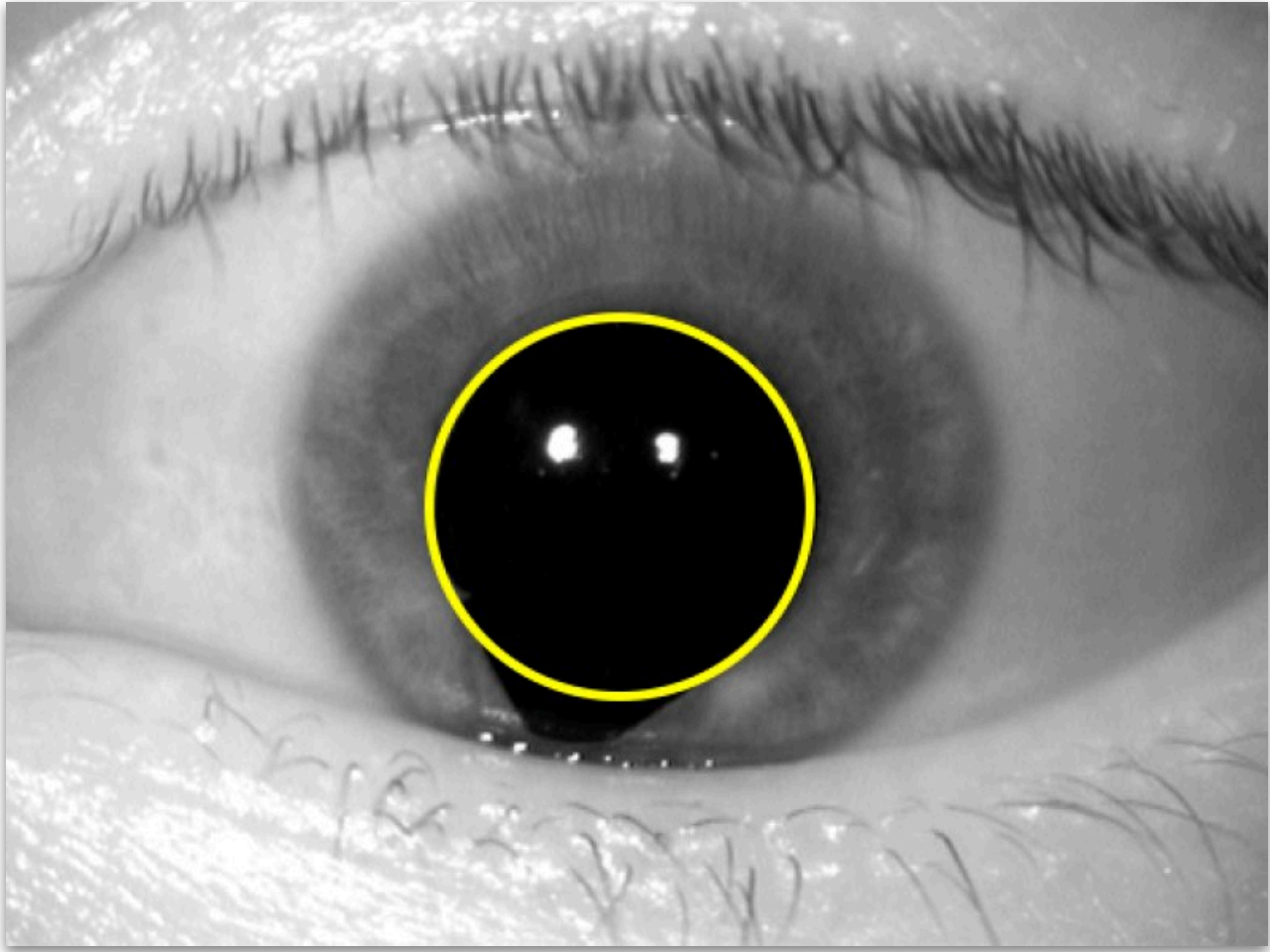
Dr. Adam Czajka



coloboma condition

# Enhancement

Dr. Adam Czajka



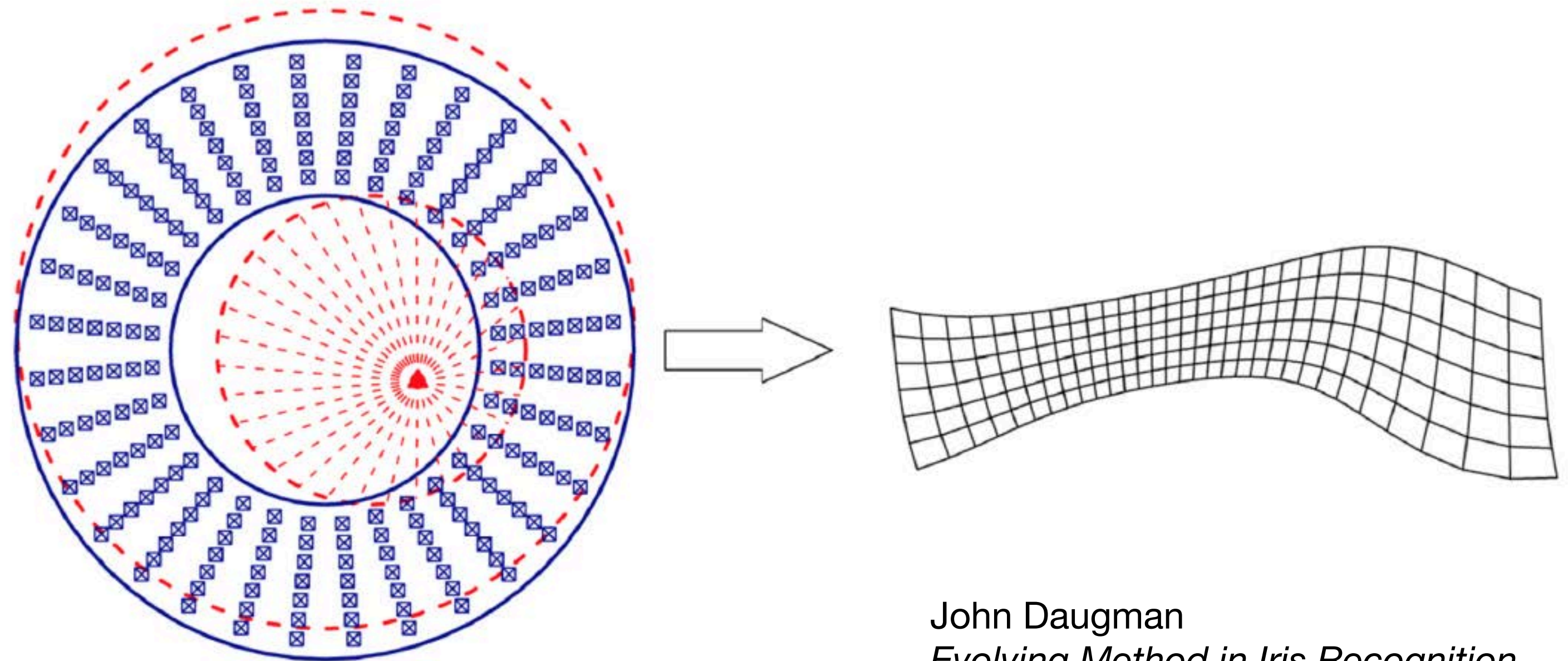


# Enhancement

## Limitations

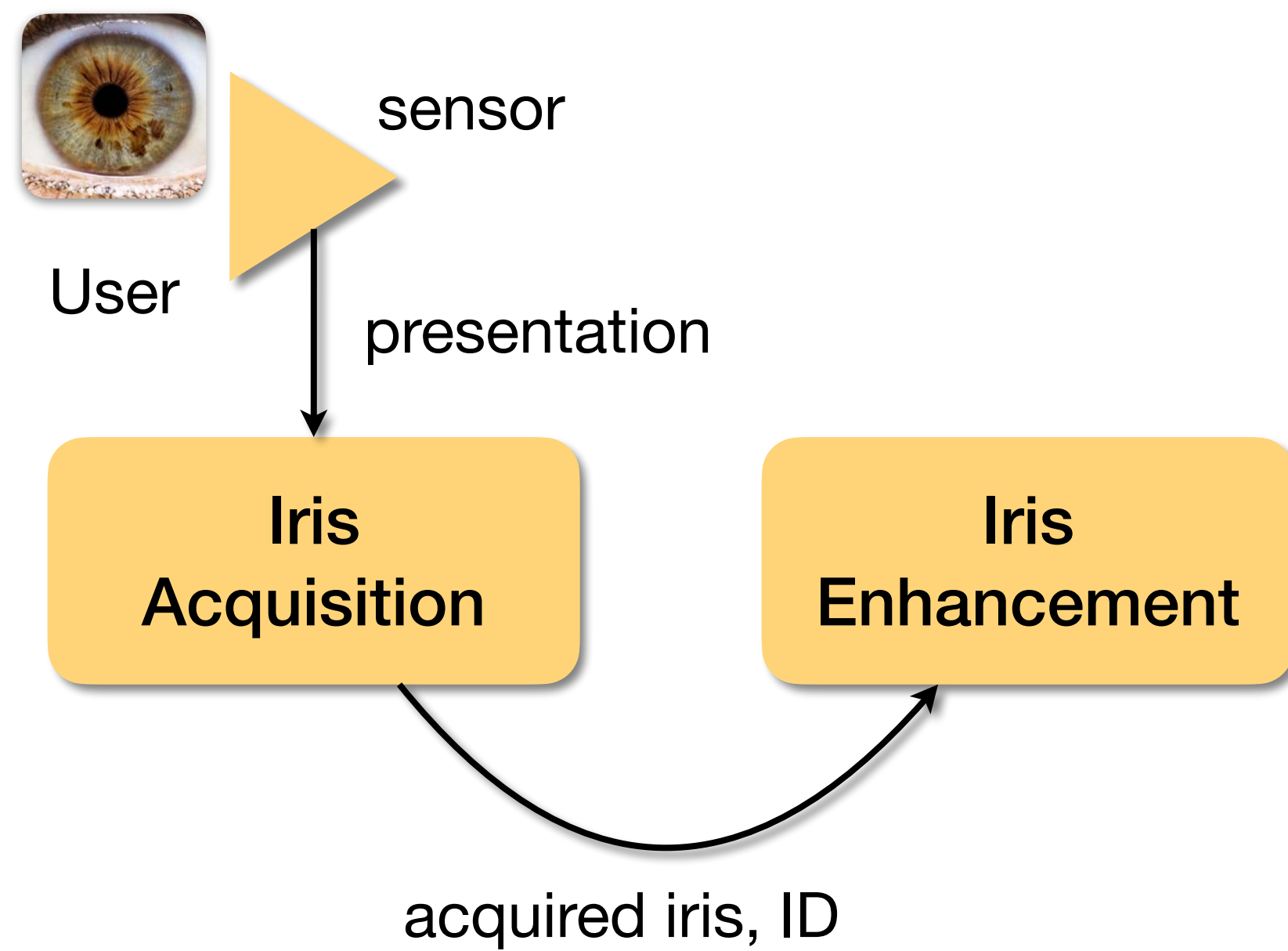
