

# Face Recognition III

COMP 388-002/488-002 Biometrics

**Daniel Moreira**

Fall 2024



**LOYOLA**  
UNIVERSITY CHICAGO

# Today we will...

*Get to know*

Face description and matching.

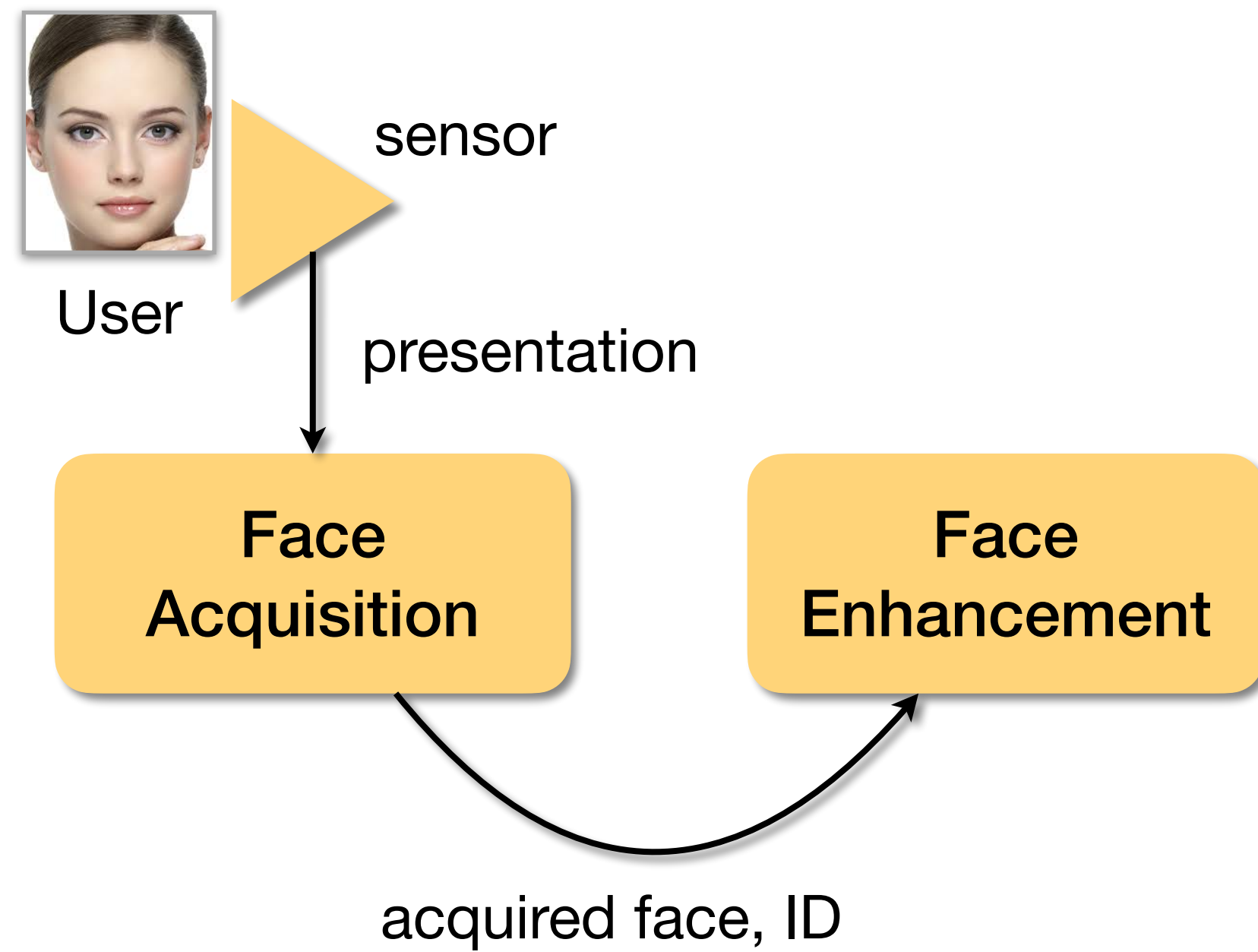
# Today's Attendance

**Please fill out the form**

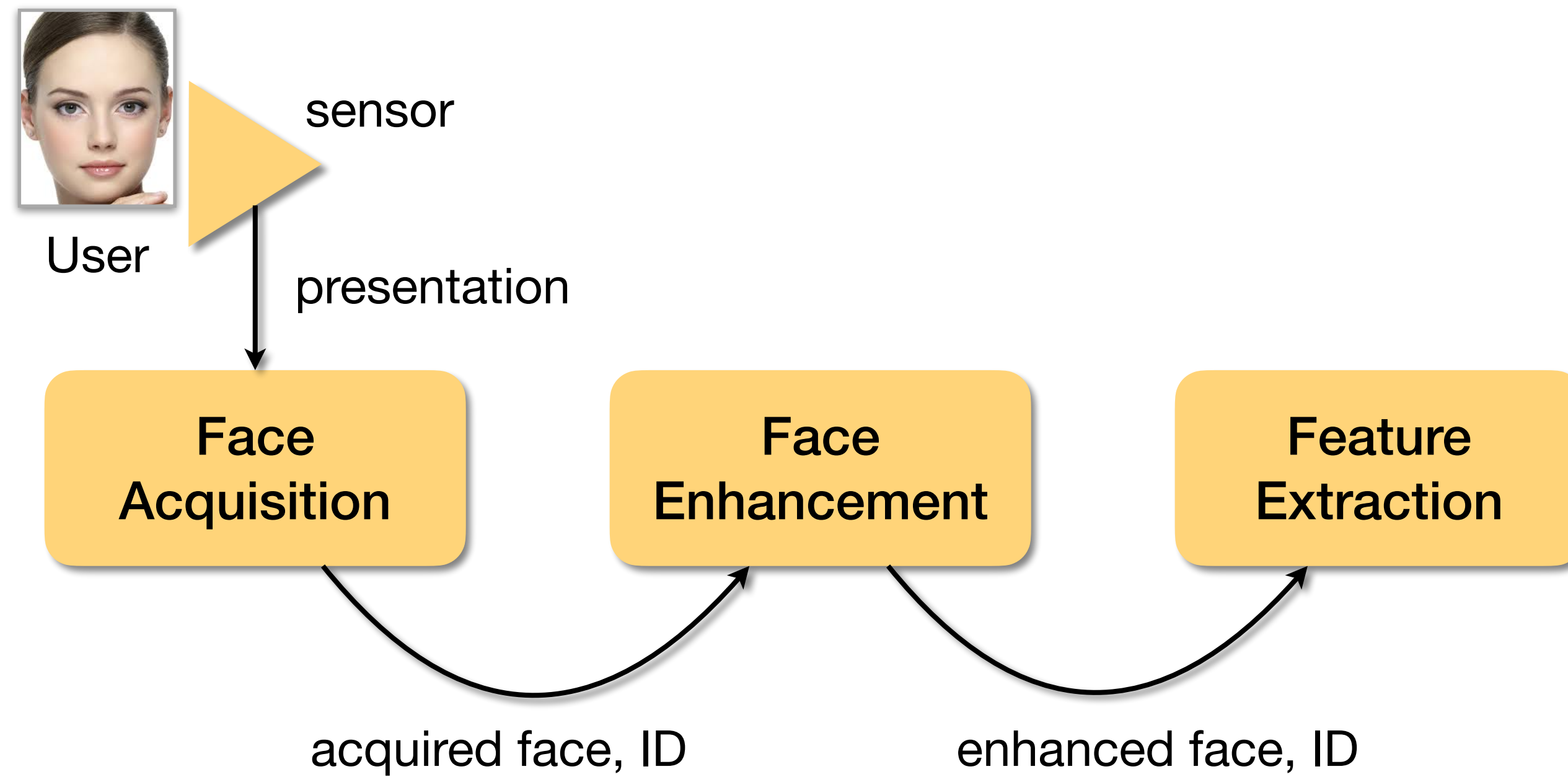
<https://forms.gle/EwGr1RHf6Sf9ic2c9>



# Face Recognition



# Face Recognition



# Feature Extraction

## Focus

2D-appearance-based methods.

## Types

Handcrafted features from Computer Vision.

Data-driven learned features from Machine Learning.





# Feature Extraction

## Focus

2D-appearance-based methods.

## Types

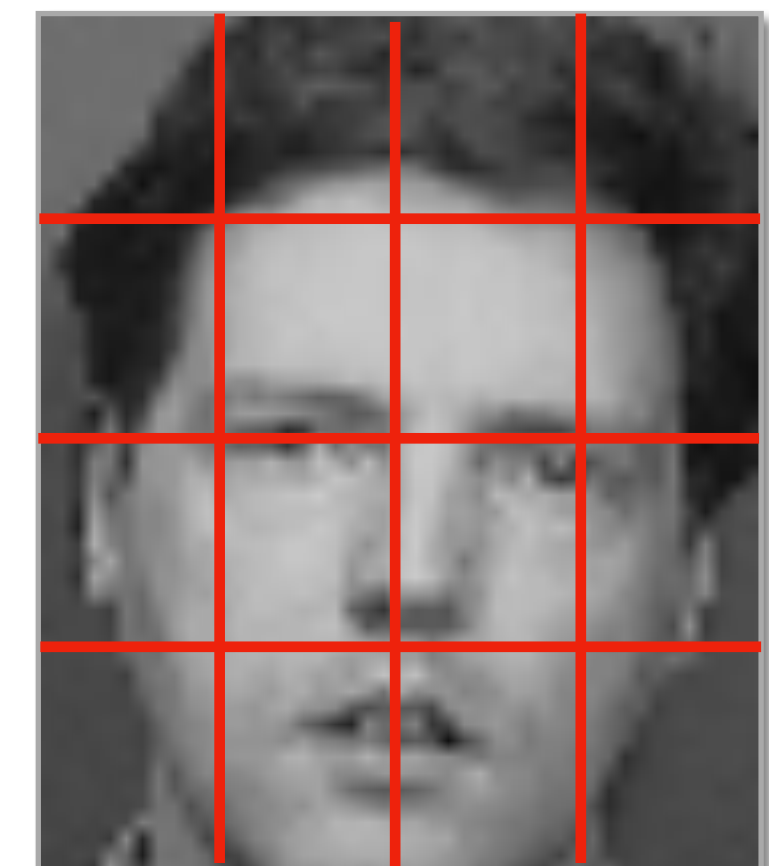
**Handcrafted features from Computer Vision.**

Data-driven learned features from Machine Learning.

Déniz et al.  
*Face recognition using histograms of oriented gradients.*  
Pattern recognition letters, 2011.



Source: Domingo Mery



## Handcrafted

An expert designs what and how facial regions should be used.



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# Feature Extraction

## Handcrafted Features

### Examples

Based on Gabor filters, interest points (e.g., SIFT<sup>1</sup>, SURF<sup>2</sup>, HOG<sup>3</sup>), or texture descriptors (e.g., LBP<sup>4</sup>).



Geng and Jiang.  
*SIFT features for face recognition.*  
ICCSIT, 2009.

1 - Lowe. *Distinctive image features from scale-invariant keypoints.* IJCV, 2004.

2 - Bay et al. *SURF: Speeded up robust features.* ECCV, 2006.

3 - Dalal and Triggs. *Histograms of oriented gradients for human detection.* CVPR 2005.

4 - Ojala et al. *Performance evaluation of texture measures(...).* ICPR, 1994.



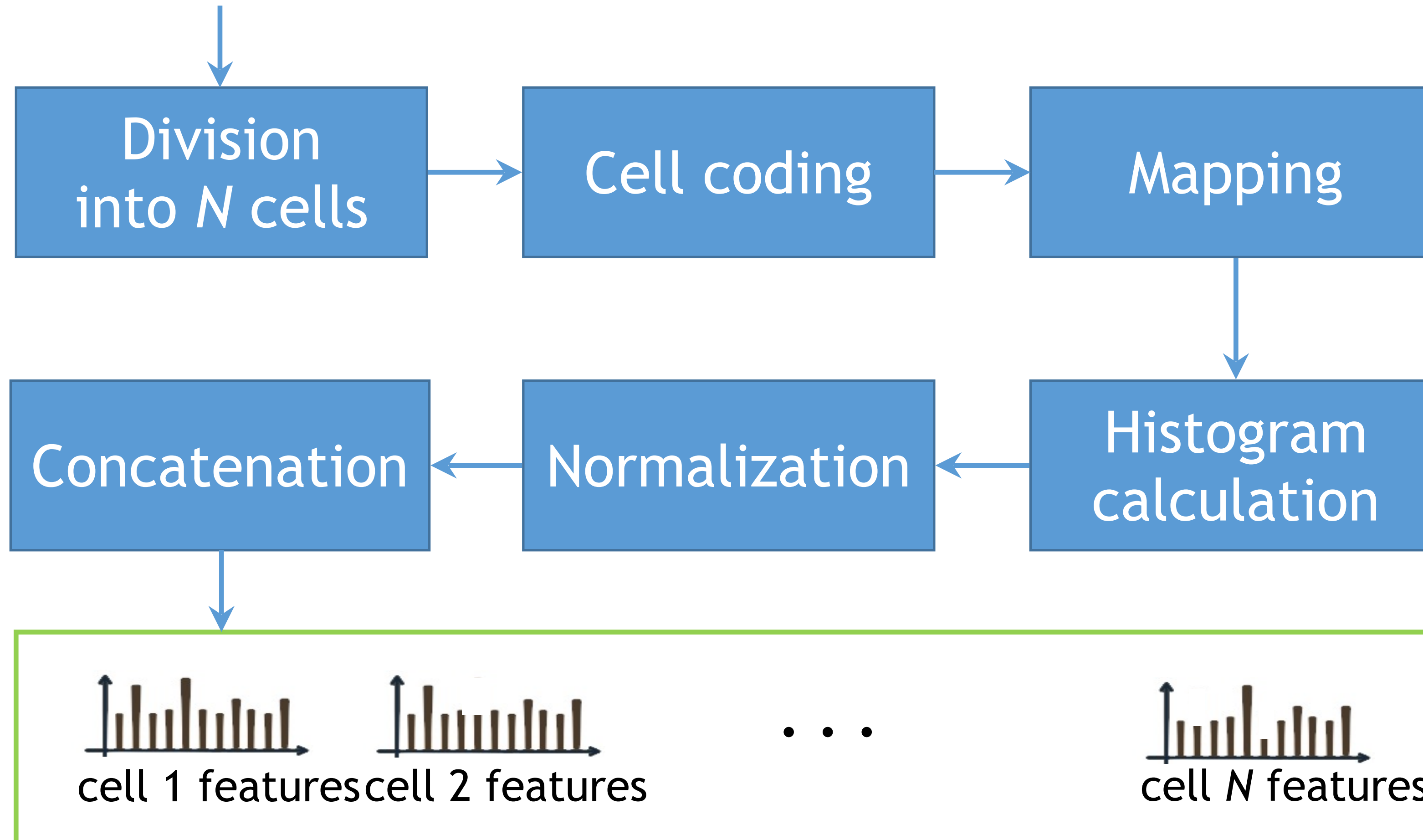
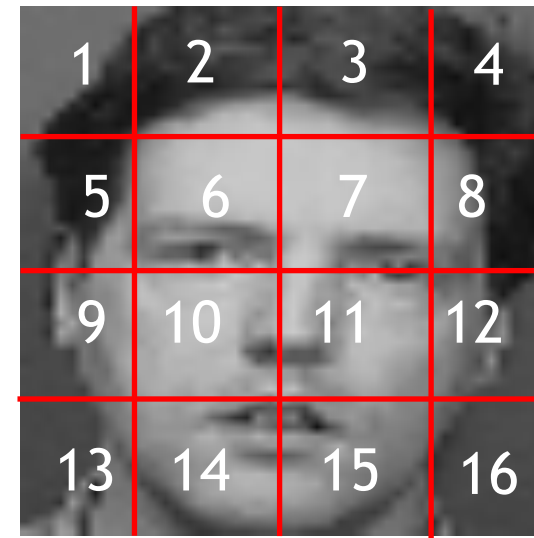
# Local Binary Patterns

## **Selected Solution**

Local Binary Patterns to describe face texture.

Next slides provided by Dr. Domingo Mery.  
(<http://domingomery.ing.puc.cl>)

# LBP pipeline



Division  
into  $N$  cells

Cell coding

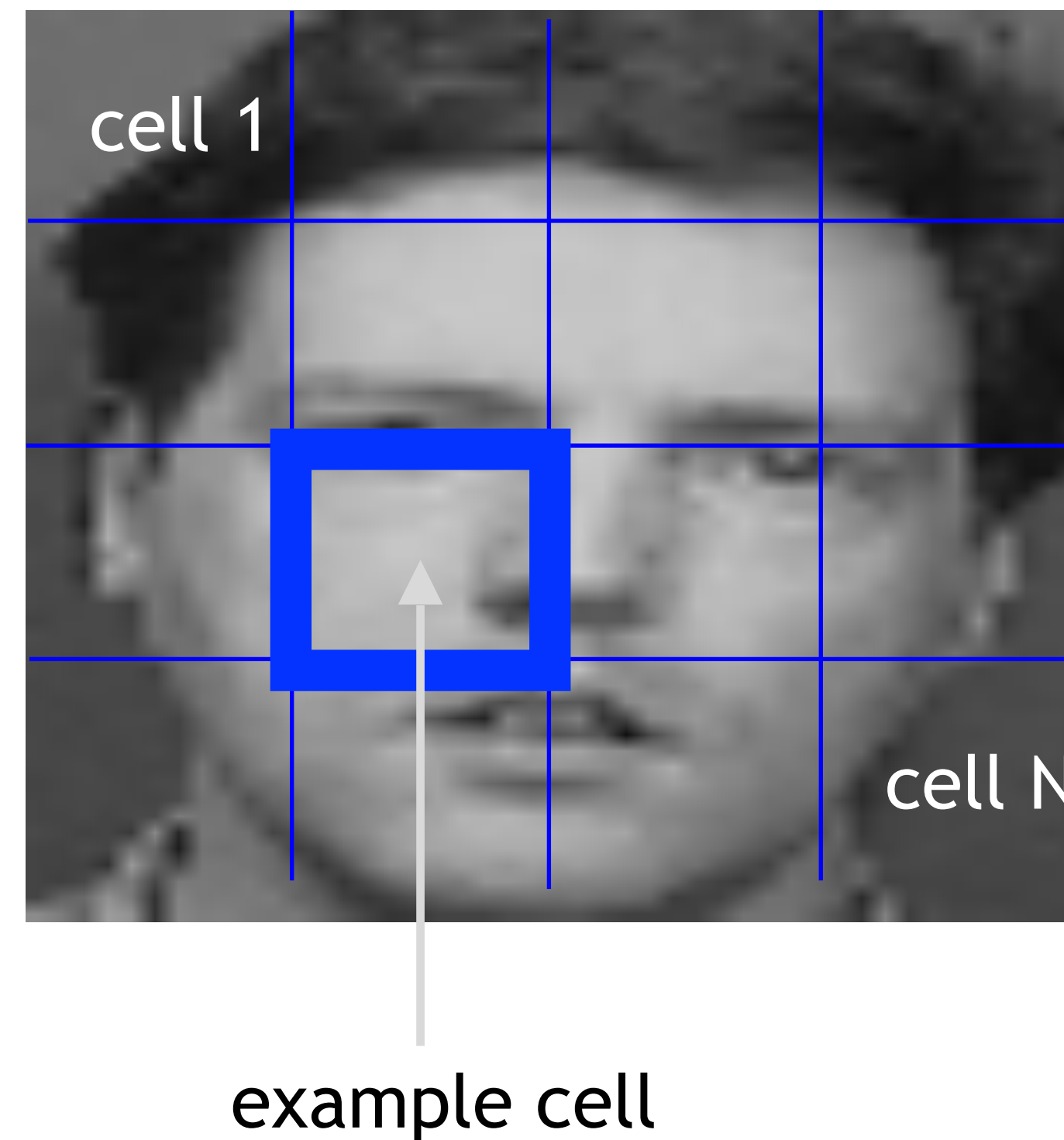
Mapping

Histogram  
calculation

Normalization

Concatenation

- LBP descriptors are calculated in image sub-regions (cells)
- Number and size of cells cannot be arbitrary (note space-scale considerations)



Division  
into  $N$  cells

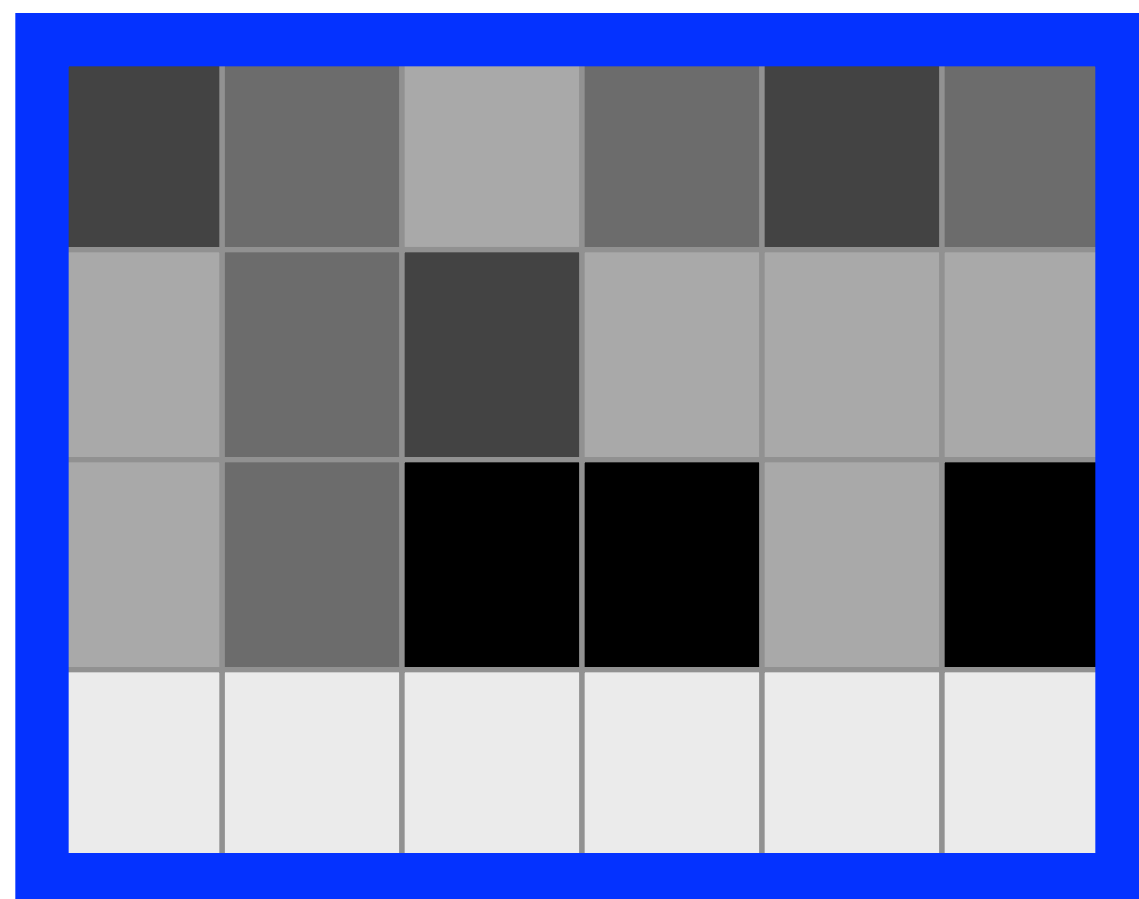
Cell coding

Mapping

Histogram  
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Division  
into  $N$  cells

Cell coding

Mapping

Histogram  
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Normalization

Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



Division  
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4	6	9	6	4	6
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4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

<		

Division into  $N$  cells

Cell coding

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Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0		

Division into  $N$  cells

Cell coding

Mapping

Histogram calculation

Normalization

Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1:  $\geq$

0	$\geq$	



Division into  $N$  cells

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4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	

Division into  $N$  cells

Cell coding

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Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1

Division into  $N$  cells

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4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1
		0

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Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1:  $\geq$

0	1	1
		0
		0

Division into  $N$  cells

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Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1
		0
	1	0



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4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1:  $\geq$

0	1	1
		0
1	1	0



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1
1		0
1	1	0



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

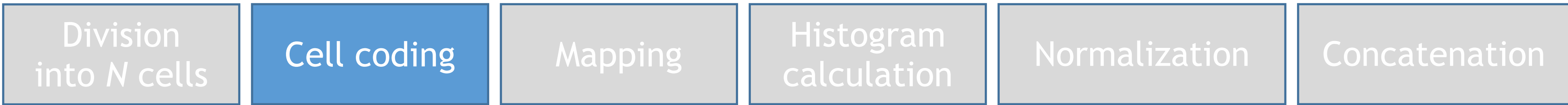
0	1	1
1		0
1	1	0

x

1	2	4
128	+	8
64	32	16

26

$$= 0 + 2 + 4 + 0 + 0 + 32 + 64 + 128 = 230$$



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1
1		0
1	1	0

x

1	2	4
128	+	8
64	32	16

27

$$= 0 + 2 + 4 + 0 + 0 + 32 + 64 + 128 = 230$$

Division into  $N$  cells

Cell coding

Mapping

Histogram calculation

Normalization

Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230				

4	6	9
9	6	4
9	6	2

0: <  
1: ≥

0	1	1
1		0
1	1	0

x

1	2	4
128	+	8
64	32	16

28

$$= 0 + 2 + 4 + 0 + 0 + 32 + 64 + 128 = 230$$

Division into  $N$  cells

Cell coding

Mapping

Histogram calculation

Normalization

Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	?			

