

# Iris Recognition III

COMP 388-002/488-002 Biometrics

**Daniel Moreira**

Fall 2023



**LOYOLA**  
UNIVERSITY CHICAGO

# Today you will...

*Get to know*

Iris description and matching.

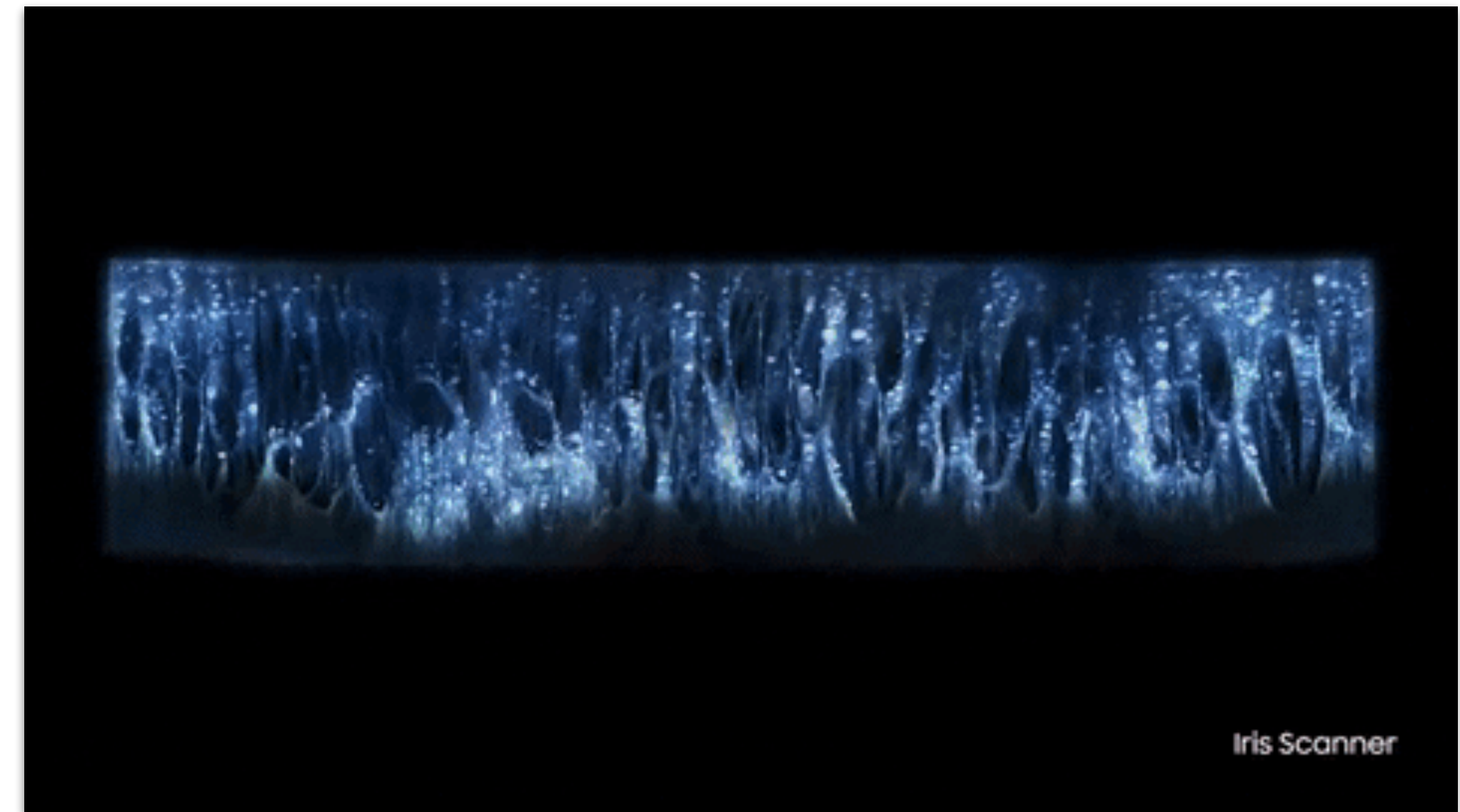
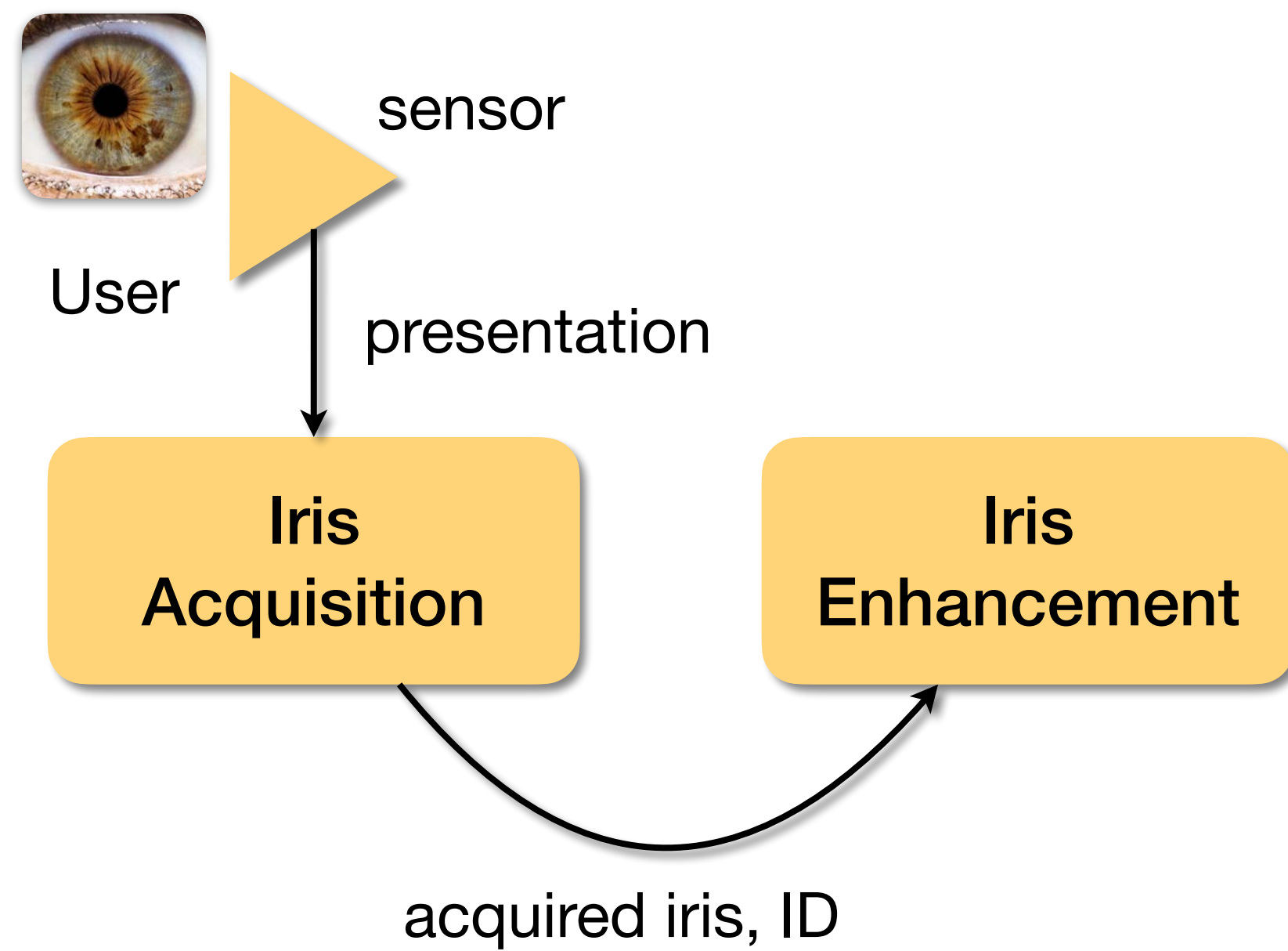
# Today's attendance

**Please fill out the form**

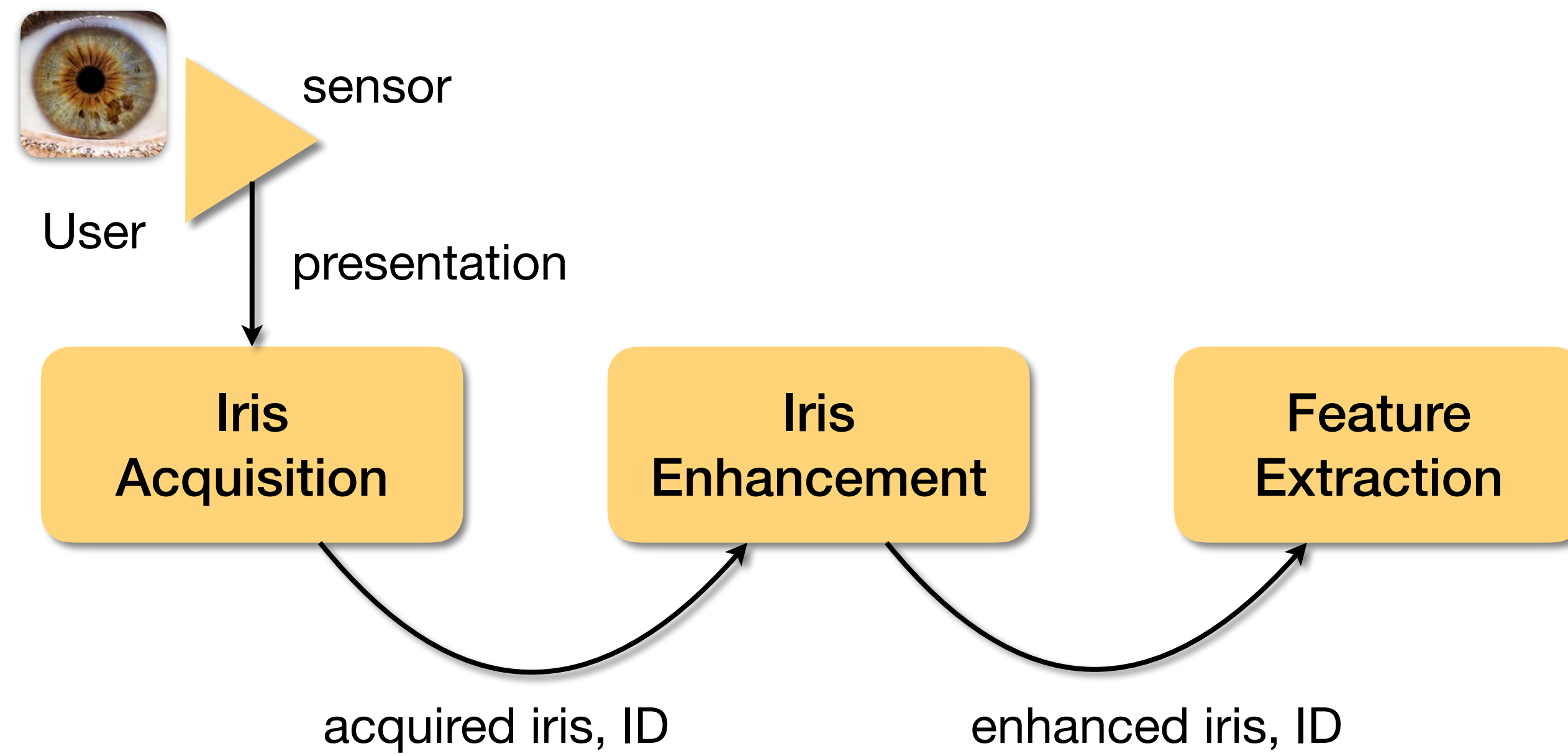
<https://forms.gle/3UXJGEm51VE1vHUH8>



# Iris Recognition

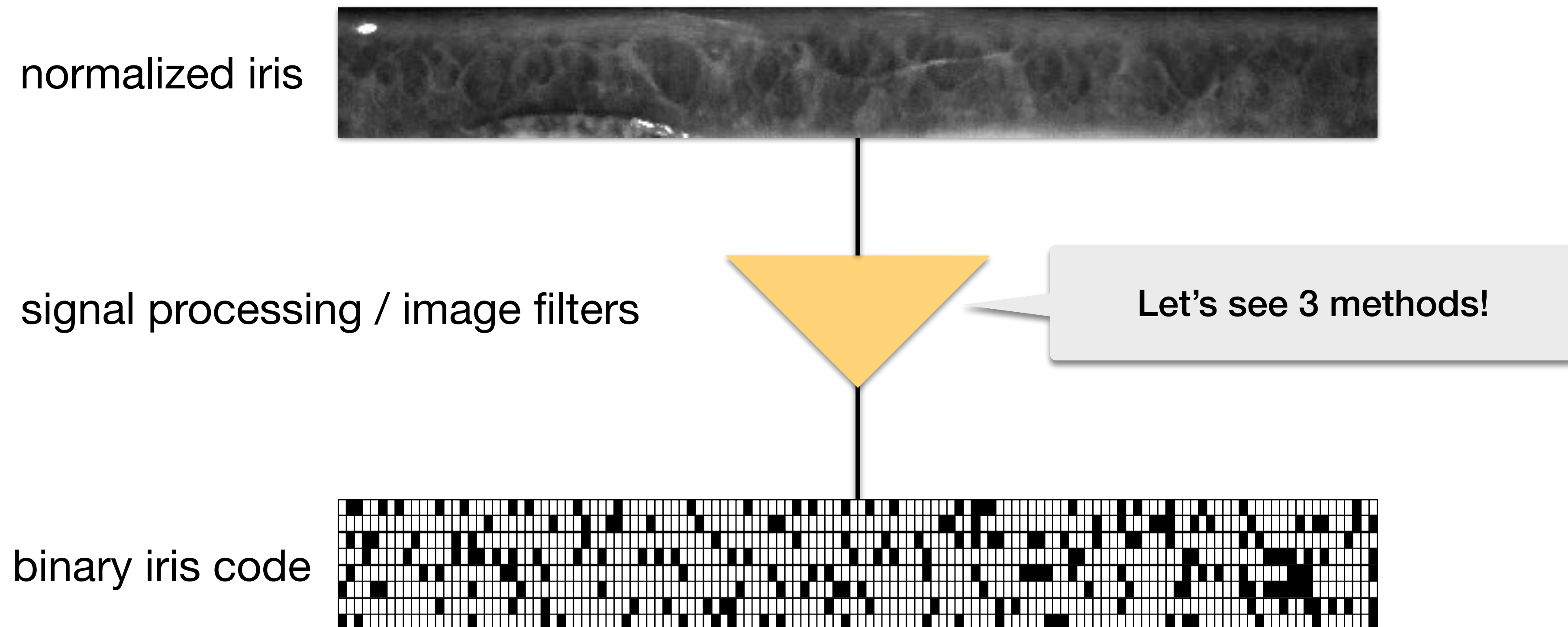


# Iris Recognition



# Feature Extraction

## Typical Description Framework

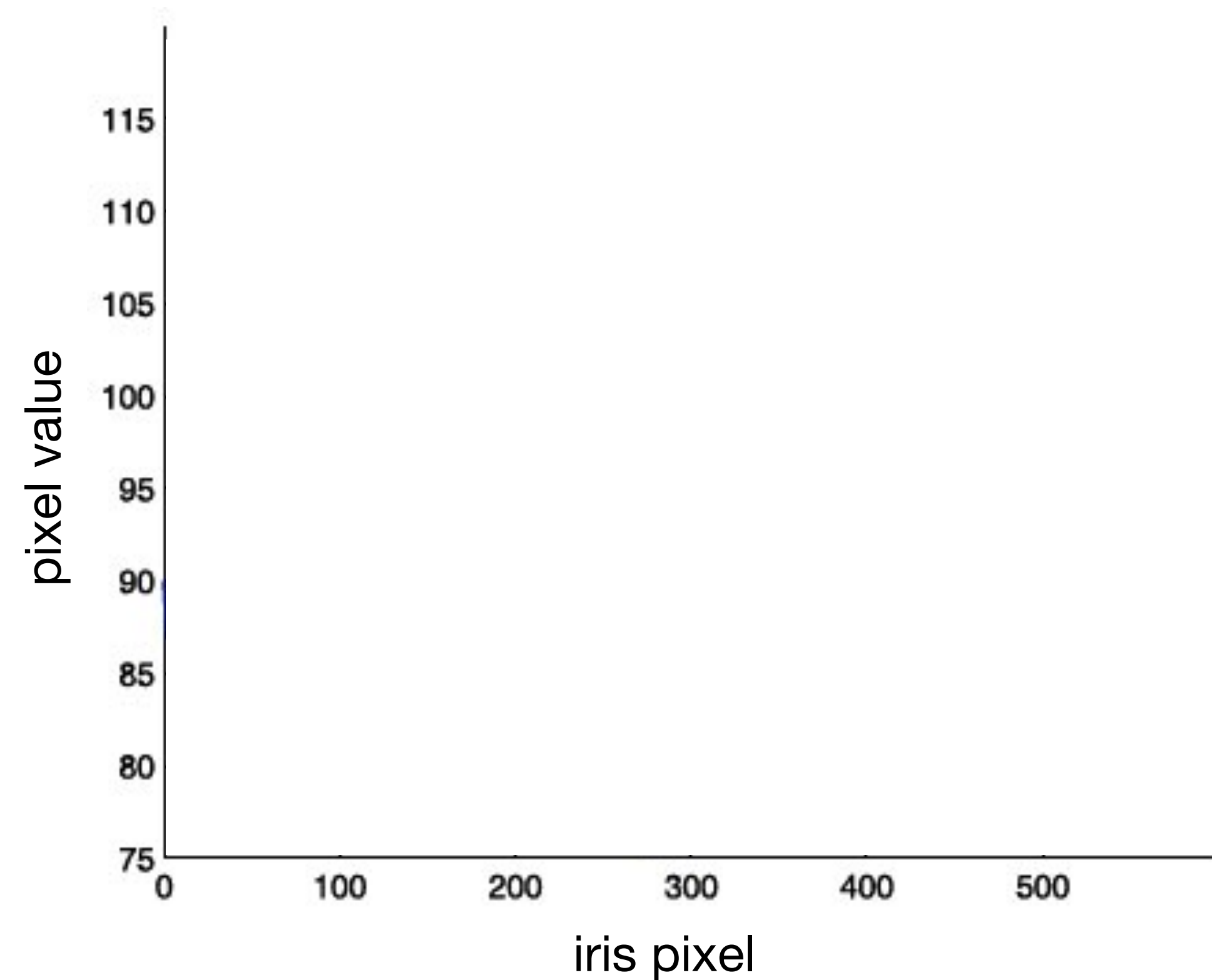
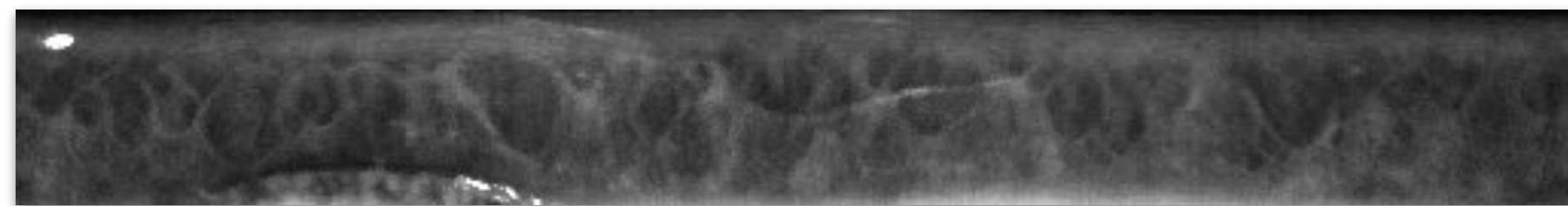


# Feature Extraction

## Zero-Crossing Approach (1/3)

Proposed by W. W. Boles.

Iris image is treated as a 1D signal (iris signature).



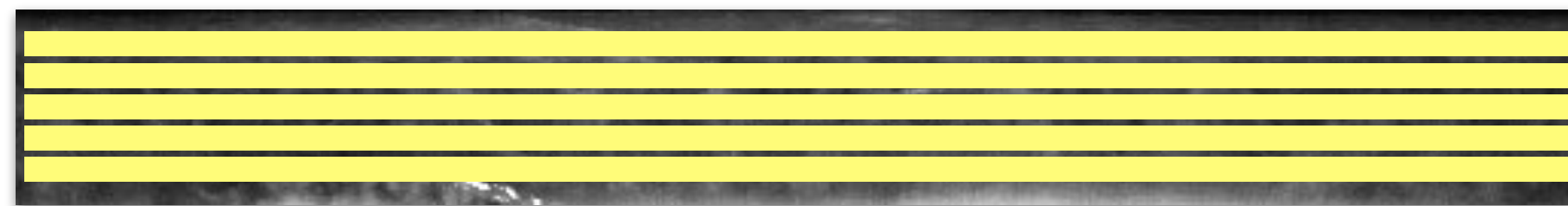


# Feature Extraction

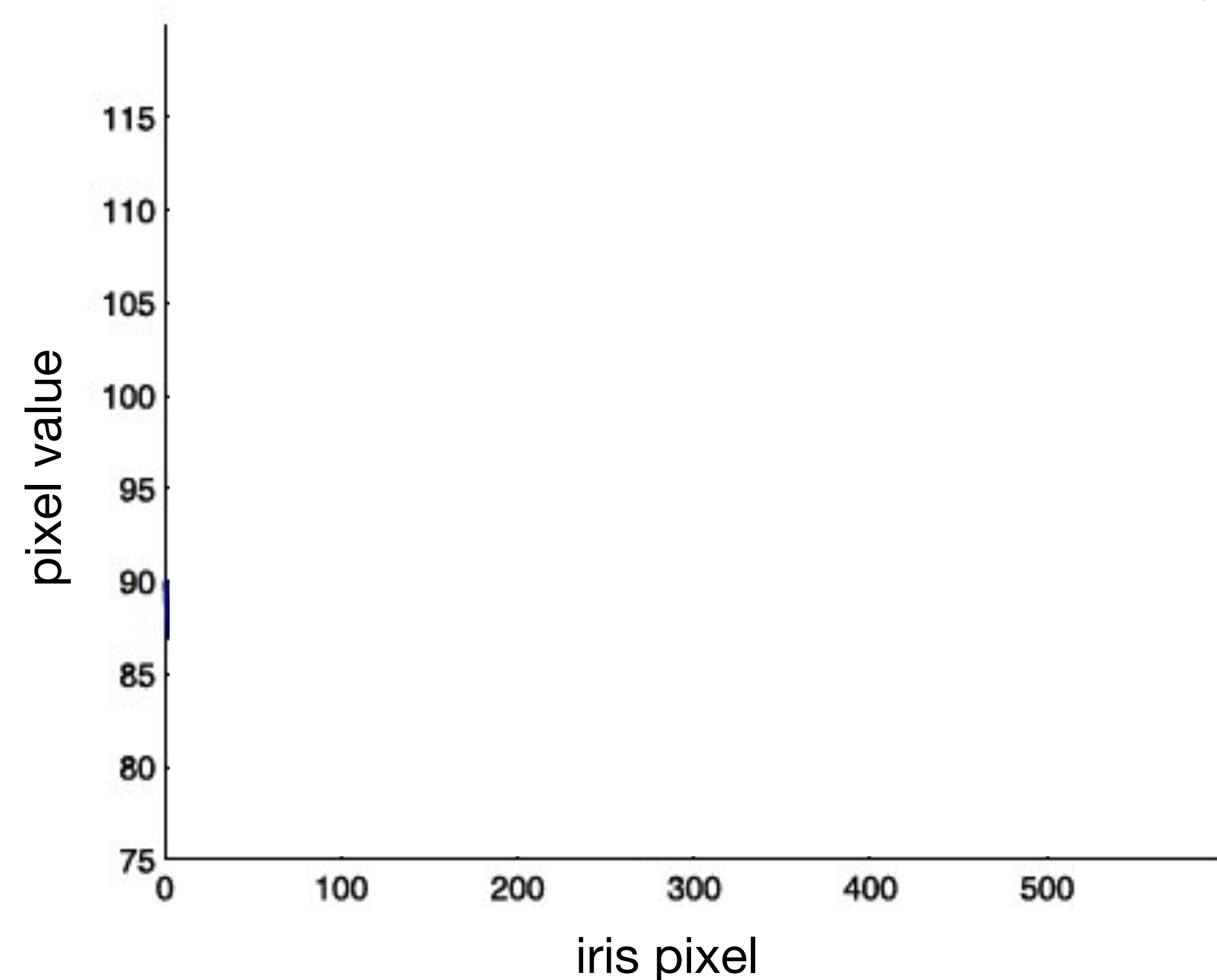
## Zero-Crossing Approach (1/3)

Proposed by W. W. Boles.

Iris image is treated as a 1D signal (iris signature).