### Normalization

Forcing circular models may lead to poor mapping.



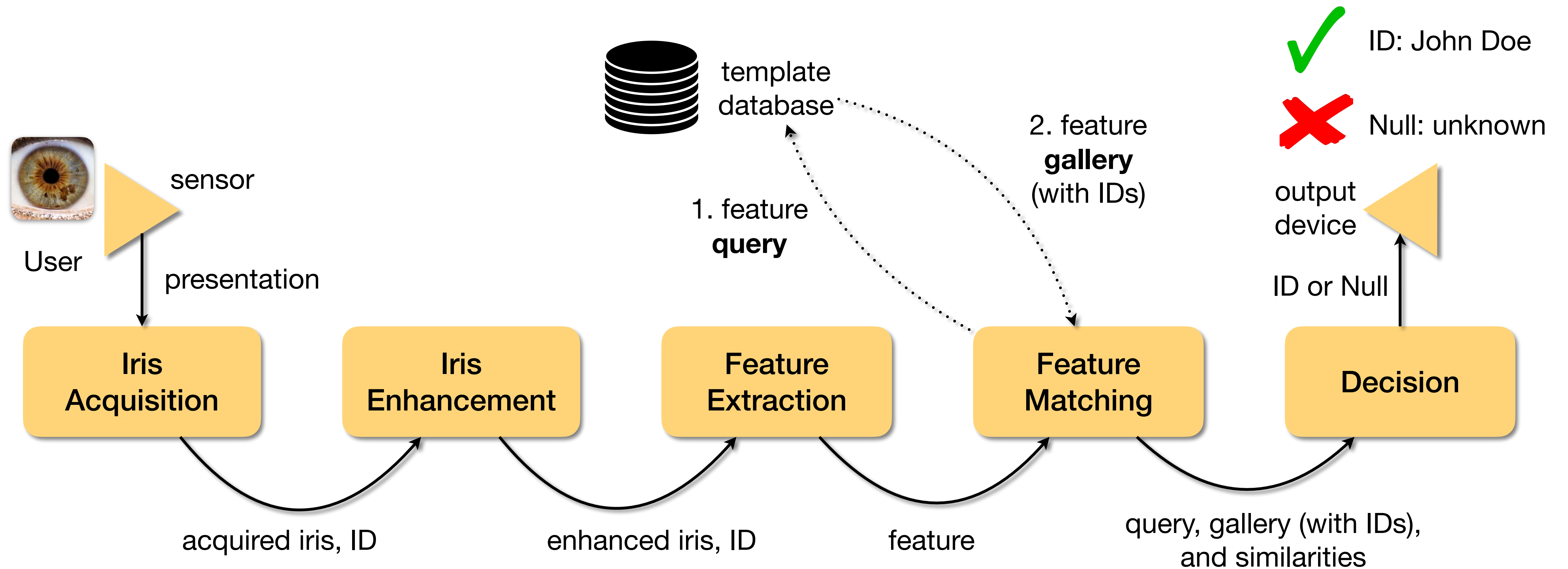
John Daugman  
*Evolving Method in Iris Recognition*  
BTAS, 2012

# Iris Recognition





# Iris Recognition



# What's Next?

## Iris Description and Matching

Fill out your  
*Today-I-missed* Statement

Please visit

<https://sakai.luc.edu/x/HAZC1P>.