6	9	6
6	4	9
6	2	2

0: <  
1: ≥


x

1	2	4
128	+	8
64	32	16

29



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207			

6	9	6
6	4	9
6	2	2

0: <  
1: ≥

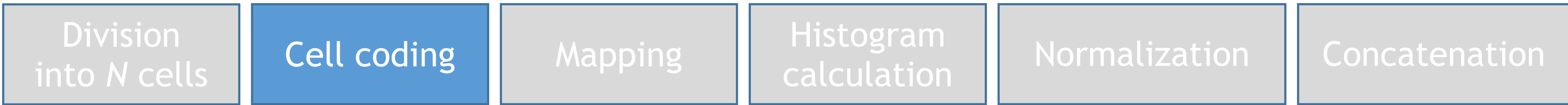
1	1	1
1		1
1	0	0

x

1	2	4
128	+	8
64	32	16

30

$$= 1 + 2 + 4 + 8 + 64 + 128 = 207$$

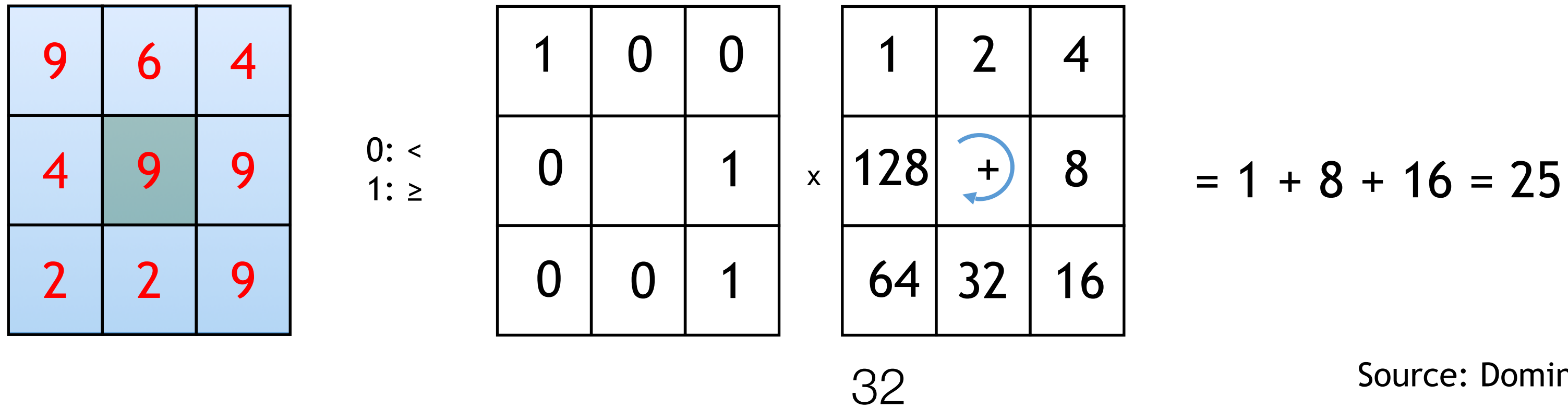
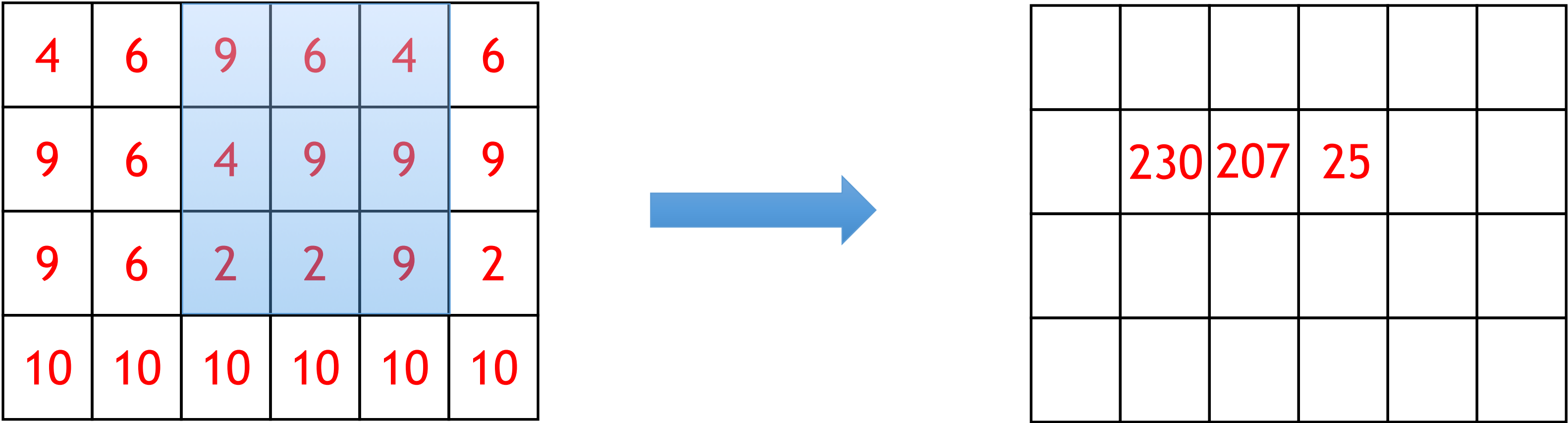


4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207	?		





Source: Domingo Mery



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



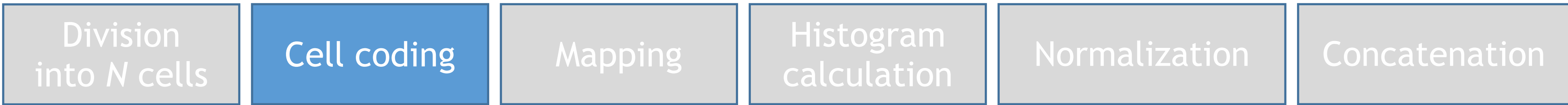
	230	207	25	168	



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207	25	168	
	243				



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207	25	168	
	243	255			

Division into  $N$  cells

Cell coding

Mapping

Histogram calculation

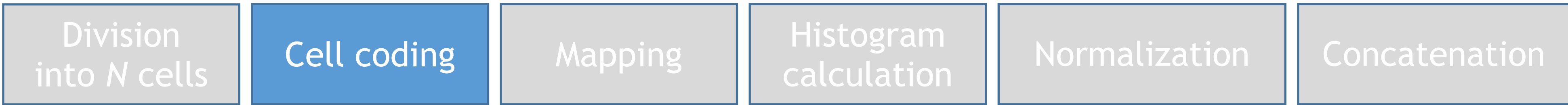
Normalization

Concatenation

4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207	25	168	
	243	255	255		



4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10



	230	207	25	168	
	243	255	255	119	

Division  
into  $N$  cells

Cell coding

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Histogram  
calculation

Normalization

Concatenation

## Note on neighborhood definition

- Original algorithm uses 3x3 pixel neighborhood
- Further extensions (Ojala, 2002) introduced **arbitrary neighborhood** with interpolation

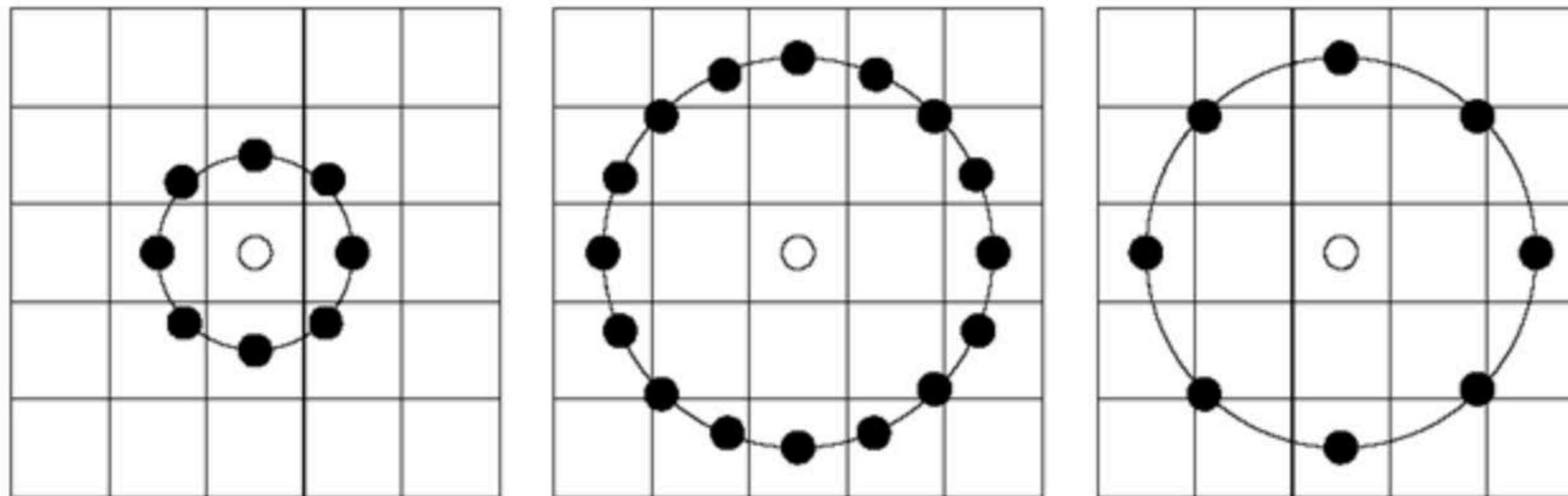


Image source: <http://what-when-how.com/face-recognition/local-representation-of-facial-features-face-image-modeling-and-representation-face-recognition-part-1/>

Division  
into  $N$  cells

Cell coding

Mapping

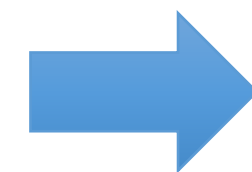
Histogram  
calculation

Normalization

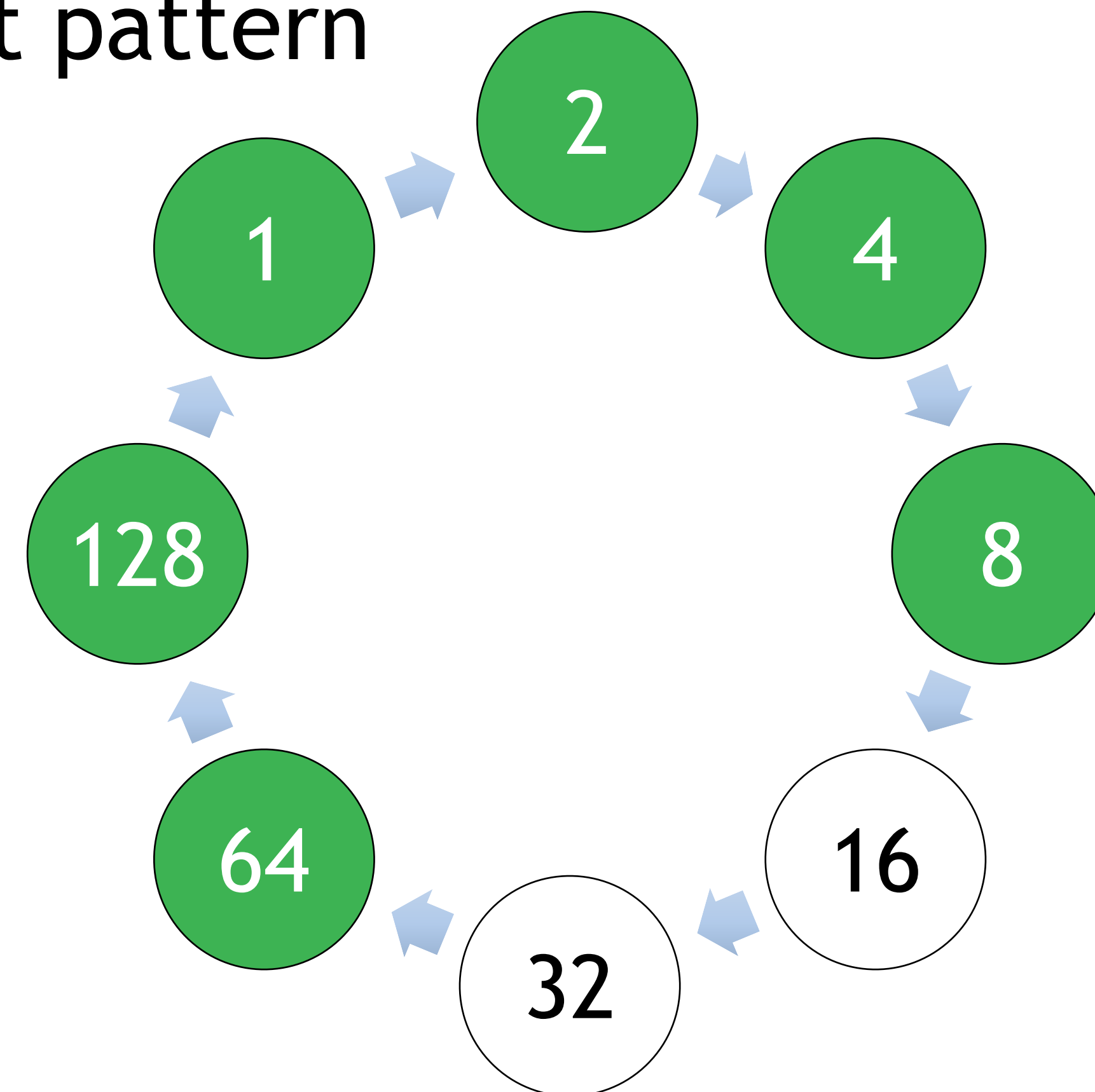
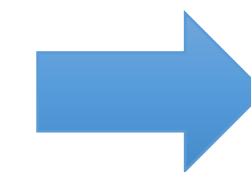
Concatenation

Uniform pattern: contains at most two bitwise transitions (U) from 0 to 1 (or vice versa) when the bit pattern is traversed circularly

6	9	6
6	4	9
6	2	2



1	1	1
1		1
1	0	0





Division  
into  $N$  cells

Cell coding

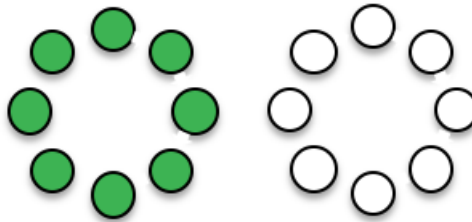
Mapping

Histogram  
calculation

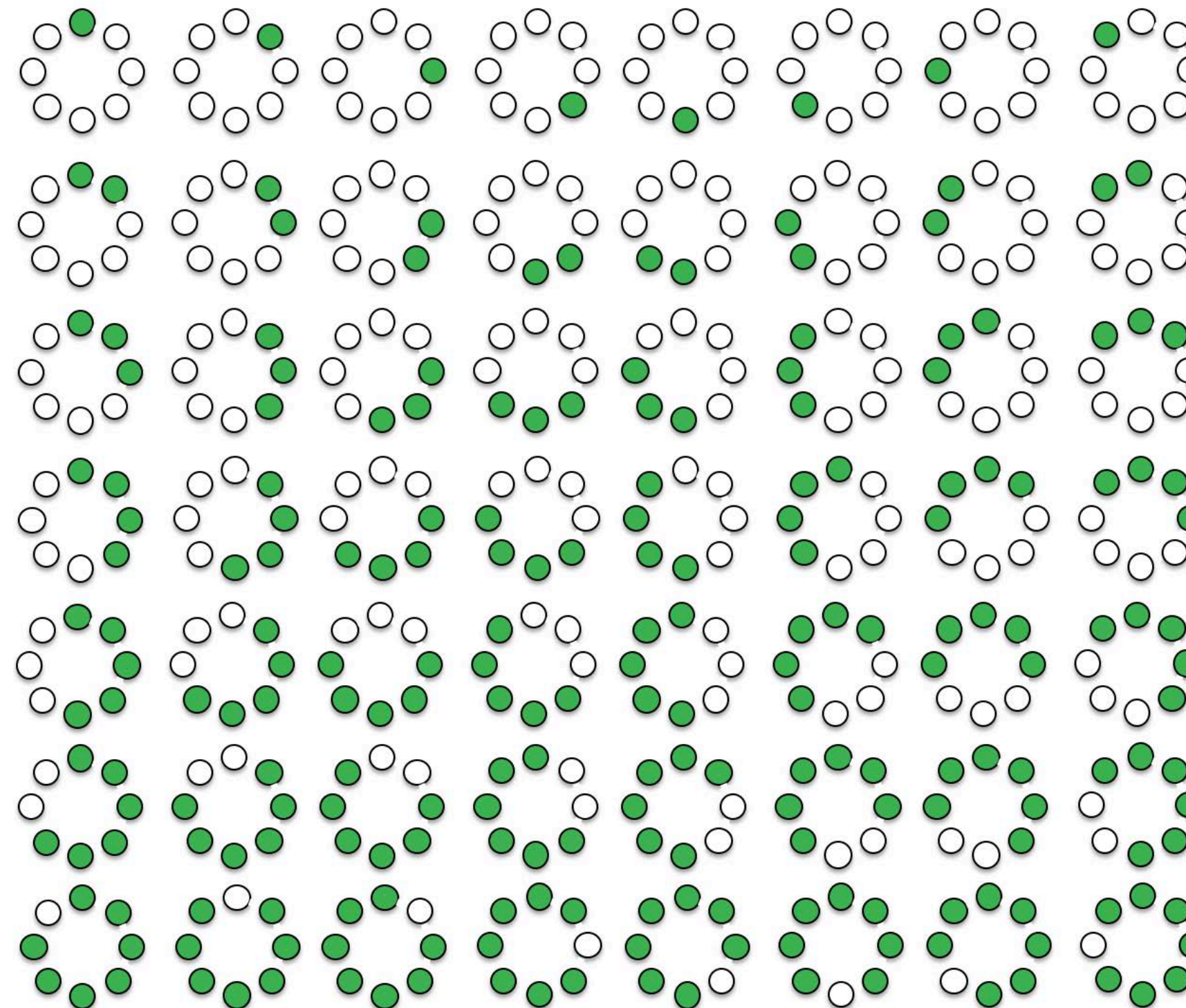
Normalization

Concatenation

# Uniform patterns

$$U = 0$$


$$U = 2$$



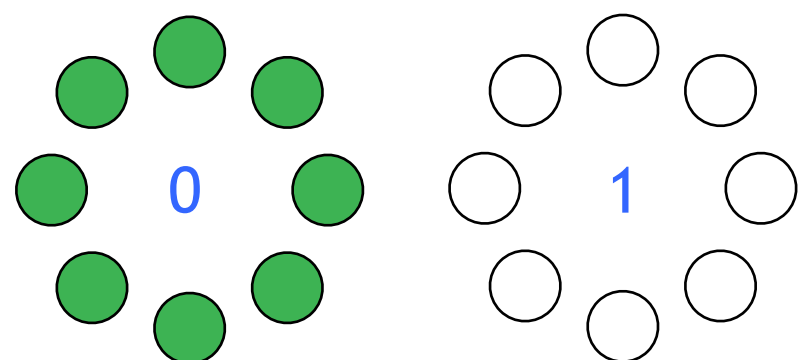
Uniform patterns  
account for almost  
**90%** of all patterns.