Dr. Adam Czajka



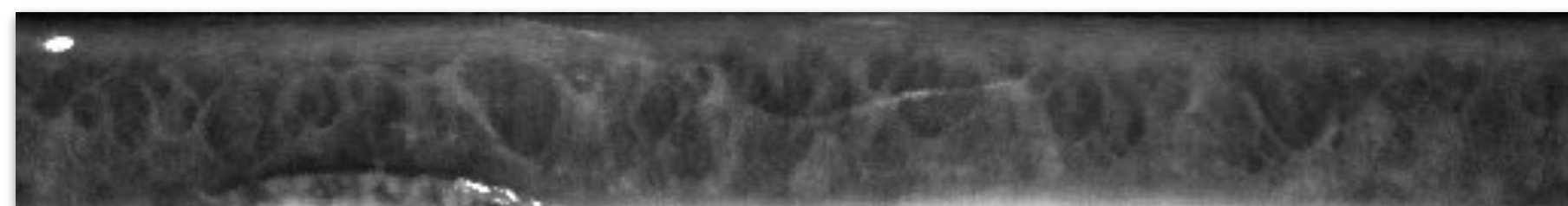


# Feature Extraction

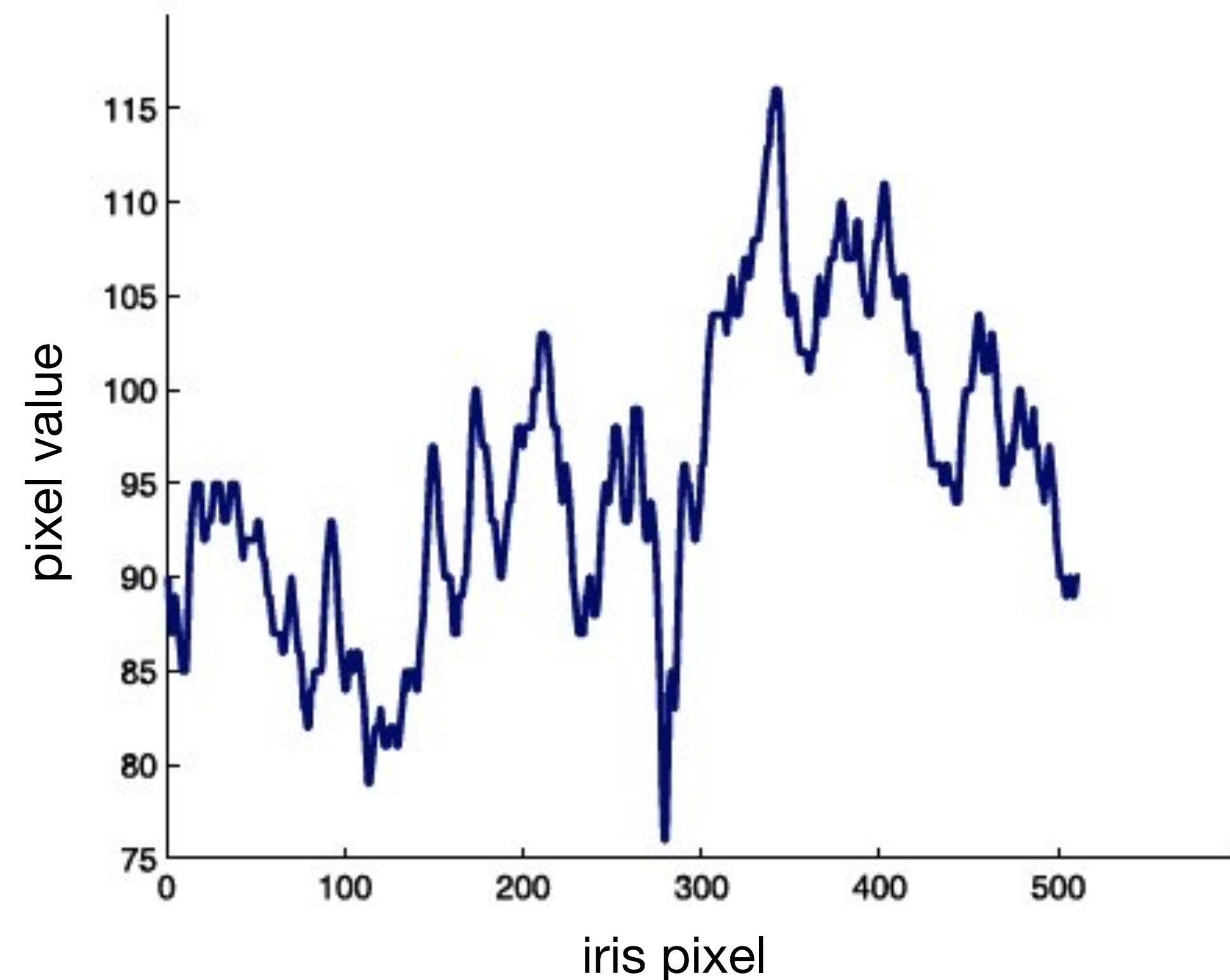
## Zero-Crossing Approach (1/3)

Proposed by W. W. Boles.

Iris image is treated as a 1D signal (iris signature).



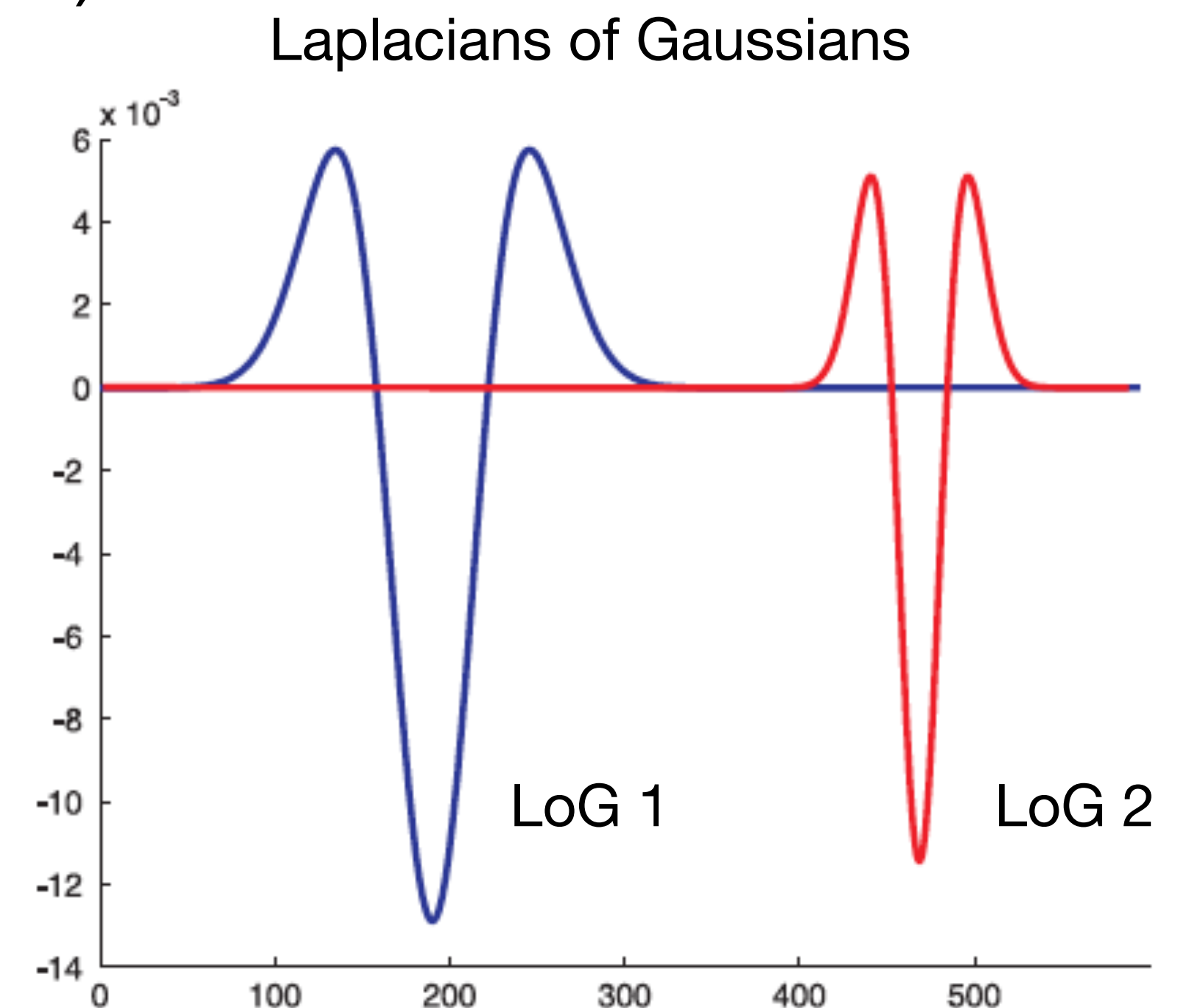
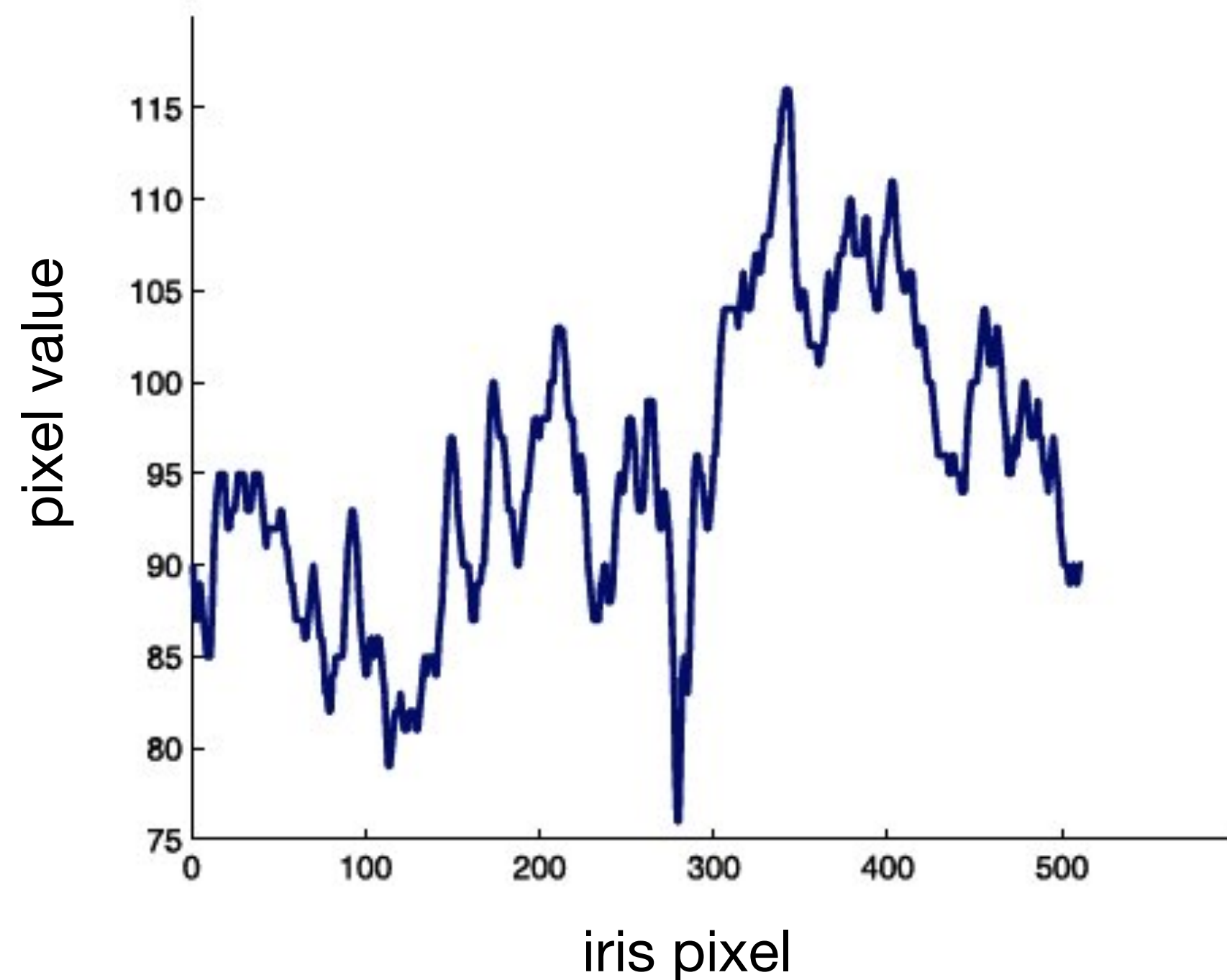
Dr. Adam Czajka



# Feature Extraction

## Zero-Crossing Approach (1/3)

1. Iris signature is filtered by Laplacians of Gaussians (LoG) (second derivative of Gaussian).



Dr. Adam Czajka



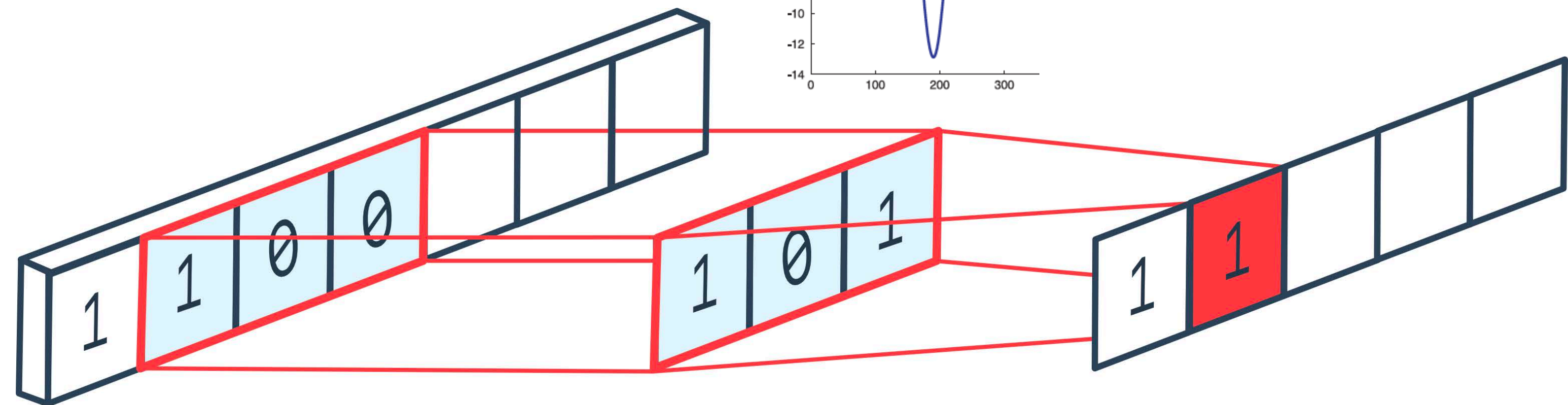
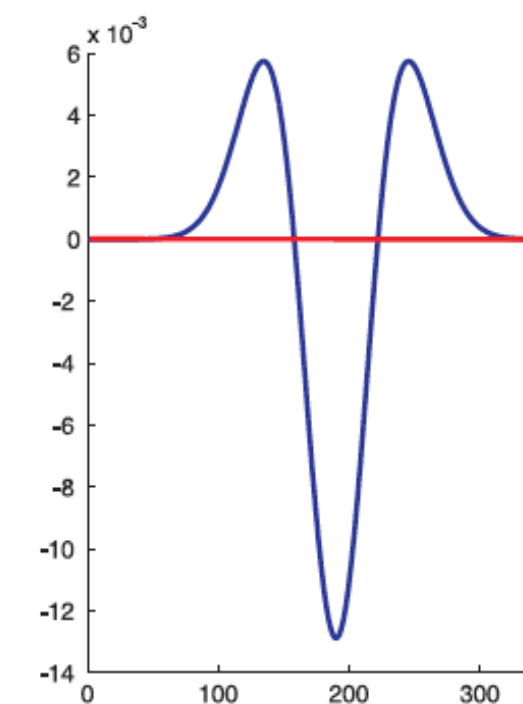
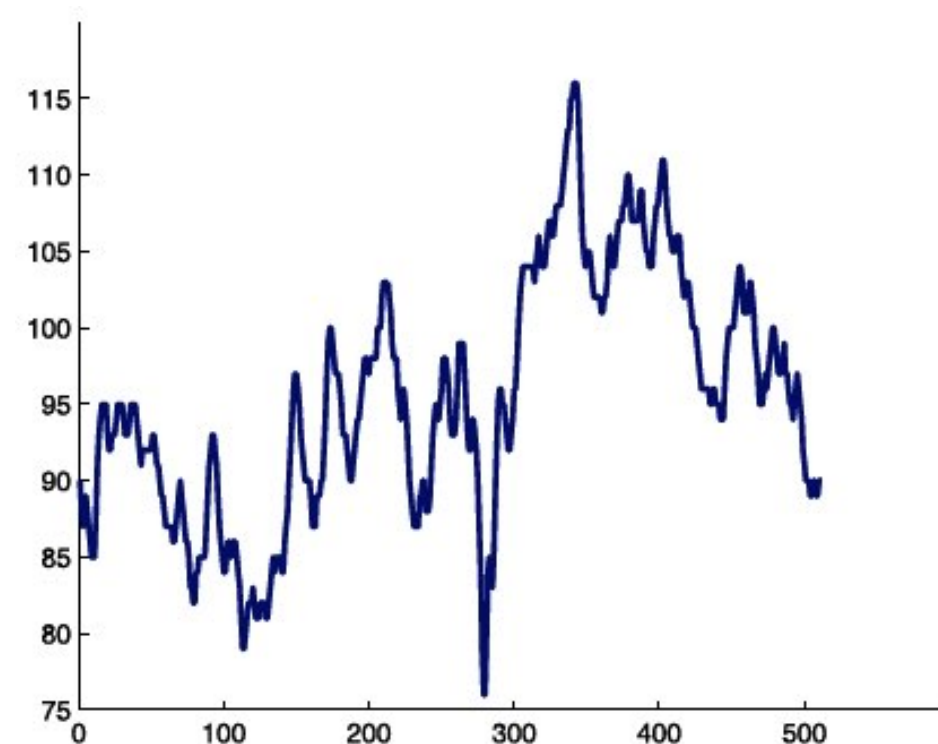
**LOYOLA**  
UNIVERSITY CHICAGO

# Feature Extraction

## Zero-Crossing Approach (1/3)

1. Iris signature is filtered by Laplacians of Gaussians (LoG)  
(second derivative of Gaussian).

1D Convolution

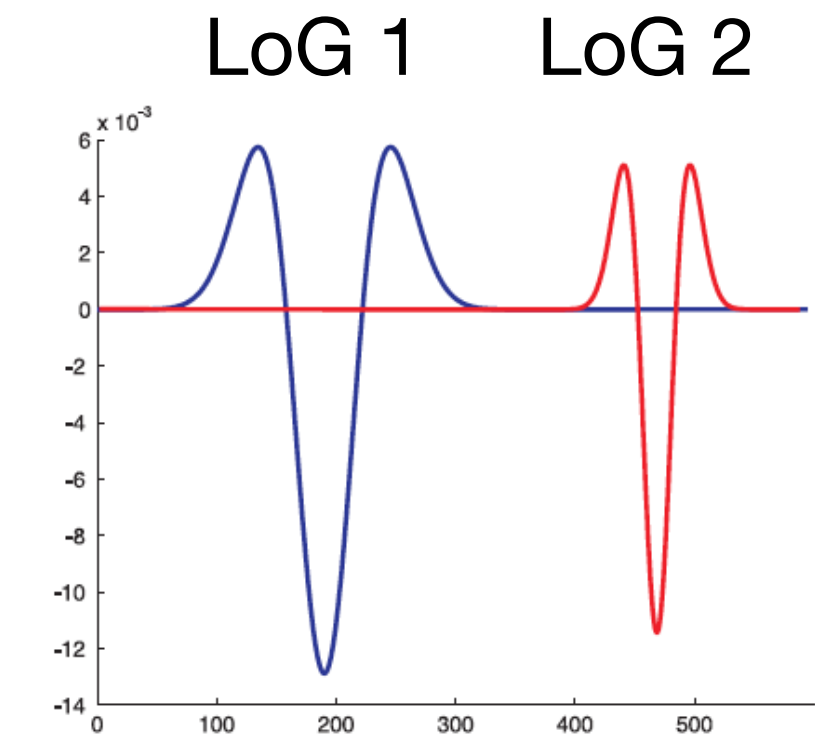
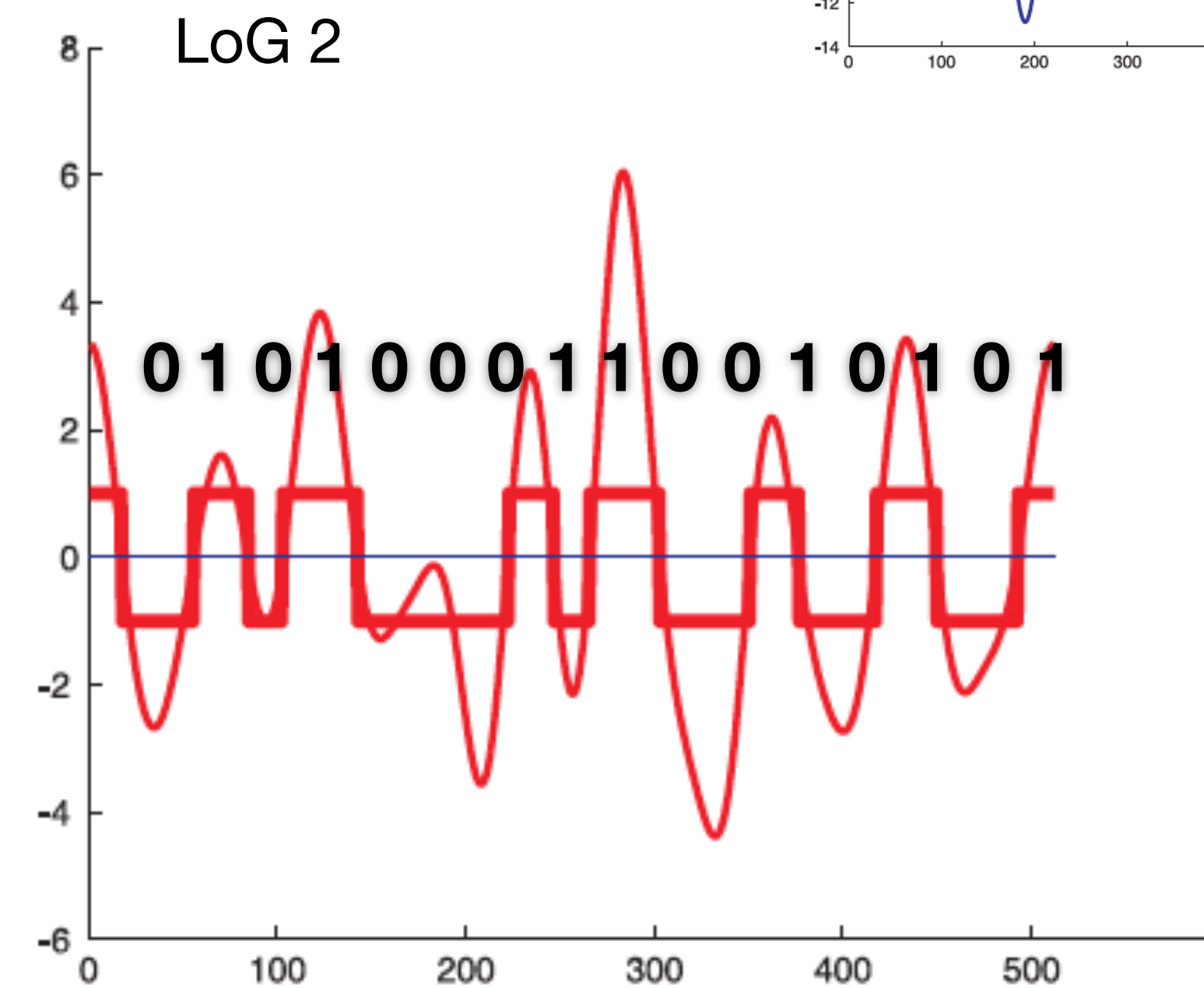
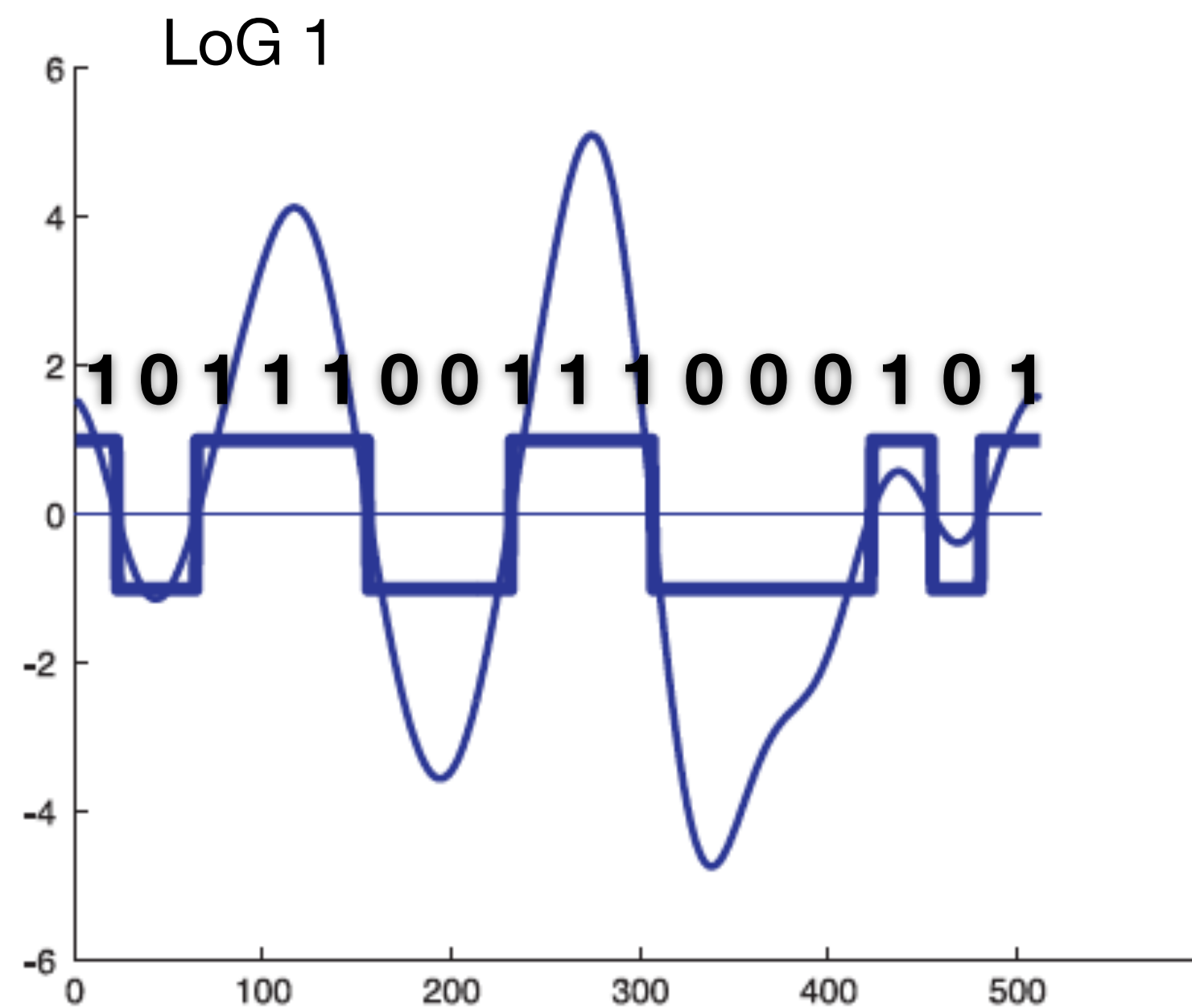