$U = 0$

2 patterns

{0,1}



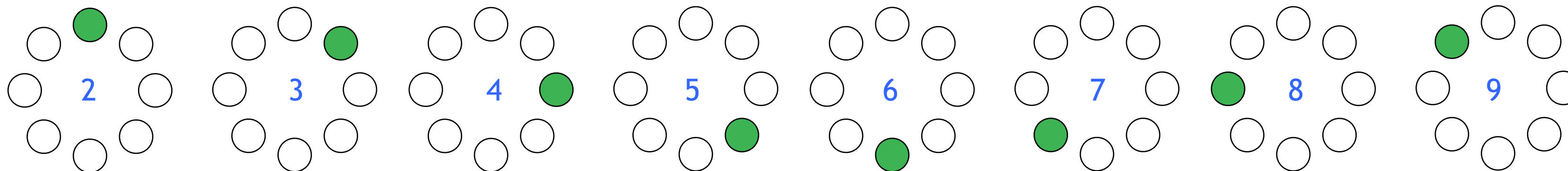
Uniform patterns

$2 + 56 = 58$  patterns

$U = 2$

$8 \times 7 = 56$  patterns

{2, 3, ... 57}

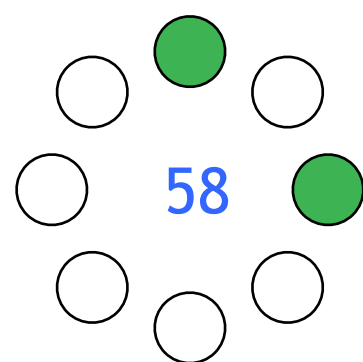


Non-uniform patterns

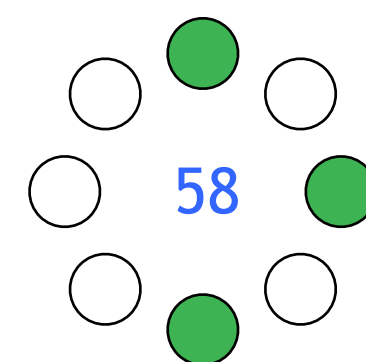
$256 - 58 = 198$  patterns

{58}

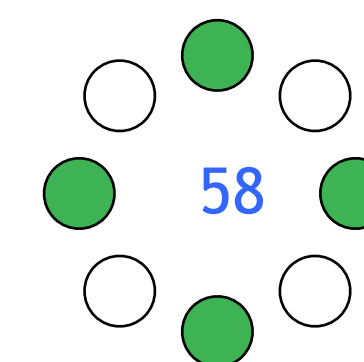
$U = 4$



$U = 6$



$U = 8$



Division  
into  $N$  cells

Cell coding

Mapping

Histogram  
calculation

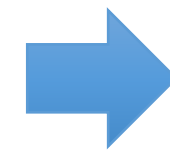
Normalization

Concatenation

# Result of cell code mapping

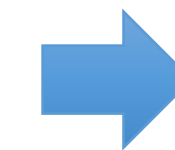
4	6	9	6	4	6
9	6	4	9	9	9
9	6	2	2	9	2
10	10	10	10	10	10

Cell



	230	207	25	168	
	243	255	255	119	

Coded cell



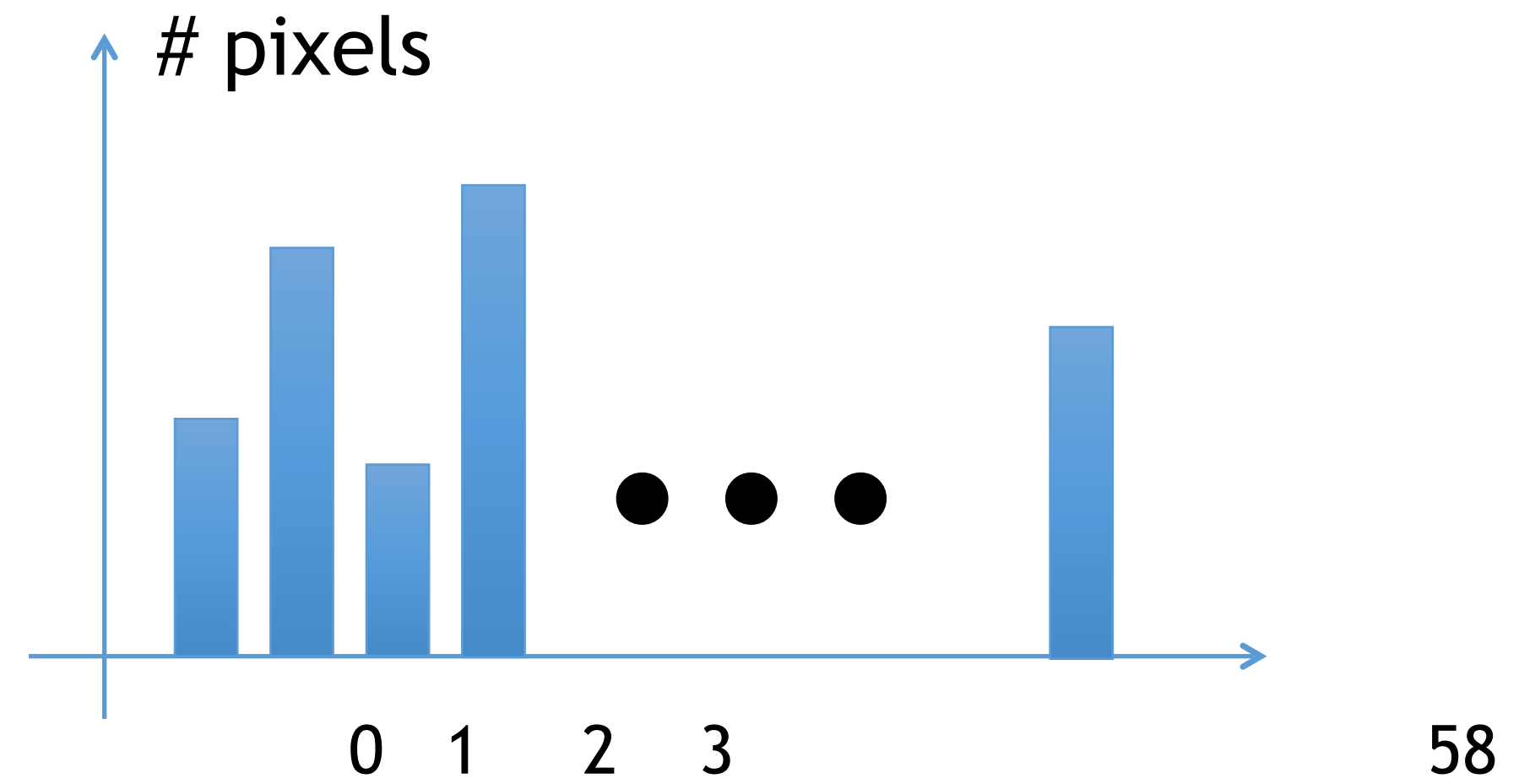
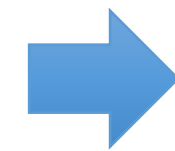
	58	46	58	58	
	23	0	0	58	

Mapped cell



Mapped cell

	58	46	58	58	
	23	0	0	58	

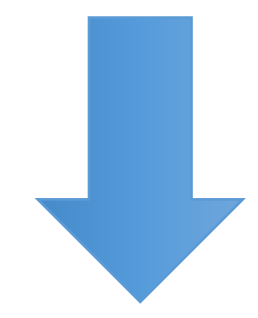
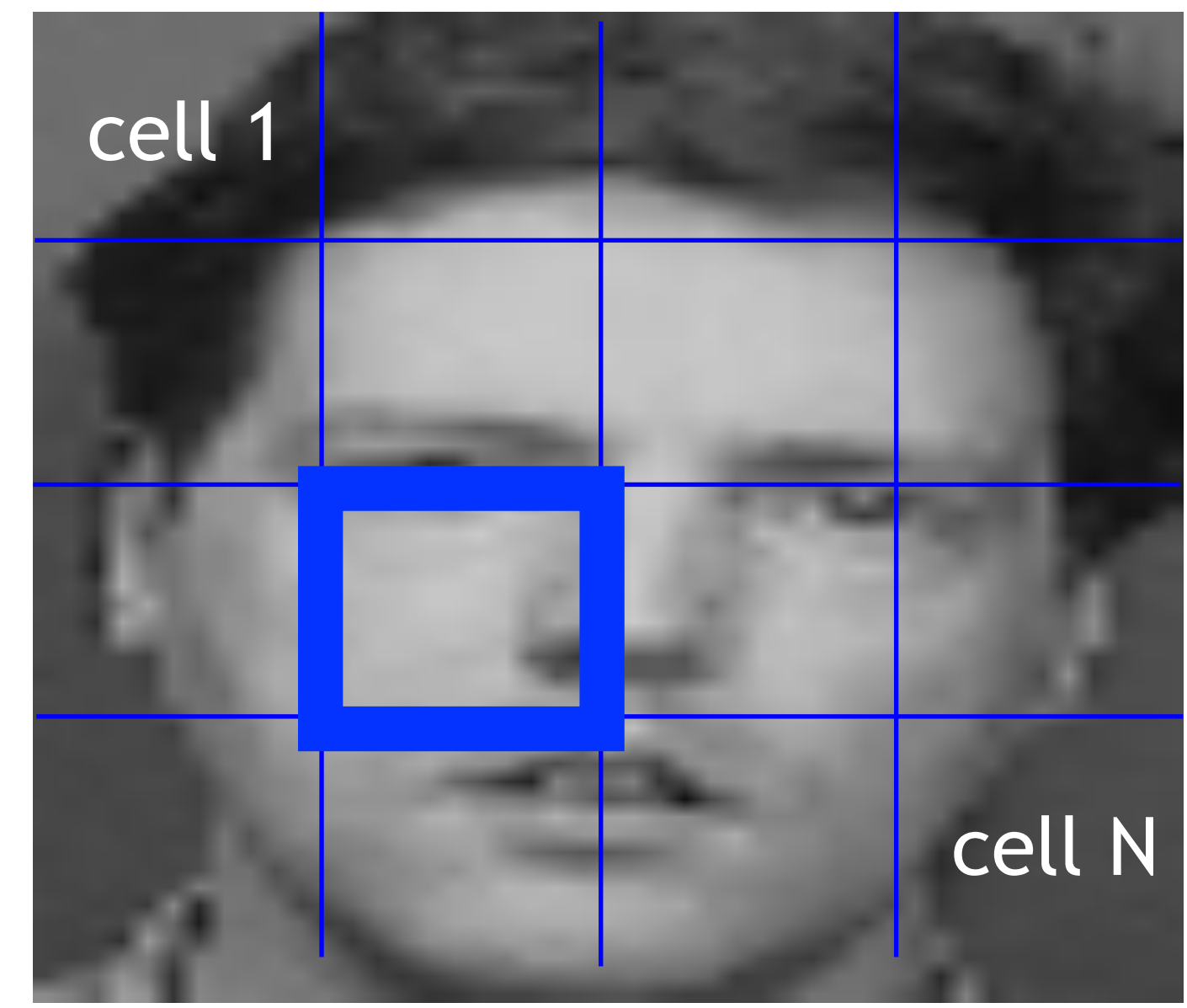


- Each cell is represented as 59-digit LBP descriptor
- Similar textures have similar histograms.



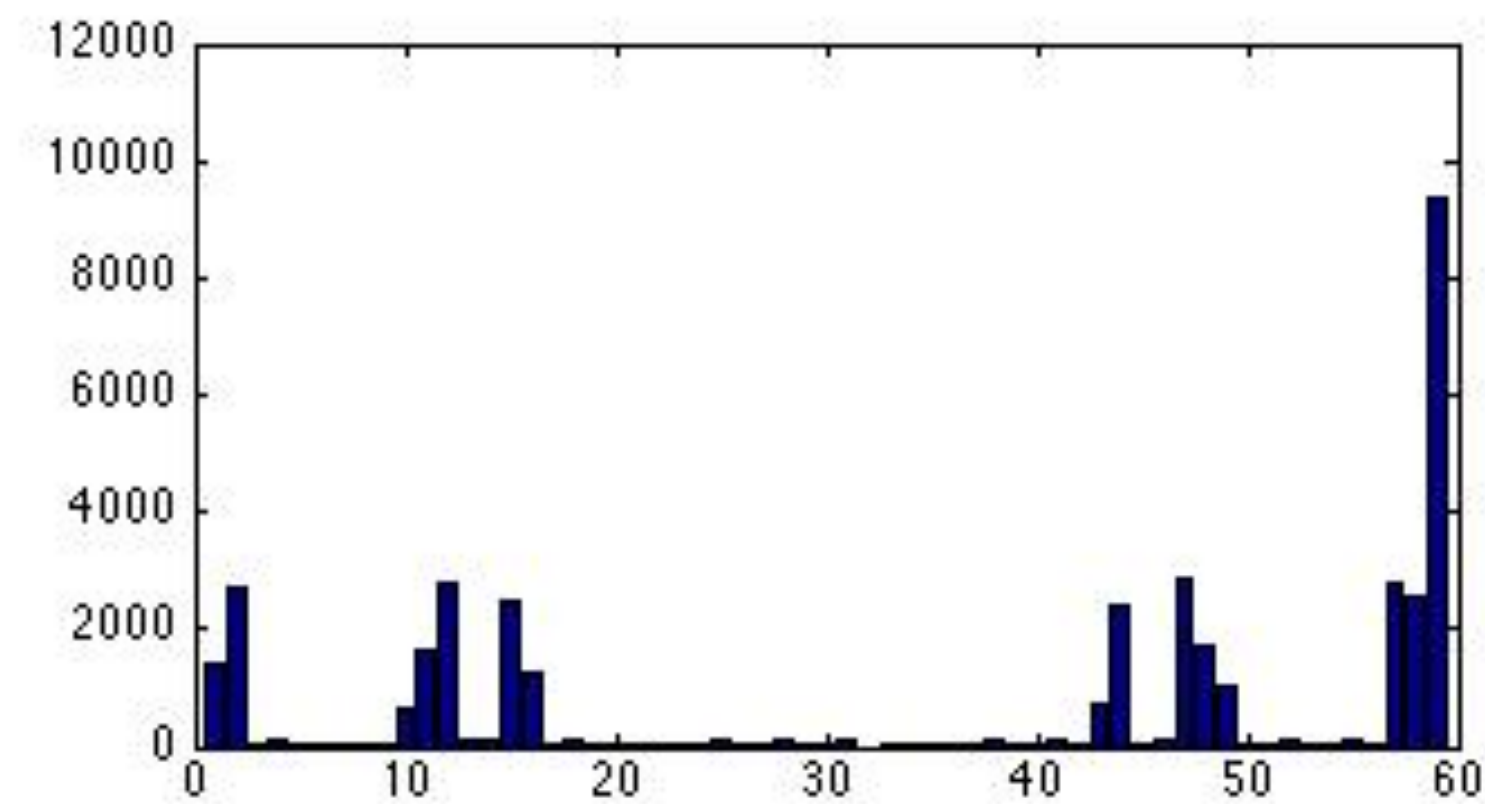
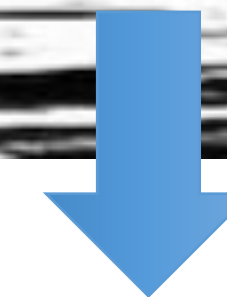
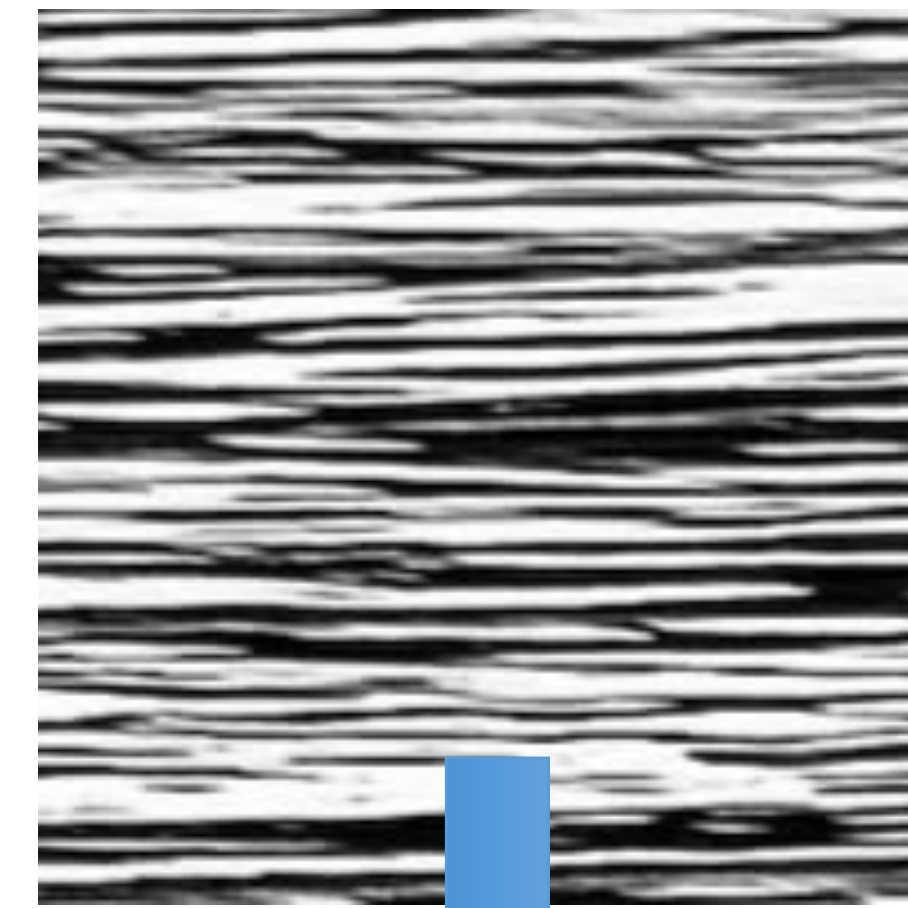
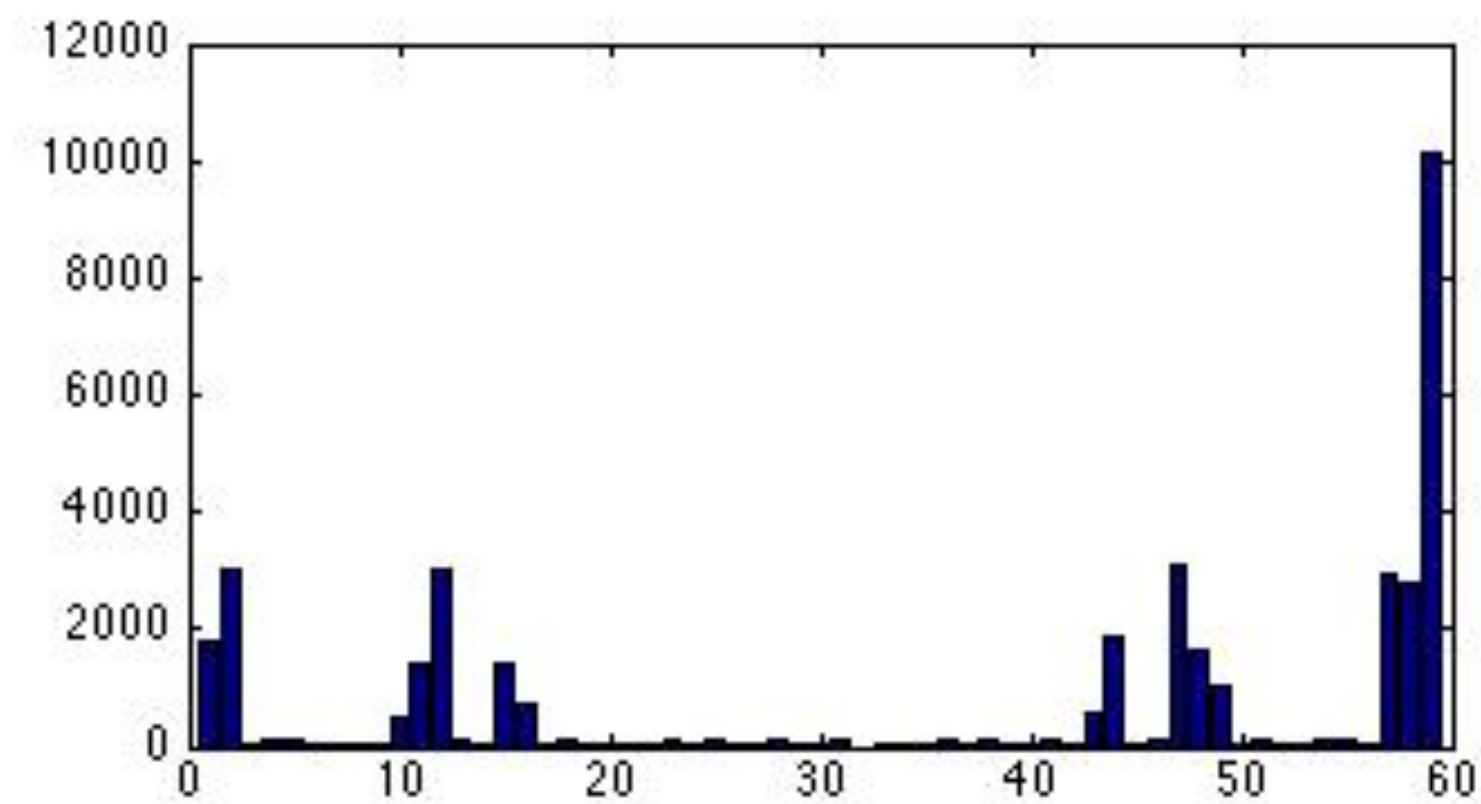
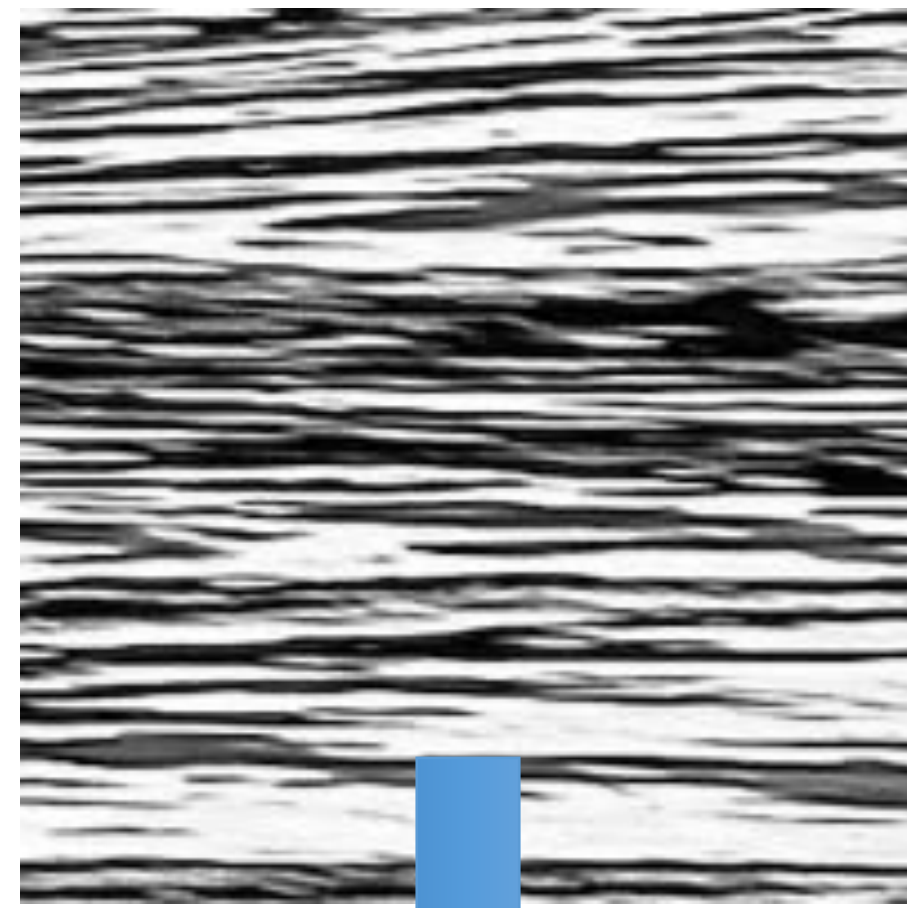