# Feature Extraction

## Zero-Crossing Approach (1/3)

2. Zero-crossings lead to bits up; everything else is zero.



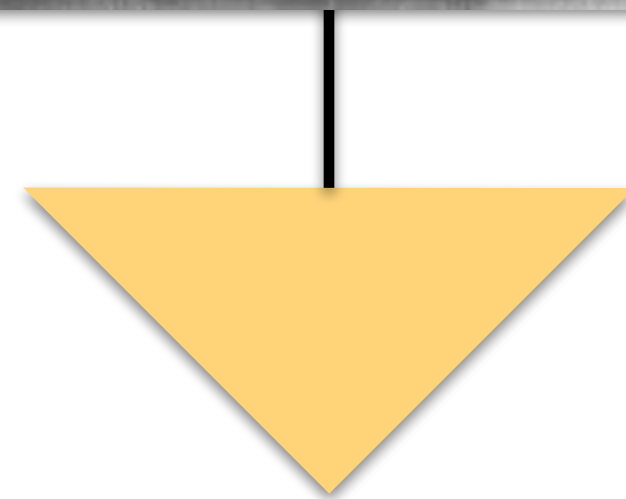
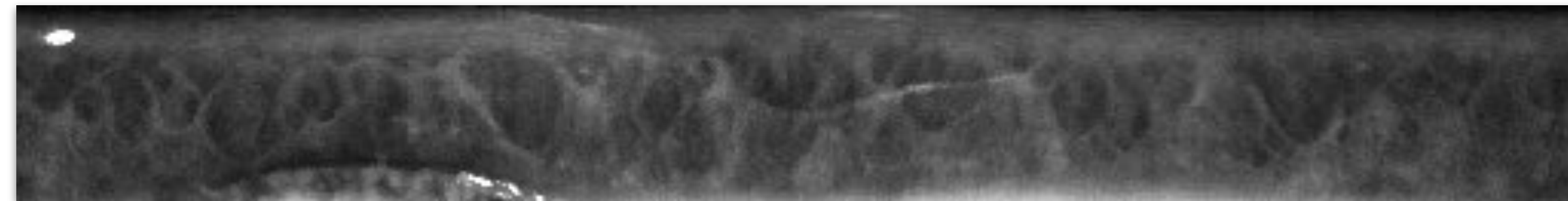
Dr. Adam Czajka



**LOYOLA**  
UNIVERSITY CHICAGO

# Feature Extraction

## Zero-Crossing Approach (1/3)



LoG 1

LoG 2

1011100111000101 0101000110010101

concatenation

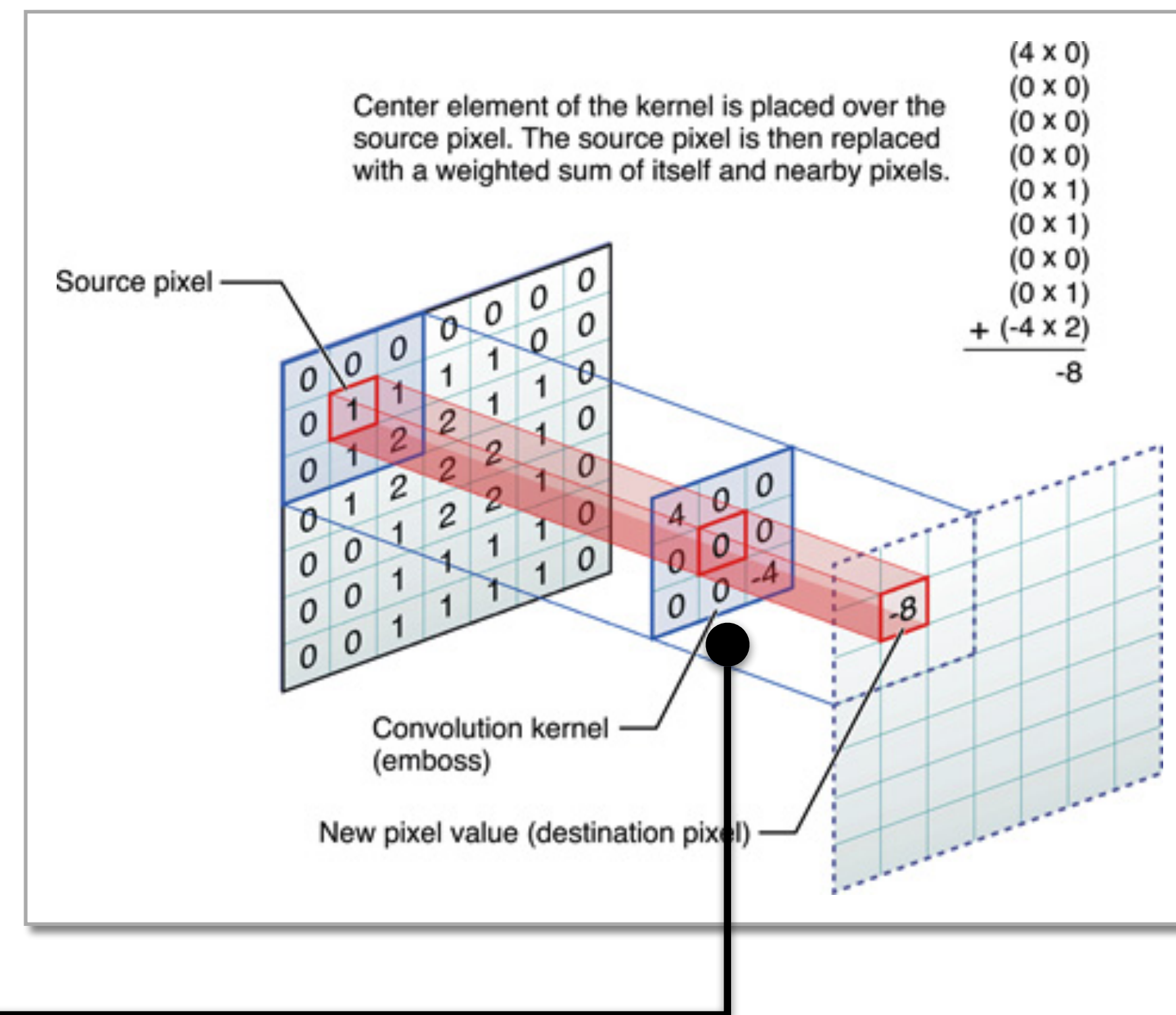
# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)

Proposed by John Daugman.

*De facto* iris description solution.  
More complete and robust than zero-crossing.

2D Gabor filters are convolved with the normalized iris image.



Source: <https://developer.apple.com/library/archive/documentation/Performance/Conceptual/ImageConvolutionOperations/ConvolutionOperations.html>



# Feature Extraction

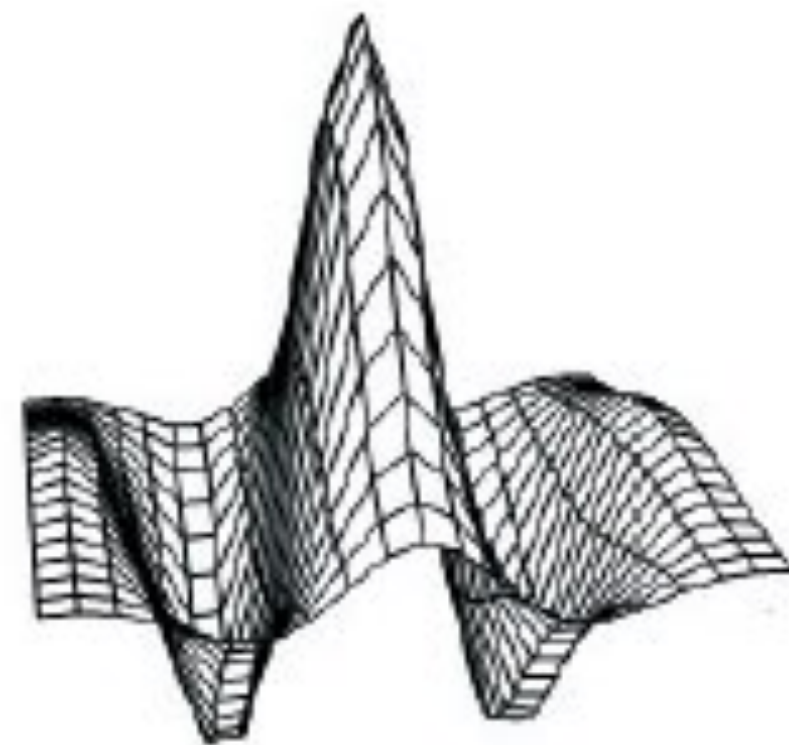
## 2D-Gabor Filtering Approach (2/3)

Proposed by John Daugman.

*Empirical* selection of a proper Gabor wavelet (adequate to encode iris texture).

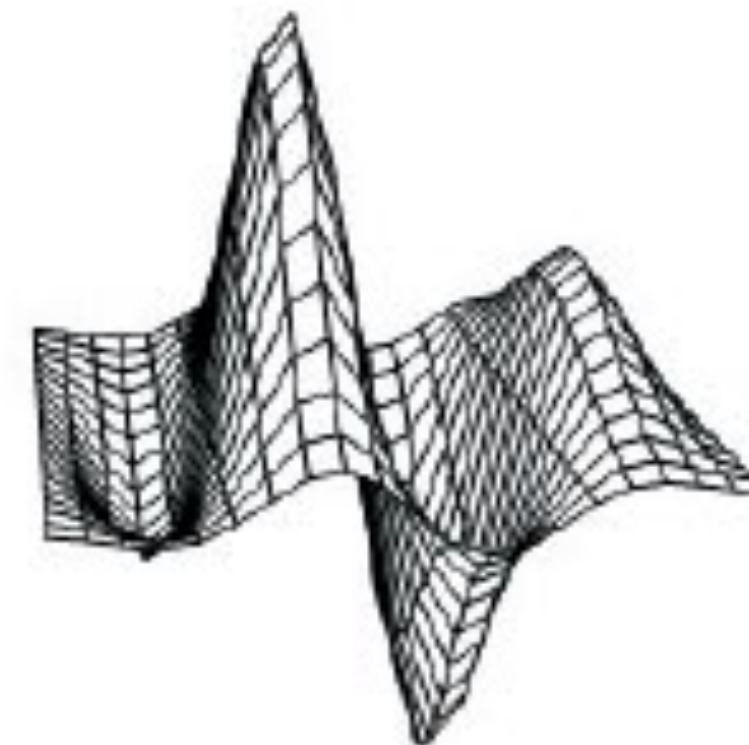
Gabor wavelets are a good model of neural receptive fields found in the visual cortex.

Filter 1



wavelet real component

Filter 2



wavelet imaginary component

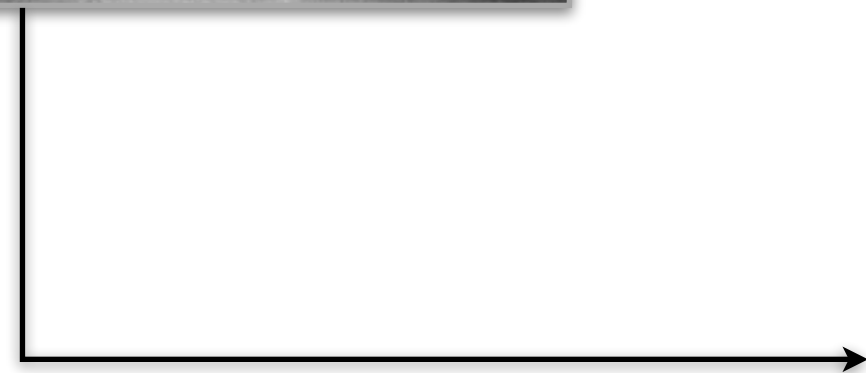
J. Daugman  
*Probing the Uniqueness and Randomness of IrisCodes: Results from 200 Billion Iris Pair Comparisons.*  
IEEE Proceedings, 2006



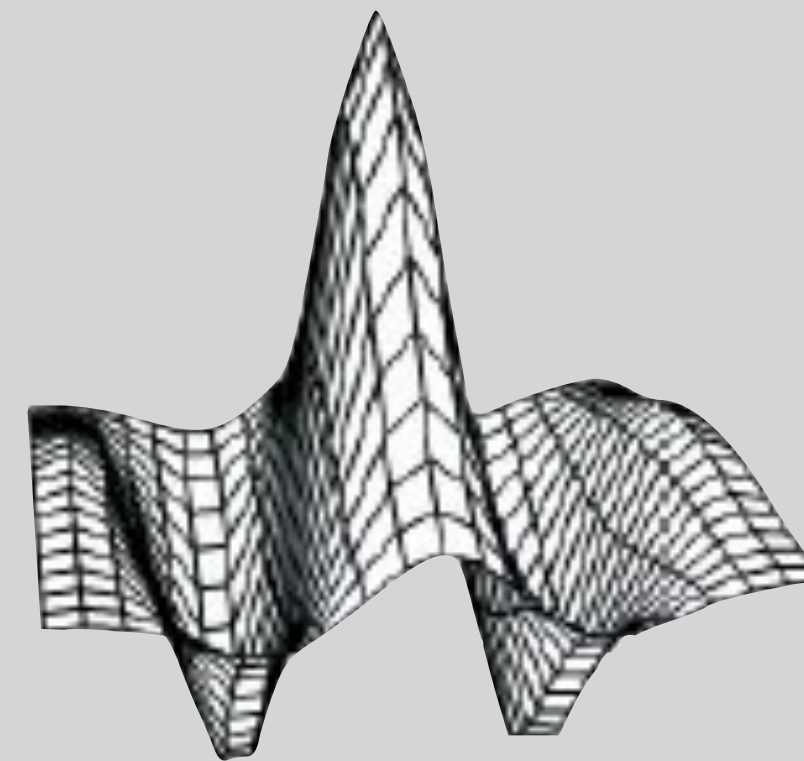
# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)

Jain, Ross, and Nadakumar  
*Introduction to Biometrics*  
Springer Books, 2011



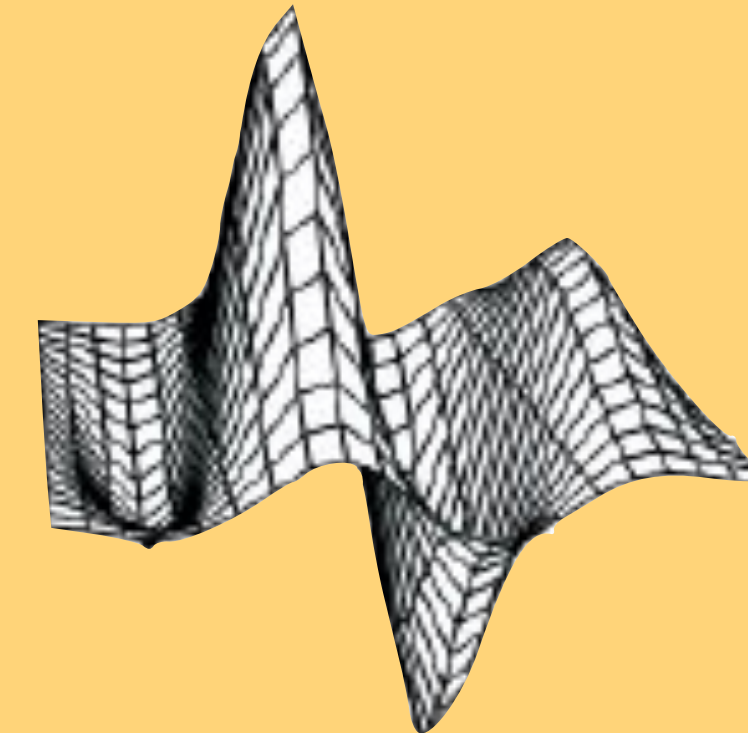
Filter 1



wavelet real component



Filter 2

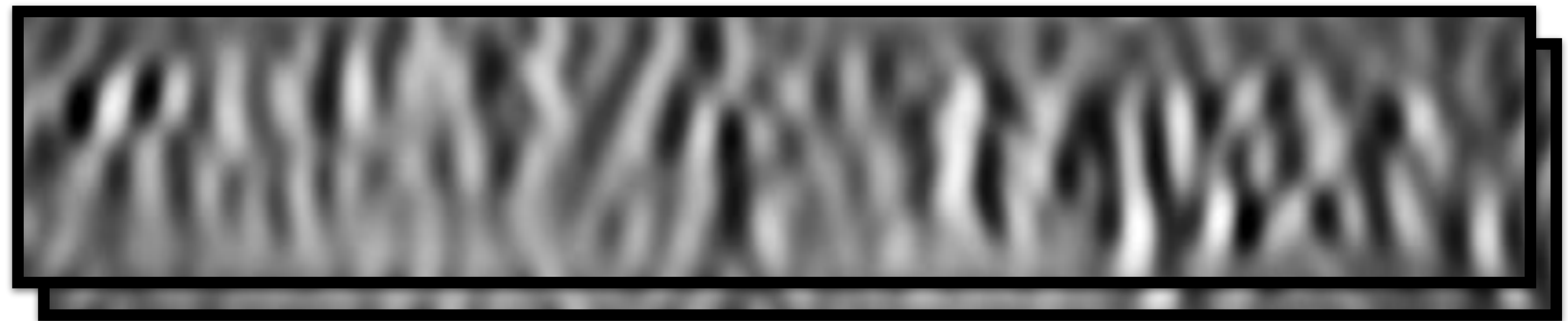
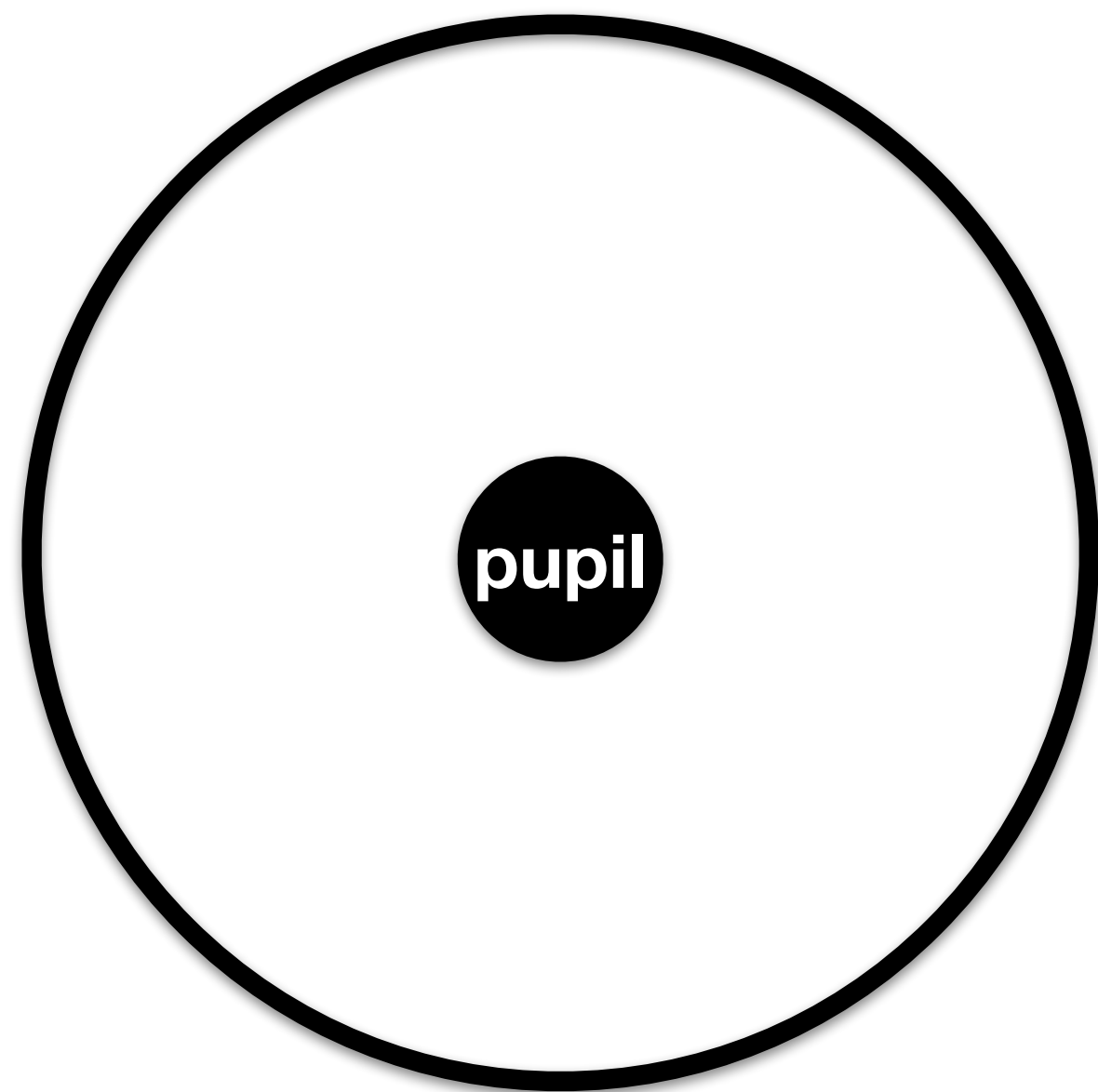


wavelet imaginary component



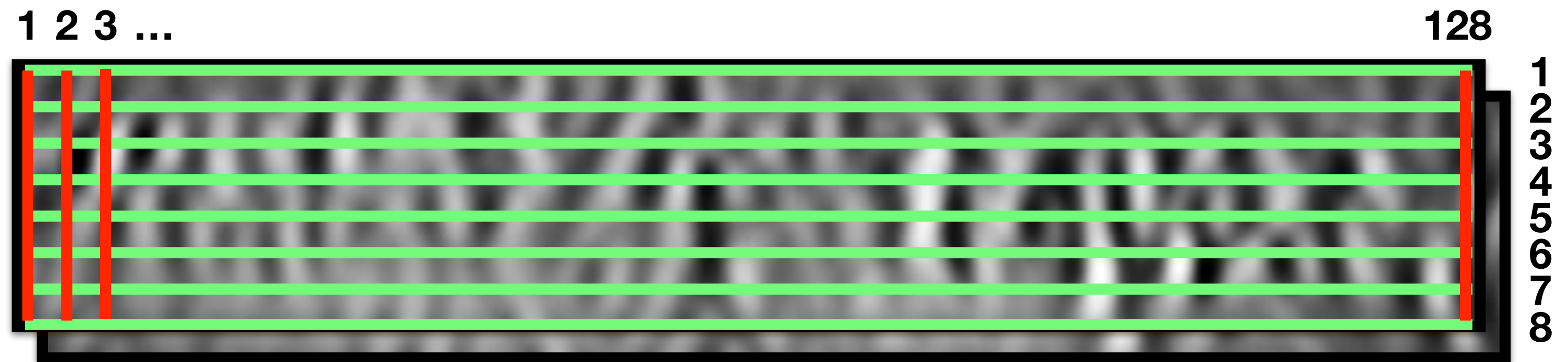
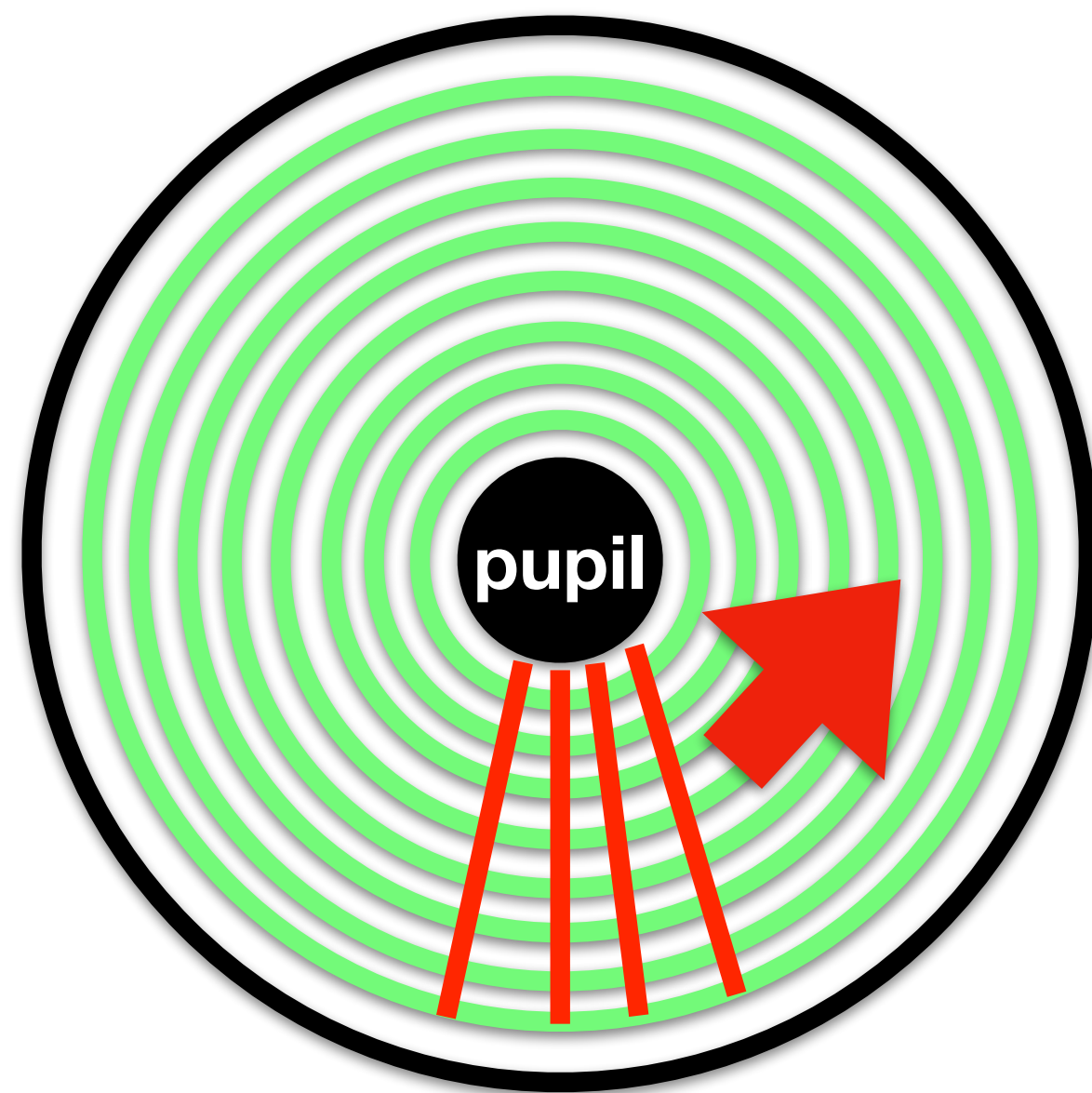
# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)



# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)