- Normalization of histograms makes LBP descriptors **size-invariant**
- **Concatenation** of all cell histograms provides the image LBP descriptor



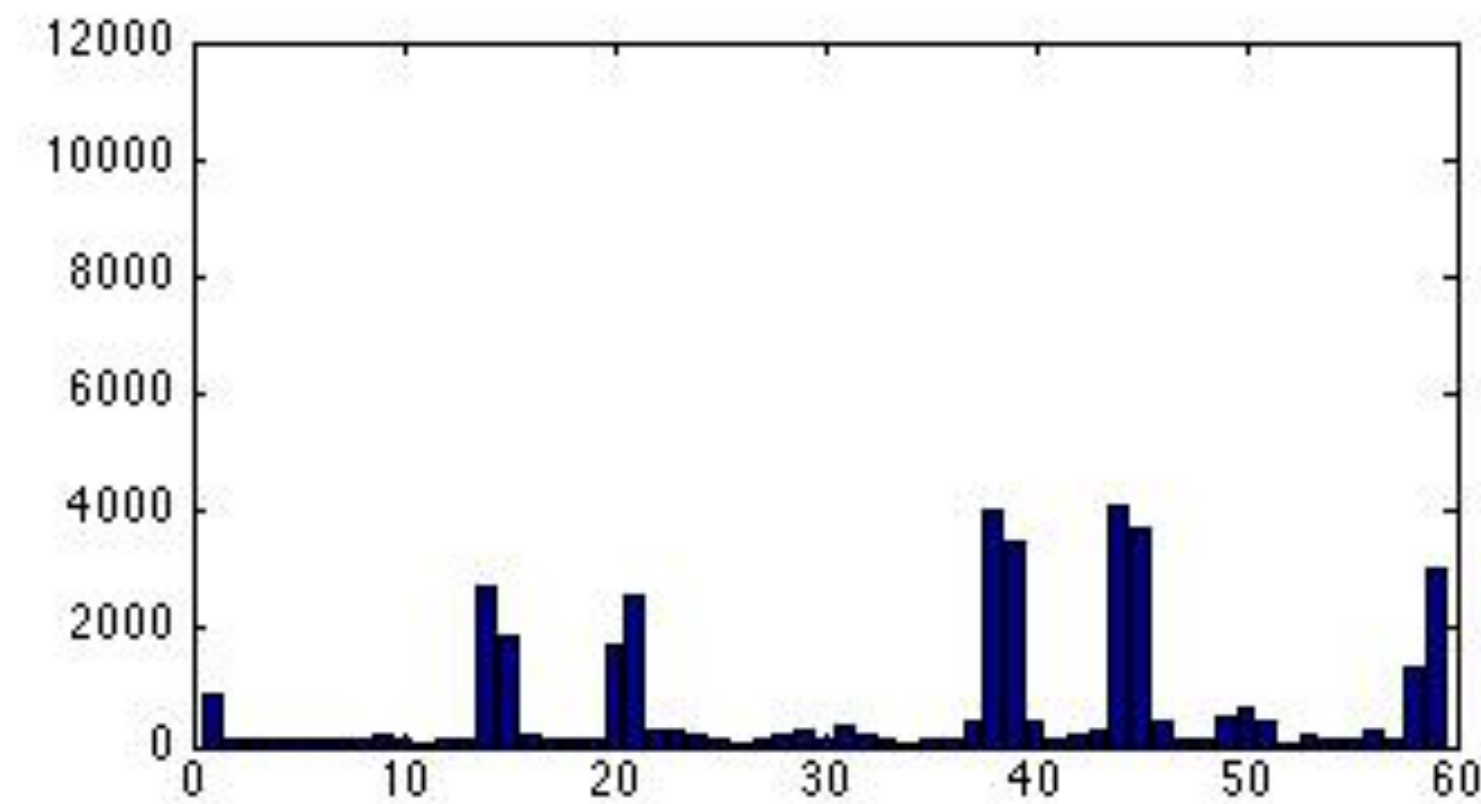
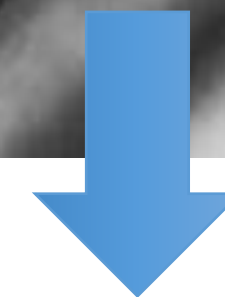
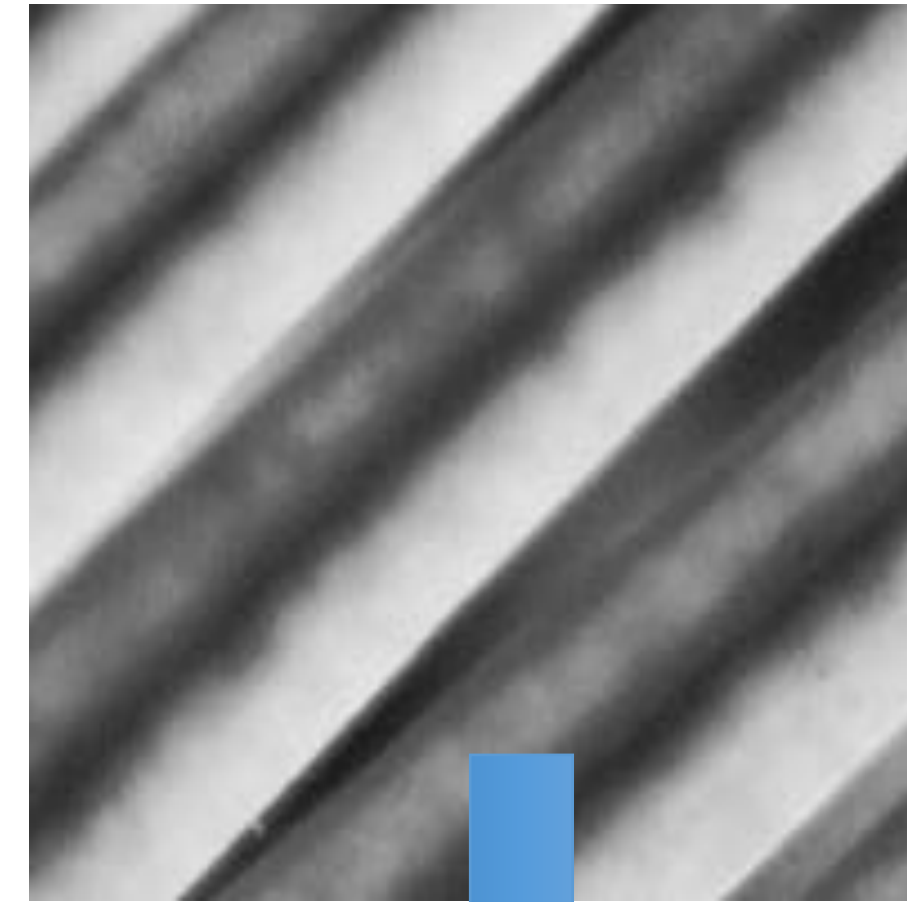
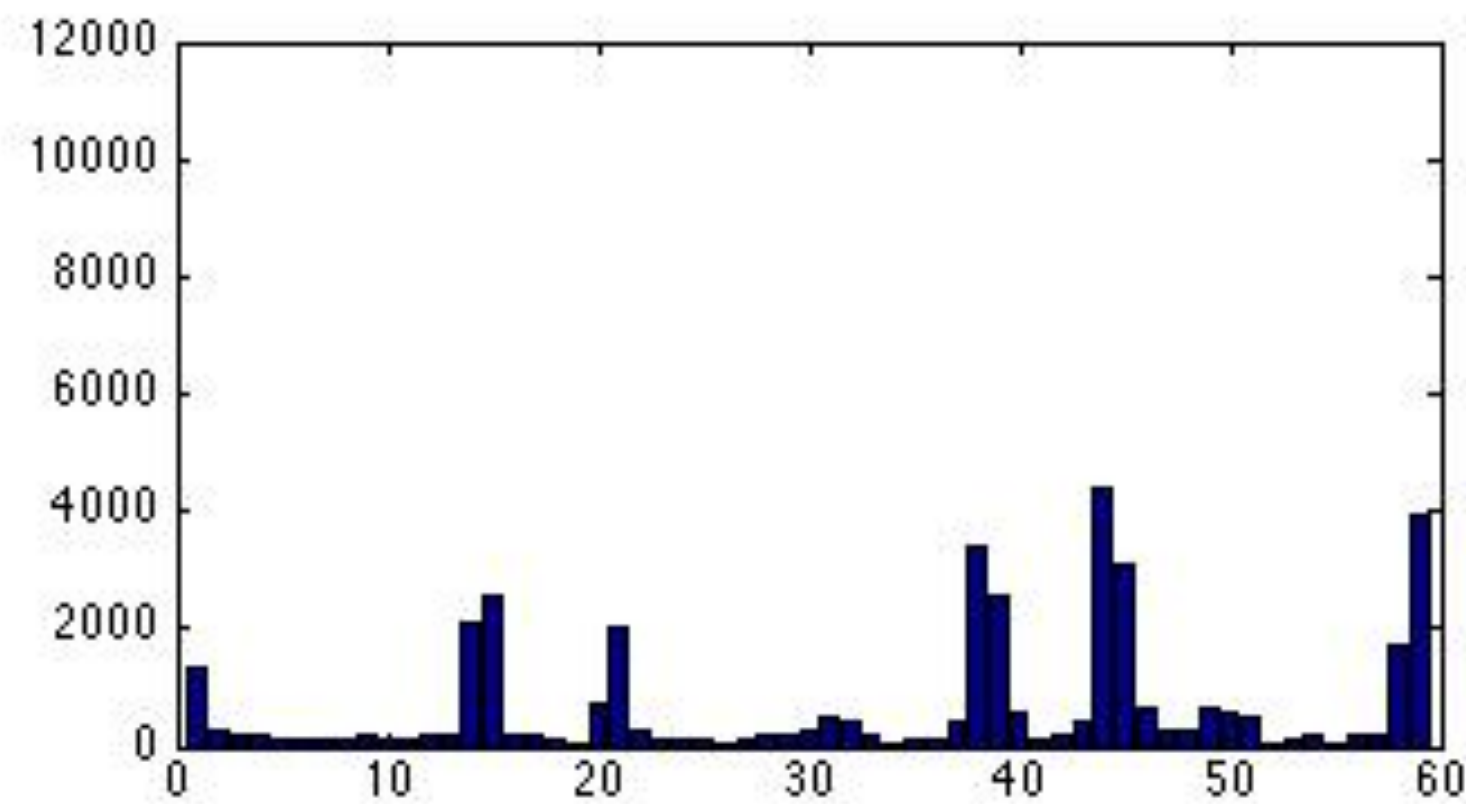
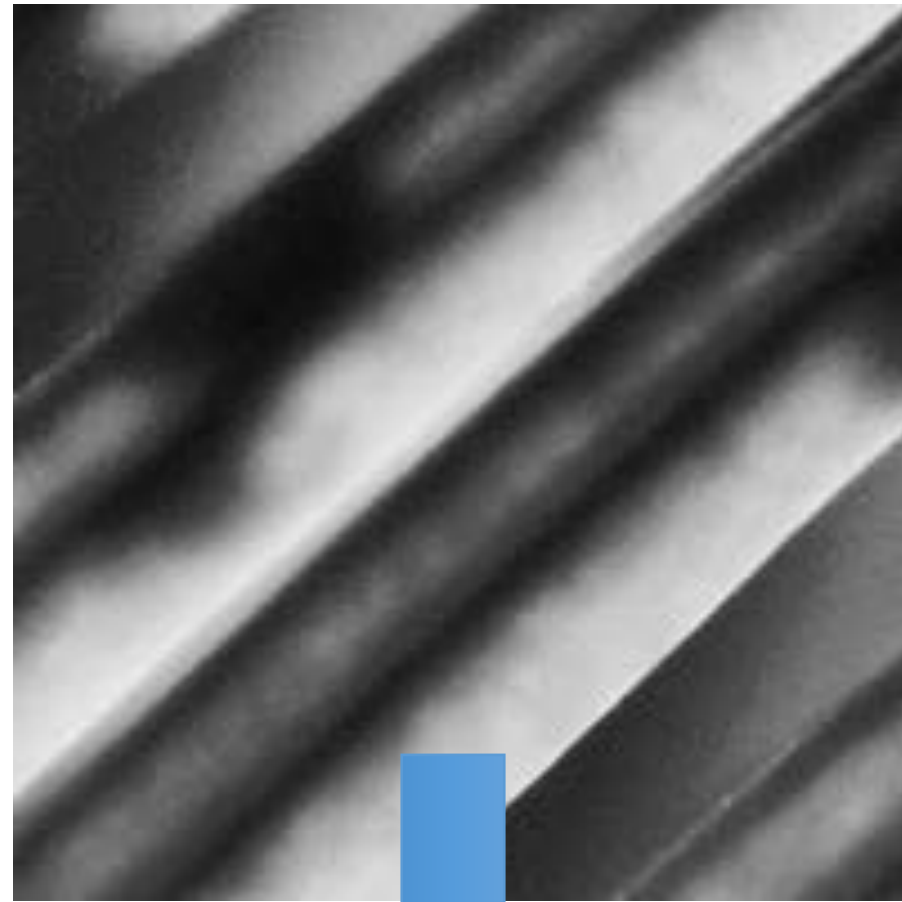
# Local Binary Patterns

Similar textures have similar histograms



# Local Binary Patterns

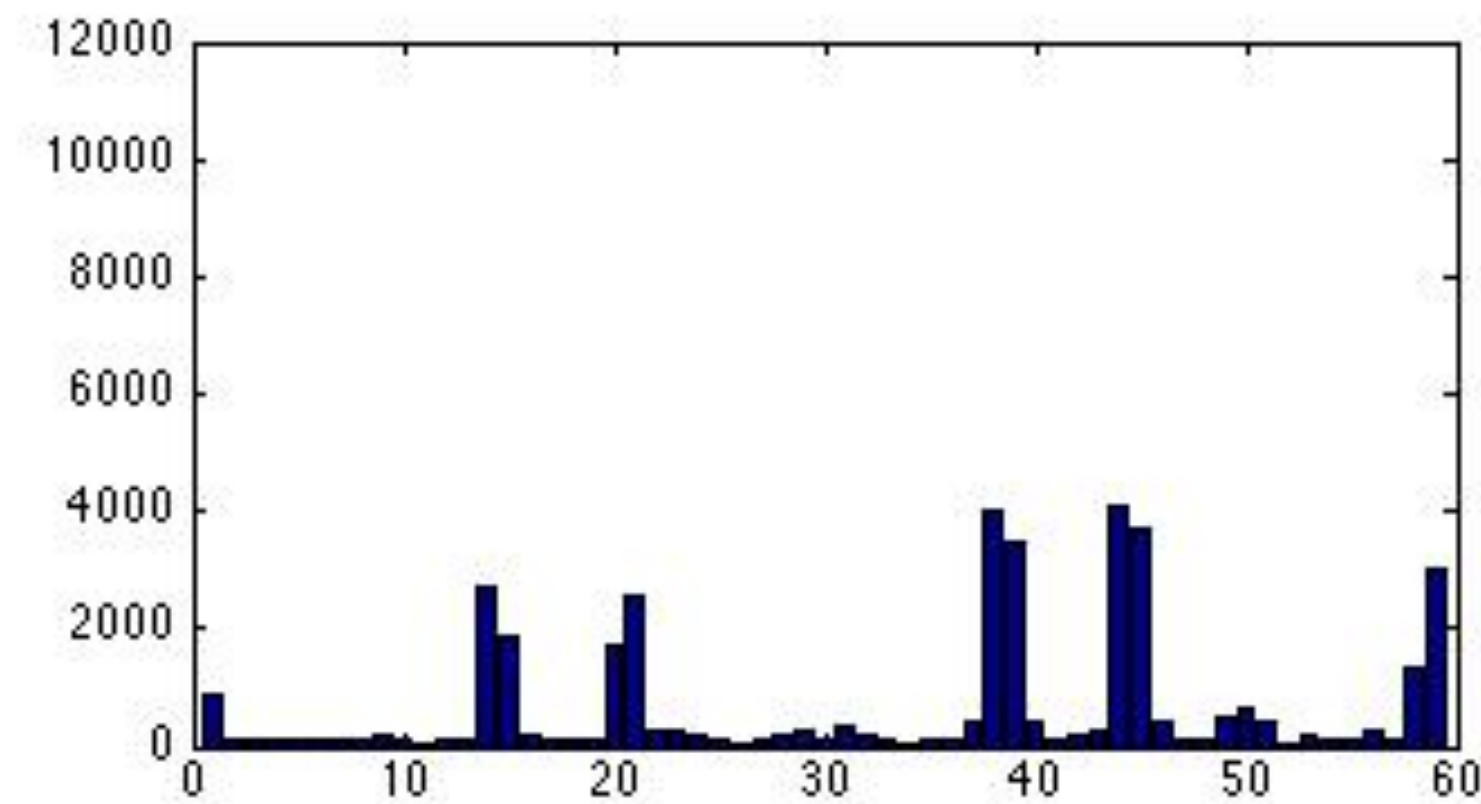
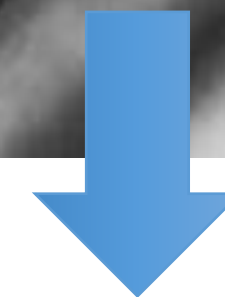
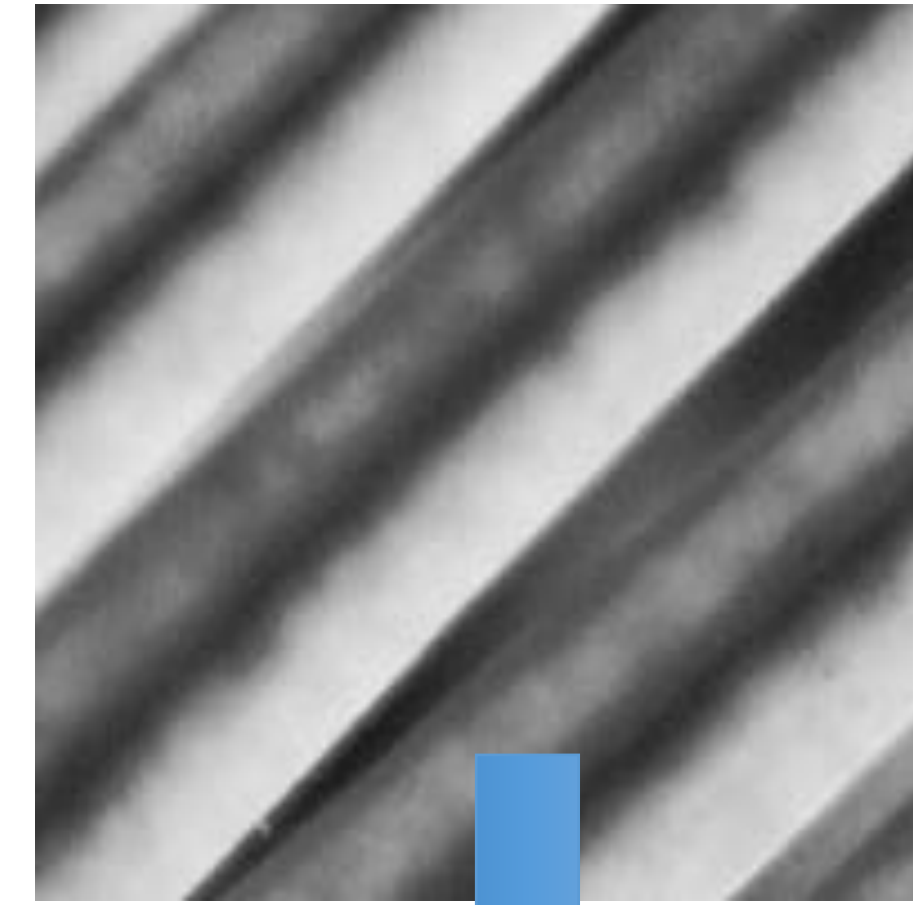
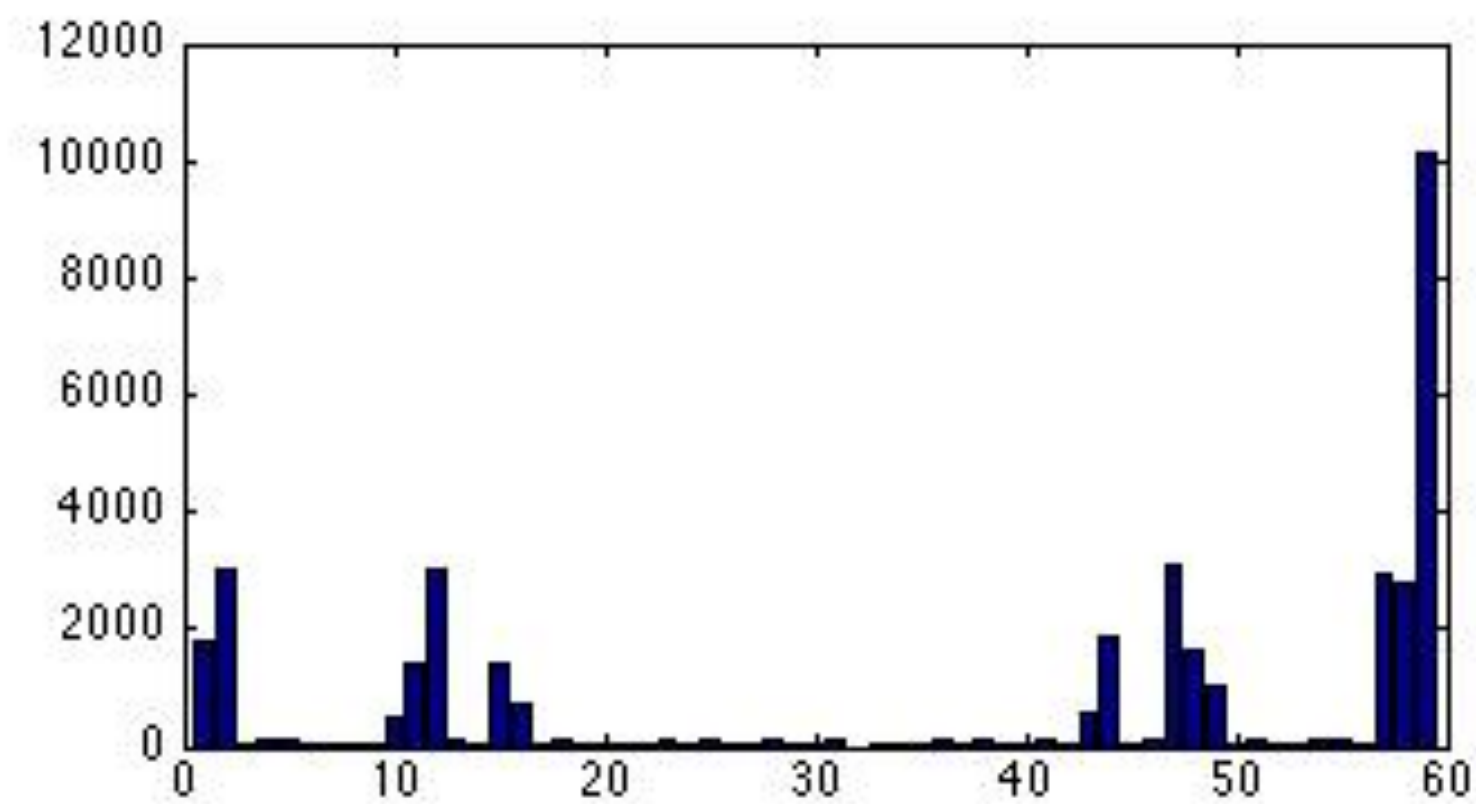
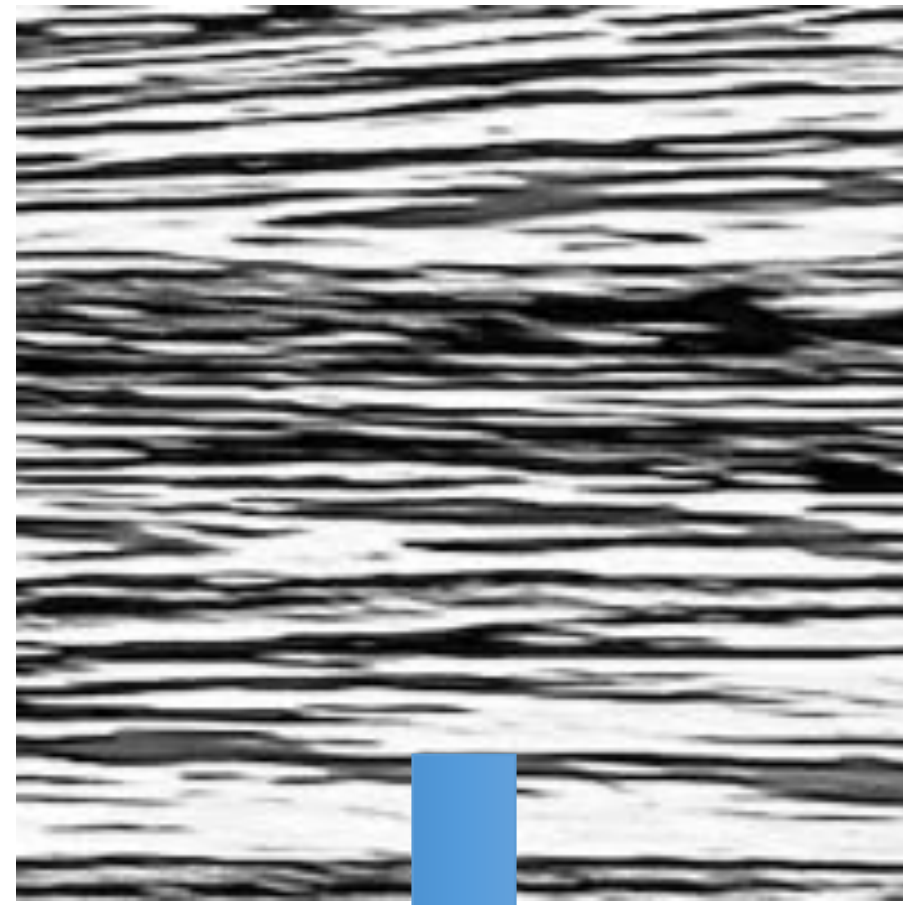
Similar textures have similar histograms