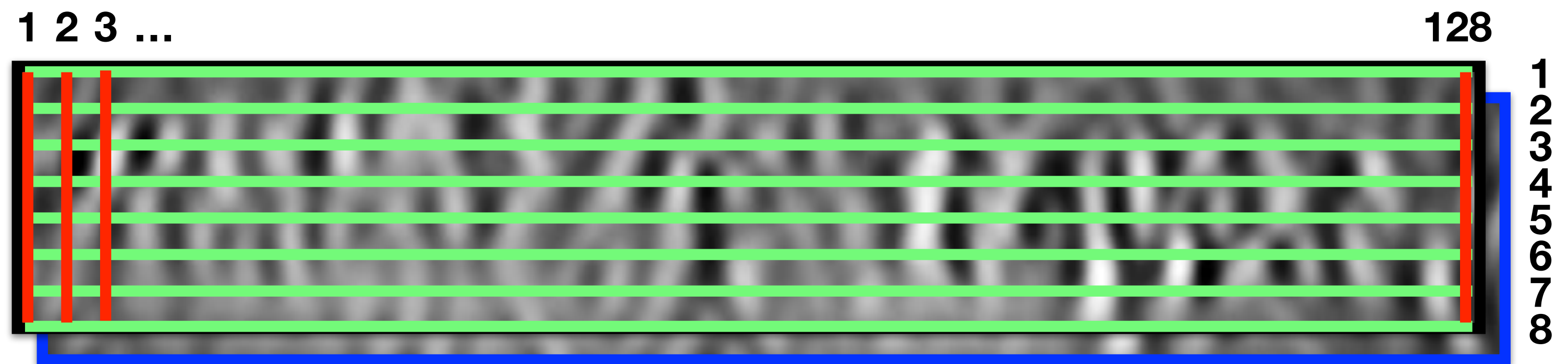
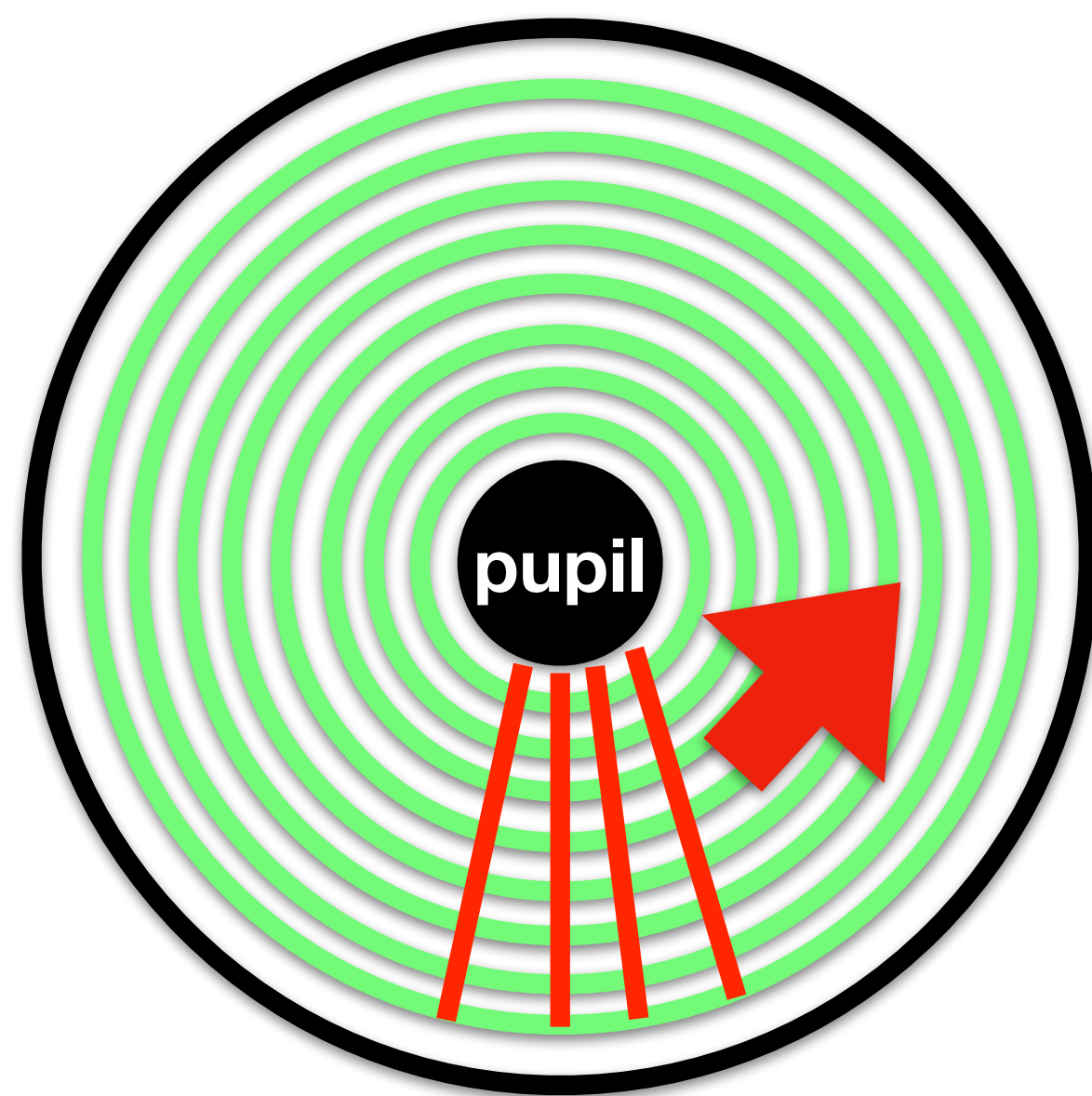


Number of cells:  $8 \times 128 = 1024$



# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)



Number of cells:  $8 \times 128 = 1024 \times 2 = 2048$

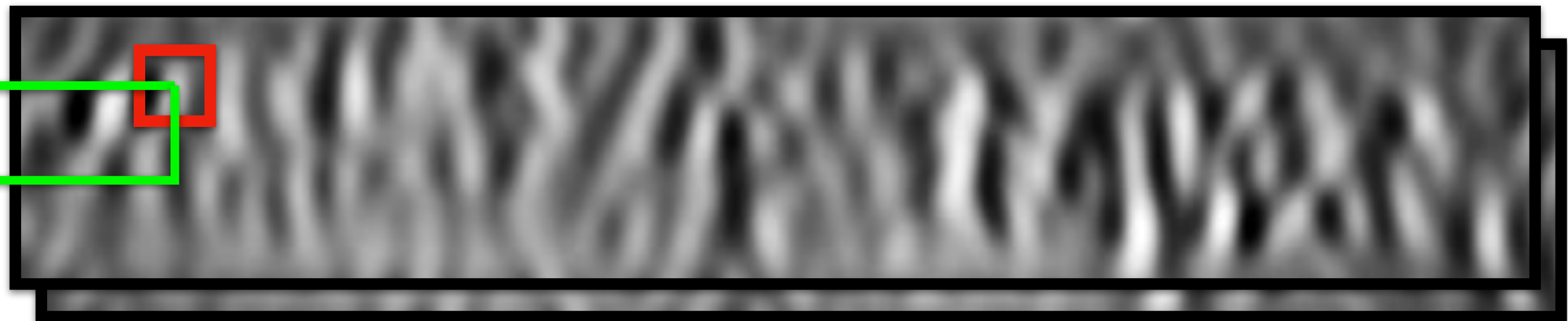
# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)

Take one cell...

positive value: bit 1

negative value: bit 0

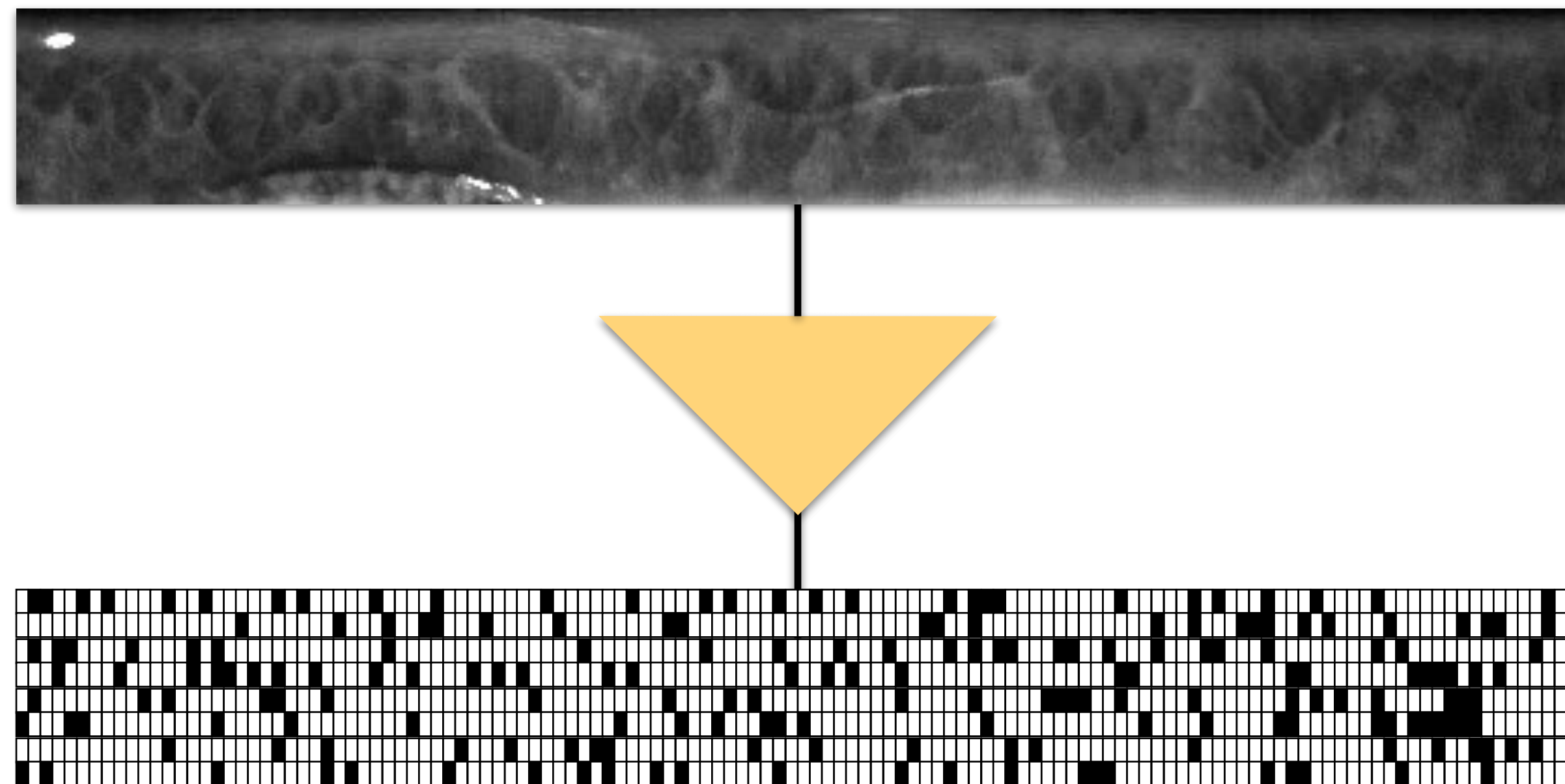


2048 bits

Number of cells:  $8 \times 128 = 1024 \times 2 = 2048$

# Feature Extraction

## 2D-Gabor Filtering Approach (2/3)



2048 bits  
IrisCode



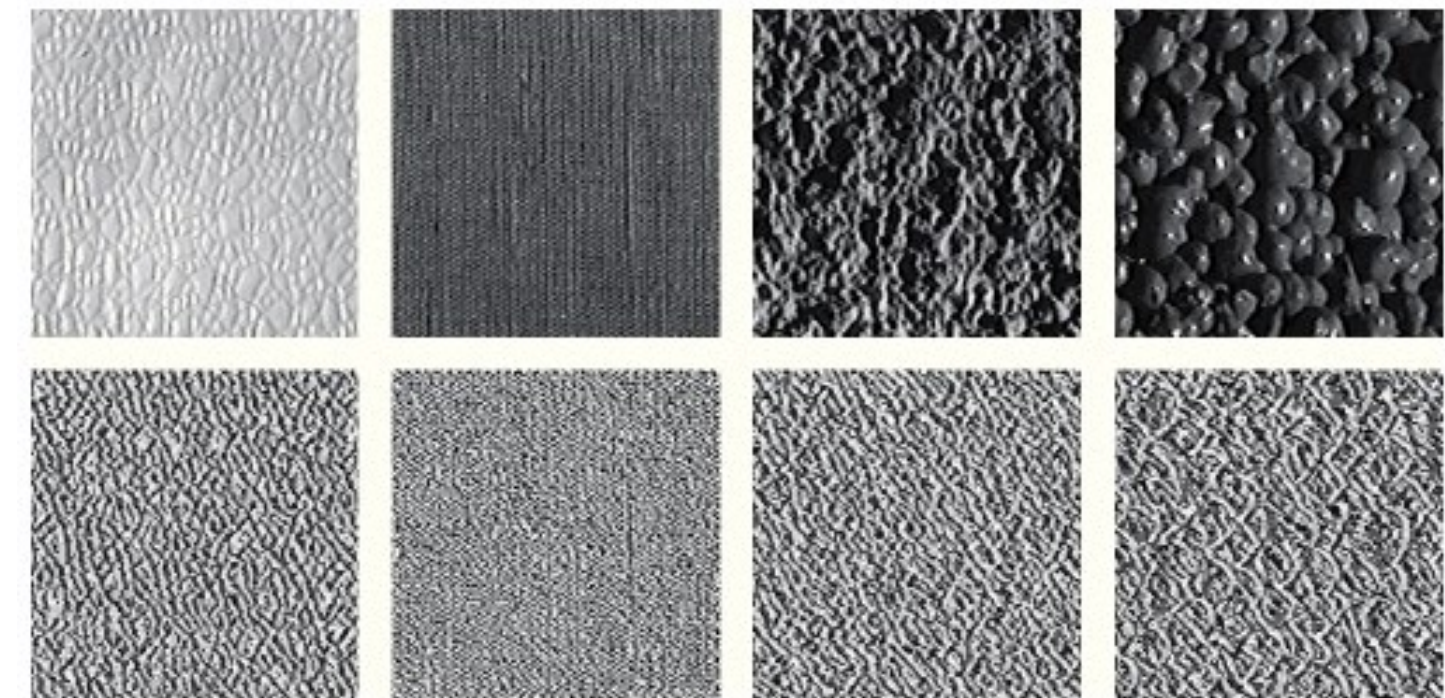
# Feature Extraction

## BSIF Approach (3/3)

### Binarized Statistical Image Features (BSIF)

General-purpose local image descriptors designed for texture encoding.

Kannala and Rahtu  
*BSIF: Binarized Statistical Image Features*  
ICPR 2012



Examples of textures that one might one to describe.



# Feature Extraction

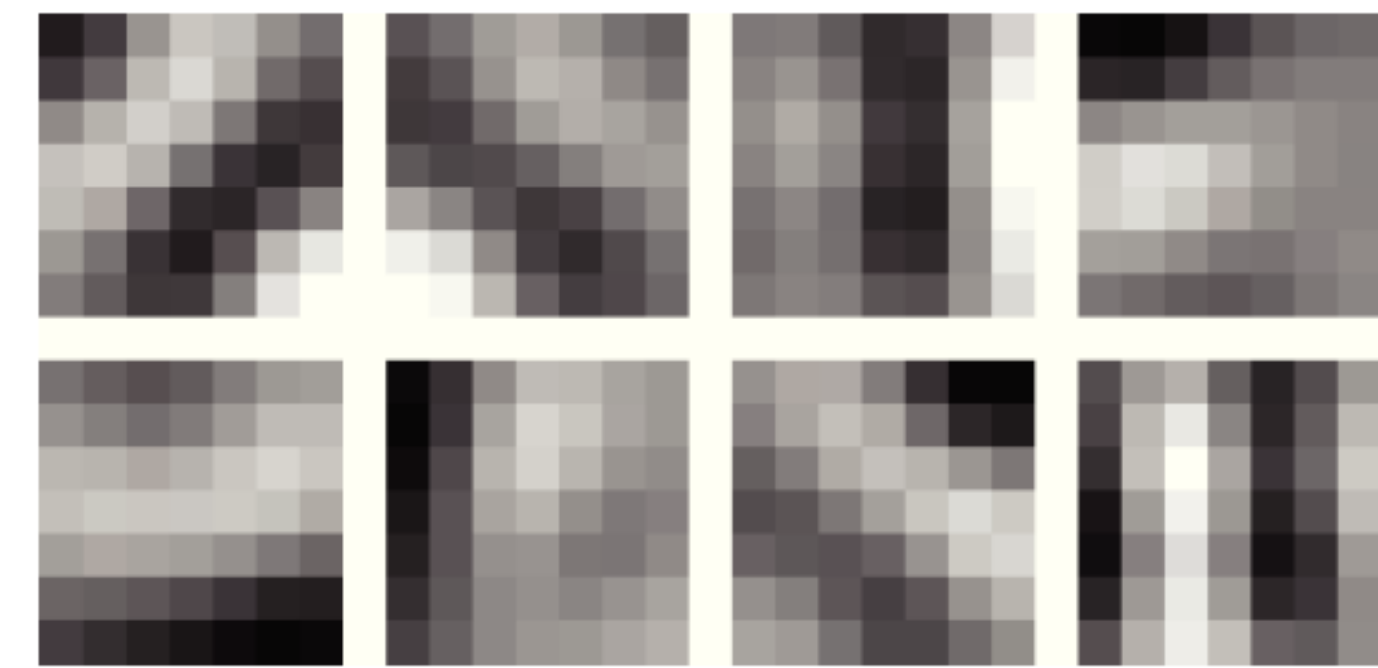
## BSIF Approach (3/3)

### Binarized Statistical Image Features (BSIF)

Subspaces of representative image patches (further used as filters) are learned from a set of example patches through *Independent Component Analysis (ICA)*.

ICA:  $N$  filters of size  $l \times l$  are estimated from examples by maximizing their mutual statistical independence.

Kannala and Rahtu  
*BSIF: Binarized Statistical Image Features*  
ICPR 2012



Eight filters of size 9x9 pixels that better represent patches of size 9x9. Computed with ICA.

# Feature Extraction

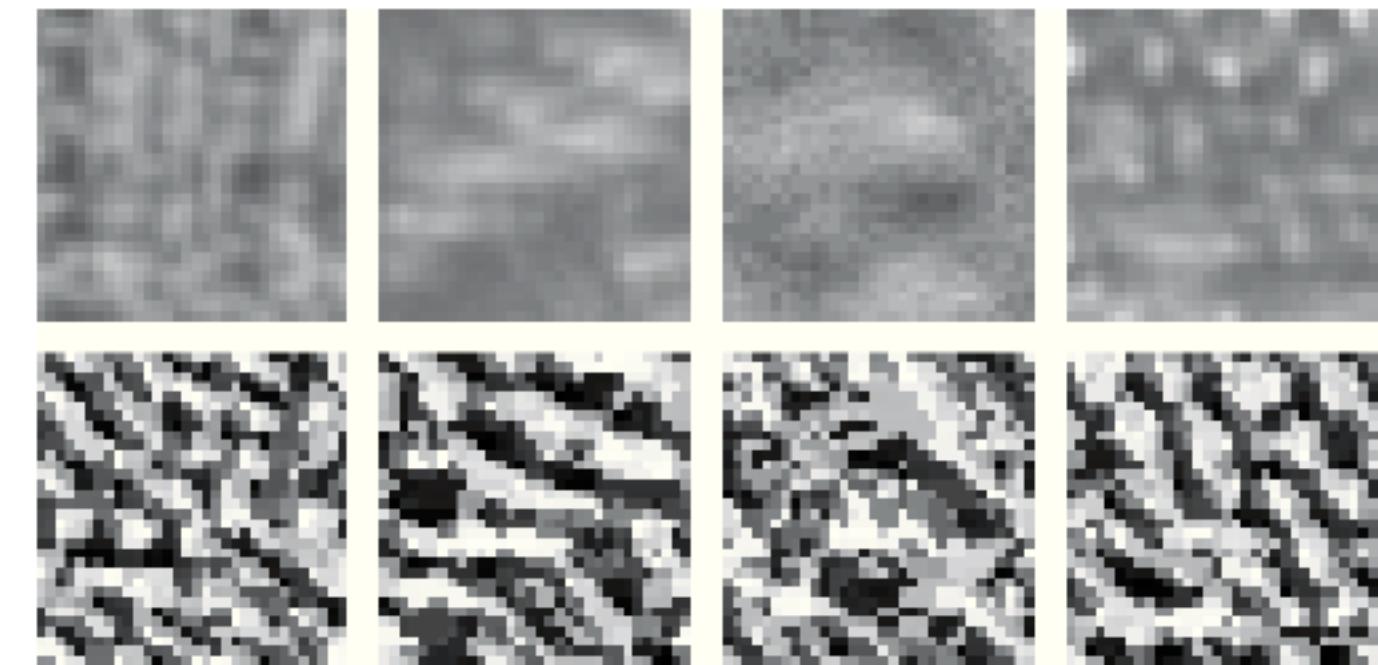
## BSIF Approach (3/3)

### Binarized Statistical Image Features (BSIF)

Images are convolved with each BSIF filter leading to various projections in the target subspace.

BSIF code: a threshold is used to make the image projections binary; anything above zero is ONE, everything else is ZERO.

Kannala and Rahtu  
*BSIF: Binarized Statistical Image Features*  
ICPR 2012



BSIF code examples

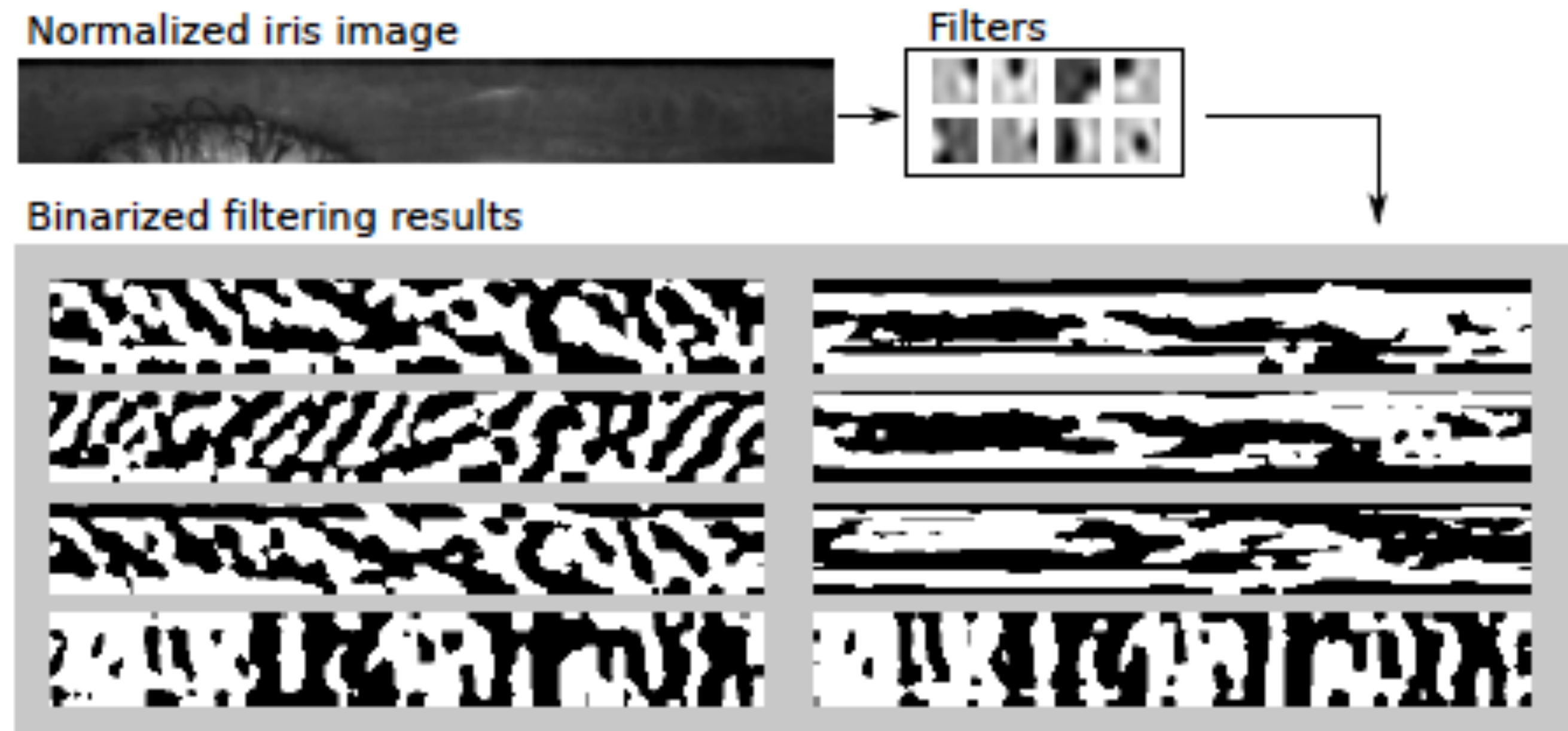
# Feature Extraction

## BSIF Approach (3/3)

In the case of irises...

Solution's performance is on par with the Gabor-based one.