# Local Binary Patterns

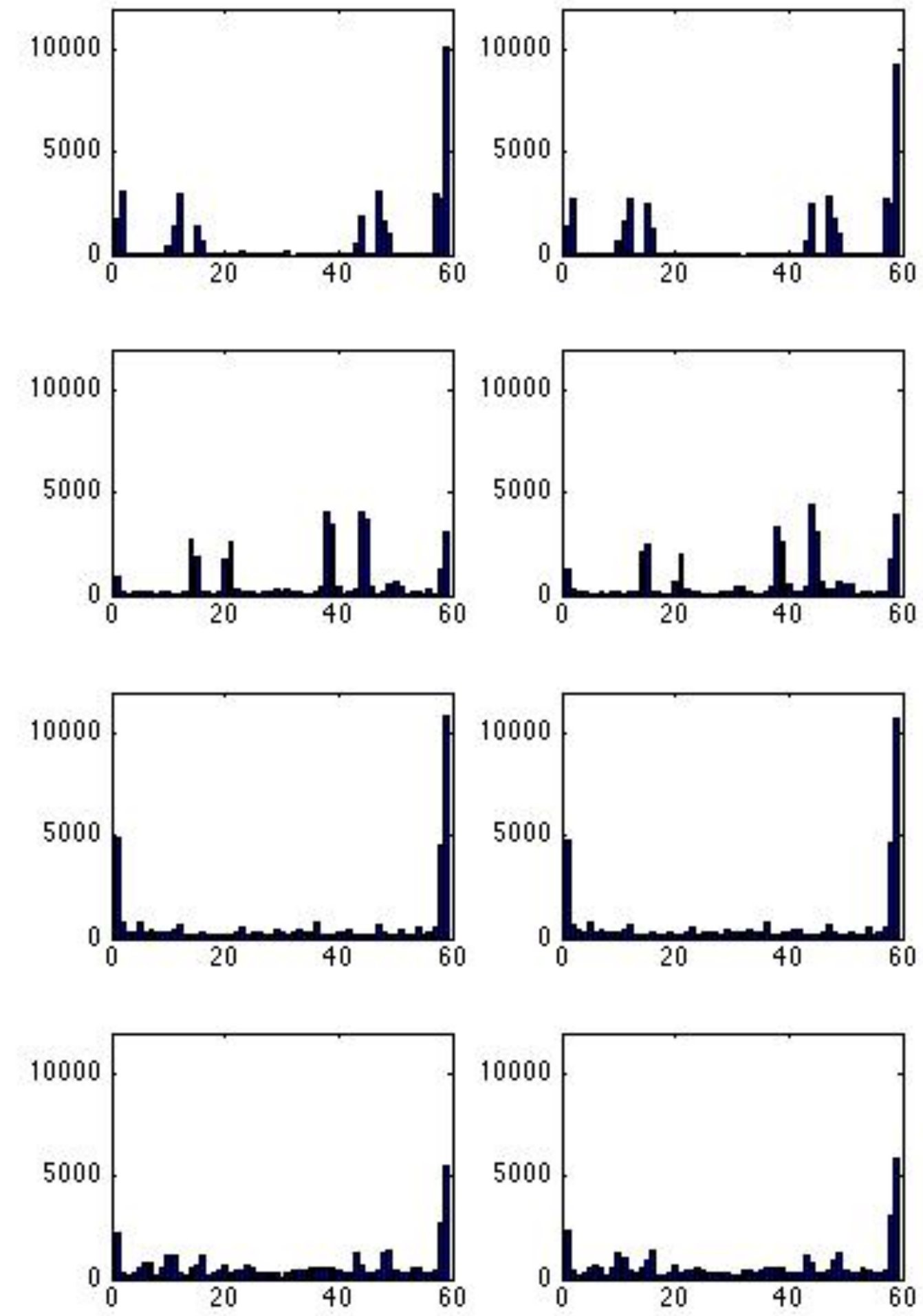
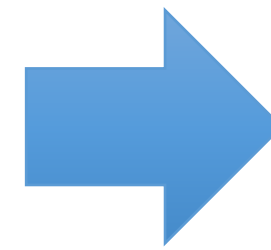
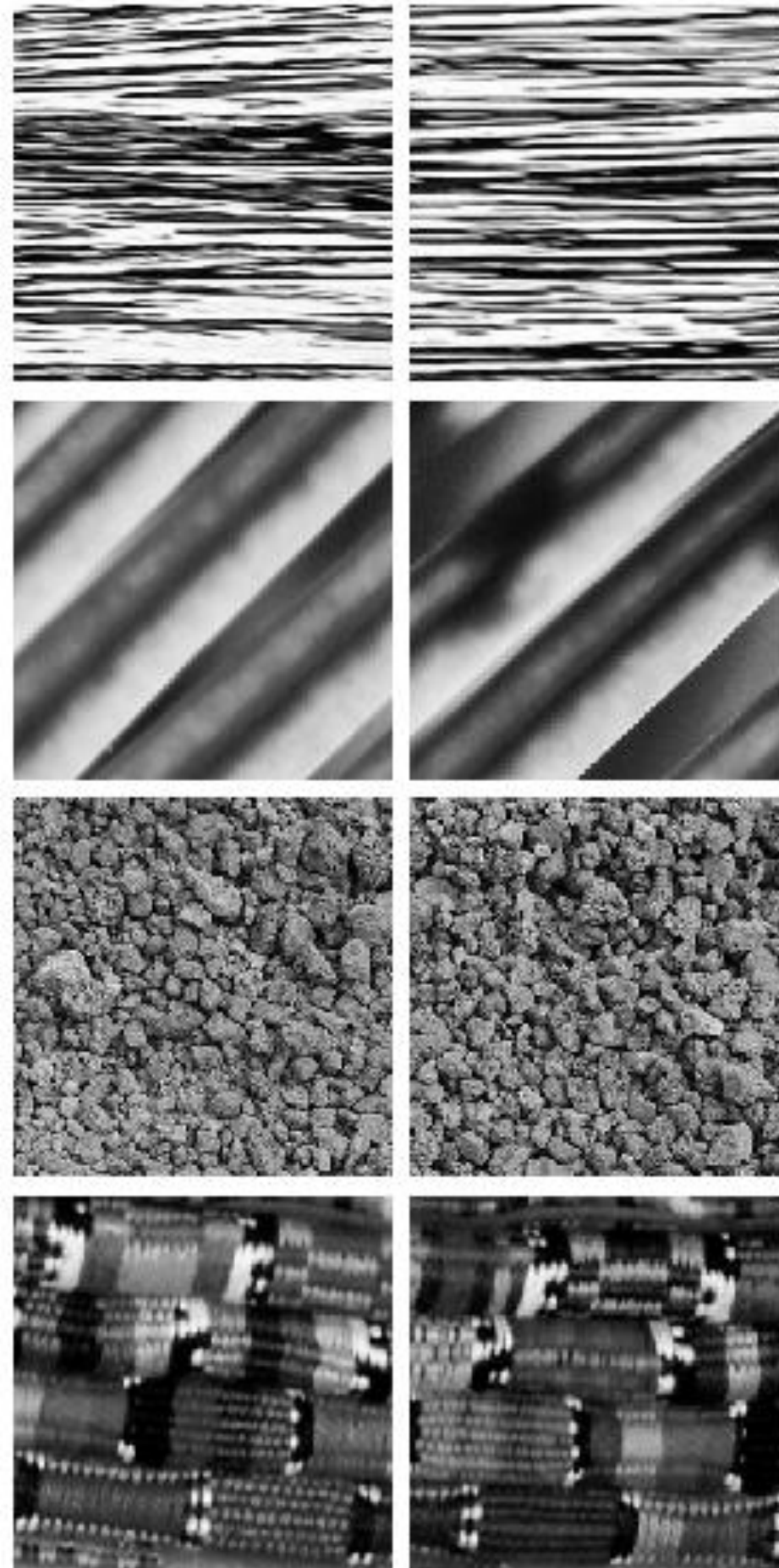
Similar textures have similar histograms





# Local Binary Patterns

Similar textures have similar histograms



# LBP for face recognition



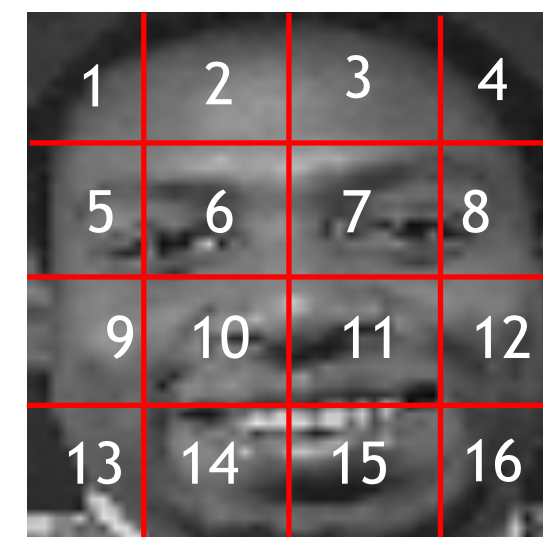
In the training set there are  $k$  classes.

For each class we have  $n$  training images.

In this example there are 40 classes with 9 images in each class.

Each image is partitioned into 16 cells.

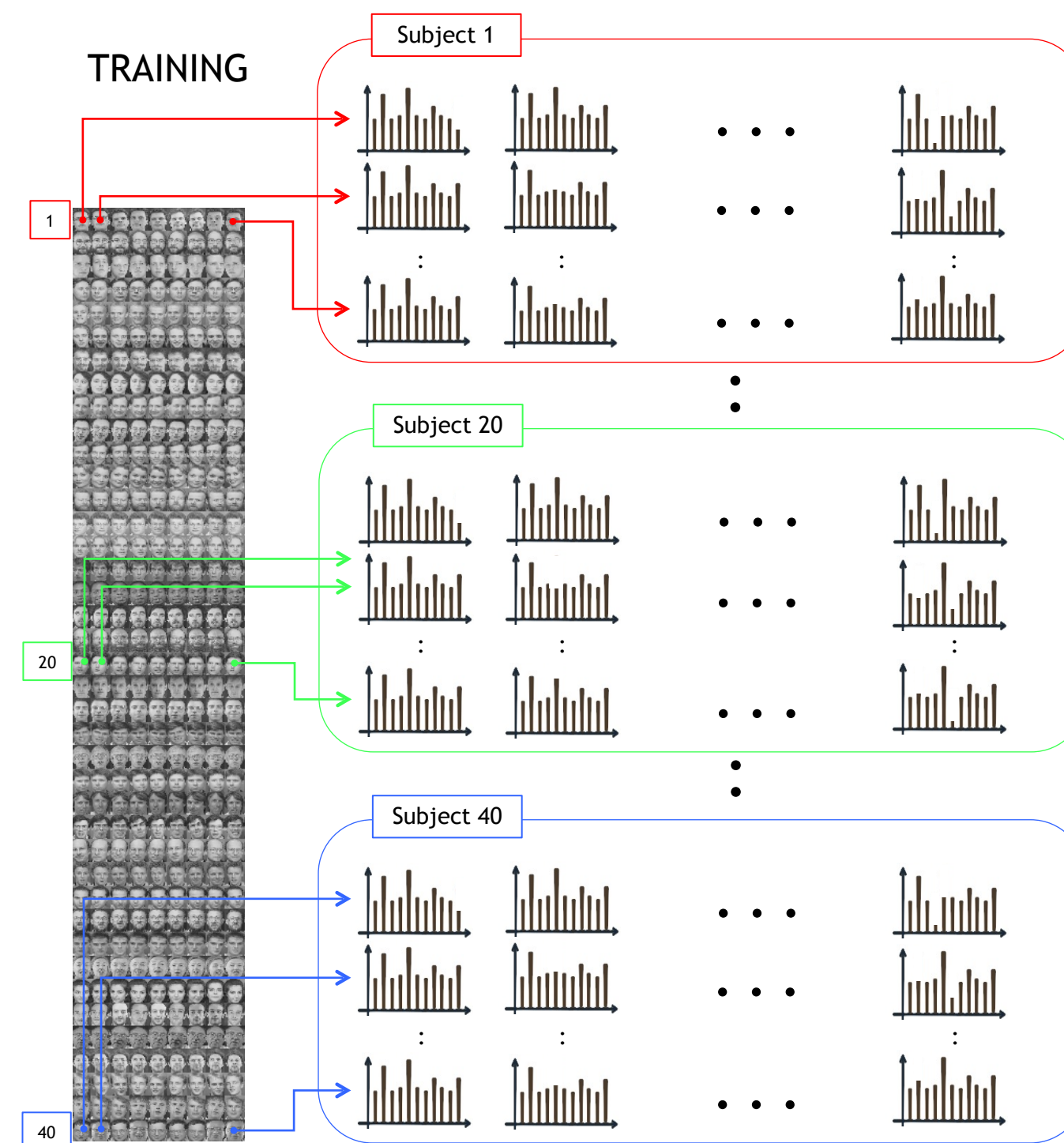
In each cell we extract LBP features.



A face is described using a feature of  $16 \times 59 = 944$  elements



# LBP for face recognition



## Training Data

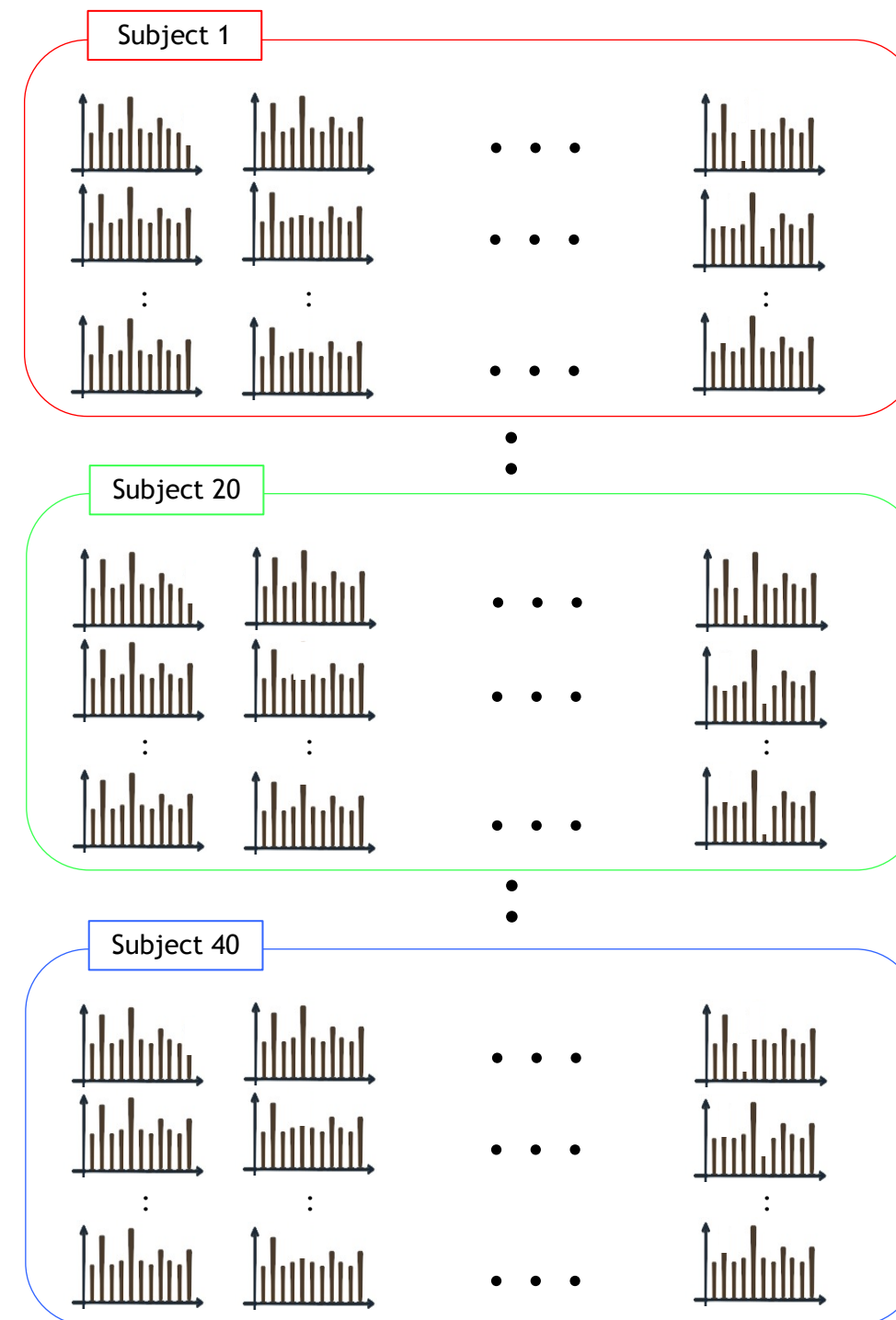
Table with:

$9 \times 40 = 360$  rows

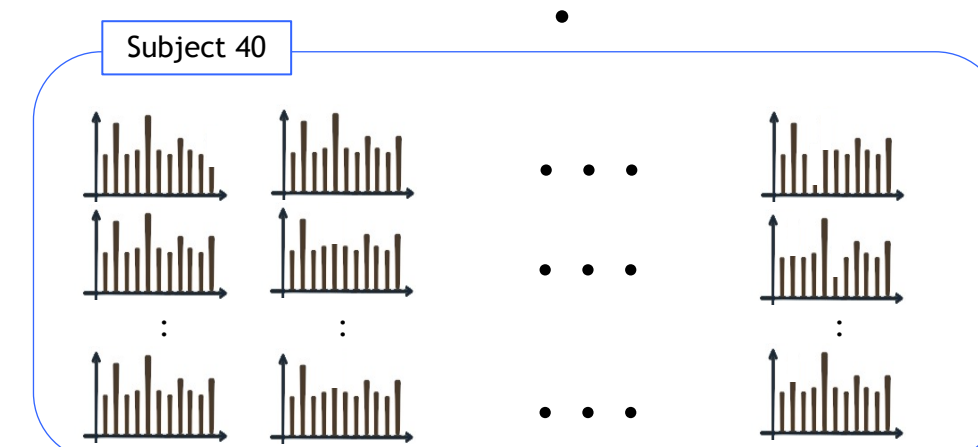
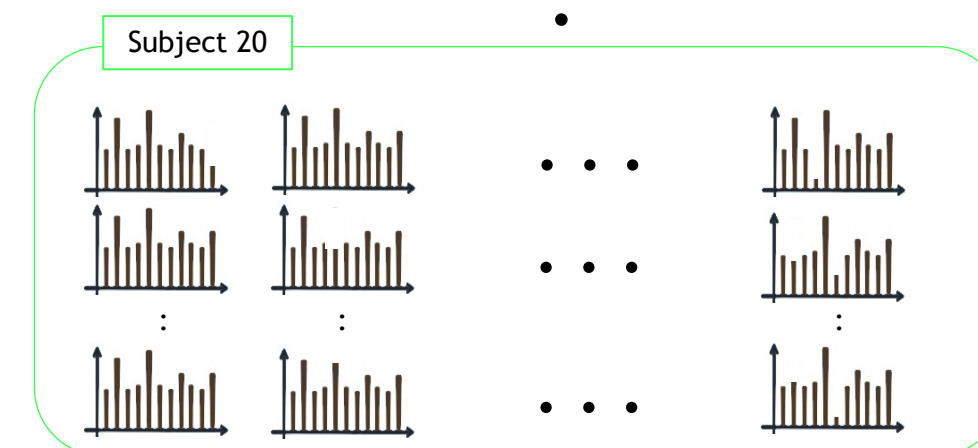
and

$16 \times 59 = 944$  columns

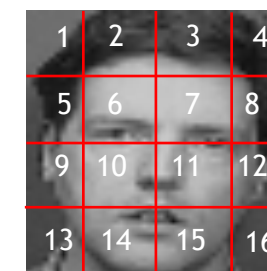
# LBP for face recognition



# LBP for face recognition



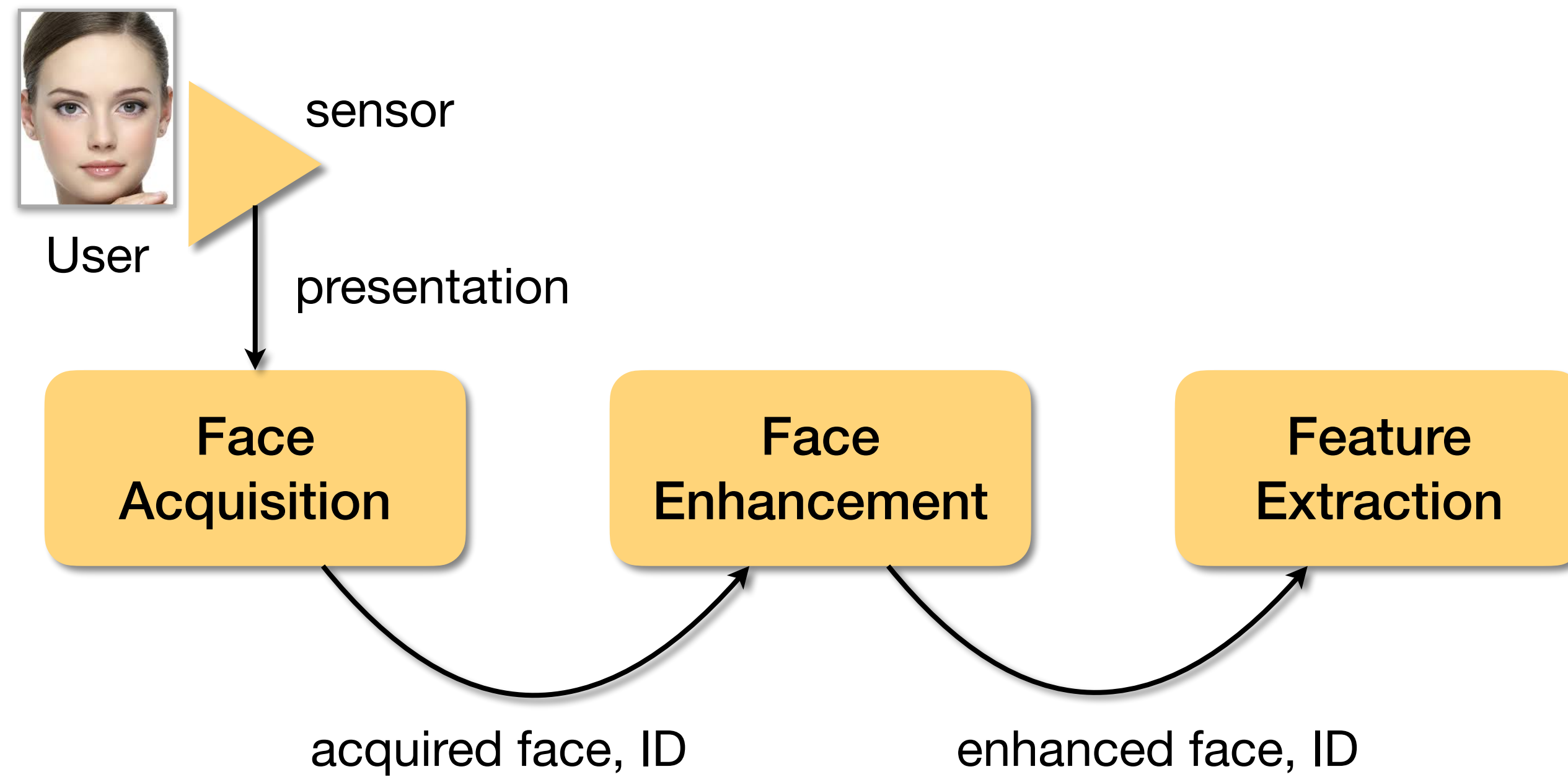
TESTING



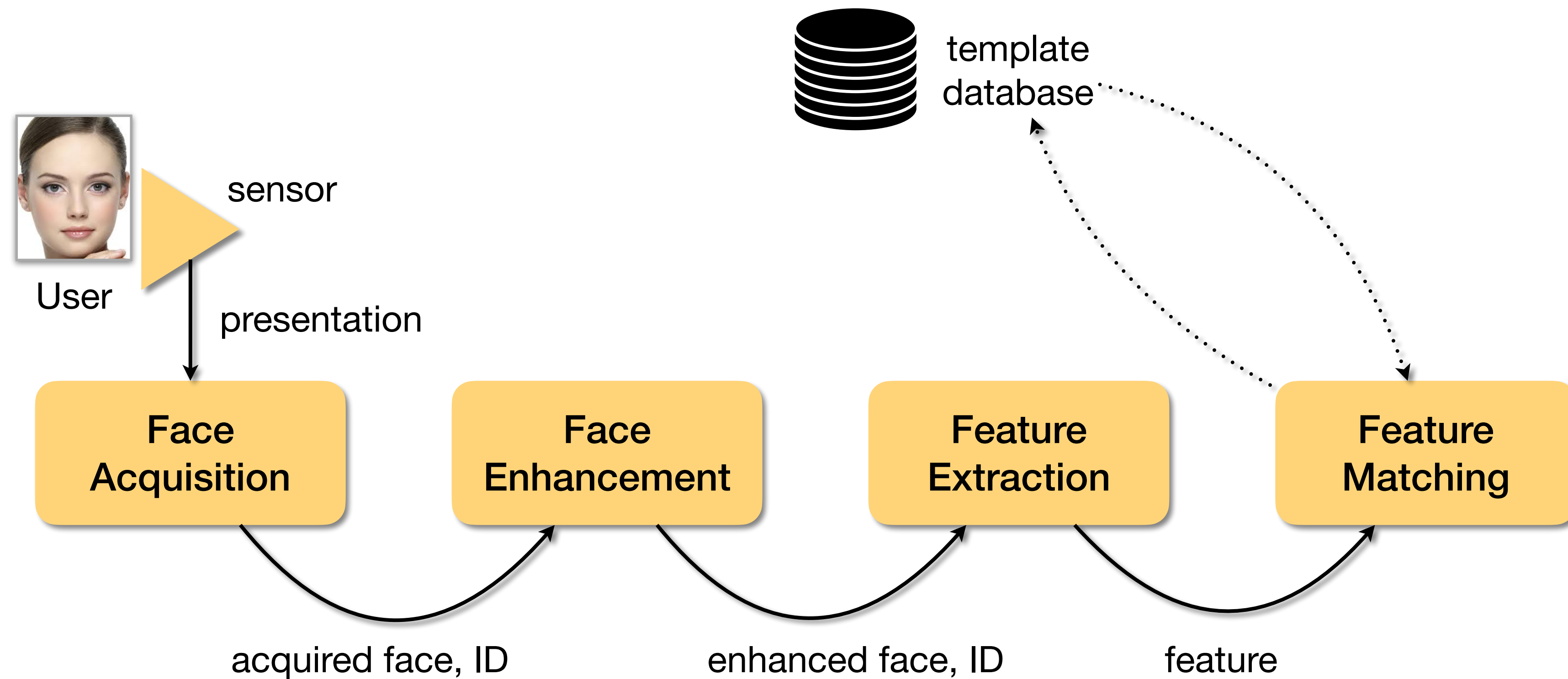
Who is this subject?



# Face Recognition



# Face Recognition



# LBP for face recognition (Feature Matching)

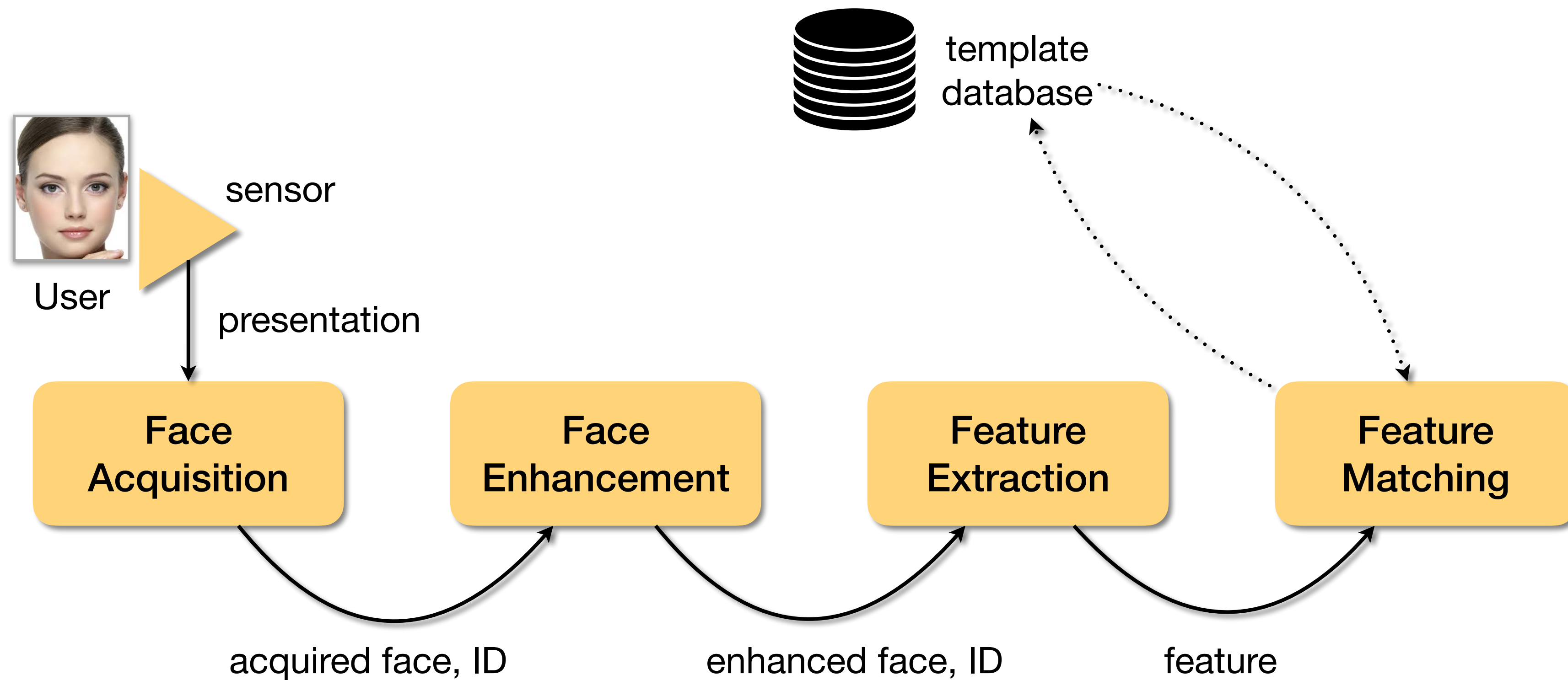




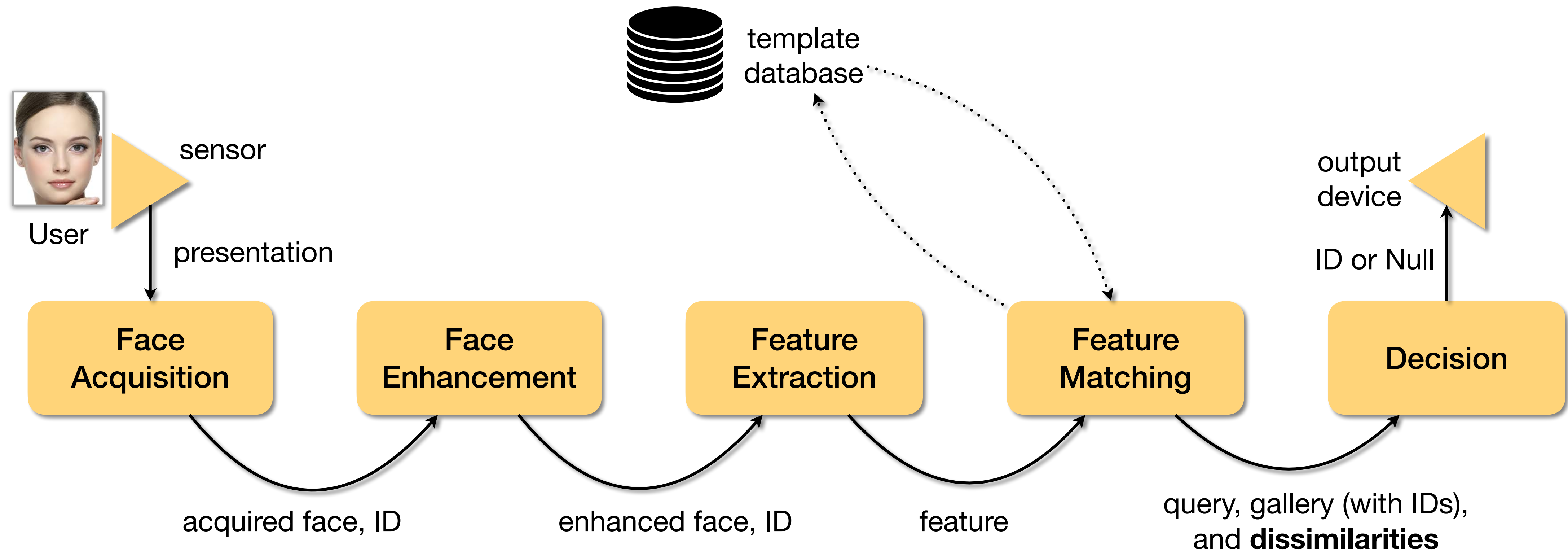
# LBP for face recognition (Feature Matching)



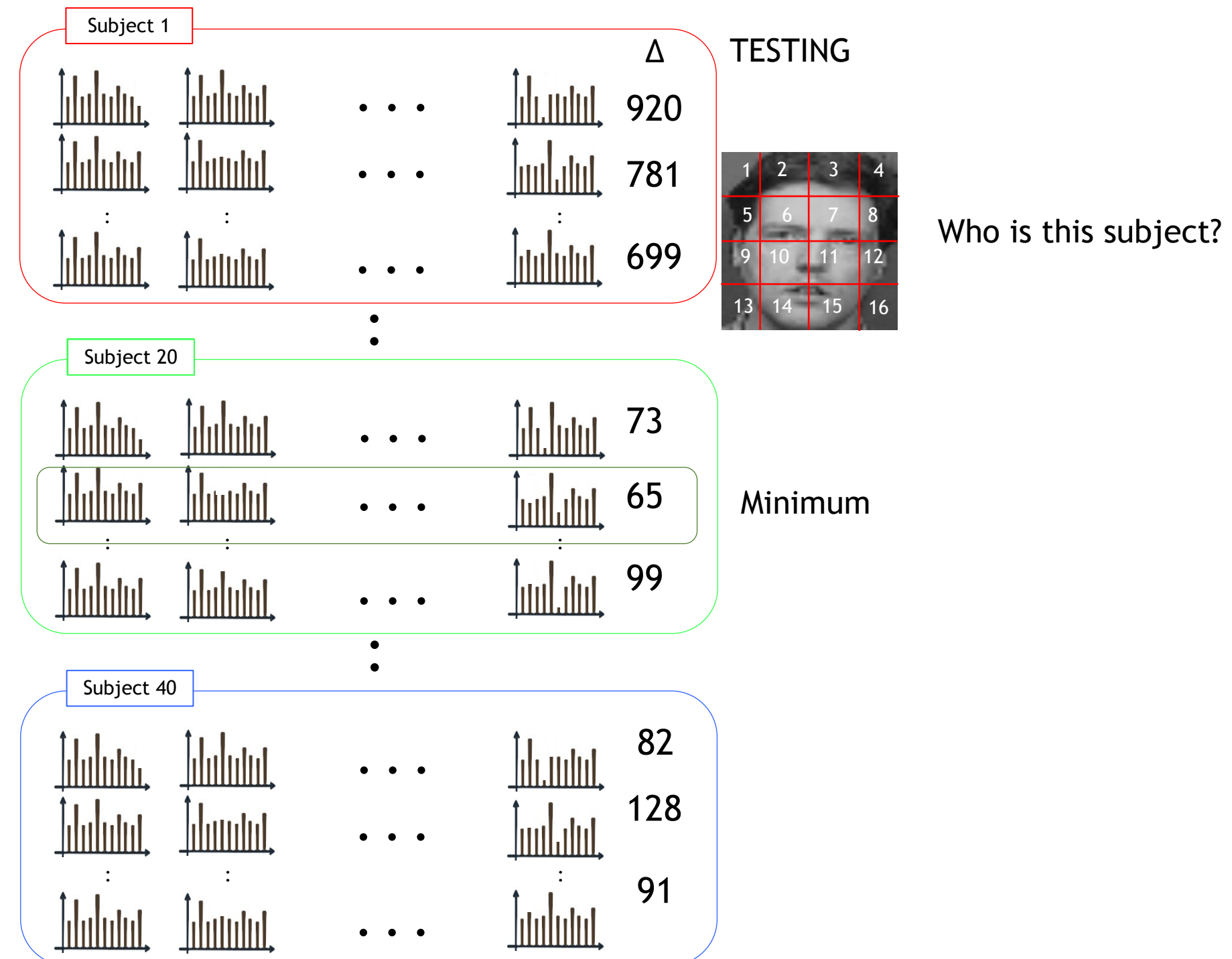
# Face Recognition



# Face Recognition



# LBP for face recognition (Decision)



# Feature Extraction

## Focus

2D-appearance-based methods.

## Types

Handcrafted features from Computer Vision.

Data-driven learned features from Machine Learning.





# Feature Extraction

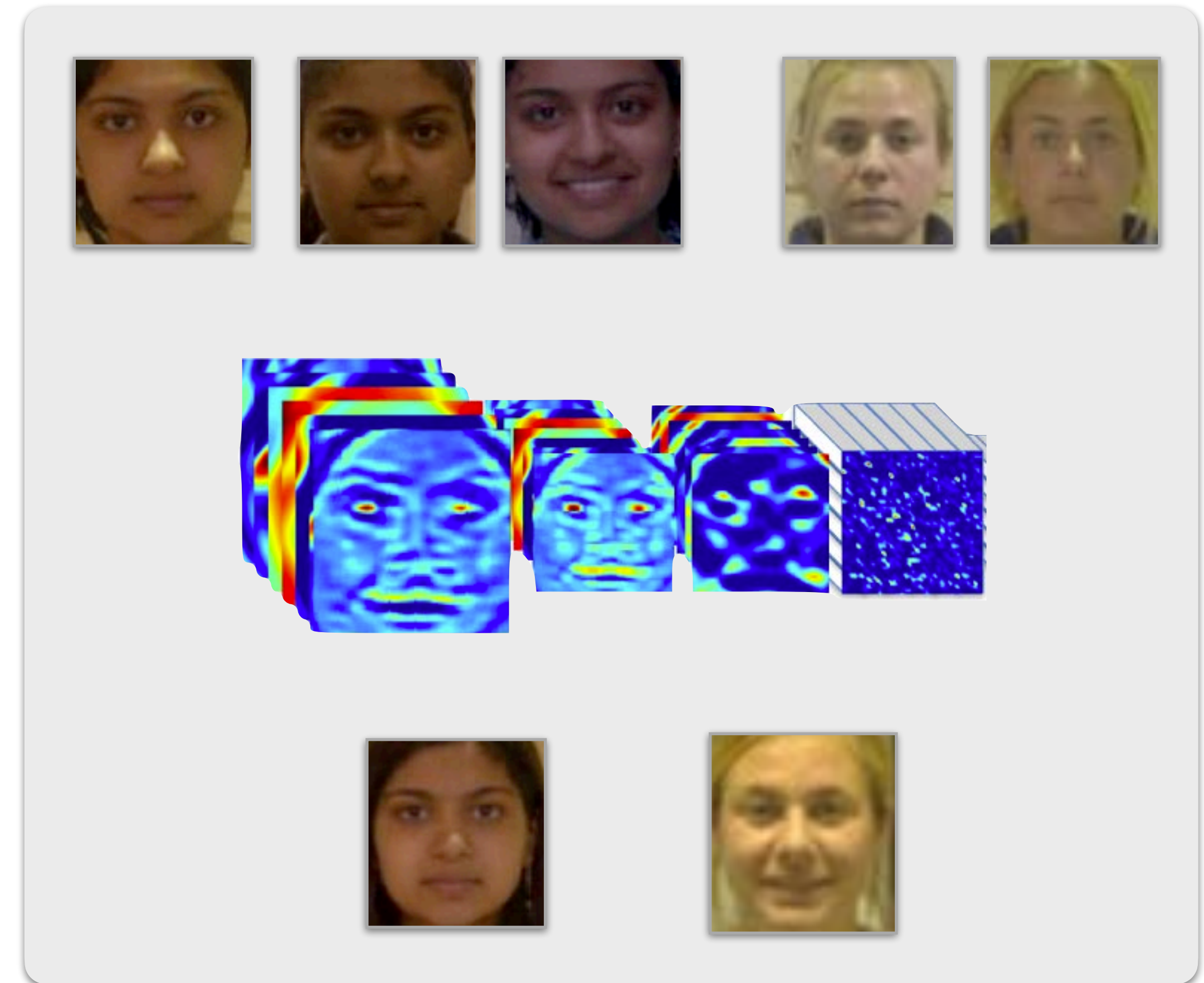
## Focus

2D-appearance-based methods.

## Types

Handcrafted features from Computer Vision.

**Data-driven learned features from Machine Learning.**



# Feature Extraction

## Deep Convolutional Neural Networks



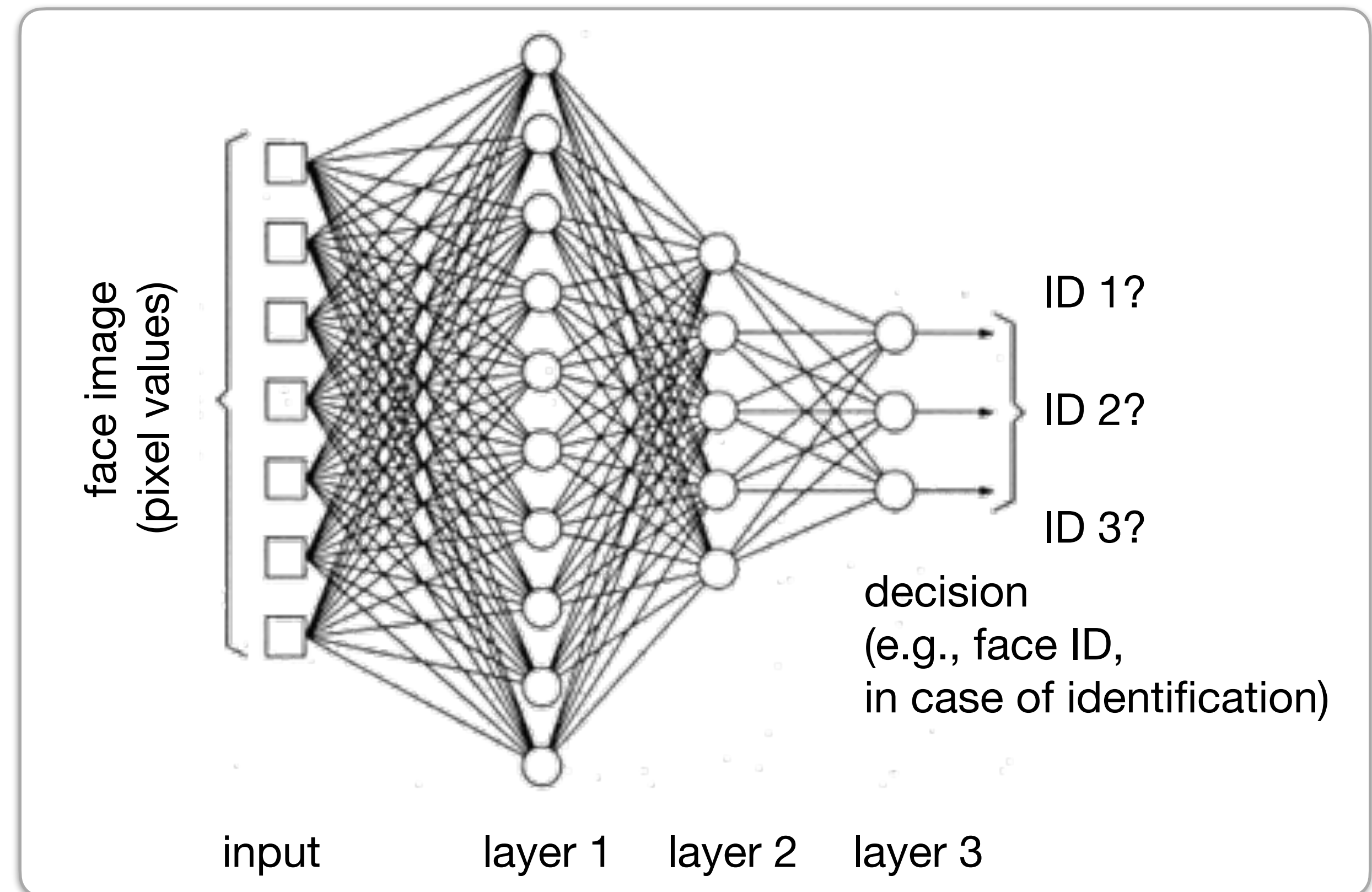
# Feature Extraction

## Deep Convolutional **Neural Networks**

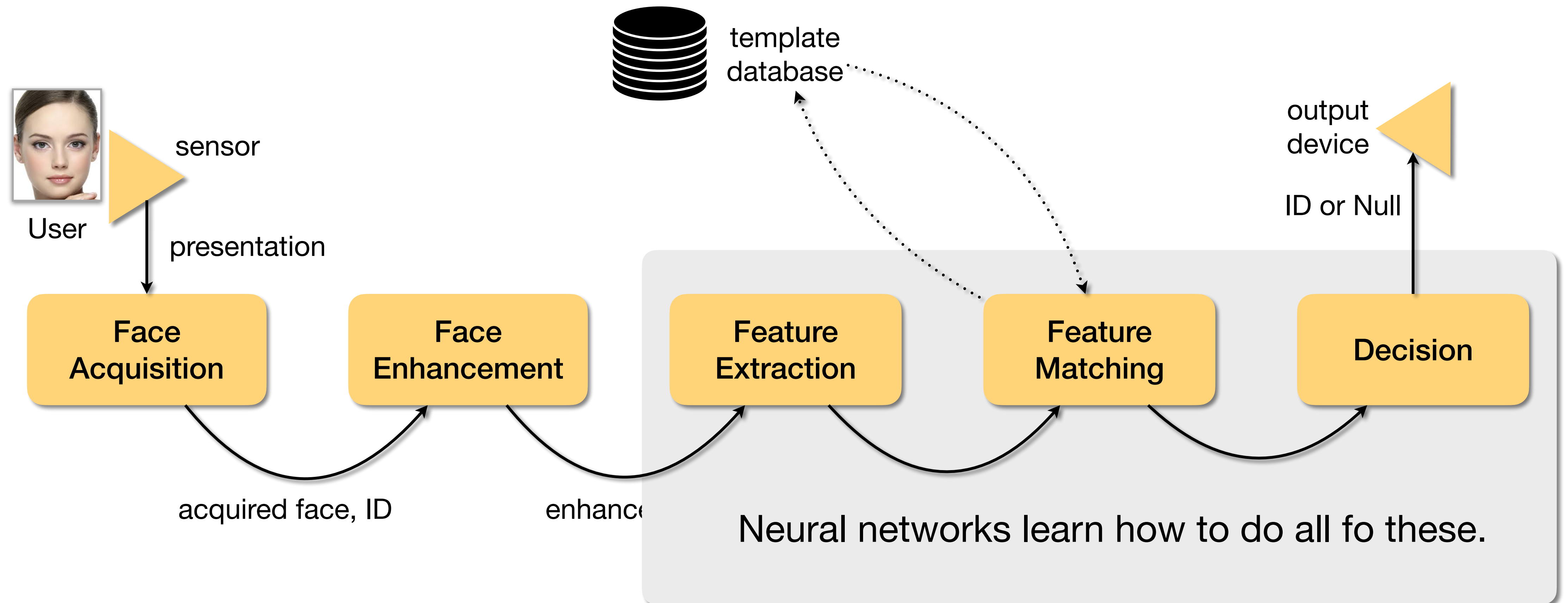
From pixels to  
classification decision.

Hierarchy of feature  
extractors.

Each layer extracts features  
from previous layer.



# Face Recognition



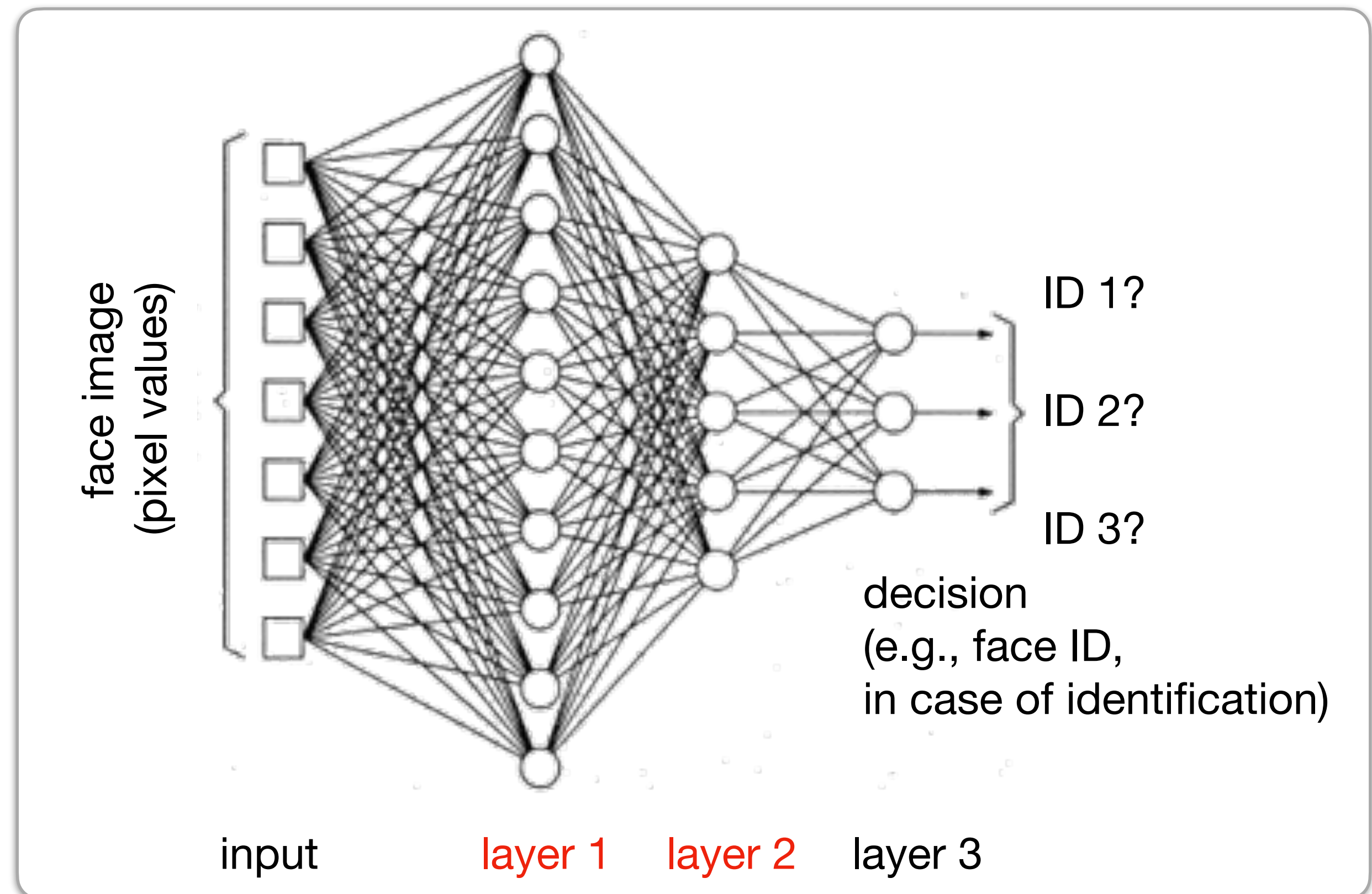
# Data-driven Face Recognition

## Deep **Convolutional** Neural Networks

### Convolutional Layers

E.g., layers 1 and 2.

Feature extractors are convolutional operations which are performed on the output of the previous layer.





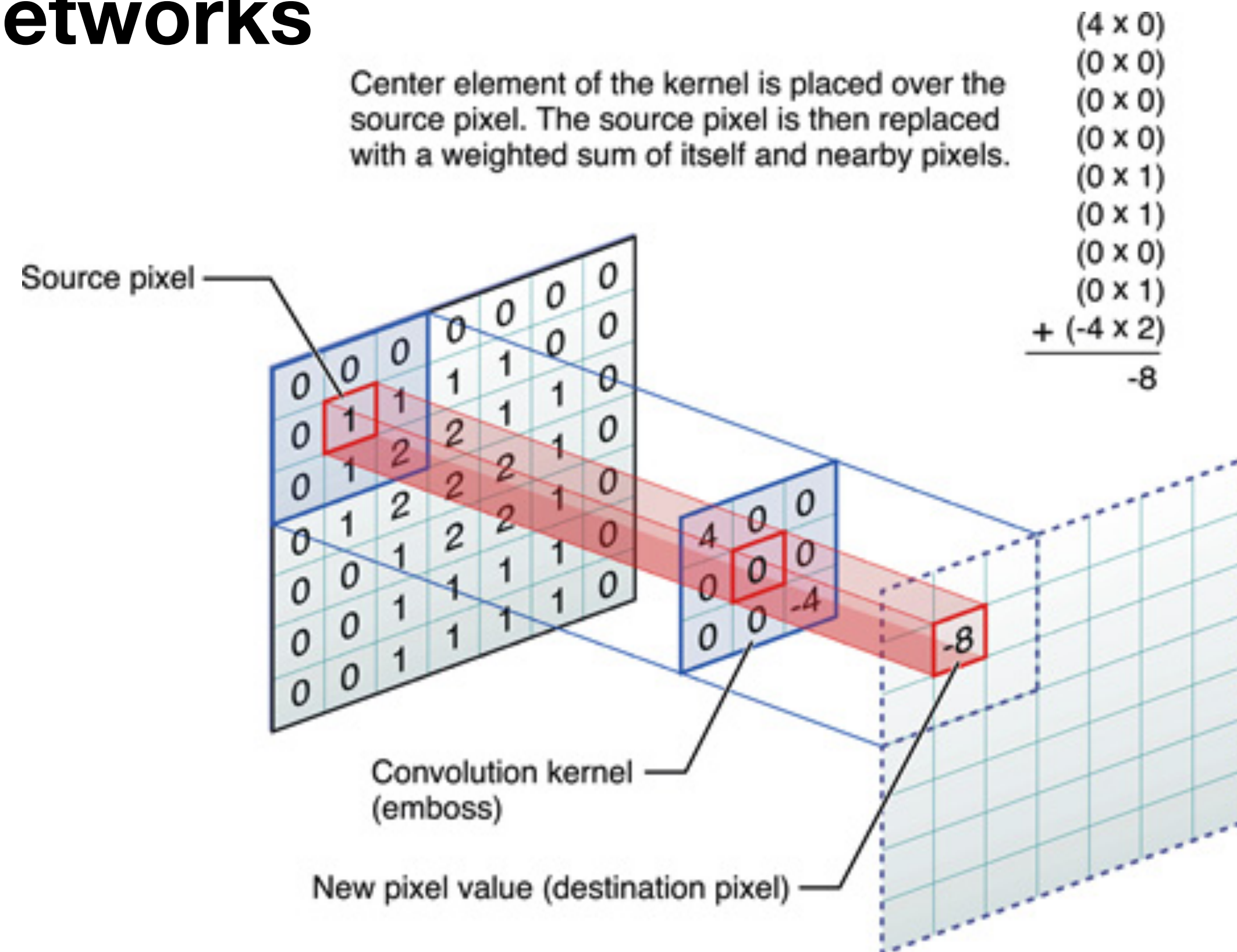
# Data-driven Face Recognition

## Deep **Convolutional** Neural Networks

### Convolutional Layers

E.g., layers 1 and 2.

Feature extractors are convolutional operations which are performed on the output of the previous layer.



Source: <https://developer.apple.com/library/archive/documentation/Performance/Conceptual/vimage/ConvolutionOperations/ConvolutionOperations.html>

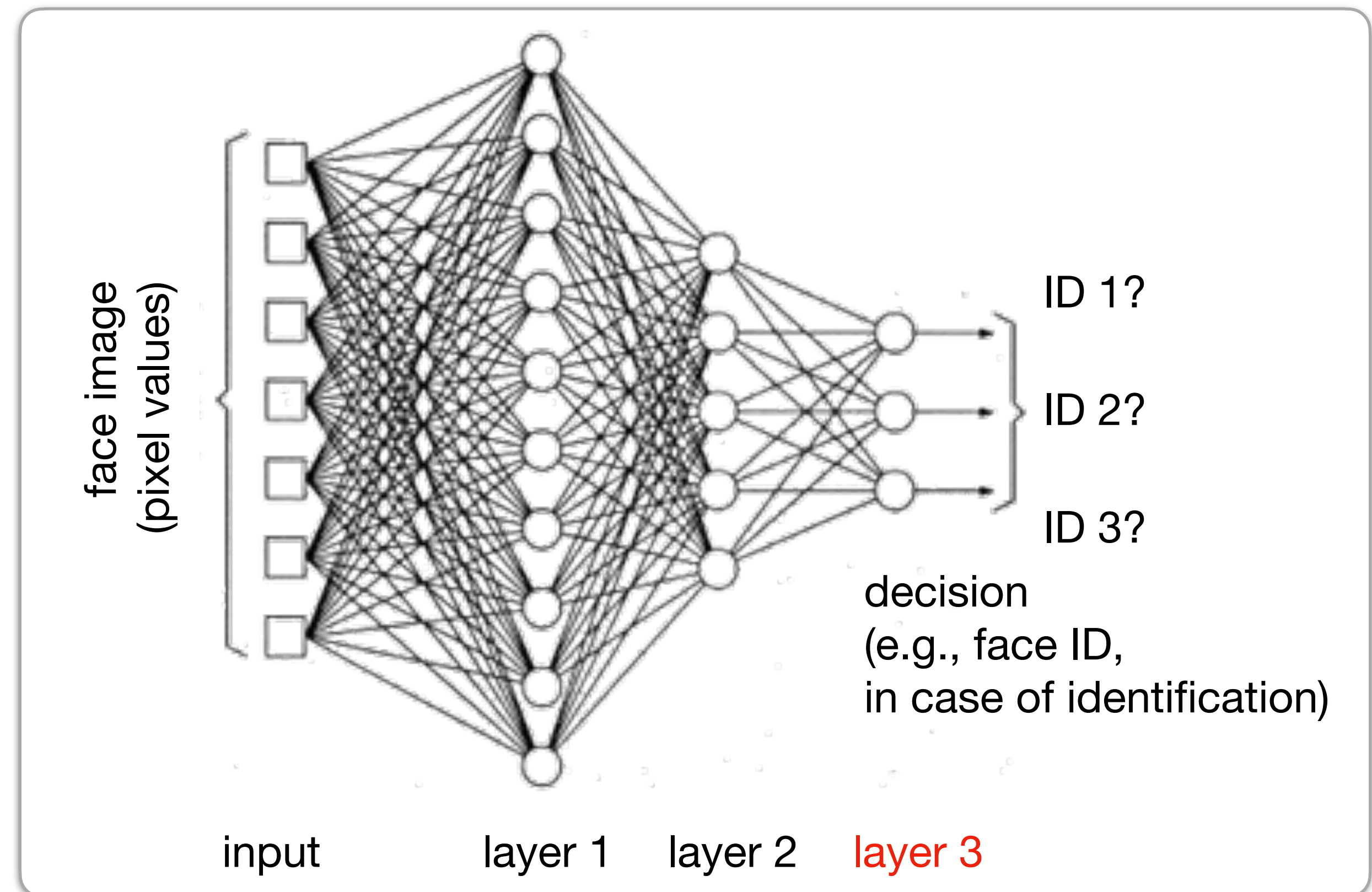
# Data-driven Face Recognition

## Deep **Convolutional** Neural Networks

### Fully Connected Layer

E.g., layer 3.

It performs the classification, presenting one score output for each class (identity, in the case of Biometrics).