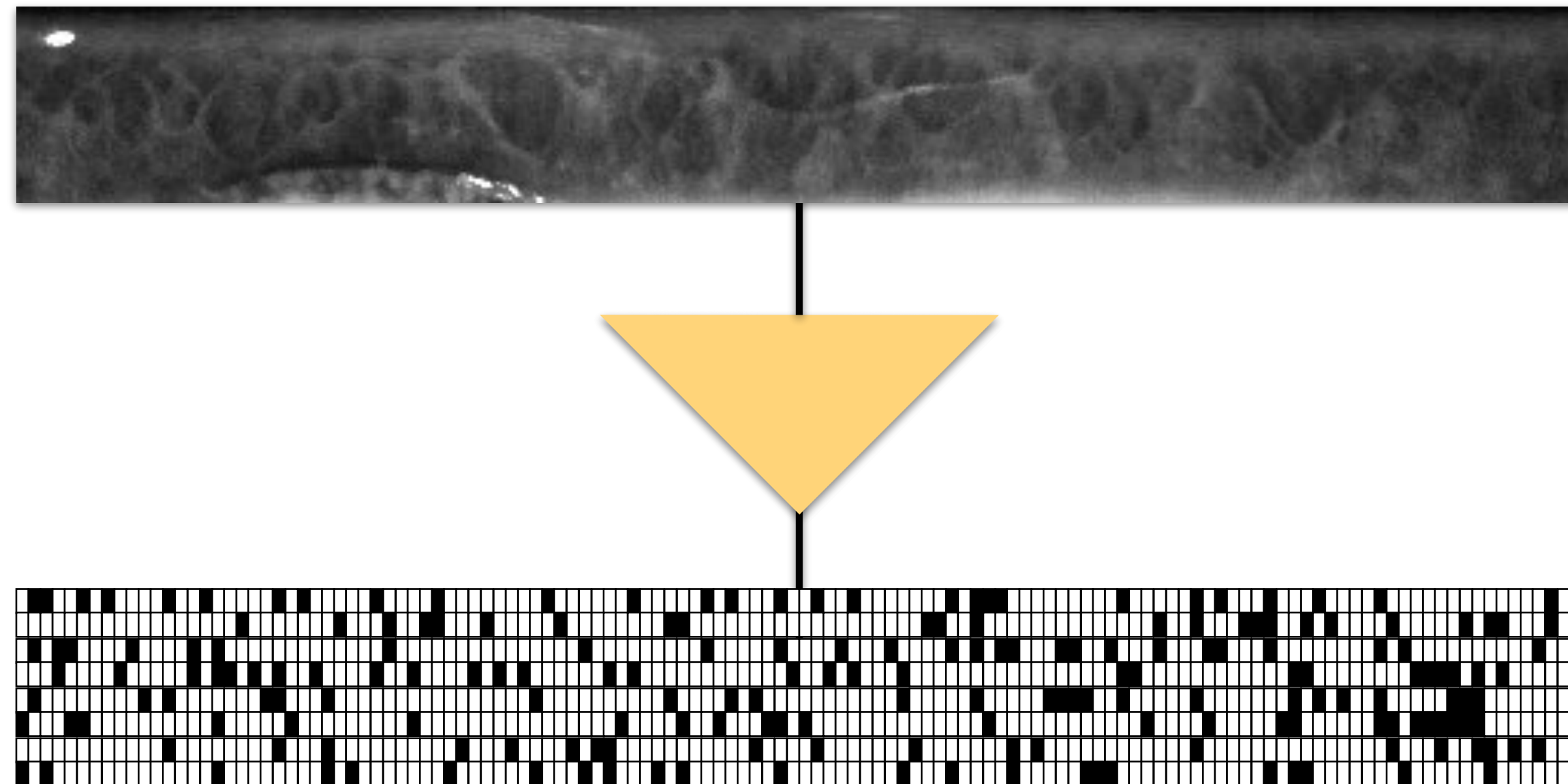
Czajka et al.  
*Domain-Specific Human-Inspired  
Binarized Statistical Image Features  
for Iris Recognition*  
WACV 2019



# Feature Extraction

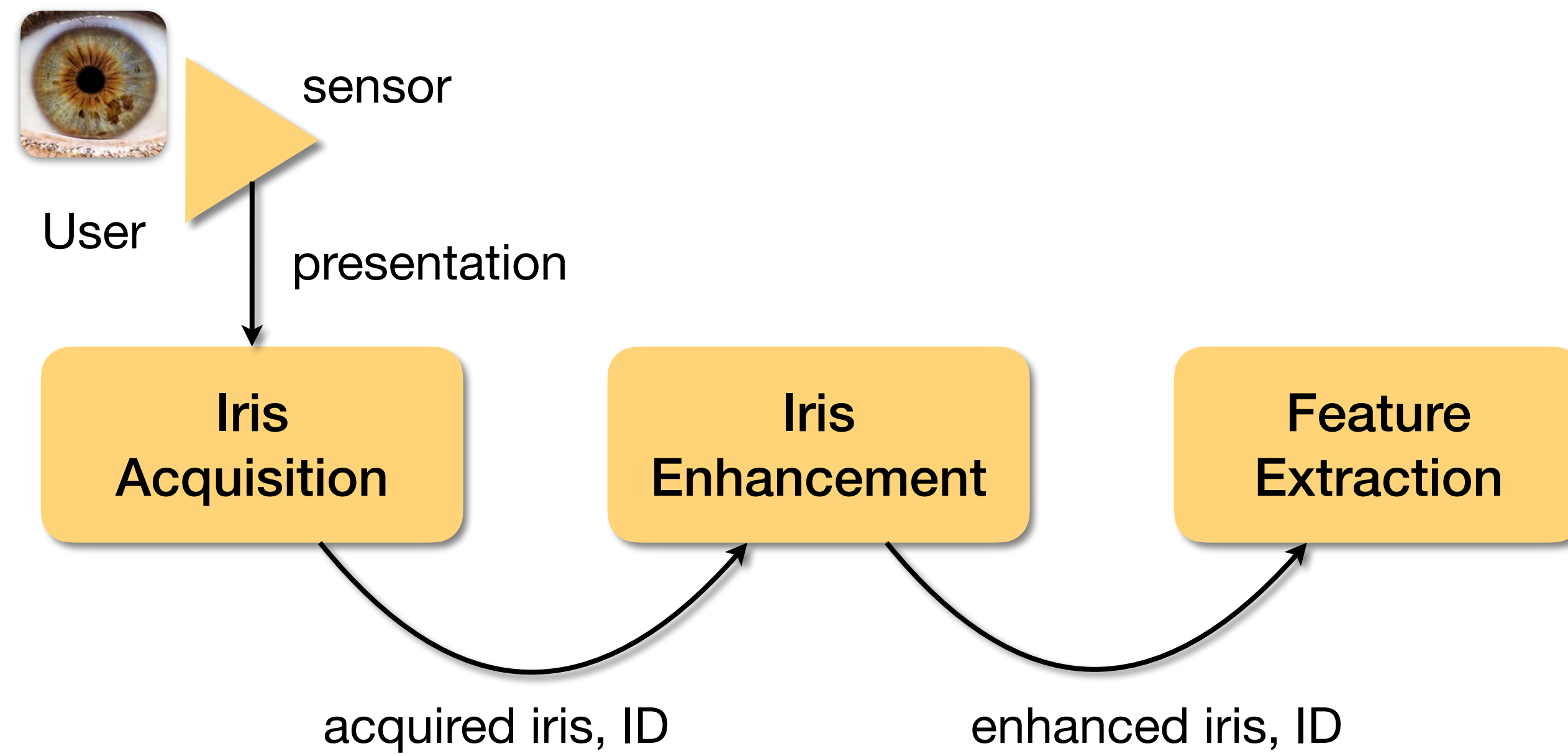
## BSIF Approach (3/3)

In the case of irises...



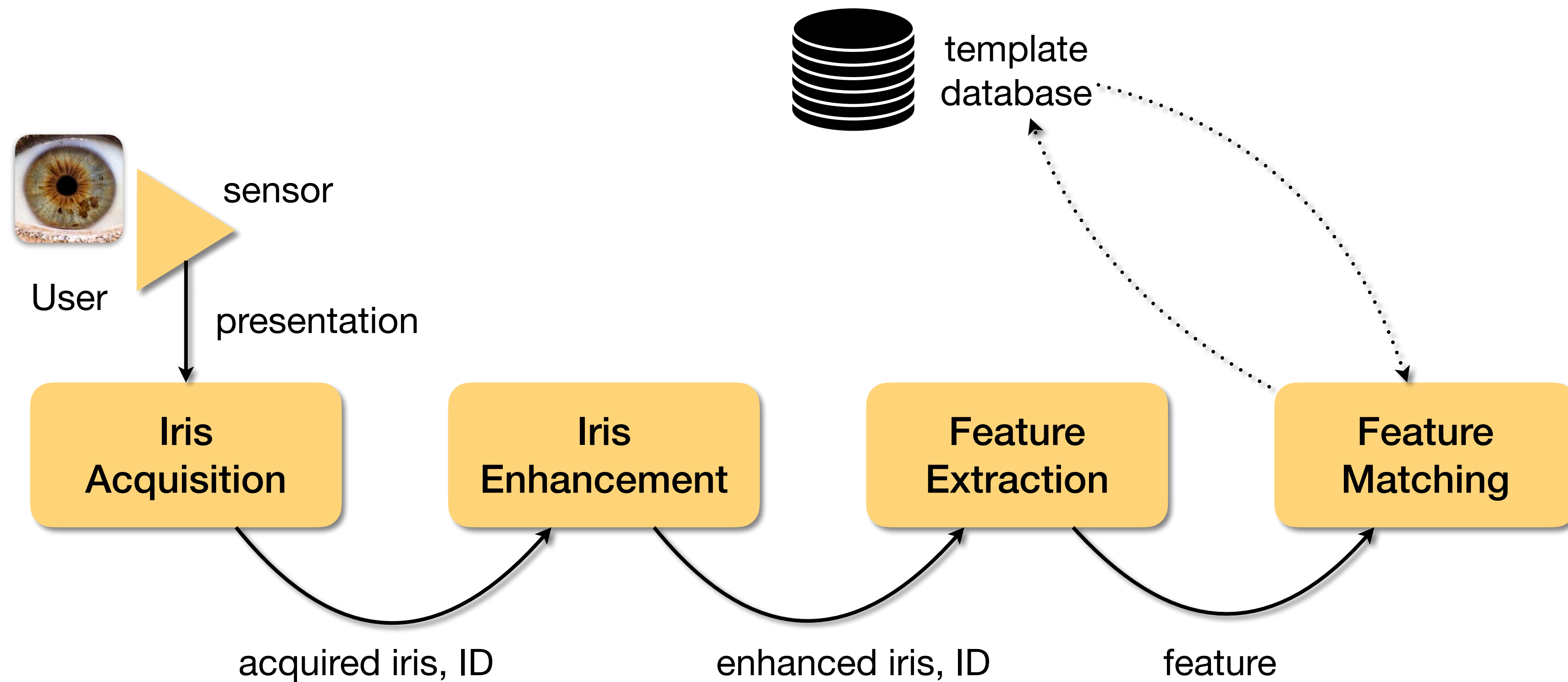
**BSIF Code**

# Iris Recognition





# Iris Recognition



# Feature Matching

## How to Compare Binary Codes?

Use Hamming distance.

iris 1	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>
iris 2	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>
XOR	<hr/>															

Distance = sum( **1 0 0 0 1 0 0 0 1 0 0 1 1 0 0 1** ) = 6



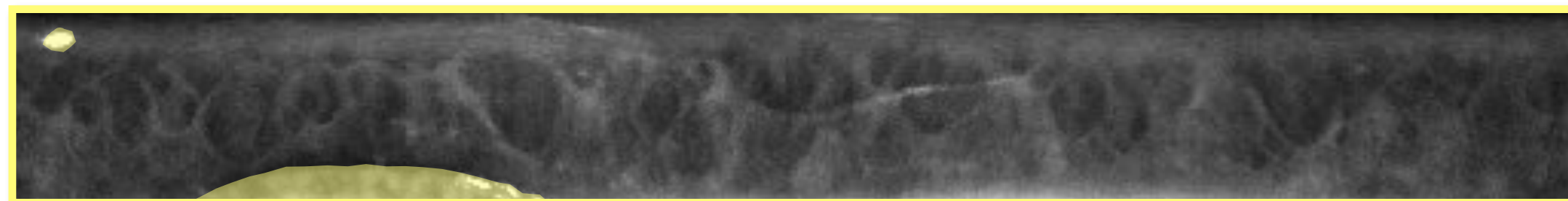
# Feature Matching

**How to Compare Binary Codes?**

**Problems (1/2)**

How to consider iris masks?

Iris 1



Mask 1

Iris 2



Mask 2

# Feature Matching

## How to Compare Binary Codes?

### Problems (1/2)

How to consider iris masks?

Solution: Normalized Hamming Distance

$I_1$ : cells from iris 1

$I_2$ : cells from iris 2

$M_1$ : cells from mask 1

$M_2$ : cells from mask 2

$$dist = \frac{bitwise\_sum(I_1 \text{ XOR } I_2 \text{ AND } M_1 \text{ AND } M_2)}{bitwise\_sum(M_1 \text{ AND } M_2)}$$

Only cells considered by both masks are used.

# Feature Matching

**How to Compare Binary Codes?**

**Problems (2/2)**

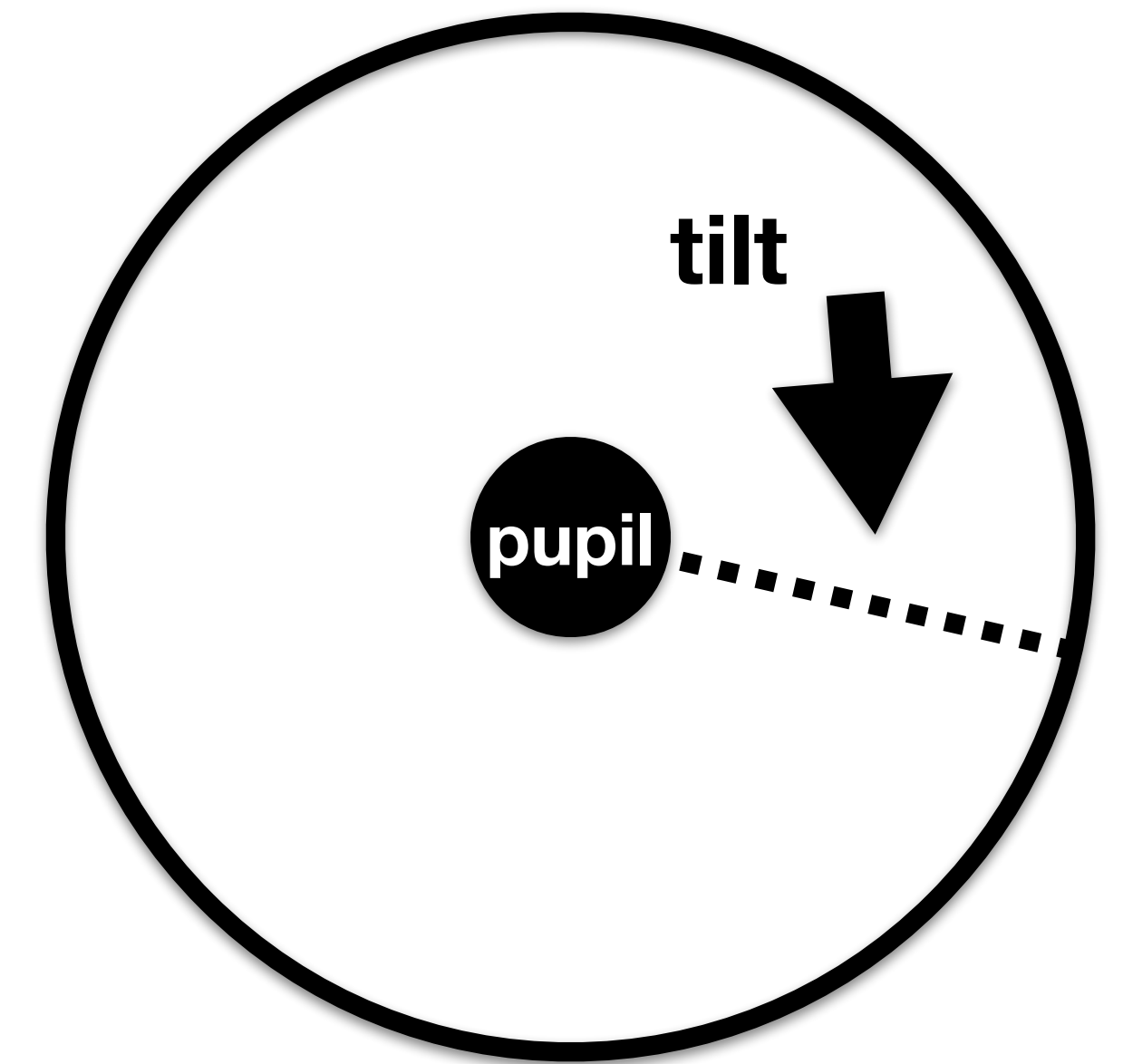
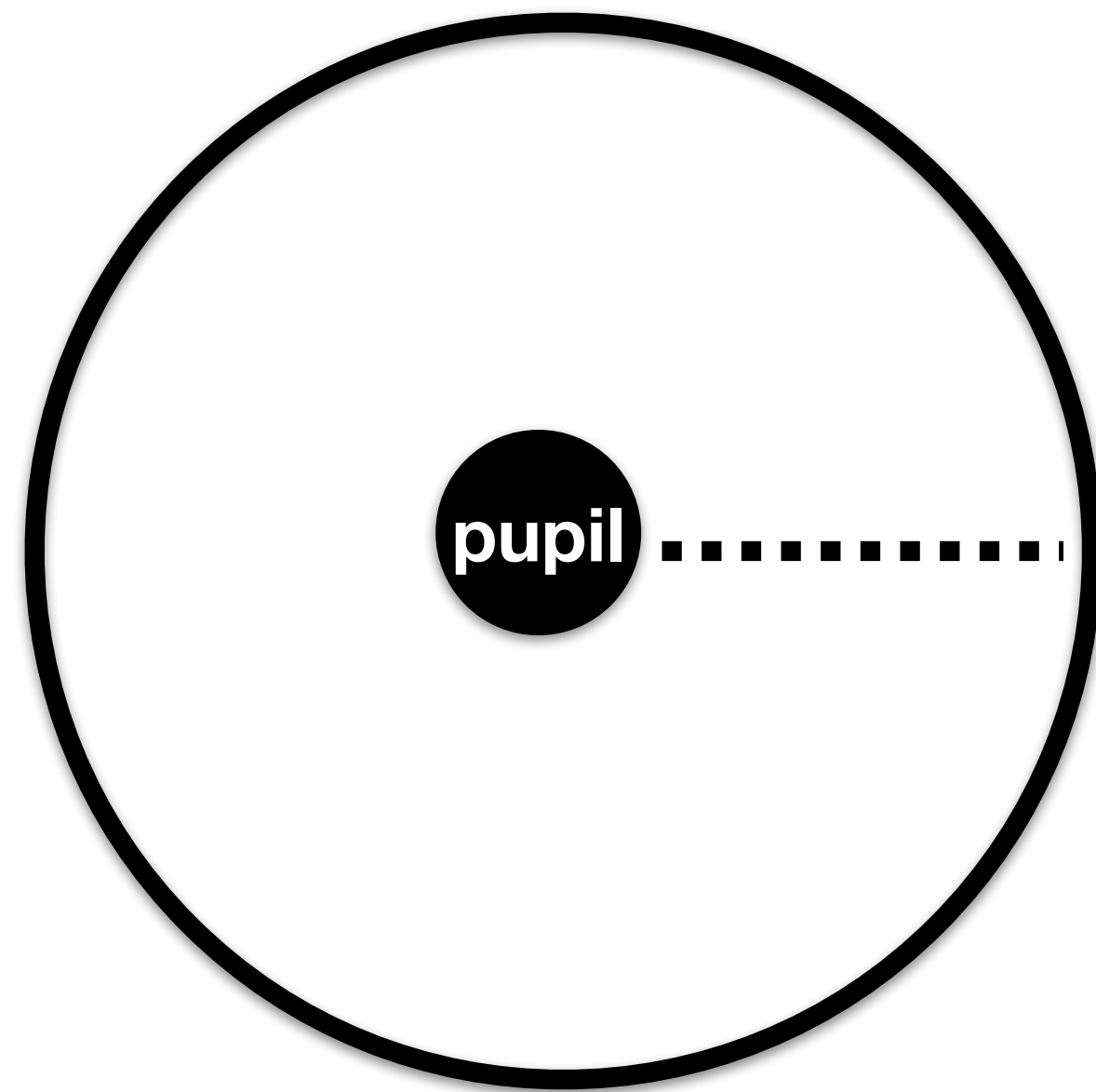
How to deal with iris rotations?

They happen when heads are tilted...



# Feature Matching

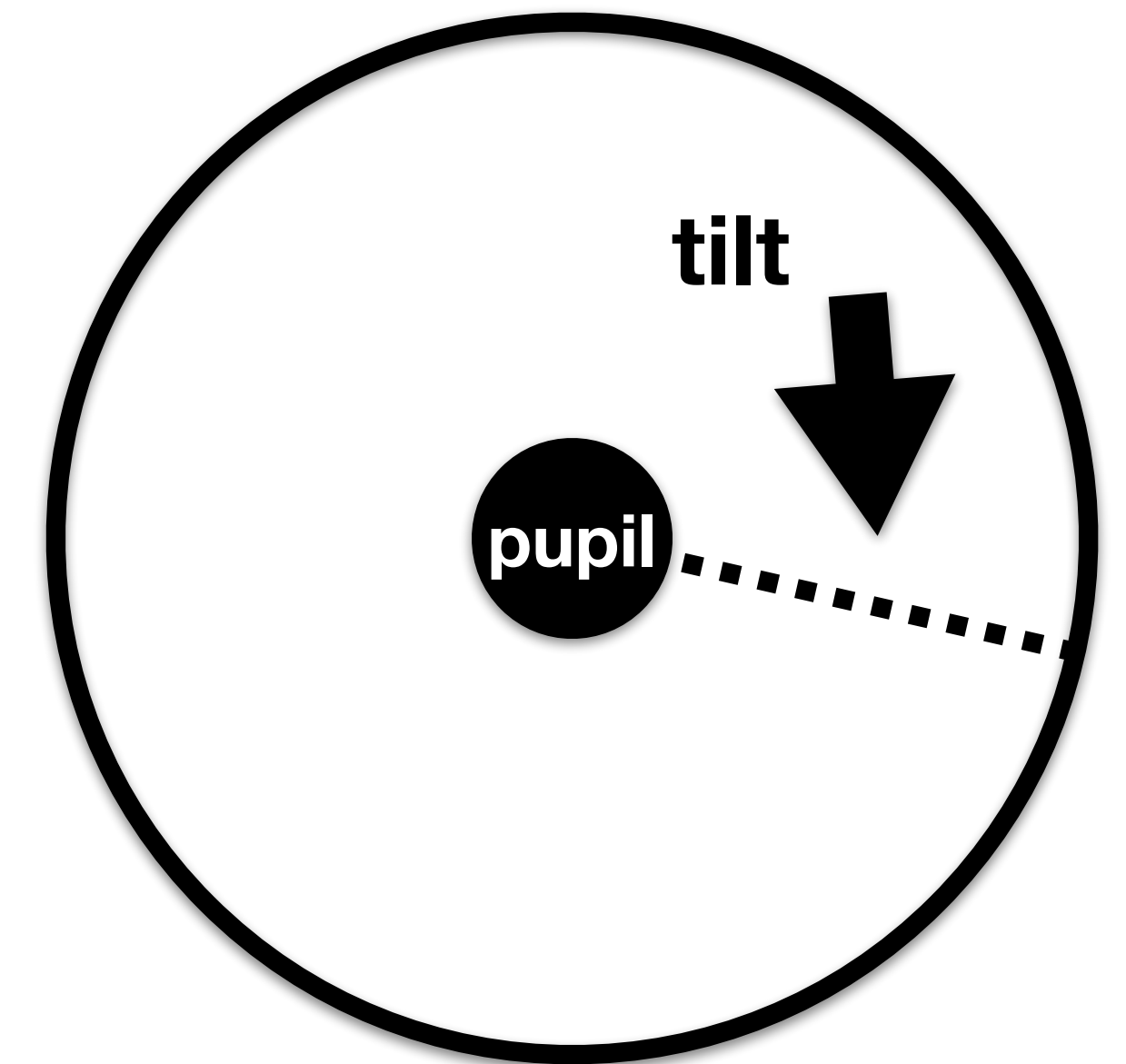
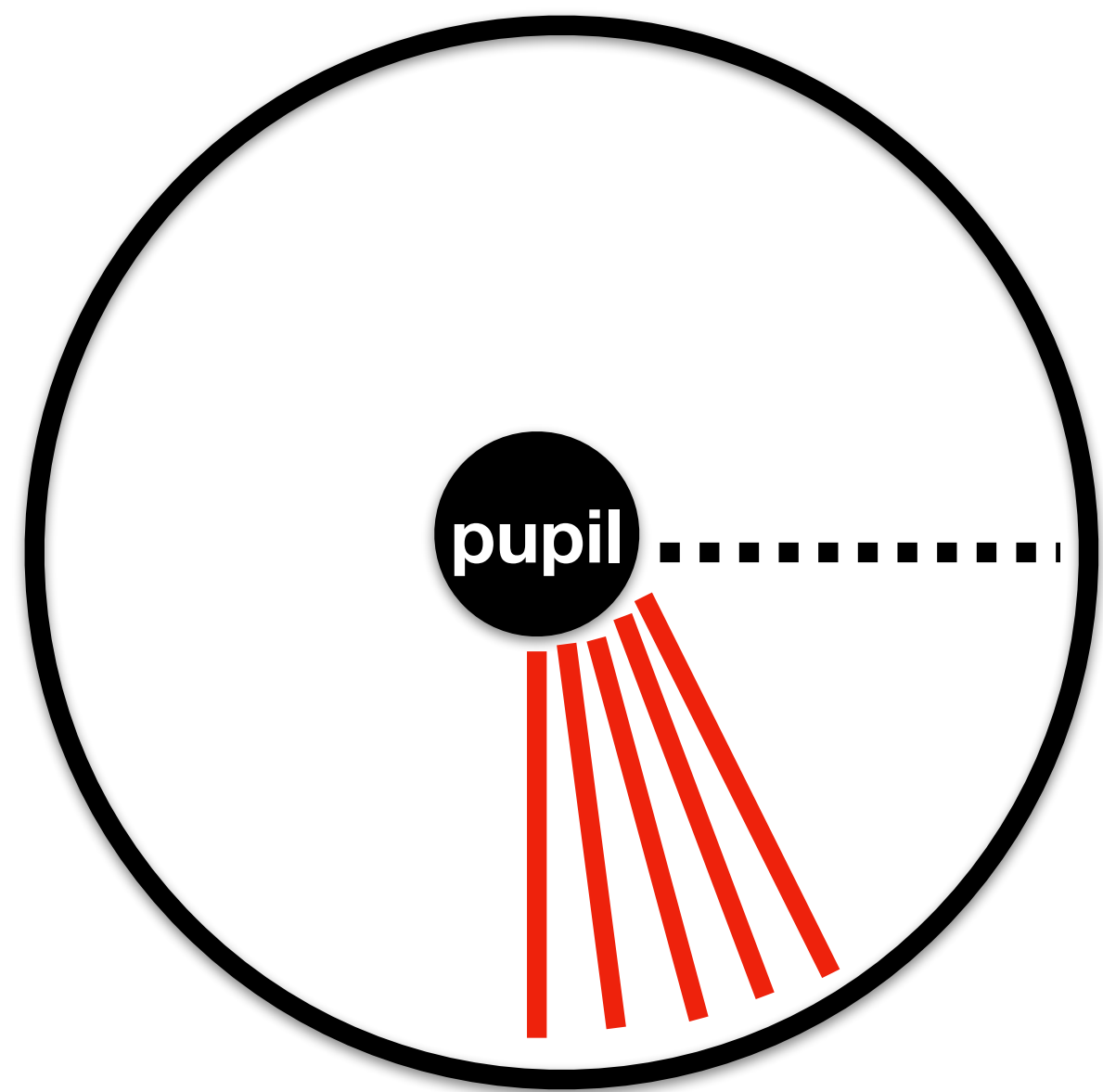
How to match with iris rotations?





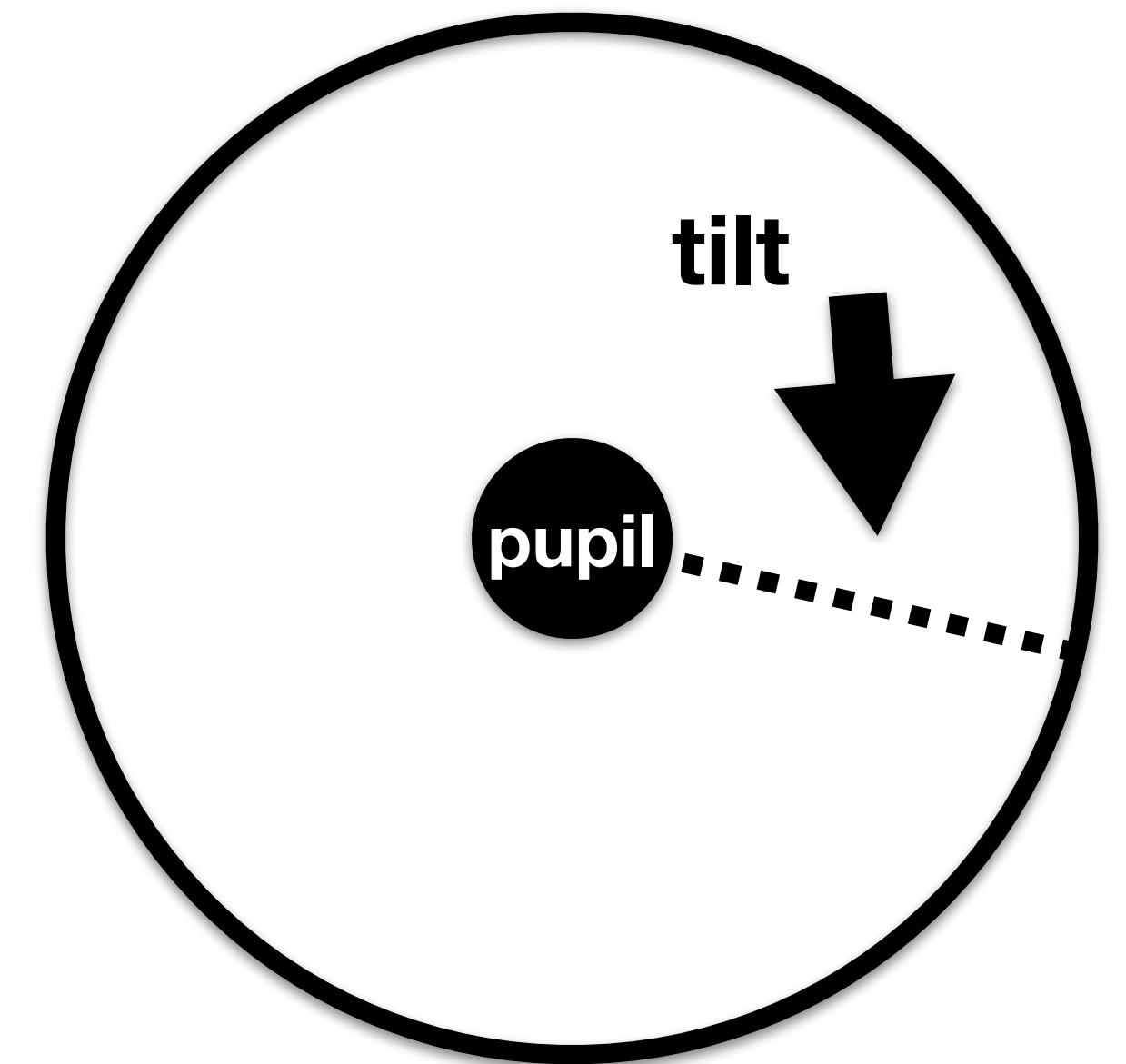
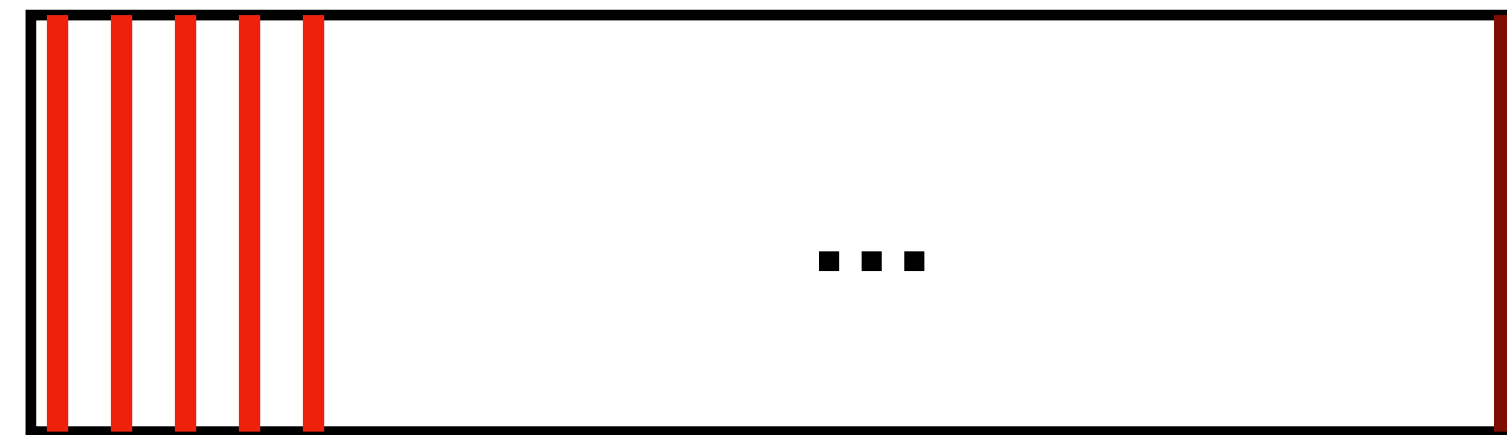
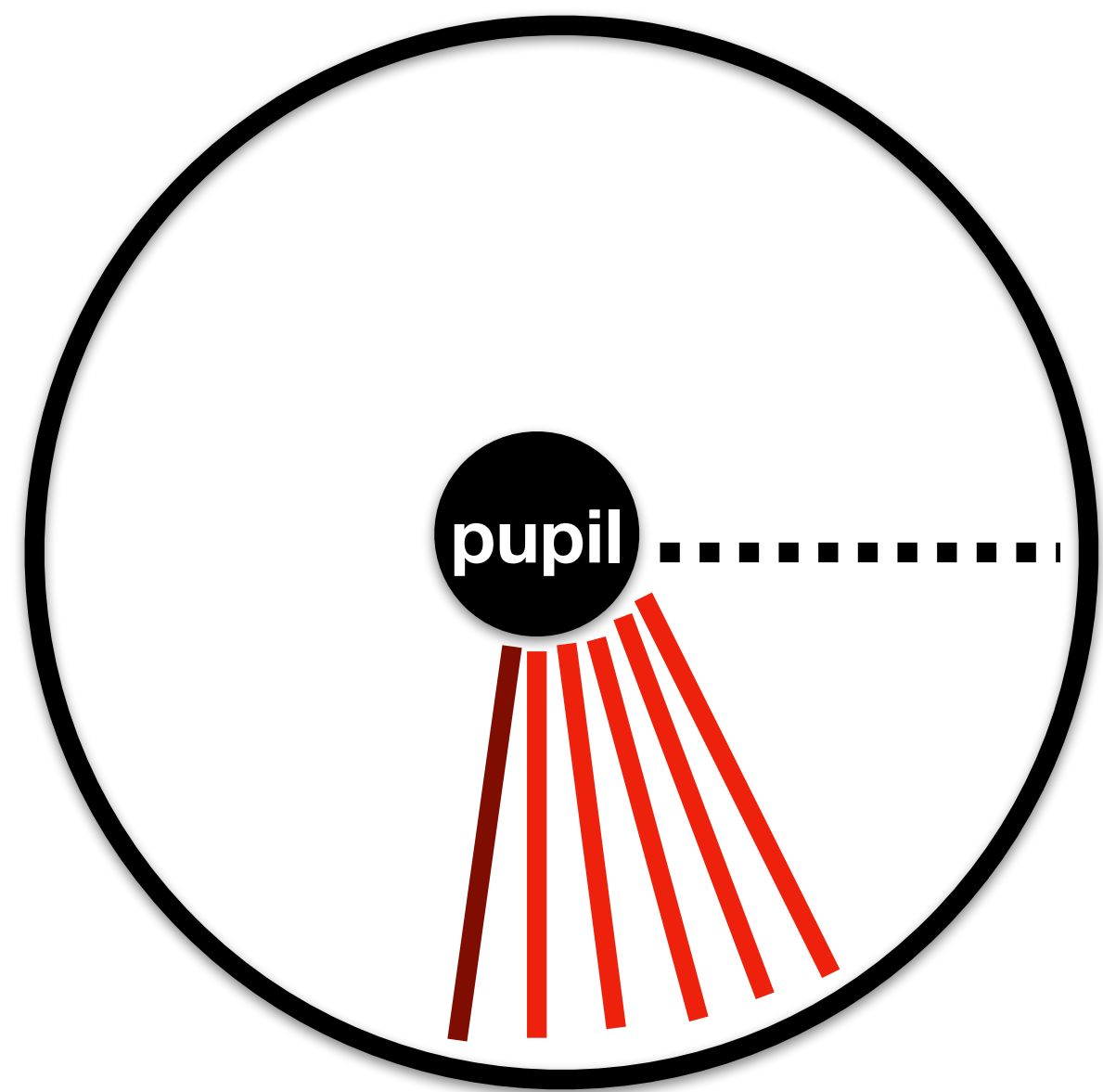
# Feature Matching

How to match with iris rotations?



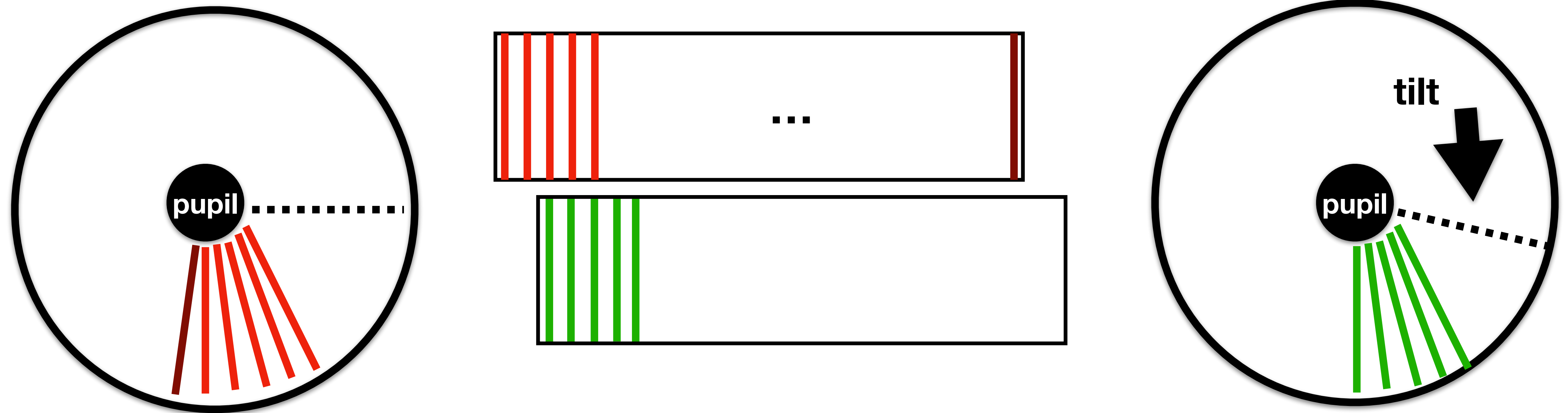
# Feature Matching

How to match with iris rotations?



# Feature Matching

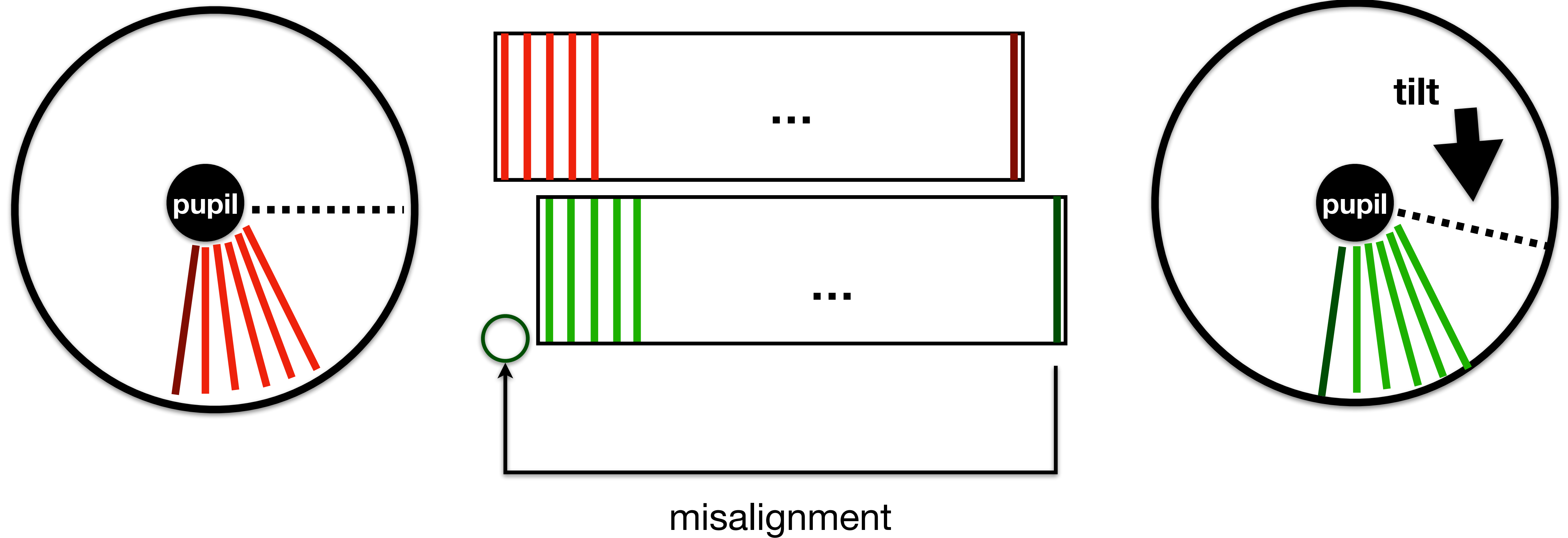
How to match with iris rotations?





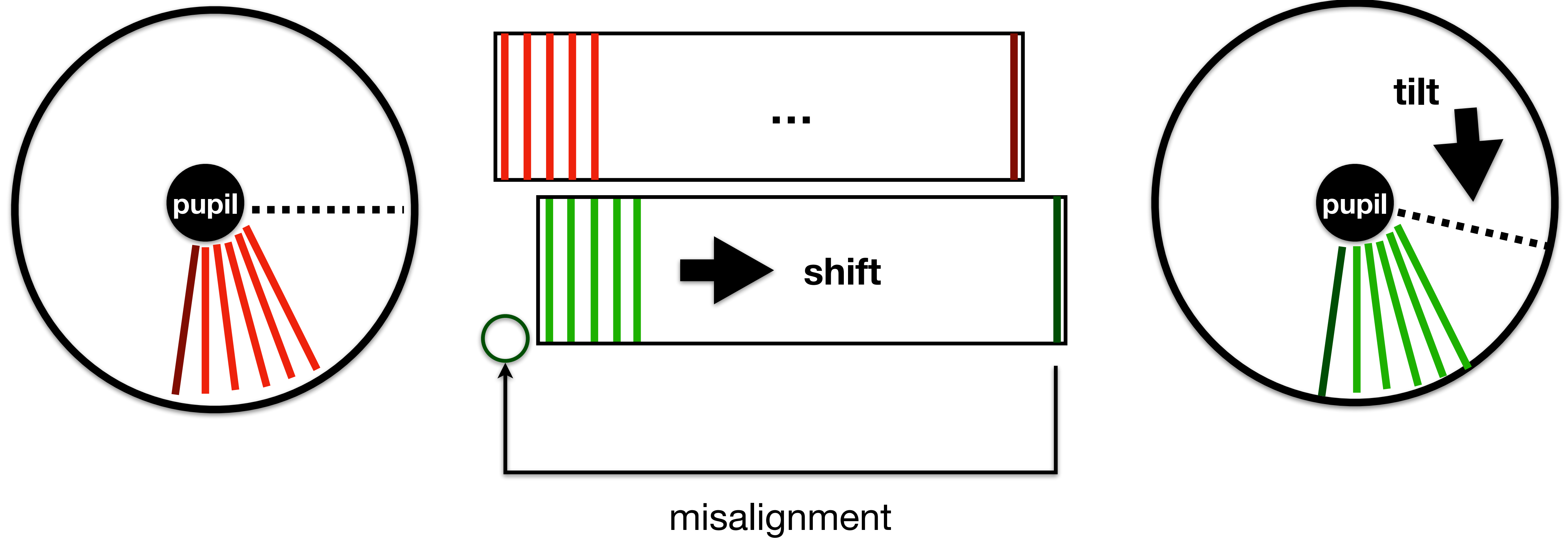
# Feature Matching

How to match with iris rotations?



# Feature Matching

How to match with iris rotations?



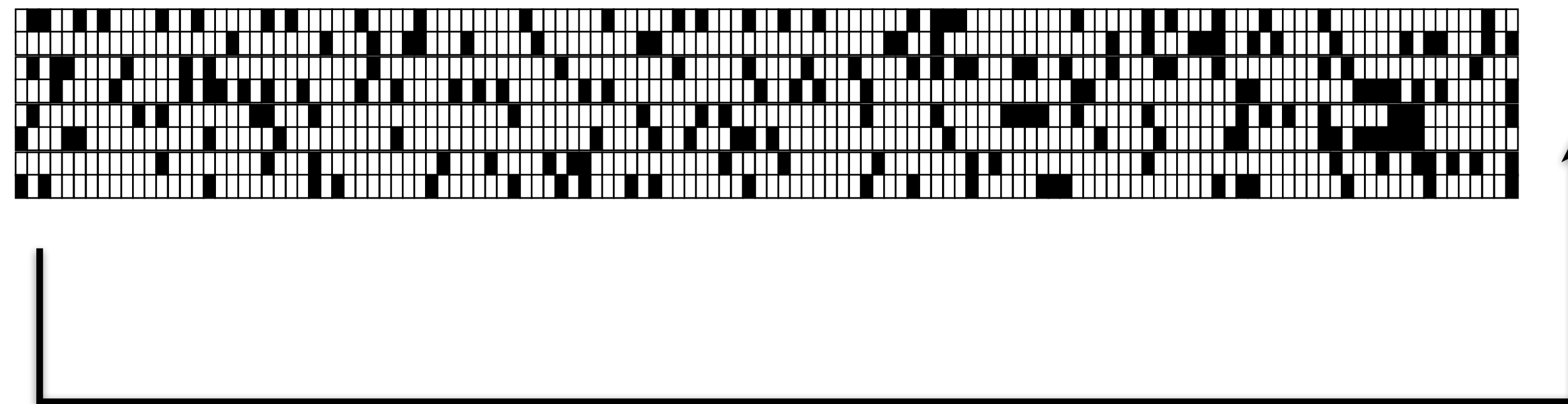
# Feature Matching

**How to Compare Binary Codes?**

**Problems (2/2)**

How to deal with iris rotations?

Solution: provide different shifts for one of the iris codes.



# Feature Matching

## How to Compare Binary Codes?

### Problems (2/2)

How to deal with iris rotations?

Solution: provide different shifts for one of the iris codes.

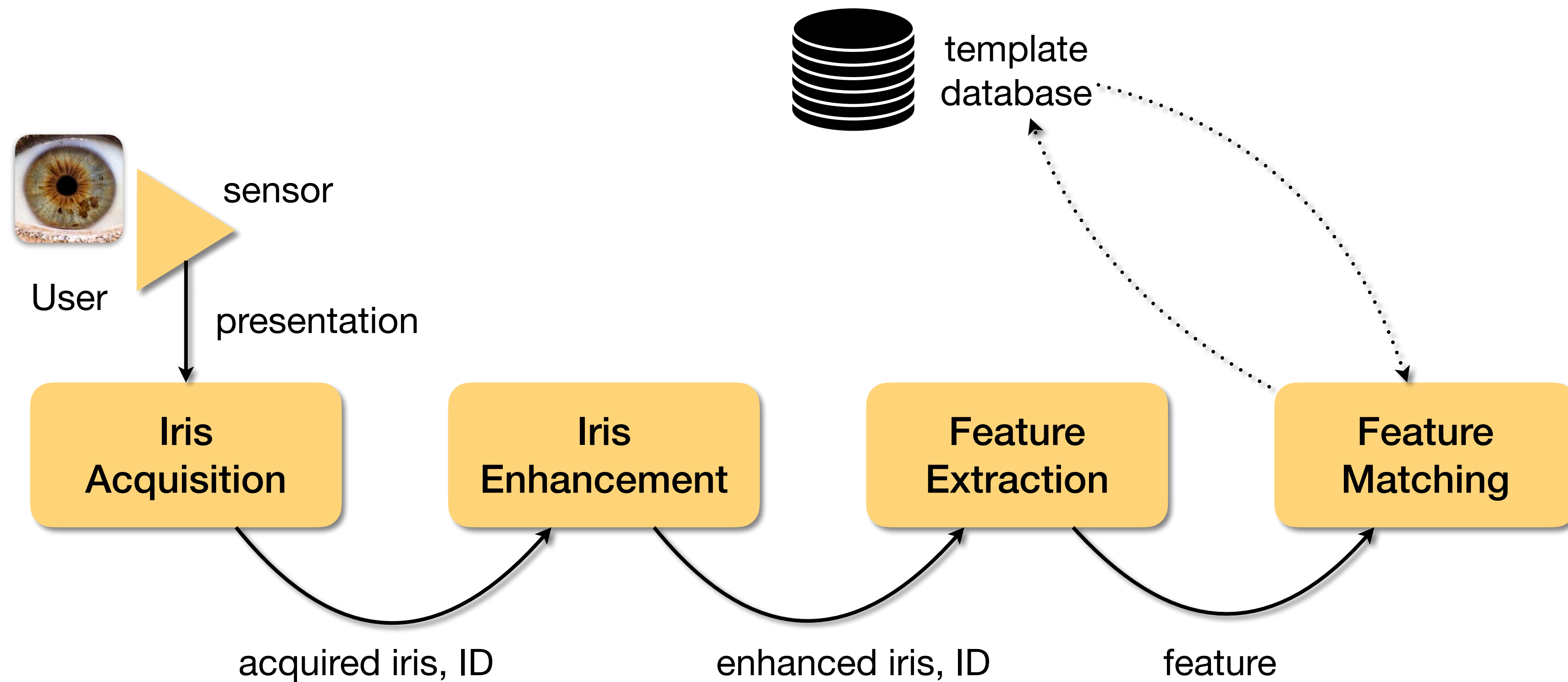
Compute various normalized Hamming distances (one for each shift).

Take the smallest distance as the score.