# Data-driven Face Recognition

## Deep Convolutional Neural Networks

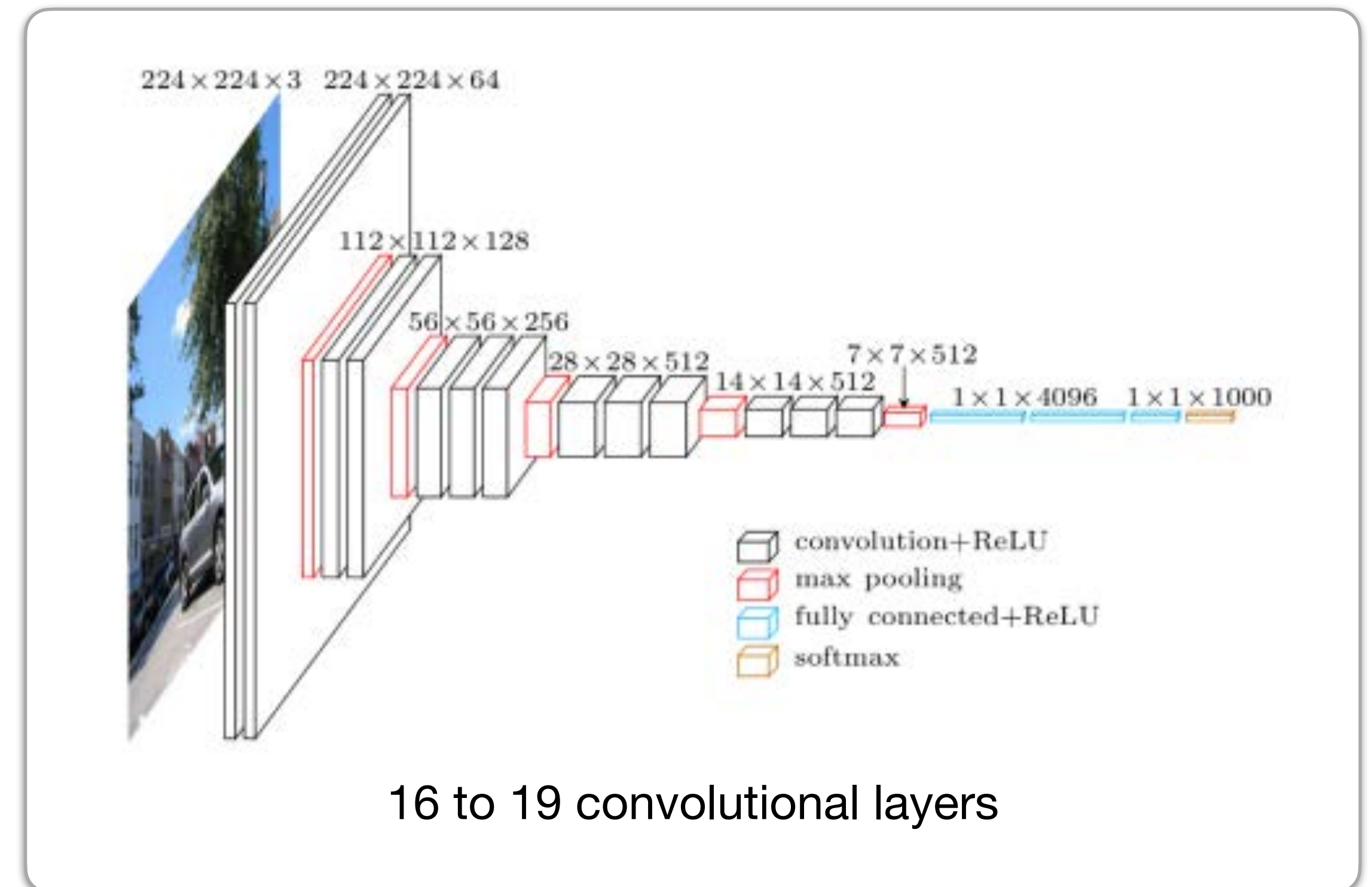
### How deep can they be?

“Deep” refers to the number of layers.

E.g., VGG16

Simonyan and Zisserman

*Very Deep Convolutional Networks for Large-Scale Image Recognition*

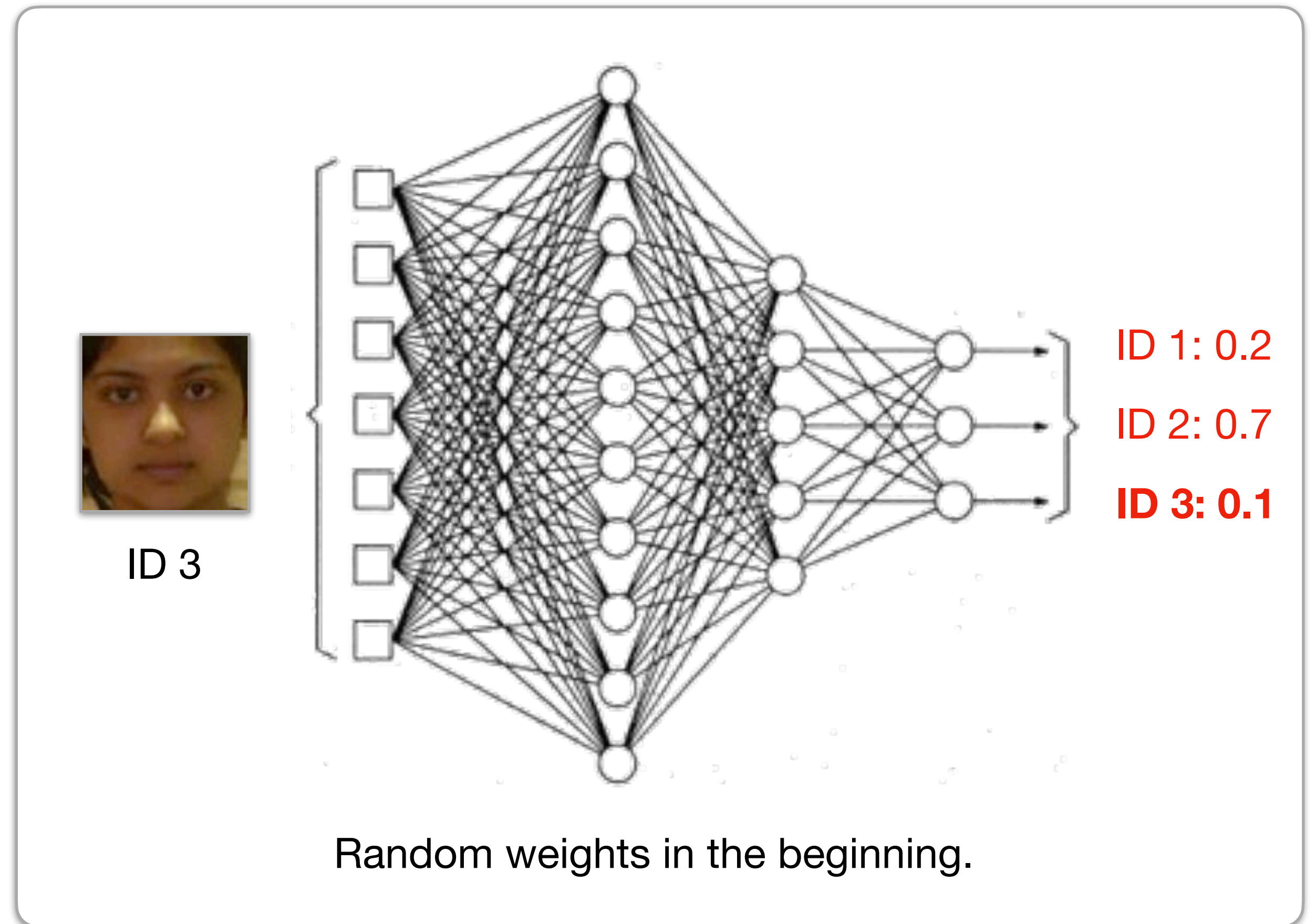


# Data-driven Face Recognition

## Deep Learning

### Training

Labeled examples (e.g., faces and expected IDs) are used to teach the network to classify them correctly.



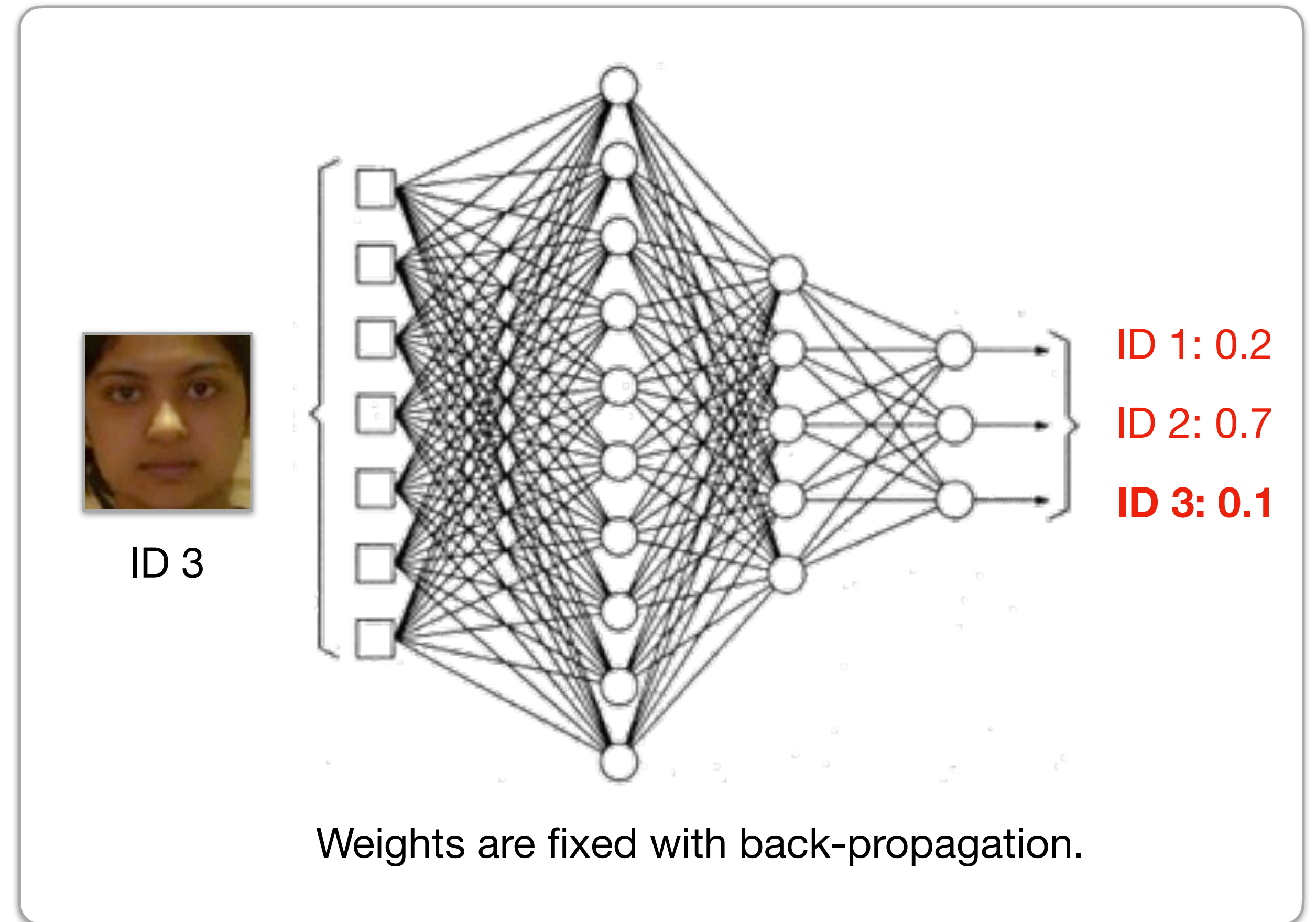


# Data-driven Face Recognition

## Deep Learning

### Training

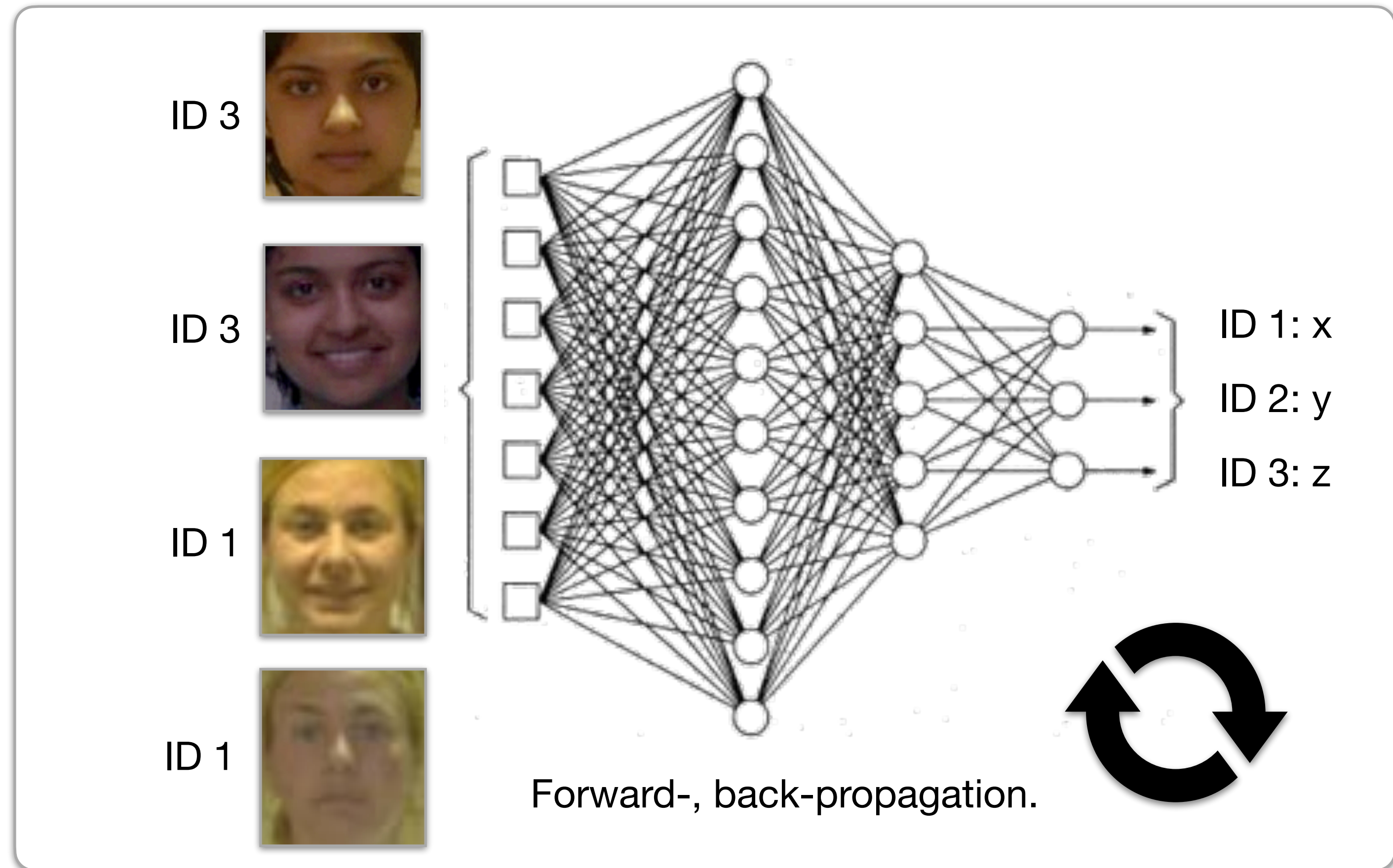
Back-propagation is used to fix the weights of the convolutions within the network.



# Data-driven Face Recognition

## Deep Learning

Present various examples of each class and perform forward-, back-propagation.





# Data-driven Face Recognition

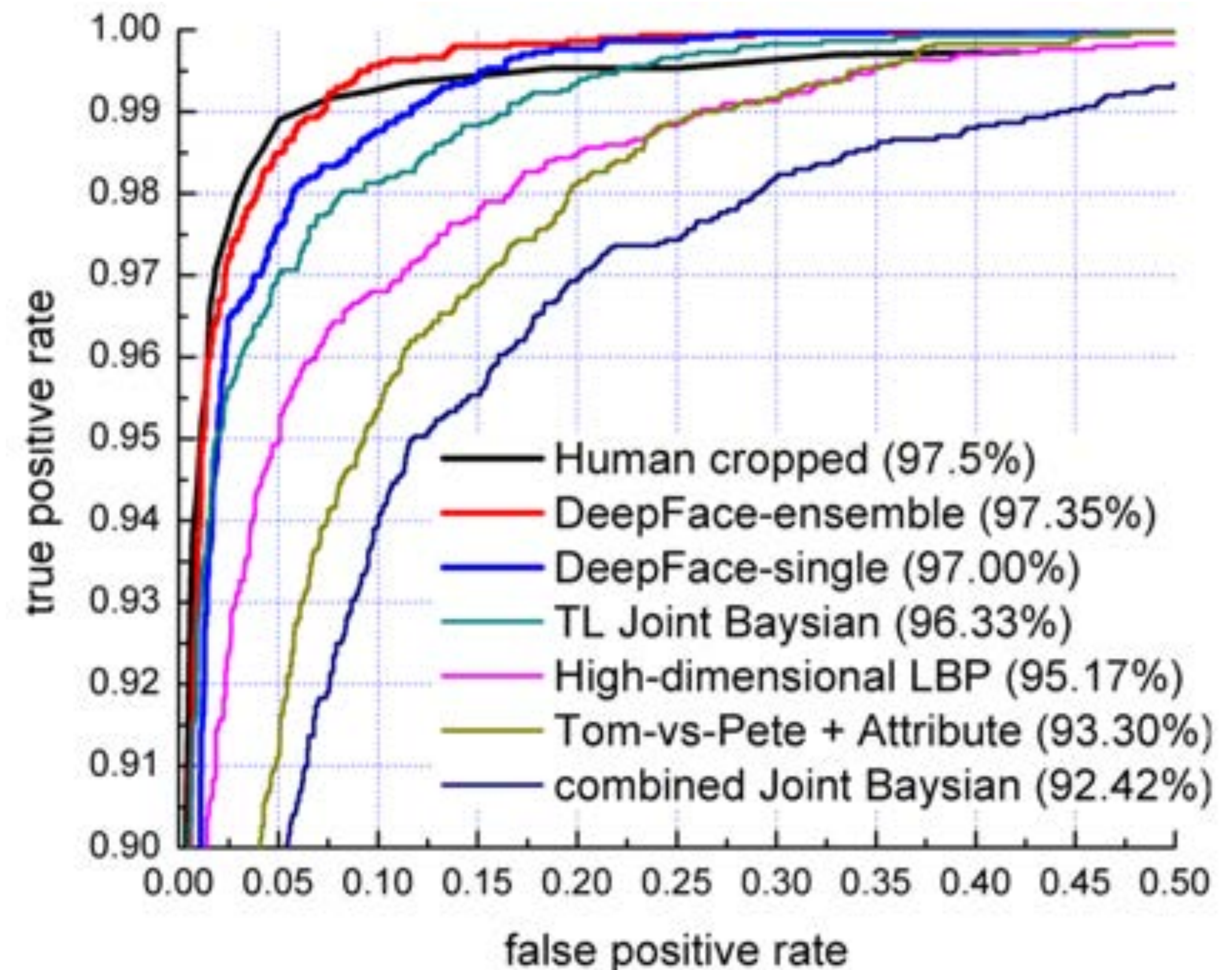
How good can it be?

**E.g., DeepFace (Facebook)**

Taigman *et al.*

*DeepFace: Closing the Gap to Human-Level Performance in Face Verification*

CVPR, 2014





# Data-driven Face Recognition

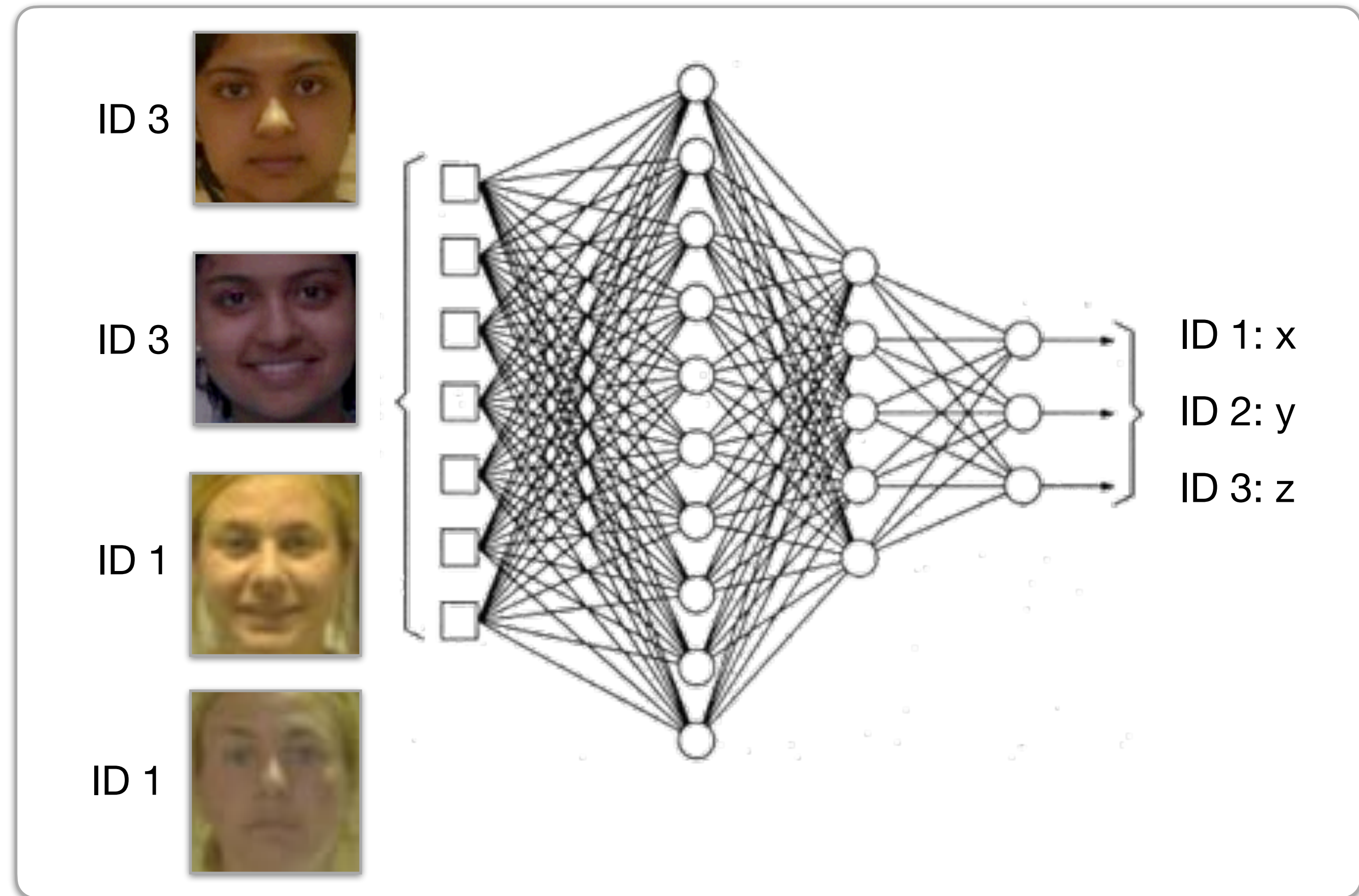
## Deep Learning

**What are the cons here?**

How to enroll a new person?

Fixed number of classes (i.e., persons).

Need for large training dataset (thousands of sample per class).





# What's Next?

## Improving Deep Learning

### ArcFace

*Additive Angular Margin Loss for Deep Face Recognition*

Deng et al., CVPR 2019.

<https://bit.ly/3qsQmch>

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*Today-I-missed Statement*

Please visit

<https://sakai.luc.edu/x/HAZC1P>.