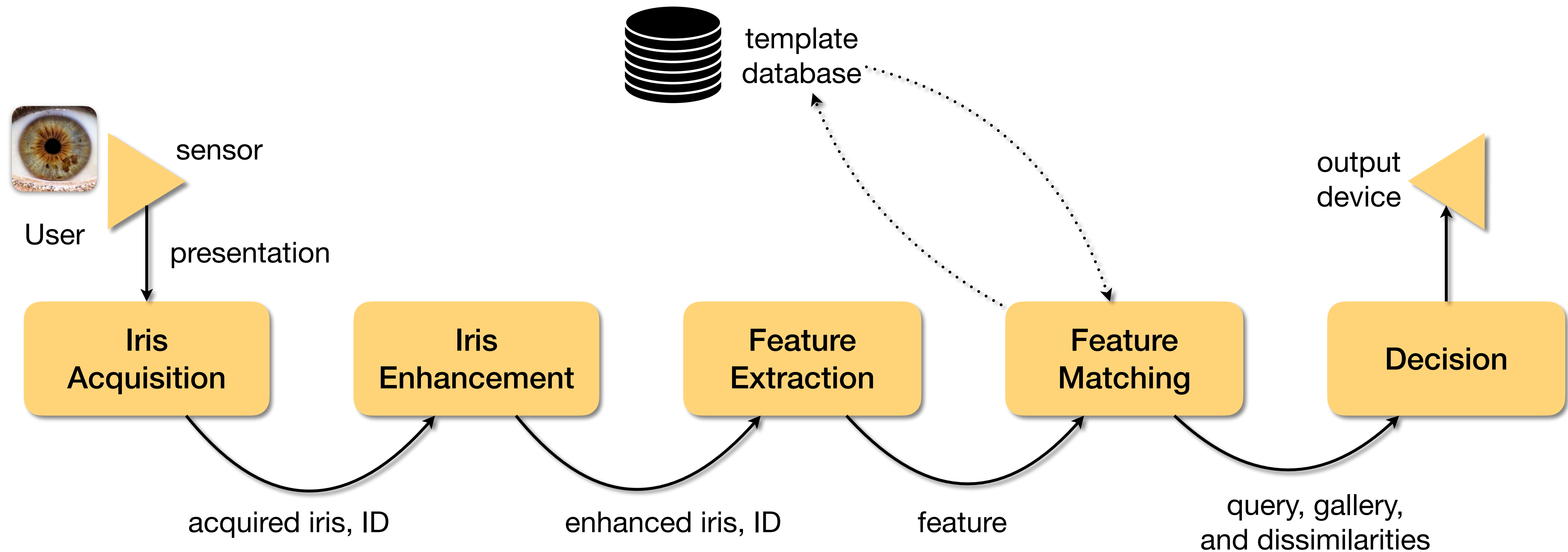




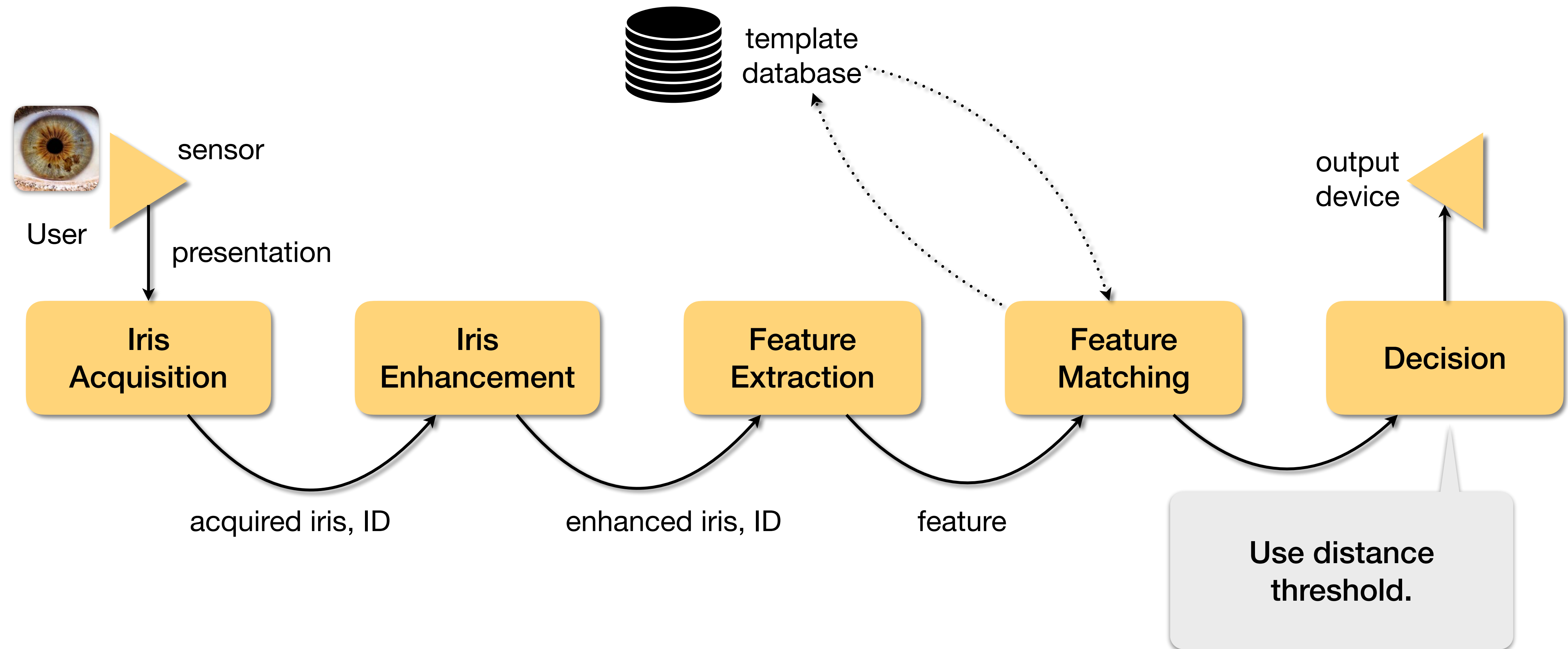
# Iris Recognition



# Iris Recognition



# Iris Recognition

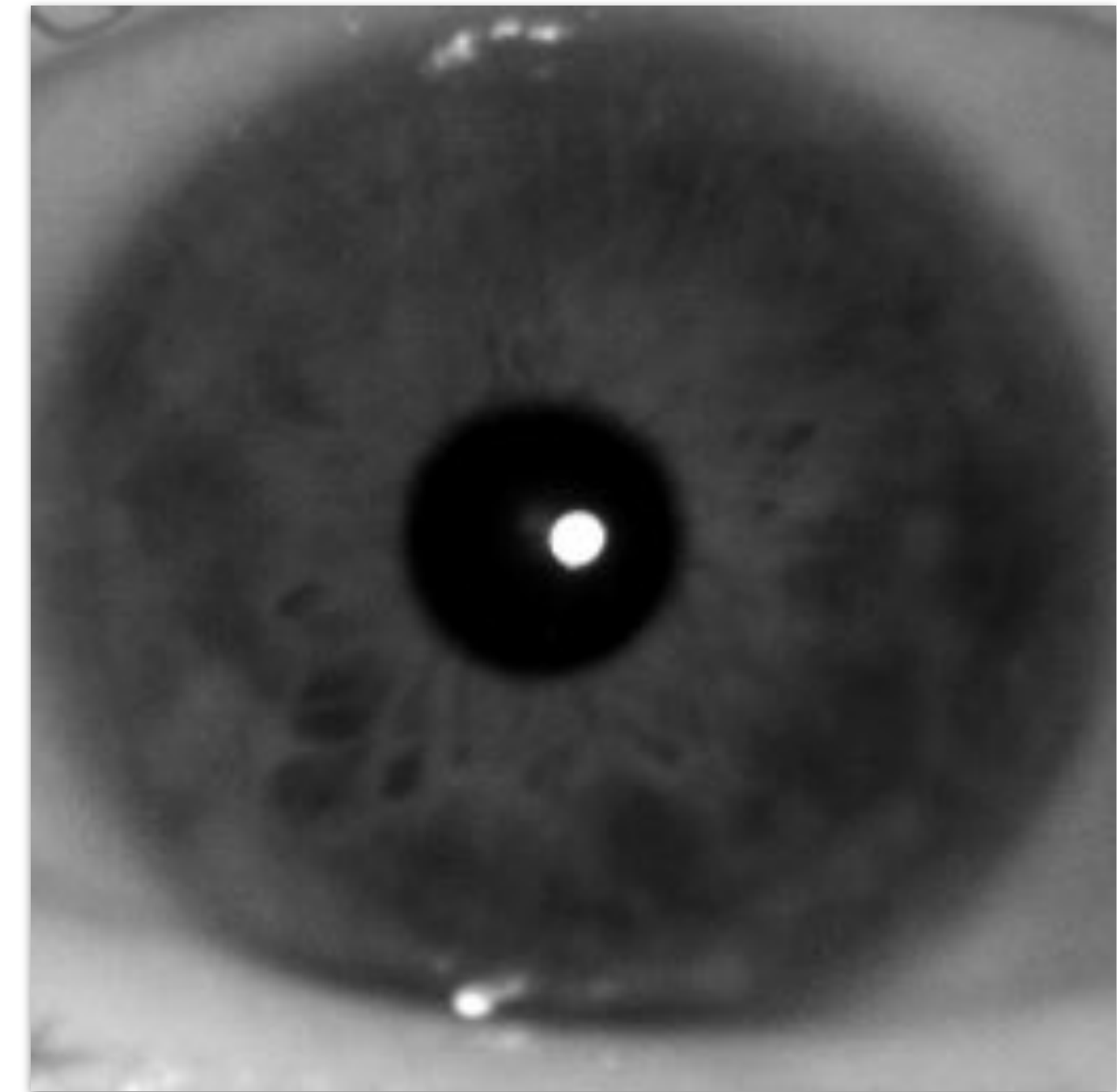




# Domain-Specific BSIF Codes



Original BSIF:  
Natural images to learn filters.



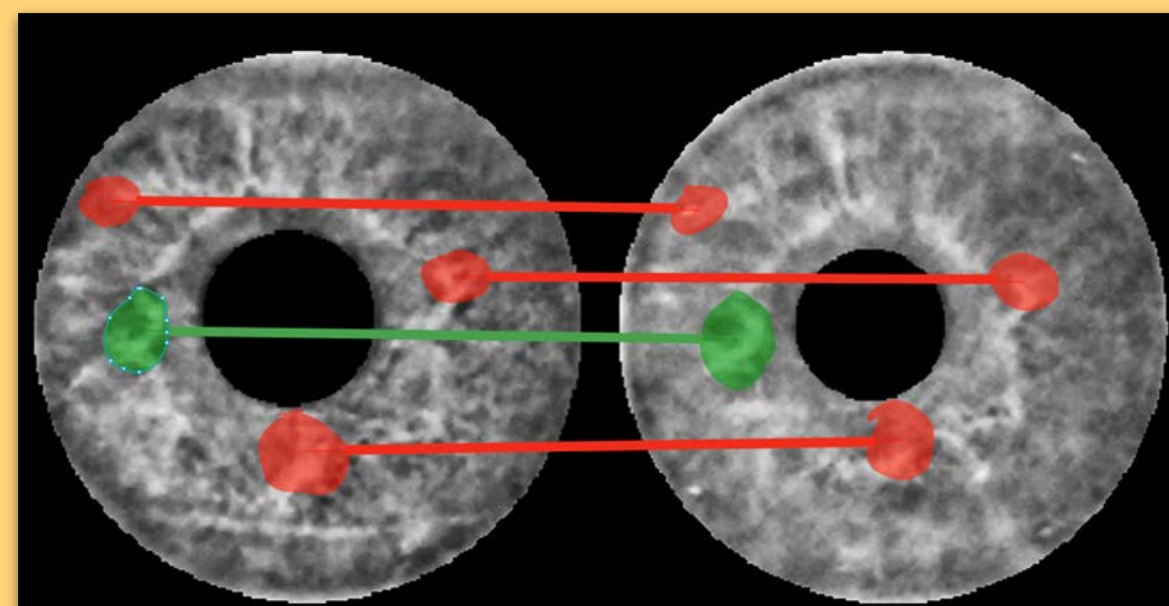
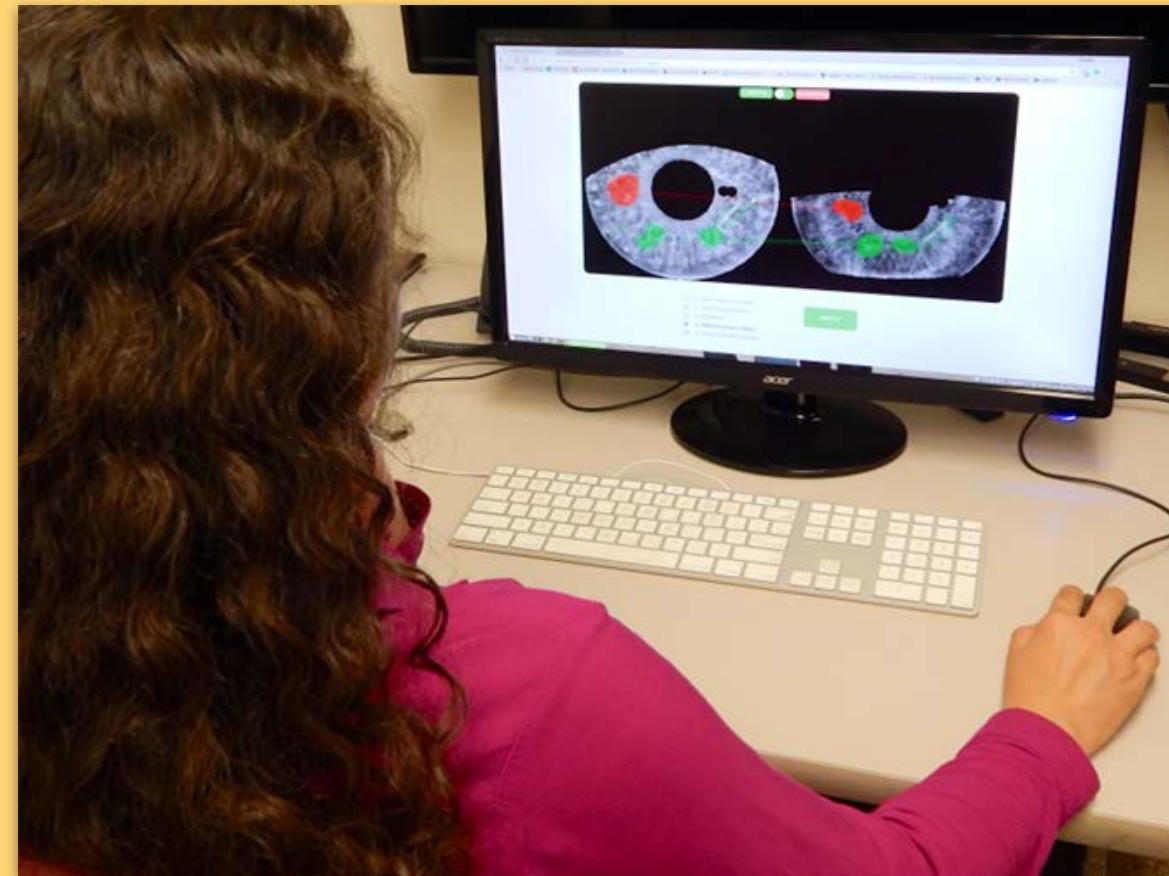
**What is the gain of learning  
from irises?**



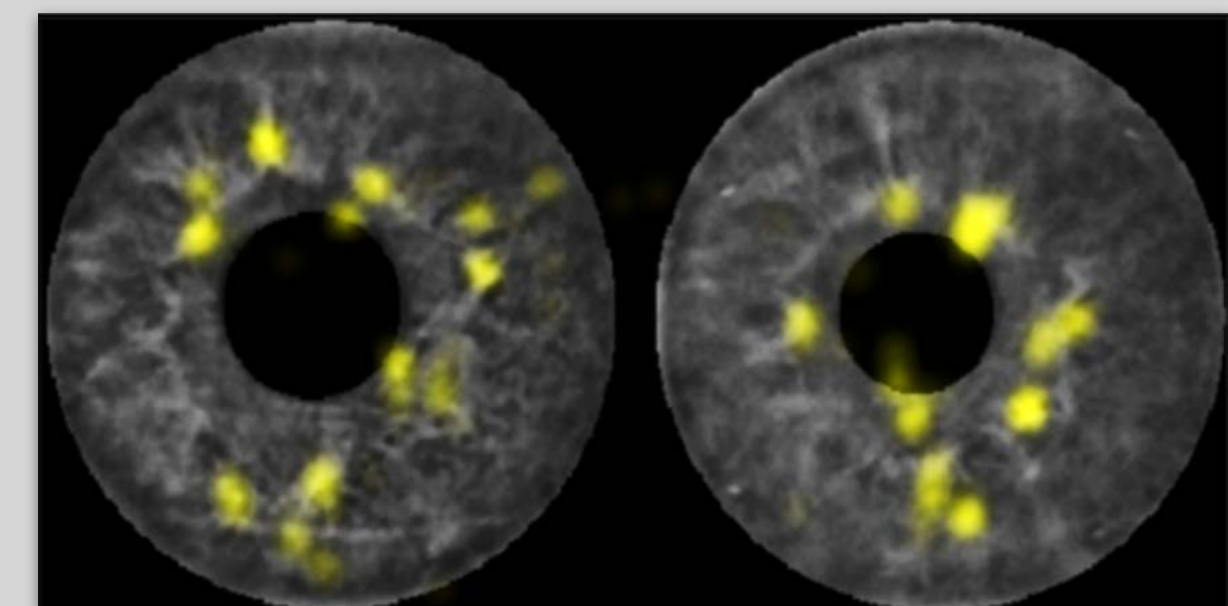
# Domain-Specific BSIF Codes

**How to Select Iris Patches?**

Manual Annotation



Eye-Tracker Data





# Domain-Specific BSIF Codes

## Annotation Tool



Available at  
<https://github.com/danielmoreira/iris-examination>

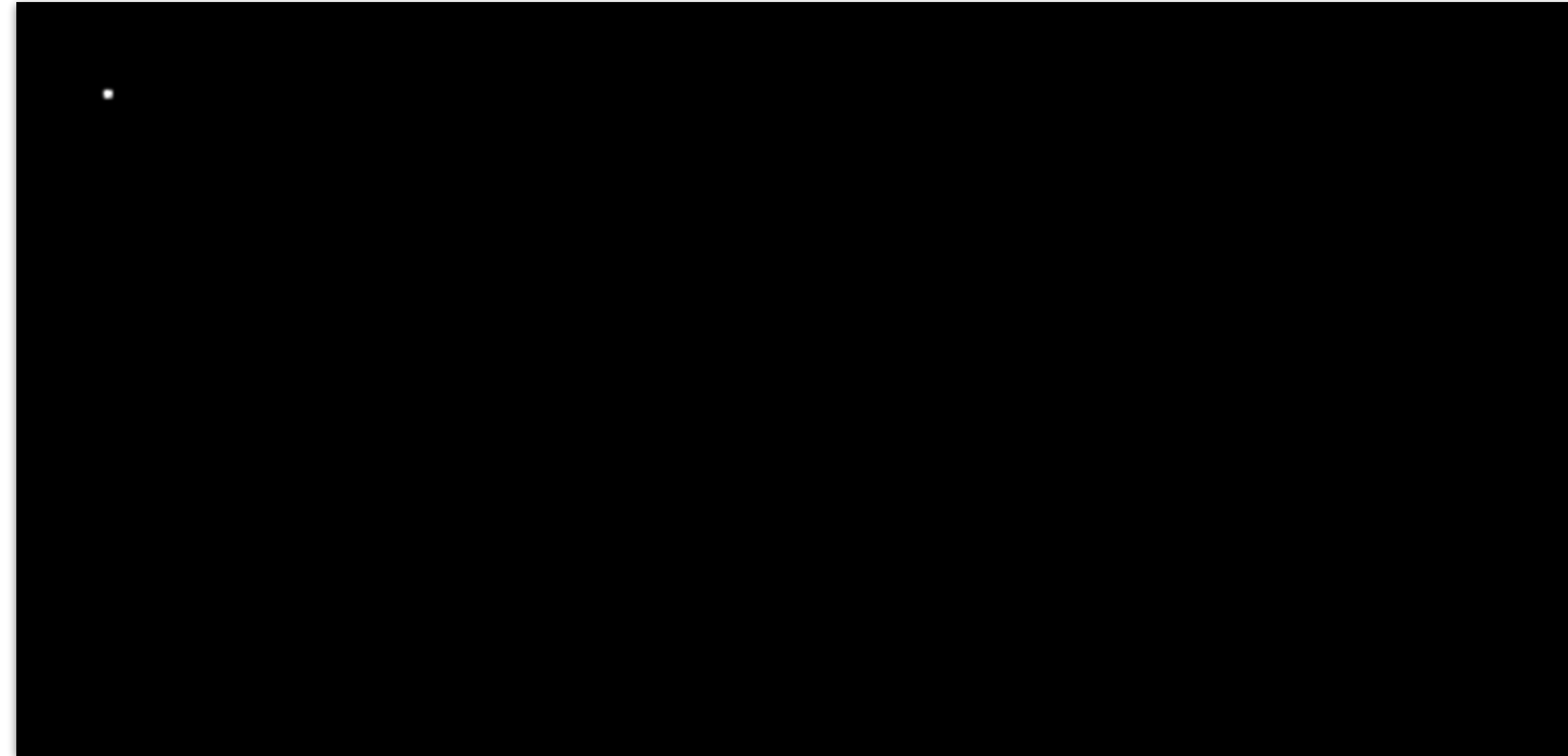


Paper.js  
Web-browser drawing library.



# Domain-Specific BSIF Codes

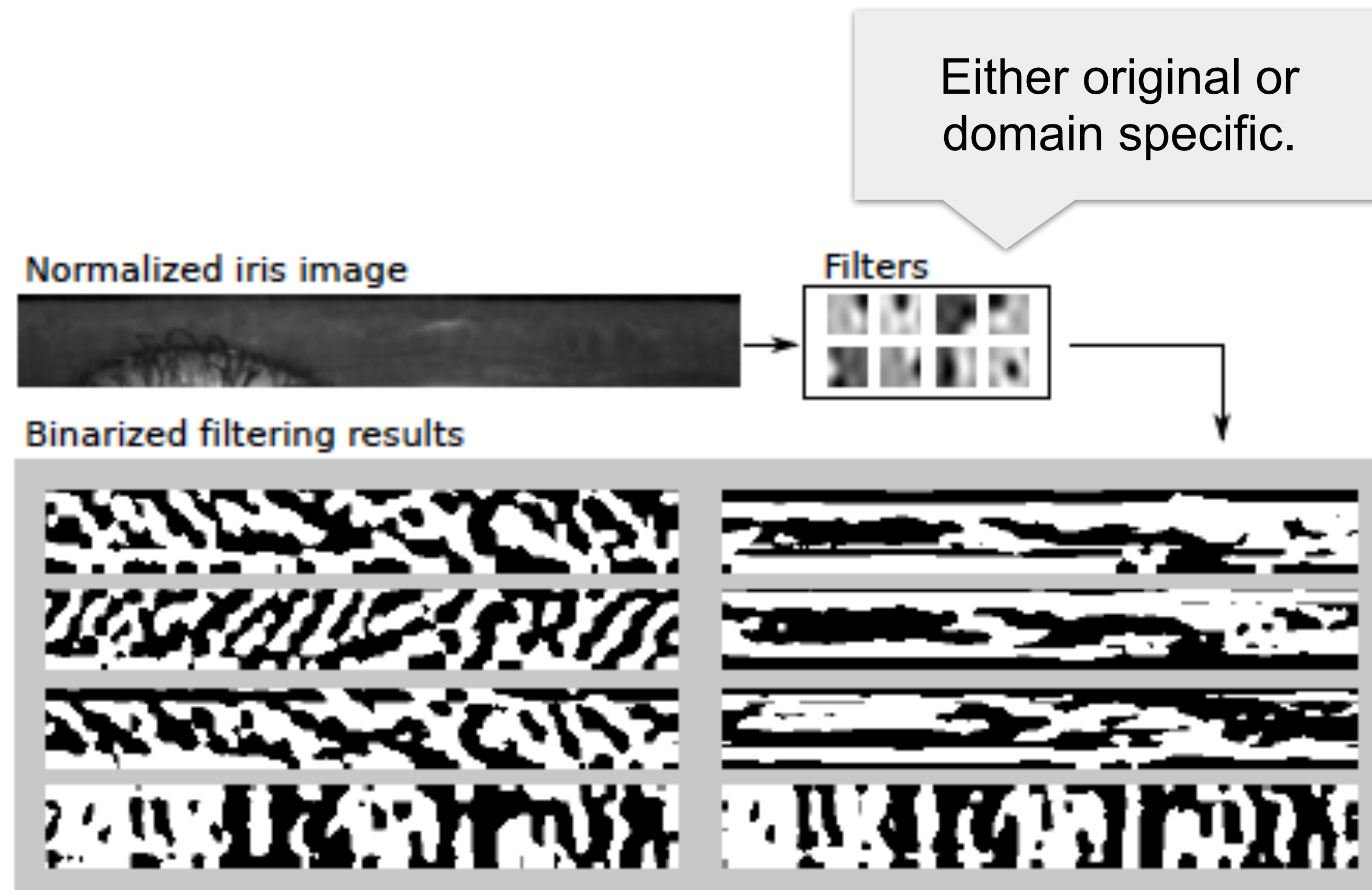
## Eye Tracker





# Domain-Specific BSIF Codes

## Application

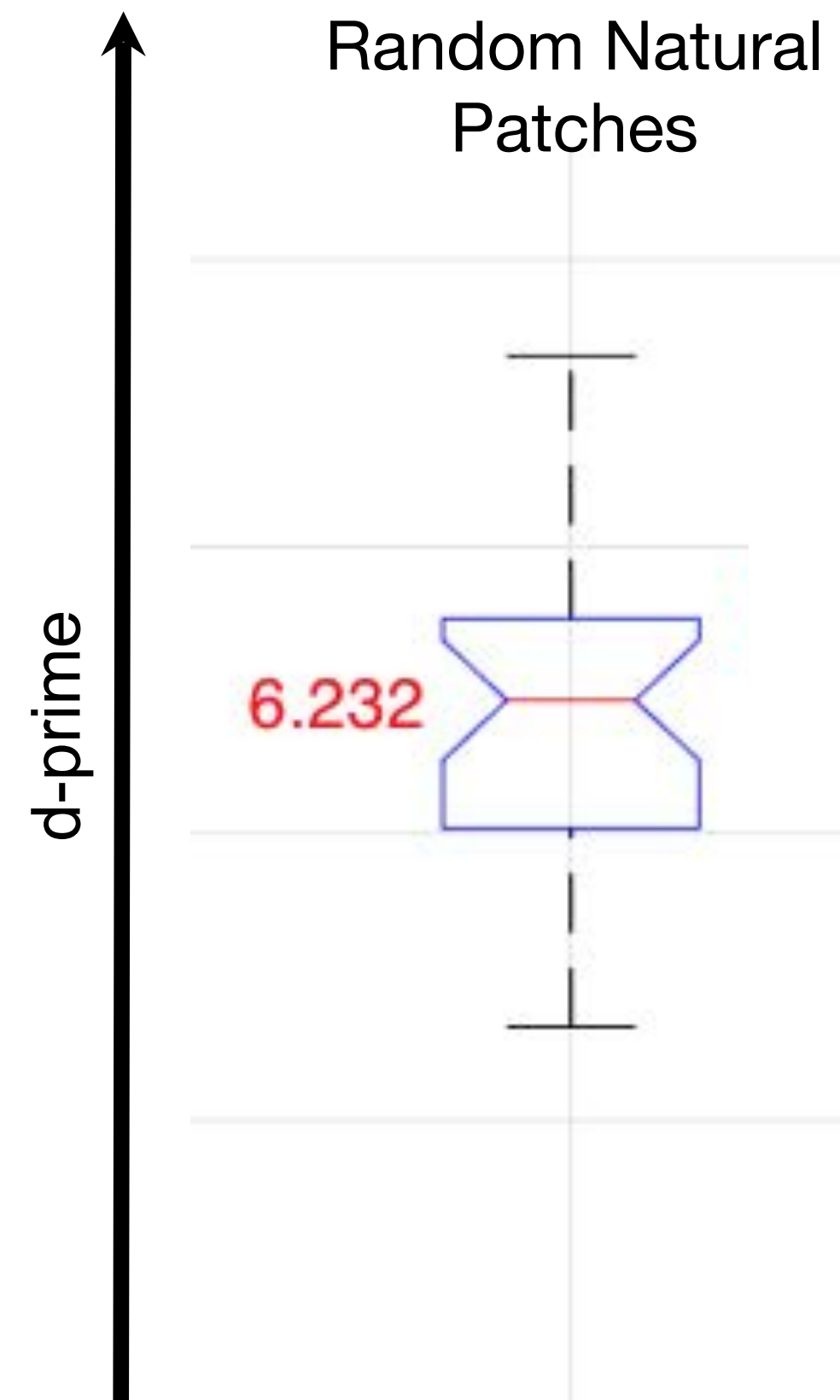
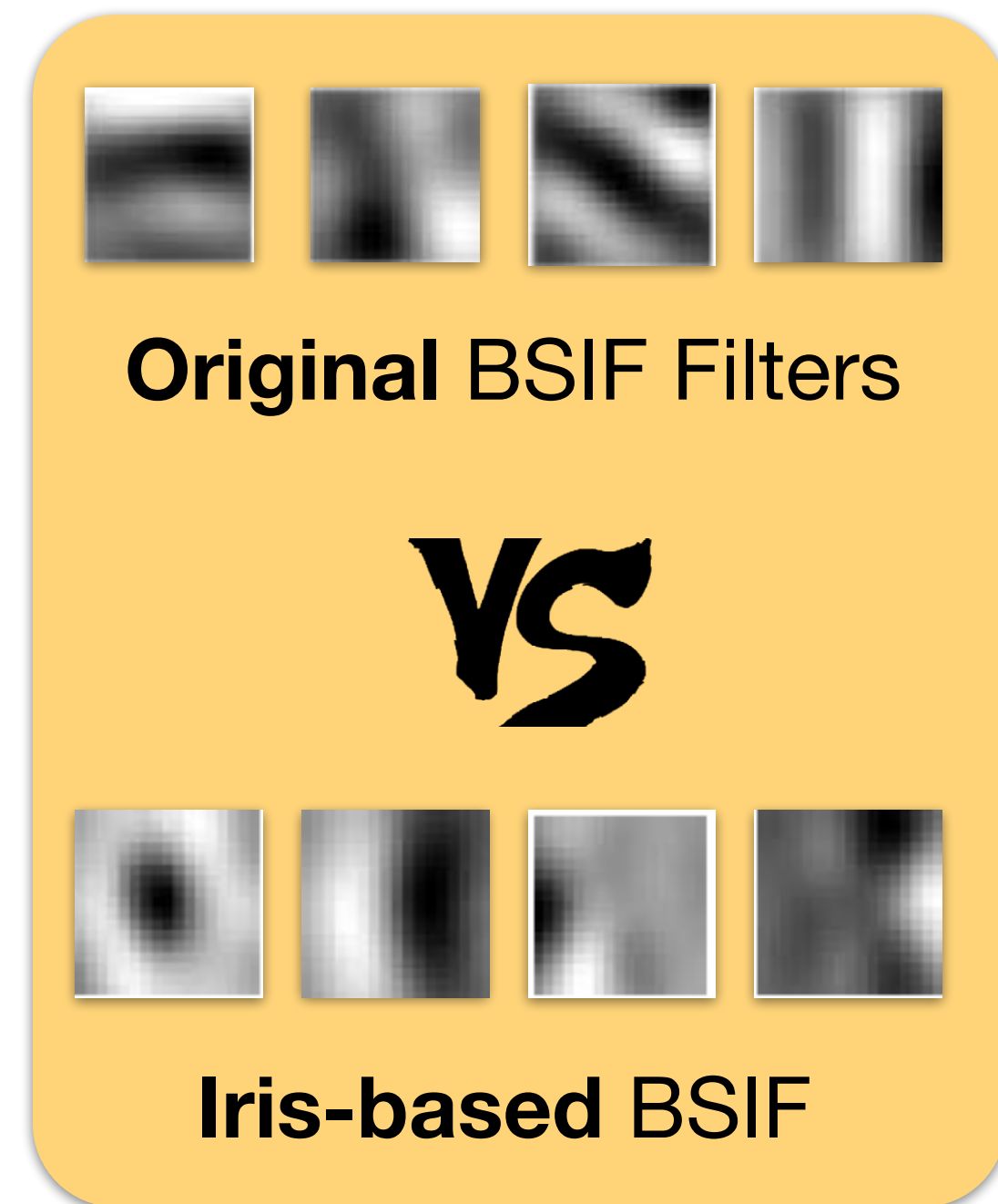


Czajka et al.  
*Domain-Specific Human-Inspired  
Binarized Statistical Image Features  
for Iris Recognition*  
WACV 2019



# Domain-Specific BSIF Codes

## Results



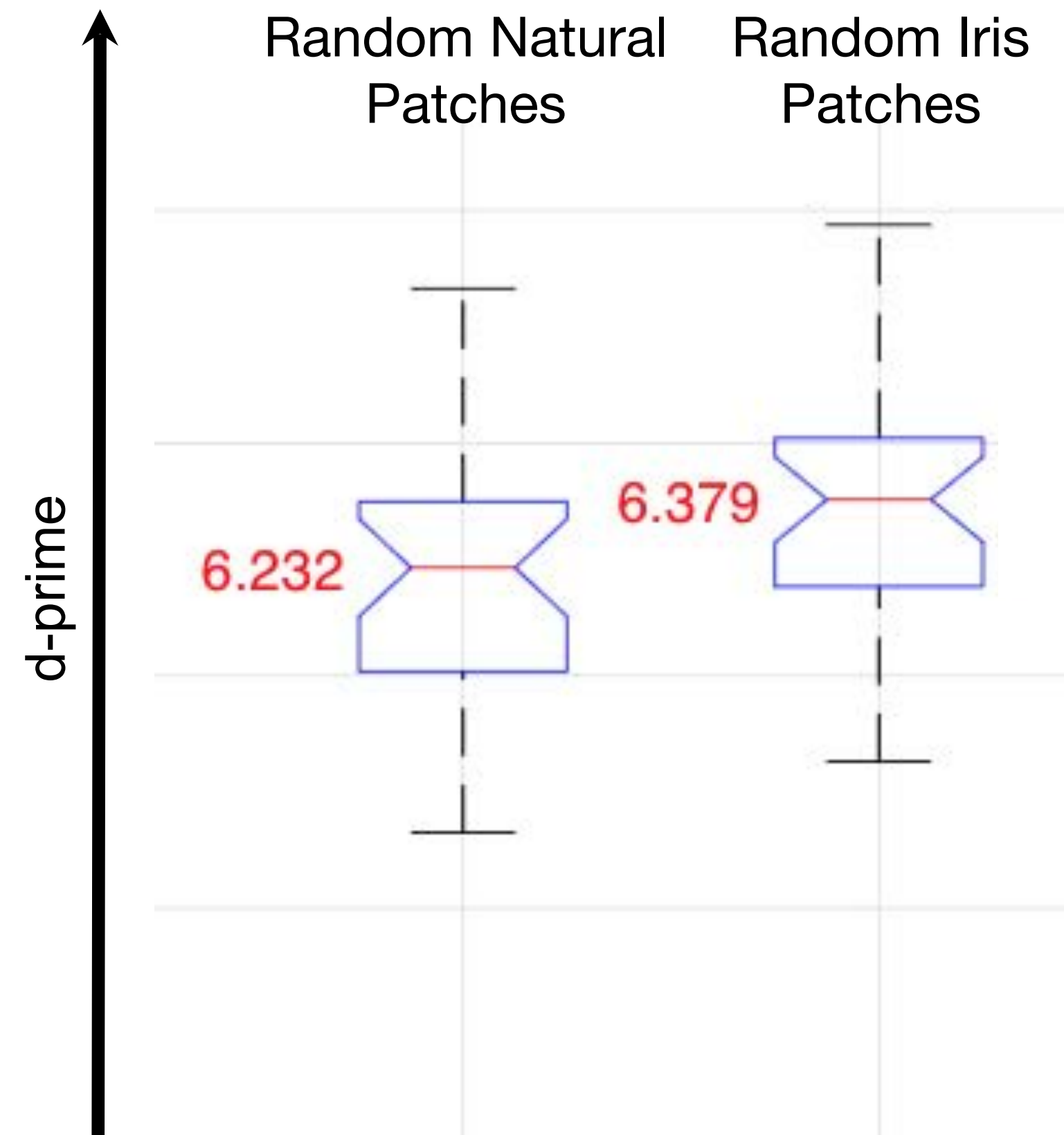
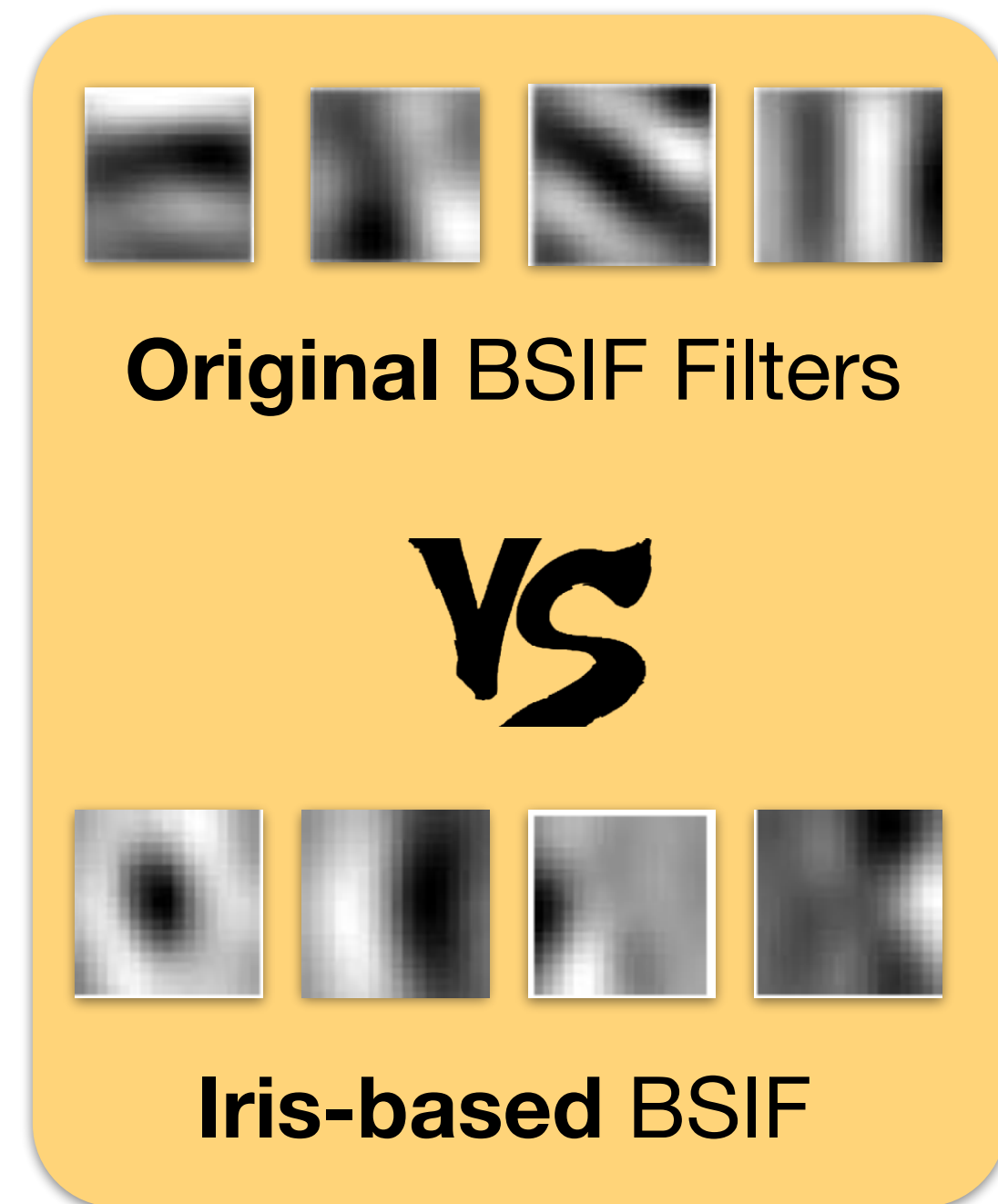
Czajka et al.  
*Domain-Specific Human-Inspired  
Binarized Statistical Image Features  
for Iris Recognition*  
WACV 2019



**LOYOLA**  
UNIVERSITY CHICAGO

# Domain-Specific BSIF Codes

## Results



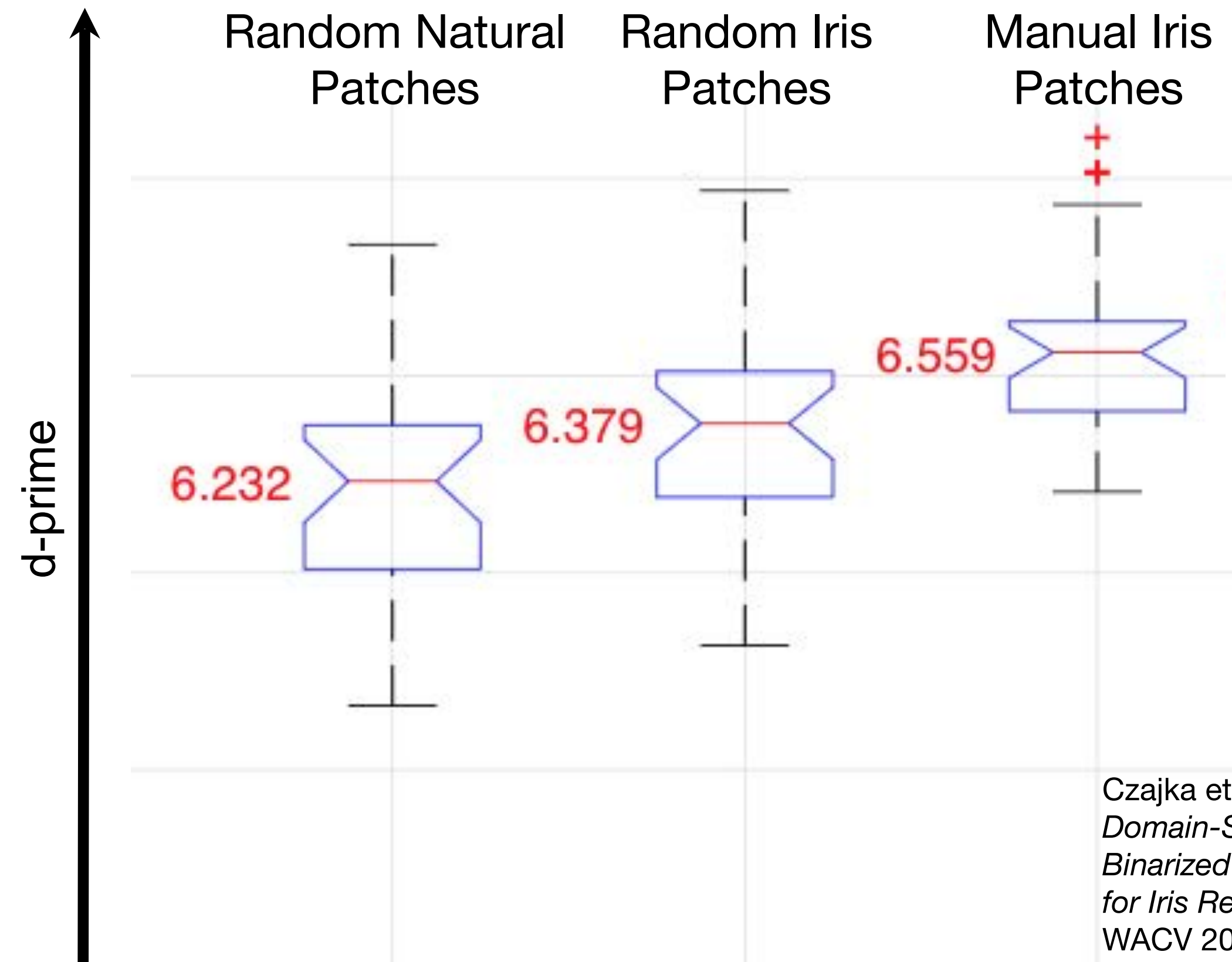
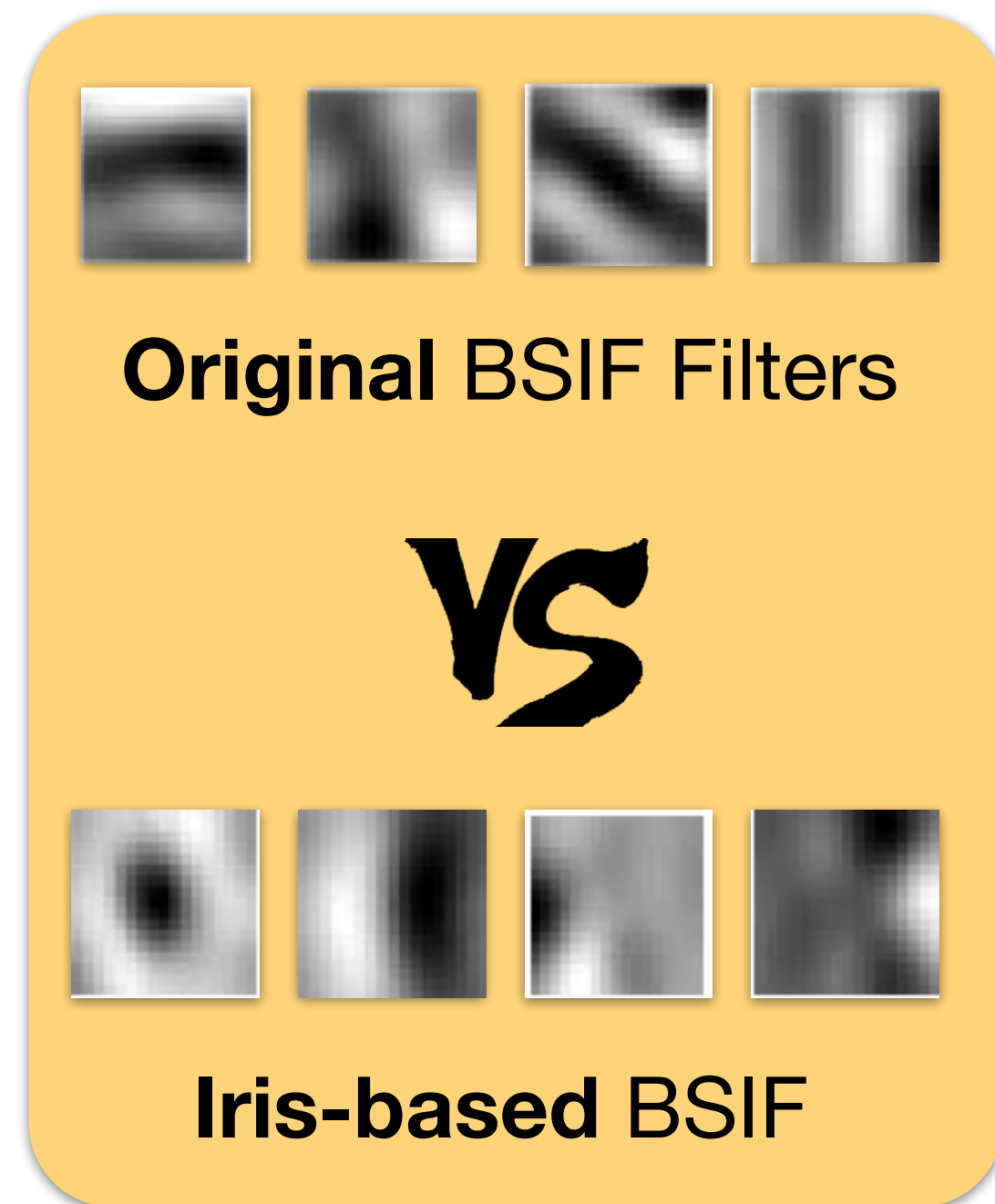
Czajka et al.  
*Domain-Specific Human-Inspired  
Binarized Statistical Image Features  
for Iris Recognition*  
WACV 2019



**LOYOLA**  
UNIVERSITY CHICAGO

# Domain-Specific BSIF Codes

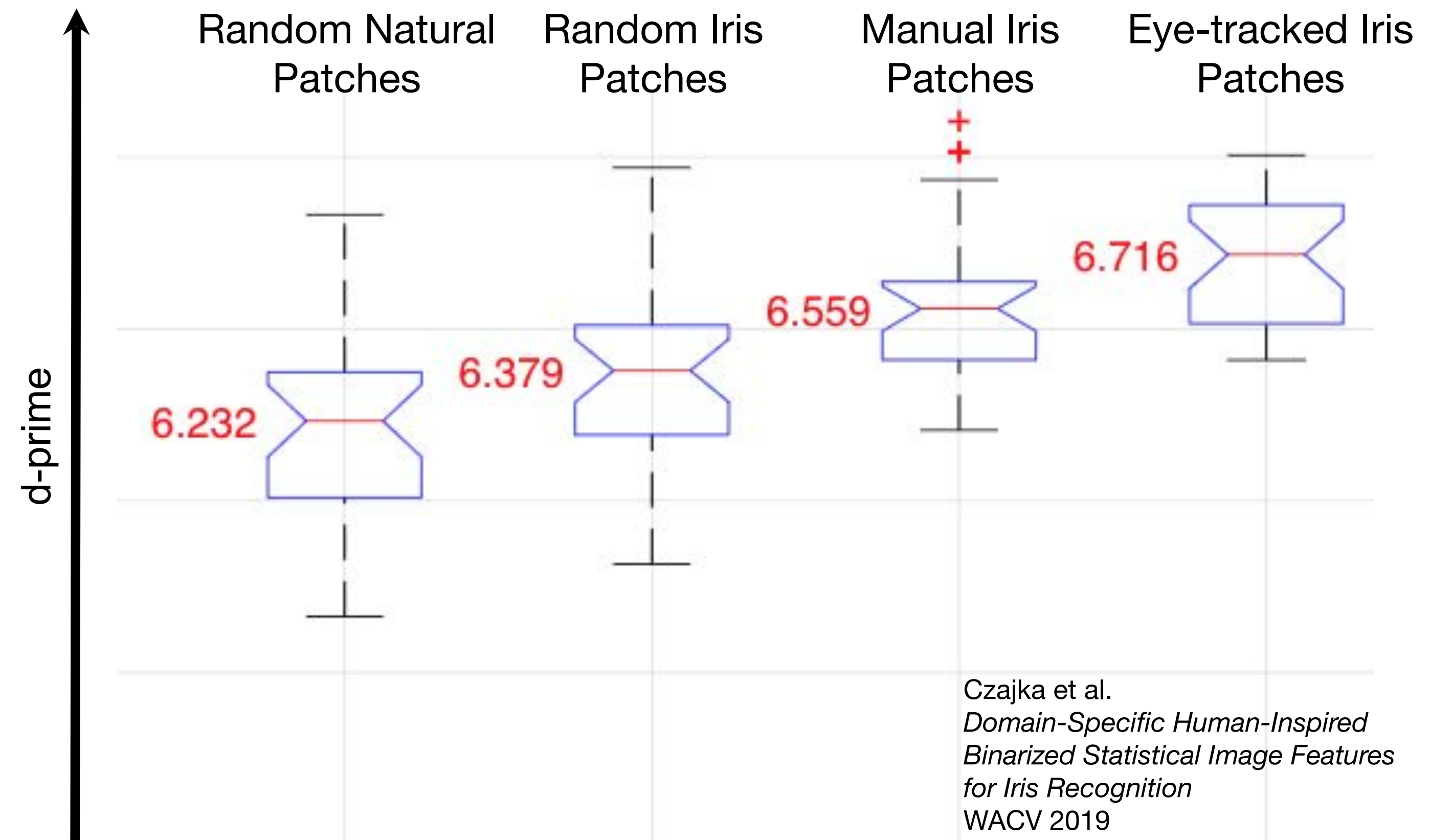
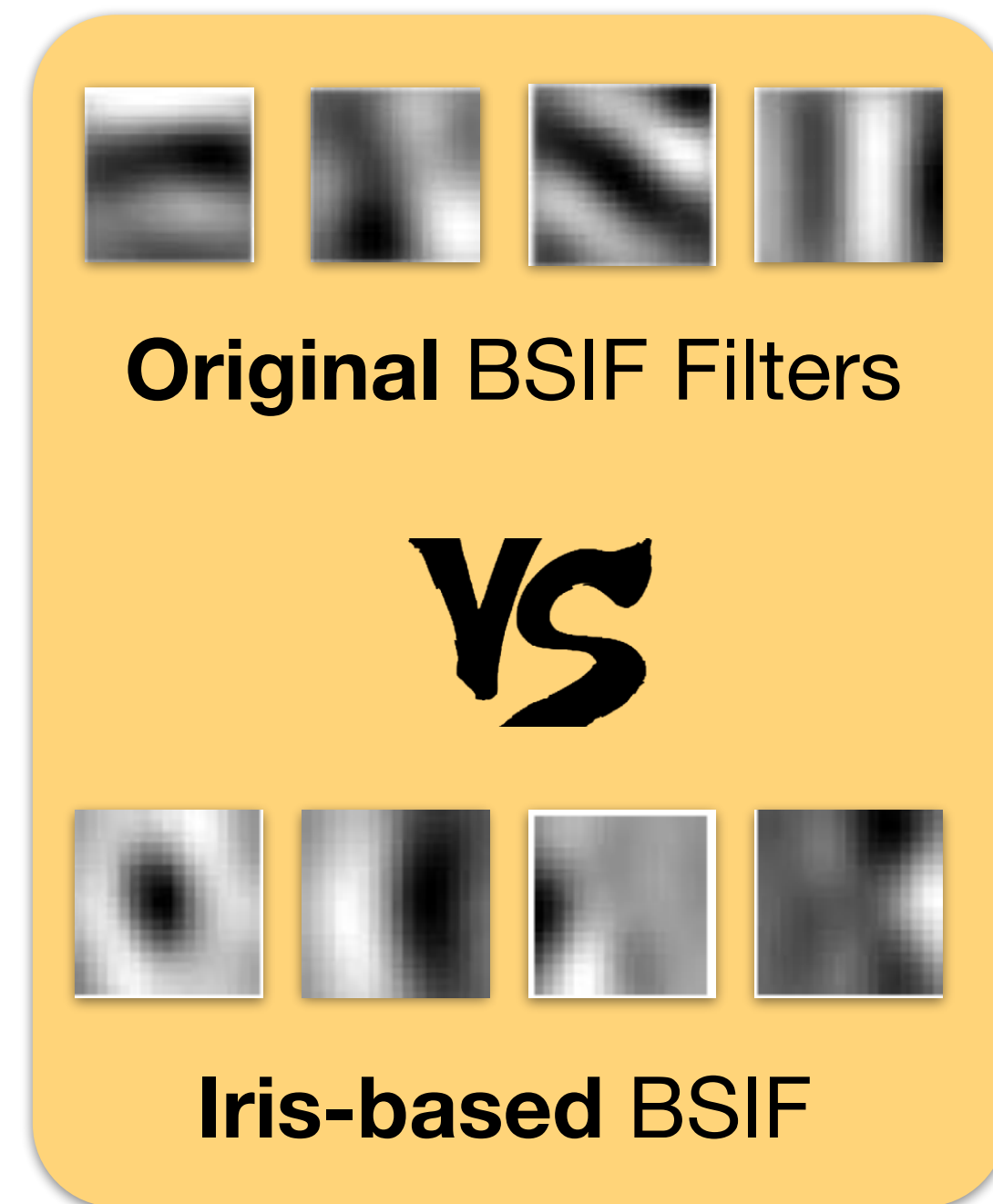
## Results



Czajka et al.  
*Domain-Specific Human-Inspired  
Binarized Statistical Image Features  
for Iris Recognition*  
WACV 2019

# Domain-Specific BSIF Codes

## Results





# What's Next?

**Fingerprint Recognition  
Coding Class.**

**Fill out your  
*Today-I-missed* Statement**  
Please visit  
<https://sakai.luc.edu/x/PnQvIG>.

